

September 2013

	منظمة الأغذية والزراعة للأمم المتحدة	联合国 粮食及 农业组织	Food and Agriculture Organization of the United Nations	Organisation des Nations Unies pour l'alimentation et l'agriculture	Продовольственная и сельскохозяйственная организация Объединенных Наций	Organización de las Naciones Unidas para la Alimentación y la Agricultura
---	--	--------------------	---	---	---	--

FINANCE COMMITTEE

Hundred and Fifty-first Session

Rome, 11 - 15 November 2013

Status of Outstanding Recommendations of the Finance Committee

Queries on the substantive content of this document may be addressed to :

Mr David McSherry

Secretary, Finance Committee

Tel: +3906 5705 3719

*This document can be accessed using the Quick Response Code on this page;
a FAO initiative to minimize its environmental impact and promote greener communications.
Other documents can be consulted at www.fao.org*



mi518e

EXECUTIVE SUMMARY

- This document presents the updated status of outstanding recommendations made by the Committee at its previous sessions.

GUIDANCE SOUGHT FROM THE FINANCE COMMITTEE

- The Committee is invited to take note of the information presented in this document.

Recommendation	Status
I. FINANCIAL POSITION	
Report of the 147th Session of the Finance Committee – document CL 145/7	
The Committee <u>requested</u> that the Secretariat prepare a report on the status of the Organization’s considerations regarding an accountability and internal control framework for its next regular session - paragraph 9	Report to be presented to the November 2013 Session of the FC (item 20).
Report of the 148th Session of the Finance Committee – document CL 146/3	
The Committee <u>supported</u> the recommendation of the Conference at its 33 rd Session, adjusted for the new calendar of sessions of the Governing Bodies, that requests for restoration of voting rights be referred to the Director-General for submission to the Spring Session of the Finance Committee in Conference years; and <u>requested</u> the CCLM to examine the legal aspects of this matter, including whether this would require any changes to the Basic Texts of the Organization. - paragraph 10	Item to be considered at the October 2013 Session of the CCLM.
The Committee <u>requested</u> that the Secretariat present alternative options to address the funding gap of the ASMC liability, including proposals which would lead to reductions in the liability, and also provide additional data related to the issue, including in particular the composition of plan members and plan benefits for consideration by the Committee at its next regular session. - paragraph 12	Information to be presented to the November 2013 Session of the FC (item 5).
The Committee (on <i>Report on Investments 2012</i>) <u>requested</u> the Secretariat to consider the feasibility of increasing its investment risk level in light of the improved investment climate. - paragraph 15	Information to be presented to the May 2014 Session of the FC within the Report on Investments 2013.
II. BUDGETARY MATTERS	
Report of the 138th Session of the Finance Committee – document CL 141/9	
The Committee (on <i>Measures to Improve Implementation of the Organization's Support Cost Policy</i>) <u>looked forward</u> to reviewing proposals at a future session to mitigate the risk associated with Trust Fund project deficits and losses - paragraph 29	Information to be presented to the November 2013 Session of the FC (item 8).

Recommendation	Status
Report of the 148th Session of the Finance Committee – document CL 146/3	
<p>The Committee (on the <i>Final Management Report on IPA Implementation and the FAO Reform Process</i>) <u>requested</u> that a report on 2013 IPA expenditure be presented to the autumn session of the Finance Committee.</p> <p>- paragraph 17</p>	<p>Report to be presented to the November 2013 Session of the FC (item 7).</p>
<p>The Committee (on the Mid-Term Review Synthesis Report for 2012):</p> <ul style="list-style-type: none"> • <u>looked forward</u> to future reports which should include improved information on measuring financial performance in the delivery of Organizational Results as the results-based budgeting model is further elaborated; and • <u>requested</u> that reporting on performance in the Asia and the Pacific Regional Office clearly delineate performance in the South West Pacific Subregional Office. <p>- paragraph 19</p>	<p>Additional information requested to be addressed in the MTP Report for 2014 to be presented to the Spring 2015 Session of the FC.</p>
<p>The Committee (on the <i>Annual Report on Budgetary Performance and Programme and Budgetary Transfers for the 2012-13 Biennium</i>) <u>requested</u> that future reports include an indication of actual expenditure to date by budgetary chapter.</p> <p>- paragraph 21</p>	<p>Information to be presented to the November 2013 Session of the FC (item 7).</p>
<p>The Committee (on the Reviewed Strategic Framework, Medium Term Plan 2014-17 and Programme of Work and Budget 2014-15) <u>requested</u> that a comprehensive review take place at its October 2013 Session concerning:</p> <ul style="list-style-type: none"> • the replenishment of the Working Capital Fund and the Special Reserve Account; and • options to address the funding gap of the ASMC and TPF liabilities <p>- paragraph 26</p>	<p>Information to be presented to the November 2013 Session of the FC (item 3 for replenishment of the Working Capital Fund and Special Reserve Account; item 5 for funding of the ASMC and TPF liabilities).</p>

Recommendation	Status
III. HUMAN RESOURCES	
Report of the 148th Session of the Finance Committee – document CL 146/3	
<p>The Committee:</p> <ul style="list-style-type: none"> • <u>welcomed</u> the provision of a comprehensive, up-to-date HR Data Pack at future Sessions, together with clear explanation about current total staffing numbers in relation to budgeted PWB posts; • <u>requested</u> prompt information and greater transparency with regard to vacancy announcements and appointments; • <u>requested</u> that the future complementary Gender Policy be examined by the Finance Committee as soon as it is finalized; • <u>requested</u> further information on plans to establish a strategic workforce planning capability in FAO; and • <u>requested</u> further information and analysis on the appropriate GS:P ratio. <p>- paragraph 28</p>	<p>Progress Report to be presented to the November 2013 Session of the FC (item 11).</p>
IV. ADMINISTRATIVE AND INFORMATION SYSTEMS FRAMEWORK	
Report of the 143rd Session of the Finance Committee – document CL 144/12	
<p>The Committee (<i>on the Progress Report on the Implementation of an Enterprise Risk Management Framework</i>) <u>requested</u> the Secretariat to accelerate progress in order to ensure complete implementation of ERM by end-2013 as scheduled</p> <p>- paragraph 22</p>	<p>Update will be presented to the November 2013 Session of the FC within item on an Accountability and Internal Control Framework (item 20).</p>
Report of the 148th Session of the Finance Committee – document CL 146/3	
<p>The Committee (on the Progress Report on the Global Resource Management System):</p> <ul style="list-style-type: none"> • <u>requested</u> the Secretariat to continue to monitor the capacity gaps and the risks identified by the Office of the Inspector General; • <u>requested</u> the Secretariat to also provide further details of the post implementation arrangements and costs, including in the Capital Expenditure chapter presented in the proposed 	<p>Progress Report to be presented to the November 2013 Session of the FC (item 13).</p>

Recommendation	Status
Programme of Work and Budget 2014-15. - paragraph 32	
V. OVERSIGHT	
Report of the 147th Session of the Finance Committee – document CL 145/7	
The Committee (on <i>Progress on the Financial Disclosure Programme</i>) <u>requested</u> that progress reports on the implementation of the Financial Disclosure Programme be presented at future sessions of the Committee. - paragraph 52	Progress report to be presented to the November 2013 Session of the FC (item 18).
Report of the 148th Session of the Finance Committee – document CL 146/3	
The Committee (on the Annual report of the Ethics Committee): <ul style="list-style-type: none"> • <u>requested</u> that when presenting future Annual reports, the report of the Ethics Officer should also be made available to the Finance Committee and noted that the Secretariat would examine this request in light of the practice of other Organizations of the United Nations System. • <u>requested</u> that the next annual report of the Ethics Committee include information on the experience gained during the first year of implementation of the Financial Disclosure Programme - paragraph 38	Additional information requested to be addressed in the next Annual Report of the Ethics Committee to be presented to the Spring 2014 Session of the FC.
The Committee (on the <i>Annual report of the Inspector General</i>) <u>requested</u> that for future presentations of the OIG annual report, management provide at the same time a status report on actions taken to address long outstanding high risk recommendations - paragraph 40	Additional information requested to be addressed in the next Annual Report of the Inspector General to be presented to the Spring 2014 Session of the FC.

Recommendation	Status
<p>The Committee (on the <i>FAO Audit Committee - 2012 Annual Report to the Director-General</i>) <u>requested</u> the Secretariat to provide an update to the Committee on the status of actions on the FAO Audit Committee recommendations to its next regular session in Autumn 2013.</p> <p>- paragraph 42</p>	<p>Update to be presented to the November 2013 Session of the FC (item 16).</p>
<p>The Committee (on the <i>Disclosure of Internal Audit Reports</i>) <u>looked forward</u> to receiving, in the 2013 annual report of the Office of the Inspector General, an update on the implementation of the current FAO internal audit report disclosure policy.</p> <p>- paragraph 44</p>	<p>Information requested to be addressed in the next Annual Report of the Inspector General to be presented to the Spring 2014 Session of the FC.</p>
<p>The Committee (on the Review of Article XIV Statutory Bodies with a view to allowing them to exercise greater financial and administrative authority while remaining within the framework of FAO) noted that Management was in the process of implementing the recommendations contained in Appendix II to document FC 148/21, and generally reflected in paragraph 27 of that document, which were within Management's authority, and <u>requested</u> a report on the matter at a future session of the Committee, possibly as part of the IPA follow-up report by the Secretariat.</p> <p>- paragraph 50</p>	<p>Report to be presented to the Autumn 2014 Session of the FC.</p>
<p>The Committee (on the Progress Report on Implementation of the External Auditor's Recommendations) <u>looked forward</u> to receiving an updated Progress Report, including updated comments of the External Auditor, at its next regular session in 2013</p> <p>- paragraph 52</p>	<p>Progress report to be presented to the November 2013 Session of the FC (item 14).</p>
OTHER MATTERS	
Report of the 143rd Session of the Finance Committee – document CL 144/12	
<p>The Committee (on its Approach to the Review of Reports of the Joint Inspection Unit) <u>requested</u> the Secretariat to prepare a summary report on the status of implementation of JIU recommendations on matters of relevance to the mandate of the Committee and that this should be included as a standing item on the Finance Committee agenda - paragraph 42</p>	<p>Report to be presented to the November 2013 Session of the FC (item 21).</p>

