

Project Evaluation Series

**Evaluation of the project
“Increasing smallholder productivity
and profitability”**

Project code: GCP/KEN/082/USA

Annex 4. Evaluation matrix

EVALUATION CRITERIA: RELEVANCE**QUESTION: Was the project design appropriate for delivering the expected outcome of “Improved livelihoods through enhanced productivity, market linkages and better nutrition”?**

SPECIFIC QUESTIONS	TOOLS	INFORMANTS	INDICATORS
<p>Was the project design congruent with the USAID operational program strategies, Kenya national priorities and FAO Country Programing Framework?</p> <p>To what extent was the technical support provided by FAO relevant to Kenya, considering the countries’ capacities and needs?</p> <p>To what extent were the approaches adopted by the project relevant to the context and the needs of beneficiaries?</p> <p>To what extent were FAO’s comparative advantage and existing complementarities with other partners considered in the project design?</p> <p>To what extent were gender equality considerations reflected in project design to address the needs, priorities and constraints of both women and men?</p> <p>What was the targeting approach applied to ensure a focus on the nutritionally vulnerable?</p> <p>Was there any further prioritization to identify focus areas?</p> <p>Were specific-target groups selected for the different interventions?</p> <p>What were the challenges encountered to focus on areas where support would have been most relevant?</p>	<p>Group Interview Question Guide</p> <p>KII Question Guide (Staff)</p> <p>KII Question Guide (Other)</p>	<p>FAO Staff</p> <p>USAID Staff</p> <p>Government Respondents</p> <p>Project Beneficiaries</p> <p>Project Documents</p>	<p>Project alignment with national country priorities</p> <p>Project alignment with FAO Country strategy</p> <p>Programing Framework</p> <p>Number of target groups from WFP’s list of food dependent families identified</p>

EVALUATION CRITERIA: EFFECTIVENESS**QUESTION: To what extent did the project contribute to “Improved livelihoods through enhanced productivity, market linkages and better nutrition”?**

SPECIFIC QUESTIONS	TOOLS	INFORMANTS	INDICATORS
<p>To what extent and how has the project contributed to improved smallholder productive capacity on agronomy, water use and management?</p> <p>To what extent and how has the project contributed to improved and equitable smallholder capacity on agribusiness management?</p>	<p>IDI Question Guide</p> <p>Case Documentation Question Guide</p>	<p>All Key Stakeholders</p> <p>Project Beneficiaries</p> <p>Project Documents</p>	<p>Number of milestones reached or surpassed</p>

<p>To what extent and how has the project contributed to improved and inclusive farmers' capacity to establish diverse, high nutritional value crop gardens?</p> <p>To what extent and how has the project contributed to improved access by women and children to diverse and quality foods?</p> <p>To what extent and how has the project contributed to improved trade and market linkages that integrate gender considerations (Output 1.2.2.4)</p> <p>To what extent have Counties' stakeholders (including government, non-governmental Organizations (NGOs), the private sector and lead farmers) increased their capacity to provide training and support for beneficiaries in targeted locations, so that they can increase and maintain productivity, transition to market and improve nutrition?</p> <p>Which implementation strategies were more effective to achieve the project's results? Which implementation strategies were not effective? Why?</p> <p>Which are constraints and challenges still impeding ASAL's smallholders to produce, market and consume their own crops? (How) do these constraints and challenges particularly affect women, youth and other vulnerable groups?</p> <p>What outputs were achieved with regard to nutrition beyond the TIPS trial location in two locations of one county?</p> <p>Has it been measured only in focus areas or are results applicable across the counties? And at a representative level within the counties? Are there any comparisons available between targeted and non-targeted locations?</p> <p>What interventions were implemented under output 2 and 3? Where they implemented across all counties, full coverage, or only in selected location?</p> <p>What was the impact on dietary diversity (on households, women, children)?</p> <p>Were there lessons learnt or any guidance arising from the TIPS implementation in one county, and transfers of knowledge and practices to other locations?</p> <p>What were the main perceptions on the results of the TIPS and the improved practices?</p>	<p>FGD Question Guide (Beneficiary)</p> <p>KII Question Guide (Staff)</p> <p>KII Question Guide (Other)</p> <p>Stakeholder Workshop Session guide</p>		<p>Degree of achievement of project indicators as per reports</p> <p>Degree of adoption of new behavior/practices by stakeholders (e.g. diversification of crops, of food intake, irrigation; management etc)</p> <p>Degree of access to services and opportunities (e.g. finance, extension support, etc).</p> <p>Number and quality of services offered by private sector and government</p> <p>% coverage per activity</p> <p>Number of households that improved their dietary practices</p> <p>Number of smallholder households with established vegetable gardens and small livestock.</p> <p>Number of farmers (both men and women) trained on food safety, preparation, preservation and storage practices.</p> <p>Number of male and female farmers with access to diverse, drought tolerant traditional seeds.</p> <p>Number of households that know and apply food safety practices</p> <p>Number of mothers/caregivers that regularly prepare one or more</p>
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<p>Has food safety been focused on home-based processing, food hygiene and local marketing, or has there been any linkage to food safety and quality control institutions, support to develop standards and support the implementation of regulations, market control, best practices?</p> <p>What was the impact of the value chain for improved nutrition, and how has that translated into improved practices?</p>			<p>improved complementary feeding recipes.</p> <p>Number of households with improved dietary diversity.</p>
<p>EVALUATION CRITERIA: EFFICIENCY QUESTION: To what extent were the management arrangements appropriate to deliver efficiently on the programme?</p>			
<p>SPECIFIC QUESTIONS</p>	<p>TOOLS</p>	<p>INFORMANTS</p>	<p>INDICATORS</p>
<p>To what extent did FAO deliver on project identification, concept preparation, appraisal, preparation, approval and start-up, oversight and supervision? How well risks were identified and managed?</p> <p>To what extent were the management arrangements and governance structure of the project adequate to deliver the attended results in an efficient manner?</p> <p>To what extent was FAO effective in coordinating roles and responsibilities with the other partners involved in the ISPP Project?</p> <p>To what extent has the management been able to adapt to changing conditions to improve the efficiency of project implementation?</p> <p>To what extent has the collaboration, learning and adaptation strategy of the project was able to contribute to project results?</p> <p>Was the project monitoring information used to make timely decisions and foster learning during project implementation?</p> <p>What expertise had been mobilized to support the design and implementation of nutrition interventions (e.g. HQ expert pool, RO, partners etc.?).</p> <p>Were there any partners providing nutrition expertise?</p> <p>i) Partners expertise leveraged to plan or start the implementation.</p>	<p>Group Interview Question Guide</p> <p>KII Question Guide (Staff)</p> <p>KII Question Guide (Other)</p> <p>Stakeholder Workshop Session guide</p> <p>IDI Question Guide</p>	<p>Financial Reports</p> <p>Annual and Quarterly Reports</p> <p>Project Staff</p> <p>FAO Staff</p> <p>USAID Staff</p> <p>Government Respondents</p>	<p>Absorption rate of allocated (estimated and/or available) funding</p>

ii) Partners expertise leveraged to provide technical assistance, supervise or monitor the implementation?			
iii) Any partnerships in nutrition promotion?			
iv) Any good example or convergence or complementarity?			
EVALUATION CRITERIA: SUSTAINABILITY			
QUESTION: To what extent did the project contribute to “Improved livelihoods through enhanced productivity, market linkages and better nutrition”			
SPECIFIC QUESTIONS	TOOLS	INFORMANTS	INDICATORS
<p>What are the prospects for the GoK, in national and county levels, to sustain the results achieved by the project after the completion of FAO’s assistance, from the perspectives of capacity, interest and resources?</p> <p>To what extent are the Project’s beneficiaries able to maintain their increased production, management/marketing and improved nutritional habits/practices after the completion of the Project?</p> <p>What are bottlenecks which could impede the sustainability of the project’s results?</p> <p>What opportunities has the project used to share and sensitize district level stakeholders on the importance of food and nutrition sensitive value chains, opportunities and importance to improve nutrition?</p> <p>Has there been any exit or phase-over strategy been discussed with governmental counterparts? Any milestones established or interest for continuation or expansion is being indicated, for the whole packaged to selected actions?</p>	<p>KII Question Guide (Staff)</p> <p>KII Question Guide (Other)</p> <p>Stakeholder Workshop Session guide</p> <p>IDI Question Guide</p>	<p>Project Staff</p> <p>Government Respondents</p> <p>Project Beneficiaries</p>	<p>Qualitative and contextual data</p>

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