



**JOINT FAO/WHO FOOD STANDARDS PROGRAMME
CODEX ALIMENTARIUS COMMISSION
37th Session, CICG
Geneva, Switzerland, 14-18 July 2014**

FAO/WHO PROJECT AND FUND FOR ENHANCED PARTICIPATION IN CODEX

PART 1

Annual Report for 2013

A. INTRODUCTION

This report covers 2013 - the tenth calendar year of implementation of the FAO/WHO Project and Fund for Enhanced Participation in Codex (the Codex Trust Fund) and provides a summary of key technical, financial and operational aspects of the Codex Trust Fund activities in 2013. Further detailed information on the Codex Trust Fund activities and outcomes can be found on the Codex Trust Fund web site.¹

B. TECHNICAL SECTION

Background

The main objective of the Codex Trust Fund is to help Codex members that are developing countries or those with economies in transition, to enhance their level of effective participation in the development of global food safety and quality standards by the Codex Alimentarius Commission. As at 31 December 2013 Codex membership totaled 186 (185 member countries plus the European Union as a member organization). When the Trust Fund was launched in 2003 the Codex membership stood at 169 countries. The total number of countries who have ever been eligible for support from the Codex Trust Fund is 151.

The Codex Trust Fund is administered by WHO under the direction of a Consultative Group for the Trust Fund (CGTF) comprised of senior WHO and FAO staff. The terms of reference of the Consultative Group and membership as at 31 December 2013 appear in Annex A. The principles for determining the eligibility of member countries and basic criteria for applications as approved by the Codex Alimentarius Commission were outlined in the Third Progress Report (CX/EXEC 04/53/3). These principles remained in vigour for the cycle of applications for support in 2013 which began at the end of 2012.

There were 92 Codex member countries eligible for support from the Codex Trust Fund in 2013. Annex B gives the country groupings for 2013. For the calendar year 2013, and based on decisions of the Consultative Group at its 21st meeting held on 14th December 2012, available resources from the Codex Trust Fund offered support to countries to attend Codex meetings on the following basis:

¹ <http://www.who.int/foodsafety/codex/trustfund/en/>

Group 1a countries (<i>least developed</i>)	Five participations
Group 1b countries (<i>low income + low or medium human development</i>)	Five participations
Group 2 countries (<i>lower middle income + medium or high human development</i>)	Four participations
Group 3a countries (<i>upper middle income + medium human development</i>)	Two participations
Group 3b countries (<i>upper middle income + high human Development</i>)	Two participations
Group 4 (<i>LDCs² + SIDS³ who have graduated from regular support</i>)	Two participations

Support to Objective 1 – Widening participation in Codex

Supported participation in 2013

In response to the call for applications for support in 2013, 64 eligible countries submitted applications.

The 28 eligible countries who did not apply for support from the Codex Trust Fund in 2013 are as follows:

- *Group 1: Afghanistan, Chad, DPR Korea, Equatorial Guinea, Eritrea, Sao Tome and Principe, Somalia, and Tajikistan*
- *Group 2: Iraq, Nauru and Ukraine*
- *Group 3: Azerbaijan, Brazil, Gabon, Jordan, Namibia, Russian Federation, South Africa, Venezuela (Bolivarian Republic of)*
- *Group 4: Cambodia, Cook Islands, Ethiopia, Fiji, Guyana, Haiti, Saint Lucia, Samoa and Suriname*

Table 1 below gives the breakdown of countries in each of the four groupings that were eligible for support from the Codex Trust Fund, and the number of countries in each group that actually submitted an application.

Table 1 - 2013 Application rate by country groups

	Group 1	Group 2	Group 3	Group 4
No. of countries eligible	17	10	18	47
No. of countries applying for support	9	7	10	38
Group application rate	53%	70%	56%	81%

Table 2 below gives information on the participation rates of countries by Codex Region. Numbers of 2013 eligible countries supported denote countries that actually travelled. However, it should be noted that Codex Trust Fund resources for participation in meeting are not allocated by Codex region, but by country sub-grouping.

² Least Developed Countries according to official list of the United Nations

³ Small Island Developing States according to official list of the United Nations

Table 2 - 2013 supported meeting participation rate by Codex Region

Codex Region	No. of countries eligible	No. of eligible countries supported (actually travelled)	Supported participation rate %
Africa	39	24	62%
Asia	11	6	55%
Europe	11	6	55%
Latin America & the Caribbean	15	7	47%
Near East	6	4	67%
South West Pacific	10	3	30%
Total for 2013	92	50	54%

At the end of 2013 a total of 136 participants from 60 countries were supported to attend 12 Codex meetings, including two FAO/WHO Regional Coordinating Committees.⁴ Annex C lists the countries supported per meeting in 2013. As in previous years, the Codex meeting in which the largest number of supported delegates participated was the 36th session of the Codex Alimentarius Commission. 16 out of 126 participating countries were supported by the Codex Trust Fund (13%). The Codex meeting in which the smallest number of supported delegates participated was the 21st session of Codex Committee on Residues of Veterinary Drugs in Foods.

Participant reports

Under the policies and procedures of the Codex Trust Fund, delegates who have received support to participate in a Codex meeting are required to provide a report to the Trust Fund using an on-line reporting format institutionalized for use in 2009. One of the criteria for accepting country applications for support in 2013 was that all obligatory participant reports for the period August 2011 to July 2012 should have been submitted in the on-line system as part of new quality assurance measures of the application process introduced in 2012 to ensure compliance with reporting obligations. At the time of writing, reports due had been received from 125 participants (a compliance rate of 72%) for the relevant period. This represents a 13% decrease in the compliance rate when compared with 2012.

An analysis of reports received from participants for the entire calendar year 2011 is being finalized and will be made available on the Codex Trust Fund website. An analysis of reports from participants for the year 2012 will be undertaken in 2014.

Support to Objective 2 – Strengthening participation in Codex

FAO/WHO Codex training courses/workshops in 2013

In 2013 five Codex trainings were organized by FAO/WHO with support from the Trust Fund in three Codex regions. A total of 188 participants were supported by the Trust Fund to participate in these trainings. The different Codex trainings are listed below.

Codex African Region

- FAO/WHO Workshop on “National Food Control Systems”, Yaoundé, Cameroon, 28 January 2013
- FAO/WHO Workshop on “Practical Application of Risk Analysis Framework in countries of the West African Monetary Union – Course 3: Risk-based surveillance and control, Cotonou, Benin, 4-6 June 2013.
- FAO/WHO/SADC Workshop for Codex Contact Points of the SADC Member States on the “Implementation of Codex standards and Guidelines for Fresh Produce and Fish and Fishery Products”, Maputo, Mozambique, 27-29 November 2013.

⁴ CCAFRICA, CCNE

Codex Asian Region

- FAO/WHO Technical Workshop on “Chemical Risk Analysis in the Food Chain”, Beijing, China, 13-16 March 2013.

Codex European Region

- FAO/WHO Workshop on “Developing Capacity on Codex Work in Balkan Countries”, Zagreb, Croatia, 4-6 June 2013.

Codex capacity development activities that are planned to be undertaken by FAO and WHO in 2014 with support from the Codex Trust Fund are presented in the 18th Progress Report (see Part 2 of this document).

Building capacity for effective participation in Codex using partnering and linking more experienced with less experienced countries

As reported in the Codex Trust Fund Annual Report 2012⁵, FAO and WHO have in the past year continued to work with the lead countries/organizations⁶ of the seven practical examples that were developed to illustrate the establishment and implementation of microbiological criteria incorporating an approach that teamed up more experienced countries with less experienced countries⁷ to allow for knowledge exchange and capacity-building. To ensure that this material is made available to a wider audience in a comprehensive and easy to understand format a special issue of the journal *Food Control* is scheduled for August 2014 which include both the examples prepared, as well as an overview of the “partnership” approach that was used in drafting these examples, and lessons learned for future use of such approaches to build capacity for effective participation in Codex. In order to ensure wide access, the examples will also be posted on the FAO and WHO websites.

In addition, the background study commissioned by the Codex Trust Fund to carry out a literature review on partnering; considering the appropriateness, applicability and possible usage of partnering as a tool for building capacity for effective participation in Codex, will be made available on the Codex Trust Fund website.

Support to Objective 3 – Enhancing scientific/technical input to Codex

FAO/WHO project on mycotoxins in sorghum supported by the Codex Trust Fund (2012-2014)

The 6th Session of CCCF was provided with a detailed overview of the background and objectives of the FAO/WHO project on mycotoxins in sorghum (CX/CF 12/6/5 – Add.1), which is related to the ongoing discussions in the Codex Committee on Contaminants in Foods (CCCF) on the potential need for a Codex Maximum Level on mycotoxins in sorghum. The aim of this project is to assist countries in generating data which the CCCF may decide to submit to JECFA to carry out a detailed risk assessment, with a view to the establishment of MLs for selected mycotoxins in sorghum.

The FAO/WHO project will facilitate an assessment of the types and levels of mycotoxins in sorghum in four major sorghum producing/exporting countries. The project, which commenced in 2012, is funded by the European Commission through funds provided to the FAO/WHO Project and Fund for Enhanced Participation in Codex (Codex Trust Fund).

FAO/WHO provided a status report on the project to the 7th Session of CCCF (see CX/CF 13/7/3). This note provides an update on the progress and main activities completed since the last Session of CCCF.

⁵ http://ftp.fao.org/codex/Meetings/cac/cac36/cac36_14e.pdf

⁶ Canada, Denmark, European Union, International Dairy Federation, New Zealand, United States.

⁷ Argentina, Benin, Bolivia, Brazil, Cameroon, Colombia, Costa Rica, Ghana, India, Kenya, Samoa, Thailand, Uruguay

- **Collection, preparation and shipment of sorghum samples.** All three rounds of sampling of sorghum at different stages in the sorghum production chain on the 2012/2013 harvests were completed in 2013 following the agreed sampling protocol and sampling collection procedures. The three main collection periods were: at harvest; immediately prior to wet season; before yearly stocks end. Samples from all rounds were prepared according to agreed sample preparation procedures and shipped by 3 January 2014 to the Laboratory of Food Analysis at the University of Ghent, Belgium for analysis. 367 samples were collected in Burkina Faso, 380 in Ethiopia, 335 in Mali and 450 in Sudan.
- **Value chains studies.** In parallel with sample collection, value chain studies are being carried out in all countries to collect information on the sorghum production systems and practices in each country. The status of value chain studies in the project countries at the time of writing was as follows:
 - Burkina Faso – Data collection via questionnaire finalized in 2013. Data to be entered into a database for analysis and report writing. Will be finalized by second quarter 2014.
 - Ethiopia – Data collection on-going, preliminary report on first round of data collection submitted end of 2013. Second round of data collection has begun and final report expected end March 2014.
 - Mali - Focus group surveys have been finalized. Report is being finalized and will be ready by end February 2014.
 - Sudan – Questionnaire results from stakeholder interviews analysed and report produced in 2013. Focus group scheduled for February 2014 and final report expected end April 2014.
- **Reporting, supervision & issue resolution.** Two six monthly reports and one interim report were provided by Dr Kerstin Hell, the project coordinator, in 2013. Regular follow up missions were undertaken by the project coordinator and FAO/WHO responsible officers to countries in 2013. Conference calls were organized in July, October and December 2013 for supervision and oversight of timely delivery of samples for analysis and to provide feedback on issues affecting analyses.

Monitoring the results of the Codex Trust Fund

Full information on the monitoring of the Codex Trust Fund using the Monitoring and Evaluation Framework is available in the 2013 Monitoring Report (see **CX/CAC 14/37/13-add.1**) Codex Members are invited to review the information and analysis provided therein.

C. FINANCIAL SECTION

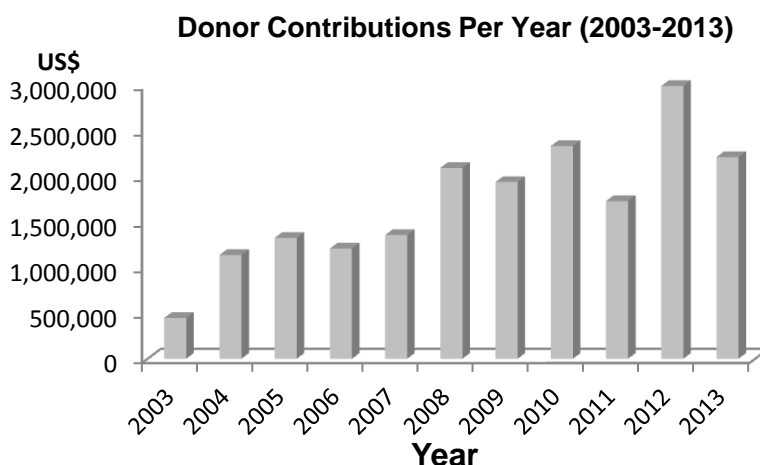
2003-2013

To provide a complete financial picture, the following information covers the full period since the Codex Trust Fund was initiated. During the period from January 2003 to December 2013, a total of US\$ **18,770,007** was received as contributions from 15 Member countries and the European Commission.

Table 3 - Contributions Received (2003- to Dec 2013)

Donor	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Australia		27,906				48,040			105,660		
Canada	34,014	150,344	163,586	175,362		127,812			154,479		
European Community		348,570	366,340	229,746		593,464	618,486	372,222		661,558	658,409
Finland			58,824						71,225	49,752	
France						100,000					
Germany			50,000	66,250	41,004	78,864	70,323	65,445		64,935	
India										15,000	15,000
Ireland	60,824	39,788					309,655				
Japan				80,000	130,000	130,000	130,000	130,000	120,000	90,000	100,000
Malaysia						10,000	10,000	10,000	10,000	10,000	10,000
Netherlands	50,000	50,000	50,000	50,000	73,746		77,720	588,235		1,176,470	588,235
New Zealand		35,770		33,040		67,253			30,000		15,718
Norway	100,000	100,000	100,000	100,000		100,125					
Sweden		281,960	381,194	416,089	894,210		725,689	720,949	758,150	750,187	746,045
Switzerland	35,971	20,000			219,672						47,200
United States	168,000	85,000	157,893	60,292		841,220		450,000	482,337	178,750	

Donor	Total Amount Received in US\$
Australia	181,606
Canada	805,597
European Commission	3,848,795
Finland	179,801
France	100,000
Germany	436,821
India	30,000
Ireland	410,267
Japan	910,000
Malaysia	60,000
Netherlands	2,704,406
New Zealand	181,781
Norway	500,125
Sweden	5,674,473
Switzerland	322,843
United States	2,423,492
TOTAL	18,770,007



Expenditure from the Codex Trust Fund from 2003 to 2013 totalled US\$ 17,121,003 (including Programme Support Costs, PSC).

Table 4 - Breakdown of Codex Trust Fund Cumulative expenditures and percentage - excluding PSC (2003-2013)

Support to beneficiary countries		
Least Developed and Low Income Countries (Obj. 1)	6,243,393	40%
Lower and Upper Middle Income Countries (Obj. 1)	3,423,041	22%
Codex capacity development & training (Obj. 2)	1,832,576	12%
Scientific and Technical Input (Obj. 3)	639,714	4%
Monitoring and evaluation	332,802	2%
Project management and administration	3,200,128	20%

Annex A
Composition and Functions of the Consultative Group for the Trust Fund (CGTF)
(as at December 2013)

FAO/Rome

- Dr Renata Clarke, Senior Officer, Food Safety and Codex Unit, Agriculture and Consumer Protection Department
- Ms Mary Kenny, Food Safety and Quality Officer, Food Safety and Codex Unit, Agriculture and Consumer Protection Department
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WHO/Geneva

- Dr Kazuaki Miyagishima, Director, Department of Food Safety and Zoonoses (Chairperson)
- Mrs Catherine Mulholland, Administrator, Codex Trust Fund
- Dr Angelika Tritscher, Coordinator, Risk Assessment and Management, Department of Food Safety and Zoonoses

WHO Regional Office adviser

- Dr Hilde Kruse, Program Manager Food Safety, WHO Regional Office for Europe

Codex Secretariat/Rome

- Ms Selma Doyran, Secretary, Codex Alimentarius Commission, Joint FAO/WHO Food Standards Programme

Resource persons

- Ms Dominique di Biase, Senior Programme Officer, Field Programme Development Service, Technical Cooperation Department, FAO
- Mr Ilja Betlem, Legal Officer, Office of the Legal Counsel, FAO

CGTF Functions

- To provide strategic guidance to the Project;
- To establish relevant guidelines and criteria as a framework for Project activities;
- To monitor that such guidelines and criteria are followed by the Project and that reporting requirements are met;
- To monitor the coherence and complementarity of Project activities with respect to other funding mechanisms dealing with similar issues; and
- To monitor the Project's progress and evaluate achievements.

Annex B

GROUPING OF ELIGIBLE COUNTRIES - 2013 SUPPORT

92 countries

Changes: Jordan, Maldives and Thailand moved from Group2 to Group 3a due to upper middle income status. Algeria moved from Group 3b to Group 3a due to medium human development. Saint Vincent and the Grenadines moved from Group 3a to Group 3b due to high human development status.

GROUP 1 (17)

Group 1A – Least Developed Countries (LDC) <i>As listed by the Least Developed Countries Report 2011 (UNCTAD)</i>	Group 1B – Other Low Income Countries (LIC) <i>Listed as LIC by the World Development Report 2012 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2011 (UNDP).</i>
Africa Central African Republic Chad Comoros Djibouti Equatorial Guinea Eritrea Liberia Mozambique Sao Tome and Principe Somalia	Africa -
Asia Afghanistan Bangladesh	Asia Democratic People's Republic of Korea
Europe --	Europe Kyrgyzstan Tajikistan
Near East Yemen	Near East --
South-West Pacific Kiribati	South-West Pacific --

GROUP 2 (10)

<i>Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2012 (World Bank) and Low Human Development (LHD), Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2011 (UNDP).</i>
Africa Cape Verde Congo, Republic of
Asia Pakistan
Europe Moldova, Republic of Turkmenistan Ukraine Uzbekistan
Near East

Iraq
South-West Pacific Micronesia, Federated States of Nauru, Republic of

GROUP 3 (18)

Group 3A <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2012 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2011 (UNDP).</i>	Group 3B <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2012 (World Bank) and High Human Development (HHD) in the Human Development Report 2011 (UNDP).</i>
Africa Gabon Namibia South Africa	Africa Mauritius
Asia Maldives Thailand	Asia --
Europe --	Europe Azerbaijan, Republic of Belarus Romania Russian Federation The Former Yugoslav Republic of Macedonia
Latin America and the Caribbean	Latin America and the Caribbean Brazil Grenada Saint Vincent and the Grenadines Venezuela
Near East Algeria Jordan	Near East Libyan Arab Jamahiriya

GROUP 4 (47)

<i>Countries eligible for 2 years additional support at 50% for 2 priority Codex meetings in each calendar year⁸ (eligible countries graduated from the Codex Trust Fund between 2007-2012 or will graduate at the end of 2012 and are listed as Least Developed Countries (LDCs) in the UNCTAD Least Developed Countries Report 2011 and/or as Small Island Developing States (SIDs) by the United Nations Department of Economic and Social Affairs, Division for Sustainable Development.</i>
Africa (23 countries): Angola (final year) Benin Burkina Faso Burundi (final year) Democratic Republic of the Congo Ethiopia (final year) Gambia Guinea Guinea –Bissau (final year) Lesotho (final year) Madagascar (final year) Malawi Mali Mauritania

⁸ See http://www.codexalimentarius.net/download/report/767/REP11_CACe.pdf paragraphs 216-236 for deliberations and conclusions at 34th Session of the Codex Alimentarius Commission

Niger (final year) Rwanda Senegal (final year) Togo Seychelles (final year) Sierra Leone Uganda (final year) United Republic of Tanzania (final year) Zambia
Asia (5 countries) Bhutan (final year) Cambodia (final year) Lao People's Democratic Republic (final year) Myanmar Nepal (final year)
Europe --
Latin America and the Caribbean (11 countries) Antigua and Barbuda (final year) Belize (final year) Cuba (final year) Dominica Dominican Republic (final year) Guyana Haiti Jamaica (final year) Saint Kitts and Nevis Saint Lucia (final year) Suriname
Near East (1 country) Sudan (final year)
South-West Pacific (7 countries) Cook Islands Fiji (final year) Papua New Guinea (final year) Samoa (final year) Solomon Islands (final year) Tonga (final year) Vanuatu (final year)

"Graduates" of the Codex Trust Fund

2013 (20 Graduates)	Armenia, Benin, Bosnia & Herzegovina, Bulgaria, Burkina Faso, Côte d'Ivoire, Democratic Republic of the Congo, Dominica, Gambia, Georgia, Guinea, Kazakhstan, Malawi, Mauritania, Montenegro, Myanmar, Rwanda, Saint Kitts and Nevis, Sierra Leone, Suriname
2012 (18 Graduates)	Albania, Angola, Botswana, Cambodia, Cameroon, Ethiopia, Guinea Bissau, Kenya, Lesotho, Madagascar, Nigeria, Saint Lucia, Samoa, Senegal, Republic of Serbia, Solomon Islands, Sri Lanka, Vanuatu
2011 (27 Graduates)	Belize, Bhutan, Burundi, China, Fiji, Ghana, Haiti, India, Indonesia, Lao People's Democratic Republic, Lebanon, Mali, Mongolia, Nepal, Nicaragua, Niger, Papua New Guinea, Sudan, Swaziland, Togo, Tonga, Turkey, Uganda, United Republic of Tanzania, VietNam, Zambia, Zimbabwe
2010 (7 Graduates)	Egypt, Guyana, Honduras, Jamaica, Philippines, Syrian Arab Republic, Cook Islands
2009 (13 Graduates)	Bolivia, Colombia, Croatia, Cuba, Dominican Republic, Ecuador, El Salvador, Guatemala, Iran, Morocco, Paraguay, Peru, Tunisia
2008 (6 Graduates)	Antigua and Barbuda, Argentina, Chile, Mexico, Seychelles, Uruguay
2007 (4 Graduates)	Costa Rica, Lithuania, Panama, Poland
Total number of graduated countries	95 countries

Eligible countries who have chosen not to benefit from Codex Trust Fund support

Malaysia (Codex Trust Fund donor country)

Annex C

**Countries supported by the Codex Trust Fund
January-December 2013**

<p>7th FAO/WHO Coordinating Committee for Near East, Beirut, Lebanon, 21-25 January 2013 Sudan</p>
<p>20th FAO/WHO Coordinating Committee for Africa, Yaoundé, Cameroon, 29 January – 1 February 2013 Angola Benin Botswana Burkina Faso Burundi Cape Verde Central African Republic Chad Comoros Côte d'Ivoire Democratic Republic of Congo Djibouti Gabon Gambia Ghana Guinea Guinea Bissau Kenya Lesotho Madagascar Mali Mauritania Morocco Niger Senegal Seychelles Swaziland Togo Uganda Zambia</p>
<p>20th Codex Committee on Food Import and Export Inspection and Certification Systems, Chiang Mai, Thailand, 18-22 February 2013 Bangladesh Cape Verde Dominica Lebanon Lesotho Zambia</p>
<p>34th Session Codex Committee on Methods of Analysis and Sampling, Budapest, Hungary, 4-8 March 2013 Central African Republic Jamaica Kyrgyzstan Mauritius Moldova</p>
<p>45th Session Codex Committee on Food Additives, Beijing, China, 18-22 March 2013 Angola</p>

<p>Benin Cuba Malawi Togo Uzbekistan</p>
<p>7th Session Codex Committee on Contaminants in Foods, Moscow, Russian Federation, 8-12 April 2013 Benin Cape Verde Dominican Republic Libya Pakistan Sudan The Former Yugoslav Republic of Macedonia</p>
<p>45th Session Codex Committee on Pesticide Residues, Beijing, China, 6-11 May 2013 Bangladesh Bhutan Djibouti Gambia Guinea Malawi Mali Moldova Senegal Sierra Leone Yemen</p>
<p>41st Session Codex Committee on Food Labelling, Charlottetown, Canada, 14-17 May 2013 Algeria Grenada Mozambique Nicaragua Saint Lucia Saint Vincent and the Grenadines Trinidad and Tobago</p>
<p>36th Session Codex Alimentarius Commission, Rome, Italy, 1-5 July 2013 Bhutan Burkina Faso Central African Republic Cuba Democratic Republic of Congo Djibouti Guinea Jamaica Kyrgyzstan Lesotho Mauritania Pakistan Papua New Guinea Senegal Uzbekistan Yemen</p>
<p>21st Session Codex Committee on Residues of Veterinary Drugs in Foods, Minneapolis, United States of America, 26-30 August 2013 Mozambique Thailand</p>
<p>35th Session Codex Committee on Nutrition and Foods for Special Dietary Uses, Bad Soden,</p>

**Germany,
4-8 November 2013**

Mauritania
The Former Yugoslav Republic of Macedonia
Uzbekistan
Yemen

34th Session Codex Committee on Food Hygiene, Hanoi, Viet Nam, 11-15 November 2013

Algeria
Cape Verde
Central African Republic
Dominica
Dominican Republic
Mali
Mozambique
Pakistan
Thailand
United Republic of Tanzania

PART 2

FAO/WHO PROJECT AND FUND FOR ENHANCED PARTICIPATION IN CODEX

18th Progress Report
(January-June 2014)

A. INTRODUCTION

This is the Eighteenth Progress Report of the FAO/WHO Project and Fund for Enhanced Participation in Codex (Codex Trust Fund). It covers activities for the first six months of 2014 and follows on from the information contained in the 2013 Annual Report.

B. TECHNICAL SECTION

2014 Applications and Support to Objective 1 - Widening Participation in Codex

Results of 2014 application process

Countries eligible for support from the Codex Trust Fund are required to submit a yearly application form which is due on 31 October of each calendar year. The list of eligible countries and the update of country sub-groupings for 2014 support appears in Annex A.

As in the past, the "Call for Applications" for support in 2014 was made widely available in September 2013 using the following channels: Codex e-mail lists; WHO and FAO regional and country offices; WHO, FAO, Codex Secretariat and Codex Trust Fund websites; WHO newsletter. The Codex Trust Fund also did a direct electronic send-out to all Codex Contact Points in Codex Trust Fund eligible. When required, additional support was provided by FAO and WHO officers at regional and country levels to follow up with individual countries failing to submit an application within the timeline.

Three countries were no longer eligible for support in 2014 as they graduated according to the matched funding status table below, and were not eligible to be brought back into the Codex Trust Fund under the provisions for support to the new Group 4.

- "Graduates" from Group 2: Pakistan and Uzbekistan
- "Graduates" from Group 3: Algeria

Matched Funding Status Table

Country Group	Year							
	1	2	3	4	5	6	7	8
1	0%	0%	0%	0%	0%	50%	50%	100%
2	0%	0%	0%	50%	50%	100%		
3a	0%	50%	50%	50%	100%			
3b	0%	50%	50%	100%				
4	50%	50%	100%					

Countries concerned were apprised of their final graduation from the Codex Trust Fund by e-mail to the Codex Contact Point, with copy to the relevant WHO and FAO regional and country offices.

Below is the breakdown of the 54 eligible countries that submitted an application before the deadline:

- 12 out of 16 eligible countries from Group 1 (75%);
- 4 out of 6 eligible countries from Group 2 (67%);
- 11 out of 18 eligible countries from Group 3 (61%);
- 27 out of 39 eligible countries from Group 4 (69%);

The breakdown of applications received according to Codex region is as follows:

Table 1 - Breakdown of all applications received for support in 2014 by Codex Region

Codex Region	No. of countries eligible	No. of applications received	% of eligible countries applying
Africa	37	25	68%
Asia	9	6	67%
Europe	9	8	89%
Latin America & the Caribbean	11	5	45%
Near East	4	2	50%
South West Pacific	9	8	89%
Total for 2014	79	54	68%

Given the number of applications received from different country sub-groupings, and the liquidity forecast for 2014, at its 24th meeting held on 16 December 2013, the Consultative Group for the Codex Trust Fund determined the level of support to be offered in 2014 as follows:

- Group 1a 5 participations
- Group 1b 5 participations
- Group 2 4 participations
- Group 3a 3 participations
- Group 3b 2 participation
- Group 4 2 participations

In its continuing efforts to ensure compliance with application procedures and quality of applications received, all applications from eligible countries continued to be reviewed and assessed by the Codex Trust Fund Secretariat against the following criteria:

- Country member of Codex;
- Country eligible for CTF funding;
- Application submitted by CCP;
- Valid meetings prioritized;
- Rationale given for priority meetings chosen;
- Application fully completed with all signatures of designated participants;
- Evidence of coordination between government ministries around application process with signatures of all those involved;
- Evidence of involvement of FAO and/or WHO with signature(s) as appropriate;
- Country is fully up to date with the submission of all obligatory participant reports in the on-line reporting system.

As part of the on-going review process, all applications not responding to one or more of the above criteria were the object of communication with the Codex Contact Point (CCP) in the

country and/or WHO/FAO regional and country office focal points as relevant for follow up and revision of the application as necessary. The processing of applications from countries was not finalized until all criteria had been met.

At the time of writing, 41 countries had successfully completed the application process and are being supported in 2014. Two countries (Chad and Tajikistan) from Group 1 are still to provide further information in order to be supported in 2014. Support to eleven countries was suspended for not fulfilling the matched funding requirements.

As part of the review process specific to countries that had been brought back into the Codex Trust Fund as part of the new Group 4, the Codex Trust Fund Secretariat reviewed whether countries supported in Group 4 in 2013 had been able to meet their matched funding obligation in 2012 and/or 2013.⁹ Where countries had not been able to meet their matched funding obligation, support to the concerned countries was suspended in 2013. In the calendar year 2014, eleven countries are affected by this measure and the Codex Trust Fund Secretariat explained the decision to the relevant CCPs in December 2013. As in 2013, affected countries are encouraged to use the calendar year 2014 to mobilize the support (both political and financial) to sustain their participation in Codex. Group 4 countries who meet their matched funding requirement will be welcome to apply to the Codex Trust Fund for their second and final year of support as a Group 4 country in the 2015 round of applications which is the final year of operation of the Codex Trust Fund. This measure is designed to ensure that the Codex Trust Fund continues to accompany countries as long as possible, while at the same time underlining the importance of country efforts to establish support and mechanisms at national level that will ensure sustained participation in Codex.

Anticipated support to Codex participation in 2014

For the period January to December 2014, it is expected that approximately 119 delegates from 41 countries will be supported to attend 17 Codex meetings and working groups.

The breakdown of participants supported by the Codex Trust Fund in 2014 is expected to be: 69% from least developed and other lower income countries; 13% from lower middle income countries; and 18% from upper middle income countries. Annex B provides details of countries who have been supported by the Codex Trust Fund to attend Codex meetings in 2014 that were prioritized on their application. This annex includes supported attendance at Codex meetings between 1 January and 15 April 2014. A full report on Codex Trust Fund-supported attendance for the calendar year 2014 will be given in the Annual Report 2014.

Support to Objective 2 - Codex Trust Fund supported Codex capacity development in 2014

FAO/WHO Codex trainings and workshops

Based on outcomes of the FAO/WHO joint planning process for Codex capacity development activities and ongoing discussions in regions, the following FAO/WHO Codex trainings and workshops are planned for support by the Codex Trust Fund in 2014:

⁹ As per decisions made at the 34th CAC, and taking into account discussions at the Regional Coordinating Committees held in 2010 and 2011, countries in Group 4 are eligible for support from the Codex Trust Fund for a maximum of two participations in the calendar year but they must "match" this level of support using national or other sources of funding. Group 4 countries which were supported in 2012 for the first time under Group 4, but were not able to meet their matched funding obligation during the calendar year 2012, were suspended from being supported in 2013 and encouraged to use the calendar year to meet their obligation of supporting two participations using national or other sources of funding. Where they were able to do so, they became eligible again for their second and final year of support in 2014 as a Group 4 country.

- **Codex African region**
 - “WHO/FAO training course on effective participation in Codex and use of Codex standards, guidelines and recommendations, Venue TBD, October 2014.
- **Codex Asian region**
 - One day pre-CCASIA FAO/WHO workshop. Focus TBD. Tokyo, Japan, 2 November 2014.
- **Codex European region**
 - “FAO/WHO Follow-up Training Workshop on Codex for Central Asian Countries”, Tajikistan, end August/beginning September 2014.
 - One day pre-CCEURO FAO/WHO workshop. Focus TBD. The Netherlands, 29 September 2014.
- **Codex Latin American and Caribbean region**
 - One day pre-CCLAC FAO/WHO workshop on “Risk based inspection: some practical examples” (Tentative), San José, Costa Rica, 9 November 2014.
- **Codex Near East region**
 - “FAO/WHO Regional Training Workshop on Chemical Risk Assessment”, Jordan (tentative), 6-9 October 2014 (tentative).
 - “FAO/WHO Workshop on Evaluation and Improvement of Official Controls for the Importation and Exportation of Food in Accordance with the Principles and Guidelines of Codex Alimentarius.” Venue TBD, October 2014
- **Codex Southwest Pacific region**
 - One day pre-CCNASWP FAO/WHO workshop on “Strengthening Codex work among members of the region and promoting more effective participation in Codex”, Kokopo, Papua New Guinea, 22 September 2014.

Support to Objective 3 - Enhancing scientific and technical participation of developing countries in Codex

FAO/WHO project on mycotoxins in sorghum (2012-2014)

This section provides an update on activities that will be carried out in 2014 under the Codex Trust Fund supported FAO/WHO project to carry out data surveys on mycotoxins in sorghum in four countries (Burkina Faso, Ethiopia, Mali and Sudan) and should be read in conjunction with the relevant text on the project that appears in the 2013 Annual Report (see Part 1 of this document).

The following activities and deliverables are planned for 2014:

- Completion of all sample analyses by Ghent lab by end March 2014;
- Interim progress report to 8th session of CCCF in April 2014;
- Development of protocols for data analysis at national level to be used by country teams to analyse country results;
- Finalization of value chain studies in all project countries;
- Preparation of national reports on results of data analysis and value chain studies.
- Holding of national workshops in all project countries to report on results, next steps and possible actions to address mycotoxin contamination issues at country level;
- Preparation of consolidated report with summary of main findings from all project countries.

A final report on the project will be made available to the 9th Session of CCCF in 2015.

Monitoring of progress and final project evaluation

In 2014 routine monitoring of Codex Trust Fund outputs and outcomes will continue to provide data and information for the Codex Trust Fund Monitoring Report 2014.

The final project evaluation of the Codex Trust Fund will take place in 2014. As at the time of writing, the team to be contracted to undertake the evaluation on behalf of FAO/WHO and the Codex Trust Fund was being finalized. It is anticipated that the evaluation team will begin their work in May 2014 with approval of the final report of the evaluation by the Consultative Group for the Trust Fund scheduled for December 2014. Updated information on the final project evaluation will be made available to Codex Members at the 37th CAC.

Discussions on successor initiative to the Codex Trust Fund

The Codex Trust Fund will reach the end of its lifespan in December 2015. The Director-Generals of WHO and FAO met on the margins of the 36th Codex Alimentarius Commission (CAC) in July 2013 and gave their support to a successor initiative with a 15 year lifespan. WHO and FAO have been tasked to undertake a project design regarding the successor initiative. This process is being enriched with inputs from key Codex Trust Fund stakeholder groups and, along with the results of the final project evaluation, will result in proposals being made to Codex Members at the 38th CAC. This section of the 18th Progress Report is intended to update Codex Members on the status of the project design for the successor initiative, along with milestones and timelines for this process in the coming months.

Discussions to date:

- July 2013 – DGs of FAO and WHO support establishment of a successor initiative.
- July 2013 – CTF donors have a first discussion on successor initiative during annual donor meeting.
- November 2013 – brainstorming on successor initiative held with food safety regional advisers during regional advisers meeting at WHO/HQ.
- January 2014 – brainstorming on successor initiative held with CGTF members from FAO, WHO and Codex Secretariat
- January 2014 - compendium of ideas/comments submitted by donors compiled and shared with donors.
- April 2014 – facilitated brainstorming with CTF donors on the margins of CCGP.

Milestones for further discussions and decision-making process:

- July 2014 – FAO/WHO present preliminary concepts resulting from discussions to date on focus and approach of successor initiative to Codex Members during 37th CAC for input from Codex MS.
- July 2014 – side event during the 37th CAC with Codex Trust Fund beneficiary countries to discuss preliminary concepts on successor initiative.
- July 2014 – consultation with CTF donors during 37th CAC
- September 2014-March 2015 – regional and any other inputs from stakeholder groups deemed necessary.
- April 2015 – FAO/WHO finalize proposals for the CTF successor initiative.
- June-July 2015 – FAO/WHO table proposals for the CTF successor initiative for discussion and decision by Codex MS at 38th CAC (through 70th CCEXEC).

C. FINANCIAL SECTION

2014 Financial Projections

The balance of funds carried forward to 2014 was US\$ 1,649,004. Contributions that have been recorded as received in WHO during the period from January to March 2014, as well as contributions expected between April and December 2014 appear in Table 2 below.

Table 2
Contributions received as at 30 March 2014 and expected during April to December 2014

Donor	Amount expected/received	Amount expected/received	Agreement period
	(in contribution currency)	(in US\$)	
Canada	CAD 200,000	179,051	2013 agreement with Health Canada
Canada	CAD 200,000	180,995	2014 agreement with CIFA
European Commission	EUR 10,000	13,158	Final payment for 2011-2013 mycotoxins -sorghum surveys
European Commission	EUR 12,000	16,000	Final payment for 2011-2013 participation contribution
European Commission	EUR 213,000	293,793	1 st payment on 2014-2015 agreement
India	USD 15,000	15,000	3 rd payment for 2014
Japan	USD 50,000	50,000	2013-2014 MOH agreement
Sweden	SEK 4,800,000	735,069	5 th and final payment for 2009-2013 agreement
Switzerland	CHF 40,000	45,096	2013 agreement
United States	USD 140,000	140,000	2013 agreement
United States	USD 100,480	100,480	3 payments under FDA umbrella agreement 2011-2016
Total approximate funds received or expected		USD 1,768,642	

The carryover to 2014, together with the recorded and expected contributions in the table above will total approximately USD 3,417,646¹⁰.

The provisional budget for the Codex Trust Fund for 2014, agreed by the Consultative Group for the Trust Fund at its 24th meeting held on 16 December 2013, was established at \$2.4 million. It is expected that this sum will allow for support to:

- 1) Approximately 119 delegates from 41 countries will be supported to attend 17 Codex meetings and working groups (Codex Trust Fund expected output 1 - Widening participation in Codex);
- 2) Eight FAO/WHO Codex capacity development activities at regional and sub-regional levels (Codex Trust Fund expected output 2 - Strengthening participation in Codex);

¹⁰ Includes multi-year agreements where contributions are scheduled for receipt in 2014 but funds to be used over multiple years.

- 3) Continuing support to the FAO/WHO project to carry out data surveys on mycotoxins in sorghum in four developing countries aimed at enhancing the scientific and technical input of developing countries and countries with economies in transition (Codex Trust Fund expected output 3 - Enhancing scientific and technical input to Codex);
- 4) Support for an FAO/WHO project to generate data and information in support of Codex standard setting has become available. A few priority topics are being considered including occurrence of aflatoxin contamination in rice from selected developing or transition economy countries (Codex Trust Fund expected output 3 - Enhancing scientific and technical input to Codex). This activity is dependent on securing additional earmarked funds from the European Commission in 2014;
- 5) Staff and administration costs of the Codex Trust Fund Secretariat;
- 6) Costs related to the routine monitoring and final project evaluation of the Codex Trust Fund.

Annex A

GROUPING OF ELIGIBLE COUNTRIES - 2014 SUPPORT**79 countries**

Changes: Russian Federation is no longer eligible due to high income status. Turkmenistan and Iraq moved to Group 3A due to upper middle income status.

GROUP 1

Group 1A – Least Developed Countries (LDC) <i>As listed by the Least Developed Countries Report 2012 (UNCTAD)</i>	Group 1B – Other Low Income Countries (LIC) <i>Listed as LIC by the World Development Report 2013 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2012 (UNDP) *except Democratic People's Republic of Korea for which Human Development Index is not computed.</i>
Africa Central African Republic Chad Comoros Djibouti Equatorial Guinea Eritrea Liberia Sao Tome and Principe Somalia	Africa --
Asia Afghanistan Bangladesh	Asia Democratic People's Republic of Korea*
Europe --	Europe Kyrgyzstan Tajikistan
Near East Yemen	Near East --
South-West Pacific Kiribati	South-West Pacific --

GROUP 2

<i>Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2013 (World Bank) and Low Human Development (LHD), Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2012 (UNDP) *except Nauru for which Human Development Index is not computed.</i>
Africa Cape Verde Congo, Republic of
Asia --
Europe Moldova, Republic of Ukraine
Near East --
South-West Pacific Micronesia, Federated States of Nauru, Republic of*

GROUP 3

Group 3A <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2013 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2012 (UNDP).</i>	Group 3B <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2013 (World Bank) and High Human Development (HHD) in the Human Development Report 2012 (UNDP).</i>
Africa Gabon Namibia South Africa	Africa Mauritius
Asia Maldives Thailand	Asia --
Europe Turkmenistan	Europe Azerbaijan, Republic of Belarus Romania The Former Yugoslav Republic of Macedonia
Latin America and the Caribbean --	Latin America and the Caribbean Brazil Grenada Saint Vincent and the Grenadines Venezuela
Near East Iraq Jordan	Near East Libyan Arab Jamahiriya

GROUP 4

<i>Countries eligible for 2 years additional support at 50% for 2 priority Codex meetings in each calendar year¹¹ (eligible countries graduated from the Codex Trust Fund between 2007-2013 or will graduate at the end of 2013 and are listed as Least Developed Countries (LDCs) in the UNCTAD Least Developed Countries Report 2012 and/or as Small Island Developing States (SIDs) by the United Nations Department of Economic and Social Affairs, Division for Sustainable Development.</i>
Africa Angola (final year) Benin (final year) Burkina Faso (final year) Burundi (final year) Democratic Republic of the Congo (final year) Ethiopia (final year) Gambia Guinea (final year) Guinea-Bissau (final year) Lesotho (final year) Madagascar (final year) Malawi (final year) Mali (final year) Mauritania (final year) Mozambique Niger (final year) Rwanda Seychelles (final year) Sierra Leone (final year) Togo (final year)

¹¹ See http://www.codexalimentarius.net/download/report/767/REP11_CACe.pdf paragraphs 216-236 for deliberations and conclusions at 34th Session of the Codex Alimentarius Commission

Uganda (final year) Zambia (final year)
Asia Cambodia (final year) Lao People's Democratic Republic (final year) Myanmar (final year) Nepal (final year)
Europe --
Latin America and the Caribbean Belize (final year) Dominica (final year) Guyana Haiti Saint Kitts and Nevis Saint Lucia (final year) Suriname
Near East --
South-West Pacific Cook Islands Fiji (final year) Samoa (final year) Solomon Islands (final year) Tonga (final year) Vanuatu (final year)

"Graduates" of the Codex Trust Fund

2014 (4 Graduates)	Algeria, Mozambique, Pakistan, Uzbekistan
2013 (20 Graduates)	Armenia, Benin, Bosnia & Herzegovina, Bulgaria, Burkina Faso, Côte d'Ivoire, Democratic Republic of the Congo, Dominica, Gambia, Georgia, Guinea, Kazakhstan, Malawi, Mauritania, Montenegro, Myanmar, Rwanda, Saint Kitts and Nevis, Sierra Leone, Suriname
2012 (18 Graduates)	Albania, Angola, Botswana, Cambodia, Cameroon, Ethiopia, Guinea Bissau, Kenya, Lesotho, Madagascar, Nigeria, Saint Lucia, Samoa, Senegal, Republic of Serbia, Solomon Islands, Sri Lanka, Vanuatu
2011 (27 Graduates)	Belize, Bhutan, Burundi, China, Fiji, Ghana, Haiti, India, Indonesia, Lao People's Democratic Republic, Lebanon, Mali, Mongolia, Nepal, Nicaragua, Niger, Papua New Guinea, Sudan, Swaziland, Togo, Tonga, Turkey, Uganda, United Republic of Tanzania, Vietnam, Zambia, Zimbabwe
2010 (7 Graduates)	Egypt, Guyana, Honduras, Jamaica, Philippines, Syrian Arab Republic, Cook Islands
2009 (13 Graduates)	Bolivia, Colombia, Croatia, Cuba, Dominican Republic, Ecuador, El Salvador, Guatemala, Iran, Morocco, Paraguay, Peru, Tunisia
2008 (6 Graduates)	Antigua and Barbuda, Argentina, Chile, Mexico, Seychelles, Uruguay
2007 (4 Graduates)	Costa Rica, Lithuania, Panama, Poland
Total number of graduated countries	99 countries

Eligible countries who have chosen not to benefit from Codex Trust Fund support

Malaysia (Codex Trust Fund donor country)

Annex B

Countries supported to Codex meetings in 2014
(Up to 15 April 2014 and including 28th CCGP)

<p>1st Codex Committee on Spices and Culinary Herbs, Kochi, India, 11-14 Feb 2014</p> <p>Comoros Djibouti Dominica Grenada</p>
<p>33rd Codex Committee on Fish and Fishery Products, Bergen, Norway, 17-21 February 201</p> <p>Cape Verde Central African Republic Cook Islands Libyan Arab Jamahiriya Maldives (Republic of) Mauritania</p>
<p>18th Codex Committee on Methods of Analysis and Sampling, Budapest, Hungary, 3-7 March 2014</p> <p>Bangladesh Central African Republic Turkmenistan</p>
<p>46th Codex Committee on Food Additives, HongKong, China, 17-21 March 2014</p> <p>Benin Ukraine</p>
<p>8th Codex Committee on Contaminants in Foods, The Hague, Netherlands, 31 March- 4 April 2014</p> <p>Benin Cape Verde Cook Islands Mozambique</p>
<p>28th Codex Committee on General Principals, Paris, France, 7-11 April 2014</p> <p>Burundi Central African Republic Comoros Kyrgyzstan Moldova</p>