

September 2013



The International Treaty
ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE



E

FIFTH SESSION OF THE GOVERNING BODY

Muscat, Oman, 24-28 September 2013

RESOLUTION 13/2013

**WORK PROGRAMME AND BUDGET
FOR THE 2014/2015 BIENNIUM**

THE GOVERNING BODY,

Recalling that:

- a) The FAO Governing Bodies have determined that the International Treaty on Plant Genetic Resources for Food and Agriculture is a priority FAO activity;
- b) That the FAO Conference has recommended that “*statutory bodies and conventions will be strengthened, enjoying more financial and administrative authority within the framework of FAO and a greater degree of self-funding by their Members*”;

Recognizing that:

- a) The Treaty is at a crucial stage in its implementation, with a number of the major fields of activity that derive directly from the provisions of the Treaty now taking substantive shape;
- b) The execution of the Work Programme is subject to adequate resources being available, in a timely manner, within the Core Administrative Budget, and that this will be essential to the future functioning, credibility and effectiveness of the Treaty;

Adopts the Treaty’s Work Programme and the Core Administrative Budget for the biennium 2014-15, as contained in *Annex 1* to this resolution;

Adopts the indicative scale of contributions, in accordance with Financial Rule V.1b, as contained in *Annex 2* to this resolution;

- i. **Urges** all Contracting Parties to provide the resources required in the Core Administrative Budget, as adopted;
- ii. **Urges** Contracting Parties who made no, or only limited contributions previous biennia, to make voluntary contributions to the Core Administrative Budget;
- iii. **Commends** the project proposals contained in the *Addendum to Annex 1* to this Resolution to donor Governments and institutions, and **invites** them to provide the funding necessary for the implementation of these projects;
- iv. **Invites** States that are not Contracting Parties, inter-governmental organizations, non-governmental organizations and other entities, to also contribute to the Core Administrative Budget;

- v. **Takes note** of the provisional proposed contribution from FAO of USD 2,000,000;
- vi. **Confirms** the level of the Working Capital Reserve at USD 400,000;
- vii. **Notes further** that Contracting Parties who have not contributed to the Working Capital Reserve, will be requested to contribute the balance necessary to bring the reserve up to its established level, in the call for contributions for the 2014-15 biennium, by separate voluntary contributions, in addition to their voluntary contributions to the Core Administrative Budget;
- viii. **Approves** the Secretariat staffing structure for the biennium 2014-15 set out in *Annex 3* to this Resolution, recognizing that the precise staffing arrangements are a matter of the normal executive authority of the Secretary;
- ix. **Warmly thanks** the Governments of Australia, Germany, Italy, Spain, Sweden, and the United Kingdom, who have generously donated substantial funds for additional project activities, outside the Core Administrative Budget, thereby assisting the Treaty in establishing its operational systems and **invites** Governments to replenish the funds for project activities which will be critical for the continued successful implementation of the Treaty in the 2014-15 biennium;
- x. **Warmly thanks** the Government of Italy and Norway for the staff resources that they have made available to support and extend the activities of the Treaty;
- xi. **Confirms** that Contracting Parties that are developing countries and countries with economies in transition should be informed by the Secretary, in a timely manner before a meeting, of the availability of resources to support their participation in that meeting from the Fund referred to in the Treaty's Financial Rule VI.2c, and that, where such funding is limited, priority should be given to the least developed countries;
- xii. **Appeals** to donors urgently to replenish the Fund to Support the Participation of Developing Countries;
- xiii. **Appeals** to Contracting Parties urgently to contribute to the Trust Fund for Agreed Purposes to replenish resources required to support the implementation and further evolution of the Treaty in alignment with its 2014-15 Work Programme;
- xiv. **Requests** the Secretary to undertake discussion with the Executive Secretary of the Convention on Biological Diversity (CBD) to prepare the Terms of Reference and joint funding for the Joint Liaison Officer for the second year of the next biennium, taking into account the need for additional collaboration with the Commission on Genetic Resource for Food and Agriculture (CGRFA), for consideration by the CBD COP at their 12th meeting in October 2014.
- xv. **Thanks** the Global Crop Diversity Trust (GCDDT) for jointly supporting the GCDDT Liaison Officer position, recognising the important need for expanded collaboration between the Treaty and the Trust.
- xvi. **Decides** to hold the next meeting of the Governing Body at the FAO Headquarters based on cost consideration, then on an alternating basis at the FAO HQs and outside FAO, subject to offers from potential host governments.
- xvii. **Requests** the Secretary to continue to seek opportunities to hold Treaty meetings back-to-back with other relevant meetings to save on travel costs.
- xviii. **Requests** the Secretary to submit a draft Work Programme and Budget for the biennium 2016-17, including a Secretariat staffing table and a Draft Resolution, for the consideration of the Governing Body at its Sixth Session, and to report on progress on income and expenditures, as well as any adjustments made to the budget in the biennium 2014-15.
- xix. **Requests** the Secretary to provide a detailed financial report, at least six weeks in advance, to each session of the Governing Body.

ANNEX 1:

CORE ADMINISTRATIVE BUDGET AND WORK PROGRAMME: 2014 – 2015 BIENNIUM

	A	B	C
	Core Maintenance Function	Core Implementing Functions	Core Administrative Budget
All amounts in USD			
A. Human resources			
A.1 Established staff positions (with no variation)	4,036,055	-	4,036,055
A.2 Temporary posts	-	302,615	302,615
A.3 Other consultancy costs	130,000	155,000	285,000
A.4 Improved Cost Recovery Uplift (ICRU)	210,215	26,140	236,355
Total A. Human Resources	4,376,270	483,755	4,860,025
B. Meetings			
B.1 Governing body	650,000	-	650,000
B.2 Bureau	31,275	-	31,275
B.3 Compliance Committee	30,000	-	30,000
B.4 Panel of Experts for the appraisal of project proposals	40,000	-	40,000
B.5 Activity related		447,250	447,250
Total B. Meetings	751,275	447,250	1,198,525
C. Other costs			
C.1 Core staff duty travel	100,000	50,000	150,000
C.2 Publications and communication	67,600	-	67,600
C.3 Supplies and equipment	52,000	-	52,000
C.4 Contracts	58,240	-	58,240
C.5 Miscellaneous	20,800	-	20,800
Total C. Other Costs	298,640	50,000	348,640
Total A + B + C	5,426,185	981,005	6,407,190
D. General Operating Services	217,045	39,238	256,284
Operating Budget	5,643,230	1,020,243	6,663,473
E. Project Servicing Cost	218,590	61,221	279,810
Totals	5,861,820	1,081,463	6,943,284
Funding of the Proposed Core Administrative Budget			
Total Core Work Programme			6,943,284
Less:			
F. FAO contribution			[2,000,000]
Net amount to be funded by Contracting Parties			4,943,284

Maintenance Functions in 2014 - 2015

	Core Maintenance Function		
Treaty Article	19-20		
GB Doc reference	25, 25 Add.1		
	Cost - USD	EIU CPI increase	Total Cost - USD
A. Human resources			
A.1 Established staff positions [1]	4,036,055	-	4,036,055
A.3 Consultancy costs			
Treaty maintenance and Statutory meeting related	130,000	-	130,000
A.4 Provision for "ICRU" (<i>Improved Cost Recovery Uplift</i>)	210,215	-	210,215
Total A. Human Resources	4,376,270	-	4,376,270
B. Meetings - Statutory Bodies			
B.2 Bureau	31,275	-	31,275
B.3 Compliance Committee [3]	30,000	-	30,000
B.4 Panel of Experts for the appraisal of project proposals [4]	40,000	-	40,000
Total B. Meetings	751,275	-	751,275
C. Other costs			
C.1 Core staff duty travel	100,000	-	100,000
C.2 Publications	65,000	2,600	67,600
C.3 Supplies and equipment	50,000	2,000	52,000
C.4 Contracts	56,000	2,240	58,240
C.5 Miscellaneous	20,000	800	20,800
Total C. Other Costs	291,000	7,640	298,640
Total A + B + C	5,418,545	7,640	5,426,185
D. General Operating Services (4% of A + B + C)	216,740	305	217,045
Operating Budget	5,635,285	7,945	5,643,230
E. Project Servicing Cost (6% of Operating Budget less FAO contribution)	218,115	475	218,590
Core Administrative budget	5,853,400	8,420	5,861,820
F. FAO contribution	2,000,000	-	2,000,000
Balance to be funded by Contracting Parties	3,853,400	8,420	3,861,820
Notes:			
[1] In accordance with approved Secretariat staffing table – Annex 2 of Appendix A.9 (GB Resolution 9/2011) refers			
[2] In accordance with Article 19 of The Treaty			
[3] In accordance with Article 19.3 & 21 of The Treaty			
[4] In accordance with Step 5 of Annex 3 of the Funding Strategy as adopted by GB 2 Meeting of 14 experts for 3 days			

Core Implementing Functions: 2014 - 2015 Biennium

Reference	Core Implementing Functions								Total Core Implementation Functions
	CIF-1	CIF-2	CIF-3	CIF-4	CIF-5	CIF-6	CIF-7	CIF-8	
Related activity area	Liaison with CBD and other international organizations	Maintenance of the MLS	Mobilizing resources for the BSF	Operations of the BSF	Ad Hoc Working Group to expand benefit-sharing and the scope of the Multilateral System	Information Systems for PGRFA	Implementation of Article 6 Sustainable Use of Plant Genetic Resources for Food and Agriculture	CBCM and national capacity building for MLS implementation within the IR/ABS	
Treaty Articles	all	10 - 13	18.4	18.4	18.13	5,15 & 17	5,6, 9	20.5	
GB Doc reference									
All amounts in USD									
A. Human resources									
A.1 Established staff positions									
A.2 Temporary <i>liaison</i> posts	100,870					201,745	-	-	302,615
A.3 Other consultancy costs	-	75,000	30,000	30,000		-	10,000	10,000	155,000
A.4 Provision for "ICRU" (Improved Cost Recovery Uplift)	-	6,000	-	2,400	-	16,140	800	800	26,140
Total A. Human Resources	100,870	81,000	30,000	32,400	-	217,885	10,800	10,800	483,755
B. Meetings									
Other Meetings									
B.5 Activity related			50,000	-	240,000	-	87,250	70,000	447,250
Total B. Meetings	-	-	50,000	-	240,000	-	87,250	70,000	447,250
C. Other costs									
C.1 Core staff duty travel	10,000	10,000	10,000	-	-	-	-	20,000	50,000
C.2 Publications and communication	-	-	-	-	-	-	-	-	-
C.3 Supplies and equipment	-	-	-	-	-	-	-	-	-
C.4 Contracts	-	-	-	-	-	-	-	-	-
C.5 Miscellaneous	-	-	-	-	-	-	-	-	-
Total C. Other Costs	10,000	10,000	10,000	-	-	-	-	20,000	50,000
Total A + B + C	110,870	91,000	90,000	32,400	240,000	217,885	98,050	100,800	981,005
D. General Operating Services (4% of A + B + C)	4,435	3,642	3,600	1,296	9,600	8,715	3,922	4,028	39,238
Operating Budget	115,305	94,642	93,600	33,696	249,600	226,600	101,972	104,828	1,020,243
E. Project Servicing Cost (6% of Operating Budget - excluding FAO contribution on Maintenance Function)	6,915	5,679	5,620	2,024	14,980	13,595	6,116	6,292	61,221
Totals	122,220	100,321	99,220	35,720	264,580	240,195	108,088	111,120	1,081,463

ADDENDUM 1A

DIRECT DONOR SUPPORT TO CORE IMPLEMENTING FUNCTIONS TO BE FUNDED BY CONTRACTING PARTIES UNDER THE SPECIAL FUND FOR AGREED PURPOSES

<i>Activity</i>	<i>Amount in USD</i>
Ad Hoc Committee on the Multilateral System and the SMTA	41,000

ADDENDUM 1B

POSSIBLE DONOR-FUNDED SUPPORTING PROJECTS FOR WHICH FUNDING WILL BE SOUGHT

The Benefit-sharing Support Programme of the Treaty	2,000,000
Harmonious implementation of the Treaty, and the CBD and its Nagoya Protocol	750,000
Outreach, Awareness-raising and Promotion of the Treaty	950,000
The Joint Capacity-Building Programme	1,000,000 – 1,500,000
Training Programme on the Treaty	800,000
The Global Information System on Plant Genetic Resources for Food and Agriculture under Article 17 of the Treaty	600,000
Conservation and Sustainable Use of Plant Genetic Resources for Food and Agriculture	750,000

ANNEX 2

INDICATIVE SCALE OF CONTRIBUTIONS FOR THE CALENDAR YEARS 2014-2015*(2012-13 scale is shown for comparison purposes)*

Contracting Party	Scale¹ 2014-15	Scale² 2012-13
Afghanistan	0.008%	0.007%
Albania	0.015%	0.018%
Algeria	0.211%	0.232%
Angola	0.015%	0.018%
Armenia	0.011%	0.009%
Australia	3.188%	3.492%
Austria	1.227%	1.537%
Bangladesh	0.015%	0.018%
Belgium	1.534%	1.942%
Benin	0.005%	0.005%
Bhutan	0.001%	0.001%
Brazil	4.510%	2.911%
Bulgaria	0.072%	0.068%
Burkina Faso	0.005%	0.005%
Burundi	0.001%	0.001%
Cambodia	0.006%	0.005%
Cameroon	0.018%	0.020%
Canada	4.588%	5.794%
Central African Republic	0.001%	0.001%
Chad	0.003%	0.004%
Congo, Republic of	0.008%	0.005%
Cook Islands	0.001%	0.001%
Costa Rica	0.058%	0.061%
Côte d'Ivoire	0.017%	0.018%
Croatia	0.194%	0.176%
Cuba	0.106%	0.128%
Cyprus	0.072%	0.083%
Czech Republic	0.593%	0.631%
Democratic People's Republic of Korea	0.009%	0.013%
Democratic Republic of the Congo	0.005%	0.005%
Denmark	1.038%	1.331%
Djibouti	0.001%	0.001%
Ecuador	0.068%	0.072%
Egypt	0.206%	0.171%

¹ Indicative Scale of Contributions for 2014-15 based on the UN Scale of Assessments for 2013-15, as established by General Assembly Resolution 67/238 adopted on 21 December 2012

² Indicative Scale of Contributions for 2012-13 based on the UN Scale of Assessments 2010-12

Contracting Party	Scale ¹ 2014-15	Scale ² 2012-13
El Salvador	0.025%	0.034%
Eritrea	0.001%	0.001%
Estonia	0.061%	0.072%
Ethiopia	0.015%	0.014%
Fiji	0.005%	0.007%
Finland	0.798%	1.023%
France	8.599%	11.063%
Gabon	0.031%	0.025%
Germany	10.979%	14.487%
Ghana	0.022%	0.011%
Greece	0.981%	1.248%
Guatemala	0.041%	0.050%
Guinea	0.001%	0.004%
Guinea-Bissau	0.001%	0.001%
Honduras	0.012%	0.014%
Hungary	0.409%	0.525%
Iceland	0.041%	0.076%
India	1.024%	0.966%
Indonesia	0.532%	0.430%
Iran, Islamic Republic of	0.547%	0.421%
Ireland	0.643%	0.899%
Italy	6.839%	9.033%
Jamaica	0.017%	0.025%
Japan	16.654%	-
Jordan	0.034%	0.025%
Kenya	0.020%	0.022%
Kiribati	0.001%	0.001%
Kuwait	0.420%	0.475%
Kyrgyz Republic	0.003%	0.001%
Lao People's Democratic Republic	0.003%	0.001%
Latvia	0.072%	0.068%
Lebanon	0.065%	0.059%
Lesotho	0.001%	0.001%
Liberia	0.001%	0.001%
Libya	0.218%	0.234%
Lithuania	0.112%	0.117%
Luxembourg	0.125%	0.164%
Madagascar	0.005%	0.005%
Malawi	0.003%	0.001%
Malaysia	0.432%	0.457%
Maldives	0.001%	0.001%
Mali	0.006%	0.005%
Mauritania	0.003%	0.001%
Mauritius	0.020%	0.020%

Contracting Party	Scale ¹ 2014-15	Scale ² 2012-13
Montenegro	0.008%	0.007%
Morocco	0.095%	0.104%
Myanmar	0.015%	0.011%
Namibia	0.015%	0.014%
Nepal	0.009%	0.011%
Netherlands	2.542%	3.352%
Nicaragua	0.005%	0.005%
Niger	0.003%	0.004%
Norway	1.308%	1.573%
Oman	0.157%	0.156%
Pakistan	0.131%	0.149%
Palau	0.001%	0.001%
Panama	0.040%	0.040%
Paraguay	0.015%	0.013%
Peru	0.180%	0.164%
Philippines	0.237%	0.164%
Poland	1.416%	1.496%
Portugal	0.729%	0.924%
Qatar	0.321%	0.245%
Republic of Korea	3.065%	4.084%
Romania	0.347%	0.320%
Rwanda	0.003%	0.001%
Saint Lucia	0.001%	0.001%
Samoa	0.001%	0.001%
Sao Tome and Principe	0.001%	0.001%
Saudi Arabia	1.328%	1.500%
Senegal	0.009%	0.011%
Serbia	0.061%	-
Seychelles	0.001%	0.004%
Sierra Leone	0.001%	0.001%
Slovakia	0.263%	0.257%
Slovenia	0.154%	0.187%
Spain	4.570%	5.740%
Sri Lanka	0.038%	-
Sudan	0.015%	0.018%
Swaziland	0.005%	-
Sweden	1.476%	1.922%
Switzerland	1.609%	2.041%
Syrian Arab Republic	0.055%	0.045%
Togo	0.001%	0.001%
Trinidad and Tobago	0.068%	0.079%
Tunisia	0.055%	0.054%
Turkey	2.041%	1.115%
Uganda	0.009%	0.011%

Contracting Party	Scale ¹ 2014-15	Scale ² 2012-13
United Arab Emirates	0.915%	0.707%
United Kingdom	7.963%	11.933%
United Republic of Tanzania	0.014%	0.014%
Uruguay	0.080%	0.049%
Venezuela	0.964%	0.568%
Yemen	0.015%	0.018%
Zambia	0.009%	0.007%
Zimbabwe	0.003%	0.005%
	100.000%	100.00%

ANNEX 3

2014-2015 SECRETARIAT STAFFING TABLE

Professional:

D1
P5
P4 (4)
P3 (2)

General Service:

G5
G4 (2)
G3