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	منظمة الأغذية والزراعة للأمم المتحدة	联合国 粮食及 农业组织	Food and Agriculture Organization of the United Nations	Organisation des Nations Unies pour l'alimentation et l'agriculture	Продовольственная и сельскохозяйственная организация Объединенных Наций	Organización de las Naciones Unidas para la Alimentación y la Agricultura
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FINANCE COMMITTEE

Hundred and Forty-third Session

Rome, 7 - 11 May 2012

Status of Outstanding Recommendations of the Finance Committee

Queries on the substantive content of this document may be addressed to :

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EXECUTIVE SUMMARY

- At its 140th Session in October 2011, the Finance Committee received a consolidated document outlining the status of its previous recommendations and requested that an updated version of the document be prepared for consideration at the start of each regular session.
- This document presents the updated status of outstanding recommendations made by the Committee at its previous sessions and is presented in a format which mirrors the Committee's approved Multi-Year Programme of Work for 2010-13.

GUIDANCE SOUGHT FROM THE FINANCE COMMITTEE

- The Committee is invited to take note of the information presented in this document.

Recommendation	Status
I. FINANCIAL POSITION	
Report of the 140th Session of the Finance Committee – document CL 143/8	
The Committee <u>looked forward</u> to receiving at its May 2012 session the annual document on staff-related liabilities, based on the latest actuarial valuation, and including alternative long-term strategies and options for funding staff-related liabilities, considering also measures adopted by other UN agencies - paragraph 10	In progress – information to be provided at the 143rd Session (document FC 143/4)
The Committee <u>requested</u> that information on the Organization’s investment policies be included in the annual Report on Investments to be reviewed at its session in May 2012 - paragraph 10	In progress – information to be provided at the 143rd Session (document FC 143/5)
The Committee <u>requested</u> the Secretariat to review possible measures to expedite liquidation of arrears and to report thereon to its Spring session in 2012 - paragraph 12	In progress – information to be provided at the 143rd Session (document FC 143/3)
The Committee <u>requested</u> that the Secretariat undertake an analysis of the political and financial effectiveness of the incentive scheme to encourage prompt payment of contributions during the period 2009 to 2013 and present the results of this analysis to the Spring session of the Committee in 2014. - paragraph 12	Report to be presented at Spring Session in 2014.
II. BUDGETARY MATTERS	
Report of the 137th Session of the Finance Committee – document CL 141/6	
The Committee looked forward to receiving at a future session information on the (budgetary) impact of the revised General Service methodology being considered by the ICSC – paragraph 32	In progress – information to be provided to the Committee after ICSC revision
Report of the 138th Session of the Finance Committee – document CL 141/9	
The Committee <u>requested</u> the Secretariat to: <ul style="list-style-type: none"> • <u>take account</u> of lessons learned with the first Mid-Term Review (MTR) process so as to improve the format and content of the next MTR Synthesis Report, including financial information on expenditure incurred by budgetary Chapter; and • <u>review</u> and take into account best practices in implementation reporting by other UN agencies, 	In progress – information to be provided in next MTR Synthesis Report in Autumn 2012

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<p>including the level of detail of financial information.</p> <p>- paragraph 25</p>	
<p>The Committee (<i>on Measures to Improve Implementation of the Organization's Support Cost Policy</i>) <u>looked forward</u> to reviewing proposals at a future session to mitigate the risk associated with Trust Fund project deficits and losses</p> <p>- paragraph 29</p>	<p>In progress – proposals to be presented at a future session of the Committee during considering of the Support Cost Policy.</p>
Report of the 140th Session of the Finance Committee – document CL 143/8	
<p>The Committee <u>looked forward</u> to the final 2010-11 budgetary performance report at its May 2012 session - paragraph 18</p>	<p>In progress – report to be presented at the 143rd Session (document FC 143/7)</p>
<p>The Committee (<i>on the Annual Report on the Special Fund for Emergency and Rehabilitation Activities</i>) <u>agreed</u> to the proposal by the External Auditor for him to follow-up on his 2008-09 Long Form Report recommendation/ relating to the formulation of guidelines for the SFERA, and to report thereon to the Committee at its session in May 2012</p>	<p>In progress – information to be provided at the 143rd Session with the updated Progress Report on Implementation of the External Auditor's Recommendations (document FC 143/16)</p>
Report of the 141st Session of the Finance Committee – document CL 143/12	
<p>The Committee:</p> <ul style="list-style-type: none"> • <u>looked forward</u> to receiving a full report on IPA expenditure for 2011 in the next progress report on IPA implementation • <u>encouraged</u> the Secretariat to continue to place emphasis on IPA risk management and change management so as to ensure realization of the expected impact and benefits of FAO reform <p>- paragraph 25</p>	<p>In progress – report to be presented at the 143rd Session (document CL 144/10)</p>
III. HUMAN RESOURCES	
Report of the 140th Session of the Finance Committee – document CL 143/8	
<p>The Committee:</p> <ul style="list-style-type: none"> • <u>noted</u> that measures being taken to develop a rewards, recognition and sanctions scheme that would be underpinned by PEMS had been deferred in order to take into consideration the work 	<p>In progress – status update to be provided at the 143rd Session (document FC 143/8)</p>

Recommendation	Status
<p>being undertaken by the International Civil Service Commission in this respect, and <u>urged</u> the Secretariat to continue this work and report thereon at its Spring session in 2012</p> <ul style="list-style-type: none"> • <u>reiterated</u> the importance of being regularly apprised of the implementation of HR initiatives and in response to the Immediate Plan of Action. In this respect, the Committee <u>requested</u> that an update on the delivery of the on-going initiatives and activities elicited in the 2010-11 Action Plan be incorporated in the 2012-13 HR Strategic Framework and Action Plan to be presented at its session in May 2012 <p>- paragraph 23</p>	
IV. ADMINISTRATIVE AND INFORMATION SYSTEMS FRAMEWORK	
Report of the 138th Session of the Finance Committee – document CL 141/9	
<p>The Committee (<i>on the Progress Report on the Implementation of an Enterprise Risk Management Framework</i>) <u>looked forward</u> to receiving:</p> <ul style="list-style-type: none"> - a report on the implementation of institutional Enterprise Risk Management in FAO; and - the proposed format for reporting to the Governing Bodies <p>- paragraph 54</p>	<p>In progress – status update to be provided at the 143rd Session (document FC 143/10)</p>
Report of the 140th Session of the Finance Committee – document CL 143/8	
<p>The Committee (<i>on the Progress Report on the Implementation of the Oracle R12/IPSAS Project</i>):</p> <ul style="list-style-type: none"> • <u>noted</u> the key risks to the project presented in the document, in particular those relating to change management in Decentralized Offices, and <u>directed</u> the project to proceed with the mitigating actions identified to address these risks • <u>requested</u> the Secretariat to include in its next report to the Committee more information on the benefits of the Oracle R12/IPSAS effort, and how these contributed to the strategic vision of the Organization • <u>requested</u> the Secretariat to provide details of cost efficiencies and value for money to be delivered by the project at its session in May 2012 <p>- paragraph 27</p>	<p>In progress – status update to be provided at the 143rd Session (document FC 143/9)</p>

Recommendation	Status
<p>The Committee <u>agreed</u> that the selection procedure and criteria for the nomination of the external candidates to the Ethics Committee be revisited, as part of a joint exercise with the CCLM</p> <p>- paragraph 31</p>	<p>In progress – status update to be provided at the 143rd Session (document FC 143/11)</p>
<p>The Committee:</p> <ul style="list-style-type: none"> • <u>encouraged</u> the Secretariat to finalize the pilot exercise by January 2012, with further improvement in the questionnaire, in order to launch the Financial Disclosure Programme and present it for review at a future session of the Committee <p>- paragraph 33</p>	<p>In progress – status update to be provided at the Autumn Session 2012</p>
V. OVERSIGHT	
Report of the 138th Session of the Finance Committee – document CL 141/9	
<p>The Committee (<i>on the Annual Activity Report of the Office of the Inspector General</i>) <u>requested</u> updates at future sessions on progress made by Management to address the areas for attention highlighted in the report - paragraph 73</p>	<p>In progress – status update to be provided at the 143rd Session (document FC 143/13)</p>
<p>The Committee (<i>on the Annual Report of the FAO Audit Committee to the Director-General</i>):</p> <ul style="list-style-type: none"> • <u>encouraged</u> Management to continue its efforts with regard to Enterprise Risk Management in the Organization and implementing past internal audit recommendations; and • <u>encouraged</u> the Audit Committee to ensure adequate coordination with the External Auditor <p>- paragraph 75</p>	<p>In progress – status update to be provided at the 143rd Session (document FC 143/14)</p>
<p>The Committee (<i>on the Disclosure of Internal Audit Reports</i>) <u>endorsed</u> the policy, taking into account that it would be reviewed in 2012 - paragraph 78</p>	<p>In progress – information to be provided at the Autumn Session 2012</p>
<p>The Committee (<i>on the Whistleblower Protection Policy</i>) <u>noted</u> that the policy would be reviewed at the end of 2011, and the Committee would be able to make recommendations before it is updated - paragraph 83.</p>	<p>In progress – information to be provided at the Autumn Session 2012</p>
Report of the 140th Session of the Finance Committee – document CL 143/8	
<p>The Committee (<i>on the Progress Report on Implementation of the External Auditors</i>)</p>	<p>In progress – status update to be provided at the</p>

Recommendation	Status
<p><i>Recommendations</i>):</p> <ul style="list-style-type: none"> • <u>requested</u> that a summary table of the status of recommendations and target dates for implementation be included in future versions of the report • <u>looked forward</u> to an updated progress report at its next regular session • <u>requested</u> a report on Host Country Agreements for its session in May 2012 <p>- paragraph 37</p>	143rd Session (document FC 143/16)
VI. PLANNING OF FINANCIAL AND BUDGETARY RESOURCES	
Report of the 140th Session of the Finance Committee – document CL 143/8	
<p>The Committee <u>approved</u> the proposal to reinforce the staffing of the Treasury function in Finance Division through the addition of one professional officer at the P-4 grade, charging the related costs to investment income, and <u>looked forward</u> to receiving the report of implementation of this decision at a subsequent session</p> <p>- paragraph 21</p>	Report to be presented to a future session following implementation of the new staffing structure.
VII. IMPROVED METHODS OF WORK AND EFFICIENCY OF THE FINANCE COMMITTEE	
Report of the 140th Session of the Finance Committee – document CL 143/8	
<p>The Committee resolved to:</p> <ul style="list-style-type: none"> • <u>enhance</u> its MYPOW by the addition of a road map outlining when specific issues would be considered by the Committee during the period in question. The road map would contain topical matters, including those discussed at the 140th Session • <u>consolidate</u> its FAO and WFP MYPOWs into a single document • <u>reconsider</u> its revised MYPOW at the May 2012 session of the Committee <p>- paragraph 38</p>	In progress – updated document to be presented at the 143rd Session (document FC 143/17)
<p>The Committee <u>requested</u> an updated version of the document (<i>Status of Outstanding Recommendations of the Finance Committee</i>) be prepared for consideration at the start of each regular session - paragraph 39</p>	In progress – status update to be provided at the 143rd Session (document FC 143/18)

Recommendation	Status
<p>The Committee:</p> <ul style="list-style-type: none"> • <u>requested</u> the Secretariat to arrange an annual informal seminar, with focus on historical and technical information relating to key topics which fall under the mandate of the Committee • noting information provided by the Independent Chairperson of Council on modalities of field visits by Members of Council, <u>resolved</u> to consider similar visits in the future by Members of the Committee aimed at enhancing and enriching the Committee's discussions, and informing better its recommendations to Council • <u>agreed</u> to consider introduction of tools and mechanisms for informal, inter-sessional communication amongst members of the Committee <p>- paragraph 40</p>	<p>For consideration at the 143rd Session under discussion on working methods of the Committee.</p>
<p>Report of the 141st Session of the Finance Committee – document CL 143/12</p>	
<p>The Committee:</p> <ul style="list-style-type: none"> • <u>endorsed</u> in principle the proposal for either the Chairperson or the Vice-Chairperson attending Regional Conferences of FAO, provided they have a clearly defined role, and requested the Secretariat to comment on optimal modalities and cost implications of implementing the proposal • <u>supported</u> the idea for members of the Committee to participate in field visits relevant to the mandate of the Committee, and requested the Secretariat to comment on optimal modalities and cost implications of implementing the proposal. <p>- paragraph 30</p>	<p>For consideration at the 143rd Session under discussion of working methods of the Committee.</p>
<p>OTHER MATTERS</p>	
<p>Report of the 139th Session of the Finance Committee – document CL 143/6</p>	
<p>The Committee <u>requested</u> the Secretariat to share the status of follow-up action on the implementation of the recommendations relating to the (<i>Evaluation of FAO's Regional and Subregional Offices for the Near East</i>) which fall under the purview of the Committee at a future session – paragraph 8</p>	<p>In progress – information to be provided after consideration by Regional Conference for the Near East in 2012</p>