

June 2009

# codex alimentarius commission



FOOD AND AGRICULTURE  
ORGANIZATION  
OF THE UNITED NATIONS

WORLD  
HEALTH  
ORGANIZATION



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## Agenda Item 14

### JOINT FAO/WHO FOOD STANDARDS PROGRAMME

#### CODEX ALIMENTARIUS COMMISSION

#### *Thirty-second Session*

*FAO Headquarters, Rome, Italy, 29 June - 4 July 2009*

### FAO/WHO PROJECT AND TRUST FUND FOR ENHANCED PARTICIPATION IN CODEX

**Prepared by the WHO Secretariat for the Trust Fund**

The present document incorporates the Annual Report for 2008 (see Part 1) and the 11<sup>th</sup> Progress Report (see Part 2) of the FAO/WHO Project and Trust Fund for Enhanced Participation in Codex.

**PART 1****FAO/WHO PROJECT AND FUND FOR  
ENHANCED PARTICIPATION IN CODEX***Annual Report for 2008***A. INTRODUCTION**

This report covers 2008 - the fifth calendar year of implementation of the FAO/WHO Project and Fund for Enhanced Participation in Codex (the Codex Trust Fund) and provides a summary of key technical, financial and operational aspects of the Codex Trust Fund activities in 2008. For the first time this Annual Report will also include information spanning the entire period of operation of the Codex Trust Fund, particularly with regard to the evolution in levels of support, donor contributions, and participation levels. Much of this information was prepared for an addendum on the Codex Trust Fund that was tabled for discussion at the 25<sup>th</sup> Session of the Codex Committee on General Principles (Paris, 30 March-3 April 2009) and can be found in document CX/GP 09/25/9-Add.1. Further detailed information on the Codex Trust Fund activities and outcomes can be found on the Codex Trust Fund website.<sup>1</sup>

**B. TECHNICAL SECTION***Background*

The main objective of the Codex Trust Fund is to help Codex members that are developing countries or those with economies in transition, to enhance their level of effective participation in the development of global food safety and quality standards by the Codex Alimentarius Commission. As at 31 December 2008 Codex membership totaled 177 (176 member countries plus the European Community as a member organization). When the Trust Fund was launched in 2003 the Codex membership stood at 169 countries.

The Codex Trust Fund is administered by WHO under the direction of a Consultative Group for the Trust Fund (CGTF) comprised of senior WHO and FAO staff. The terms of reference of the Consultative Group and current membership appear in Annex A. The principles for determining the eligibility of member countries and basic criteria for applications as approved by the Codex Alimentarius Commission were outlined in the Third Progress Report (CX/EXEC 04/53/3).

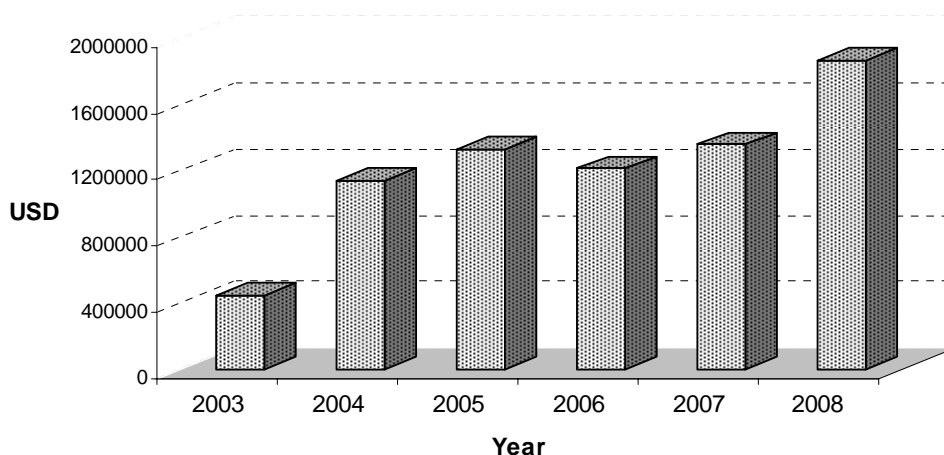
One key principle of the Codex Trust Fund is to provide more support to those Codex member countries that are less developed. Eligibility to apply for support from the Codex Trust Fund is based on two complementary sets of criteria: 1) income status as established by the UNCTAD Report on Least Developed Countries (which establishes the list of Codex member states who are considered "least developed countries") and the World Bank World Development Report statistical annexes; 2) human development status as established by the UNDP Human Development Report statistical annexes. Both sets of criteria are reviewed annually for all countries to establish country groupings.

- Group 1a comprises the least developed countries.
- Group 1b comprises the countries with low income status and low or medium human development status.
- Group 2 comprises the countries with lower middle income status and medium or high human development.
- Group 3a comprises the countries with upper middle income status and medium human development.
- Group 3b comprises the countries with upper middle income status and high human development.

There were 135 Codex member countries eligible for support from the Codex Trust Fund in 2008, *including two new members Bosnia and Herzegovina, October 2007 and Maldives, March 2008*. Annex B lists these members by the six Codex regions and Annex C gives the country groupings for 2008, *both lists established in August 2007*.

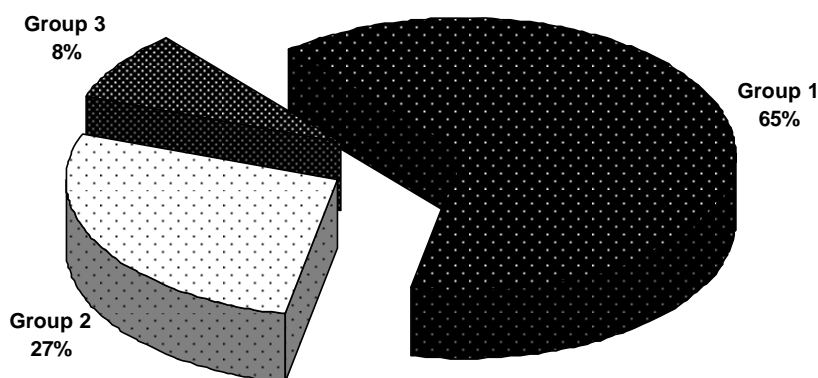
Support from the Codex Trust Fund in any calendar year is a function of the availability of funds based on contributions and pledges received from donor countries. Graph 1 below shows the evolution of donor contributions from 2003 to 2008.

<sup>1</sup> <http://www.who.int/foodsafety/codex/trustfund/en/>

**Graph 1 - Donor Contributions Per Year (2003 - 2008)**

Number of applications received from countries and country groupings are then used, along with availability of funds to determine levels of support in a calendar year. In line with the indicative distribution of financial resources stipulated in the Project Document, countries in Groups 1a and 1b should receive approximately 60% of the funds available for support, countries in Group 2 should receive approximately 30%, and countries in Groups 3a and 3b should receive approximately 10%.

Graph 2 shows how this distributive allocation has played out for the entire period of operation of the Trust Fund.

**Graph 2 - Cumulative Allocation of Resources Per Beneficiary Country Groupings for the Period 2004-2008**

For the calendar year 2008, and based on decisions of the Consultative Group at its 13<sup>th</sup> meeting held at the headquarters of FAO on 7 December 2007, available resources from the Codex Trust Fund offered support to countries to attend Codex meetings on the following basis:

Group 1 countries (least developed and low income)	Four meetings
Group 2 countries (lower middle income)	Three meetings
Group 3 countries (upper middle income)	One meeting

In order to provide historical and comparative information, Table 1 below gives information on the planned support levels for each grouping of countries for each calendar year from 2005-2009 as well as annual expenditures 2004-2009.

**Table 1 Codex Trust Fund planned support levels<sup>2</sup> 2005<sup>3</sup>-2009 (per beneficiary country sub-grouping) and annual expenditures 2004-2008 (including planned expenditure for 2009)**

Year	Group 1a	Group 1b	Group 2	Group 3a	Group 3b	Expenditures USD
2004	NA	NA	NA	NA	NA	561,297
2005	5	4	2	1	1	1,759,272
2006	2	2	1	1	1	882,641
2007	3	3	2	1	1	1,300,427
2008	4	4	3	1	1	1,447,780
2009	5	4	3	2	1	2,200,000 (est.)

### *Supported Participation*

In response to the call for applications for support in 2008, 98 countries submitted applications of which 96 countries were eligible (see 10<sup>th</sup> Progress Report). Table 2 below gives the breakdown of countries in each of the three groupings that were eligible for support from the Codex Trust Fund, and the number of countries in each group that actually submitted an application.

**Table 2 Application rate by country groups**

	Group 1	Group 2	Group 3
<b>No. of countries eligible</b>	56	46	31 <sup>4</sup>
<b>No. of countries applying for support</b>	46	41	9
<b>Group application rate</b>	<b>82%</b>	<b>89%</b>	<b>29%</b>

At the end of 2008 a total of 230 participants from 85 countries were supported to attend 20 Codex meetings. Annex D provides full details of the support actually provided in 2008.

Numbers of countries supported denote countries that actually travelled. Table 3 below gives information on the participation rates of countries by Codex Region. It should be noted that countries themselves select their region of membership and that decisions on Codex Trust Fund support are not based on regional allocation of funds.

**Table 3 2008 supported meeting participation rate by Codex Region**

Codex Region	No. of countries eligible	No. of countries supported (actually travelled)	Supported participation rate %
Africa	44	30	68%
Asia	19	17	89%
Europe	22	12	55%
Latin America & the Caribbean	29	18	62%
Near East	12	6	50%
South West Pacific	9	7	78%
<b>Total for 2008</b>	<b>135<sup>5</sup></b>	<b>90</b>	<b>67%</b>

<sup>2</sup> Number of participants to be supported for each country grouping adjusted for increases in donor contributions

<sup>3</sup> Scenario planning was not undertaken for 2004, the first year of operation of the Trust Fund. Support was allocated according to the 60/30/10 split.

<sup>4</sup> Includes potential "graduates" in 2008

<sup>5</sup> Maldives became eligible member (ASIA) in March 2008

## **Reports**

Countries receiving support are required to provide a concise and comprehensive report to the Trust Fund. A standard report format<sup>6</sup> has been developed seeking information on participant activities before, during and after the meeting. Countries are also asked for suggestions on ways that the Codex Trust Fund can be improved. In order to meet the deadline for applications of 31 October 2008, countries were requested to report on all meetings attended with support from the Codex Trust Fund from August 2007 to July 2008 (up to and including the Codex Alimentarius Commission in July 2008) as has been the practice in previous years.

At 31 December 2008, reports due had been received from 114 participants (a compliance rate of 60%). It is the policy of the Codex Trust Fund that administration of travel to Codex meetings in the current calendar year is suspended for countries that are not up-to-date with their participant reporting requirements.

An analysis of reports received from participants for the period August 2005-July 2007 was completed in May 2008<sup>7</sup>. The principal objectives of the study were to examine what Codex Trust Fund beneficiary countries gain by attending Codex meetings; the impact of this participation at national level and to assess the quality of the participant reports provided to the Codex Trust Fund secretariat. The findings resulted in a number of recommendations to improve the reporting process and, through this, contribute to enhancing the effectiveness of the Codex Trust Fund. Among the recommendations were the following:

- Increase responsibility of the Codex Contact Point and increase awareness among beneficiary countries;
- Create incentive to submit good quality reports;
- Standardize the assessment of participant reports and provide feedback to Codex Contact Points in countries on reports;
- Simplify and facilitate the reporting and assessment process.

The outcomes of the study and these recommendations are being used in the development of a facilitated reporting process aimed at improving the quality of reports transmitted to the Codex Trust Fund secretariat, using these reports to feed into the monitoring and evaluation of the Trust Fund, as well as encouraging countries to use the learning from participation in Codex meetings to strengthen Codex activities at national level.

## **Codex training**

The Trust Fund collaborated with FAO and WHO on the organization of three Codex training sessions in 2008. The Codex Trust Fund, in collaboration with FAO and the Codex Secretariat, and with in-kind support from New Zealand (lead trainer), Australia (technical input), Malaysia (resource person), and Indonesia (venue and logistic support), organized an inter-regional Codex training for 40 participants from 18 countries in Asia and the Pacific. The training course took place from 13-15 November 2008 in Denpasar, Indonesia and was timed to take place directly preceding 16<sup>th</sup> session of the FAO/WHO Coordinating Committee for Asia (Denpasar, Indonesia, 17-21 November 2008). This allowed participants to put their new skills and knowledge to use immediately in the context of a Codex meeting as well as to use this opportunity to build networks. The report of the Codex training course for Asia and the Pacific is available on the Codex Trust Fund website at <http://www.who.int/foodsafety/codex/Report-CodextrainingAsia-Nov08.pdf>

Similarly 14 participants from 9 countries were supported to attend a 1 day regional workshop seminar on the Development of Standards of the Codex Alimentarius which was held in Warsaw, Poland on 6 October 2008. Trainees then benefited from participation at the 26<sup>th</sup> Session of the FAO/WHO Coordinating Committee for Europe. The report of this workshop can be accessed on the FAO website at <http://www.fao.org/ag/agn/agns/files/RegionalWorkshopReportFinal.pdf>

An additional 14 participants from 7 countries were supported to attend the FAO/WHO Regional Workshop on Codex Alimentarius held in Yaoundé, Cameroon from 1-4 April 2008. The report of this workshop can be accessed on the FAO website at [http://www.fao.org/ag/agn/agns/files/Cameroon\\_Final\\_Report\\_Codex\\_Workshop\\_April\\_2008.pdf](http://www.fao.org/ag/agn/agns/files/Cameroon_Final_Report_Codex_Workshop_April_2008.pdf)

<sup>6</sup> Available at [http://www.who.int/foodsafety/publications/codex/en/report\\_04\\_05\\_en.pdf](http://www.who.int/foodsafety/publications/codex/en/report_04_05_en.pdf)

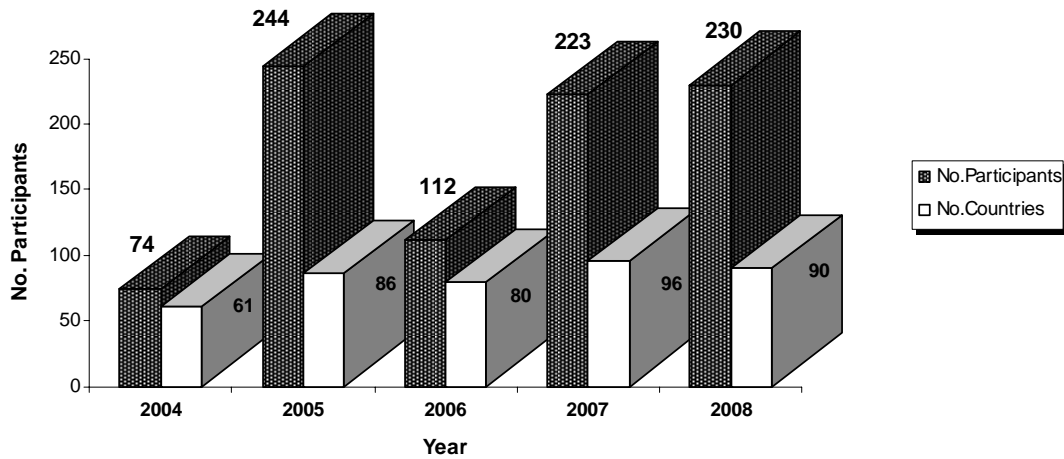
<sup>7</sup> Gossner, Céline. Participant Reports - Codex Trust Fund. May 2008 available at [http://www.who.int/foodsafety/codex/country\\_report\\_assessment\\_05\\_07.pdf](http://www.who.int/foodsafety/codex/country_report_assessment_05_07.pdf)

**Codex Trust Fund monitoring and matched funding requirement**

The database of participation has been kept up-to-date by the Codex Trust Fund since July 2007 with data on participation entered immediately following participation in Codex meetings by Trust Fund supported participants. The database of participation is now routinely used to provide up-to-date and accurate information on participation supported by the Codex Trust Fund in response to reporting needs and enquiries. It is a key element in the monitoring and evaluation of the Codex Trust Fund, particularly in regard to expected output 1 which is to increase the number of countries routinely providing delegations to CAC sessions and to its committees/task forces, that address issues of priority health and economic concern for the specific countries.

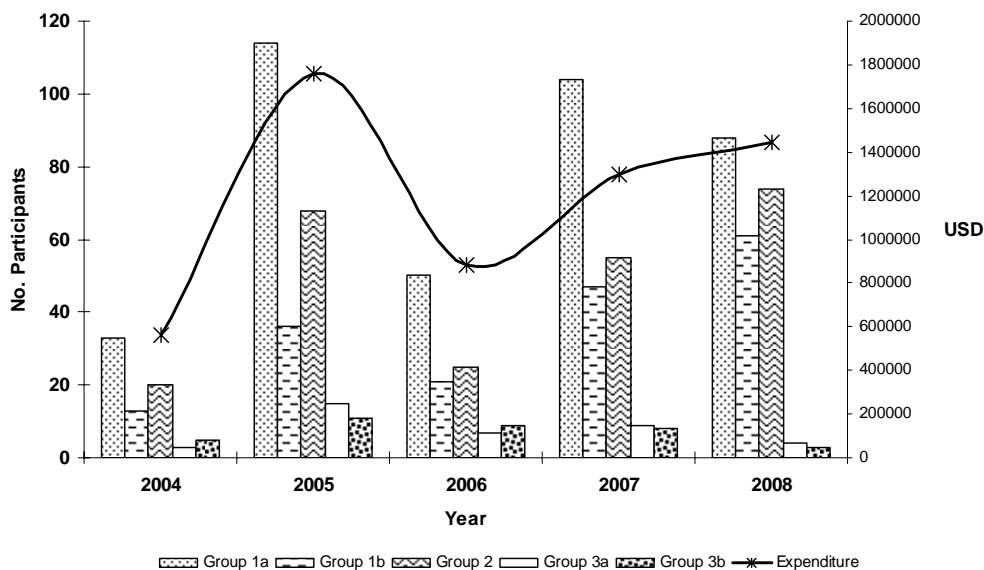
Using the information from the database, Graph 3 below shows total number of participants supported by the Trust Fund to attend Codex meetings, task forces and working groups for each year between 2004 and 2008, as well as the number of countries supported each year. This latter figure has remained relatively constant as some countries graduate from the Codex Trust Fund or become ineligible due to high income status, while new countries apply for support for the first time.

**Graph 3 - Total Number of Participants & Countries Supported per Year (2004-2008)**



Also using information from the database, Graph 4 below shows the breakdown of participants for each country sub-grouping for each year of Codex Trust Fund operation. Annual expenditures are shown across the top of the graph to demonstrate how support levels are a function of donor contributions.

**Graph 4 - Yearly Participation Levels Per Beneficiary Country Sub-Groupings and Yearly Expenditures (2004-2008)**



The Codex Trust Fund is intended to act as a catalyst to enhanced participation in Codex. A gradual increase in the financial participation of countries should take place according to a sliding scale with the expectation that upper middle income countries with high human development will already contribute a percentage of national resources towards participation by the second year of participation in the Trust Fund. The least developed countries begin to contribute a percentage of national resources by their sixth year of participation in the Trust Fund. The matched funding table has been reproduced below. Given the small size of their economies, a decision was taken at the December 2007 meeting of the Consultative Group for the Trust Fund that small island developing states with populations of less than 1 million should be given an additional year at 50% matched funding status.

**Table 4 Matched Funding Status Table**

Country Group	Year							
	1	2	3	4	5	6	7	8
1	0%	0%	0%	0%	0%	50%	50%	100%
2	0%	0%	0%	50%	50%	100%		
3a	0%	50%	50%	50%	100%			
3b	0%	50%	50%	100%				

The matched funding requirement is considered to be of critical importance, both to ensuring the sustainability of the Trust Fund, but also to ensuring the sustainability of participation by countries in the Codex process.

As at December 2008, 8 countries had "graduated" from the Codex Trust Fund. 38 countries are on 50% matched funding status in 2009. Further information on how graduate countries have been able to sustain their participation in the Codex process, as well as how countries who are on 50% matched funding status are planning on meeting the matched funding requirement in 2009 will be given in a Conference Room Document (CRD) at the CAC. This information will now form a regular part of the Codex Trust Fund monitoring and will be reported on every year in the Annual Report as a way of monitoring countries' ability to move through the Codex Trust Fund and sustain their participation in Codex.

### ***Strategic planning and the Codex Trust Fund***

As reported in the Annual Report 2007, a strategic planning process was undertaken in 2008 to follow up the findings and recommendations of the assessments carried out on the Codex Trust Fund in 2007.<sup>8</sup> The objectives of the strategic planning process were to: 1) define the strategic positioning of the Codex Trust Fund; 2) determine how the Trust Fund can best use its leverage and work with and through its stakeholders to achieve its objectives and; 3) identify areas where the Trust Fund can realize greater efficiency and effectiveness in its ways of working.

A strategic action plan was drawn up to guide the Trust Fund in its priority activities for 2008-2009 focusing on priority actions and identifying the resources needed to implement.

The 6 priority areas for action are:

- Tracking effectiveness;
- Developing and implementing marketing and fundraising strategies
- Improving communications and networking with stakeholders
- Strengthening Codex mechanisms at country level
- Ensuring the quality of application and reporting processes
- Strengthening the Trust Fund Secretariat.

Results of the strategic planning process in 2008 included:

<sup>8</sup> 2007 - Connor, Robert. *Initiative to explore linkages between increased participation in Codex and enhanced international food trade opportunities*  
[http://www.who.int/foodsafety/codex/Connor\\_report.pdf](http://www.who.int/foodsafety/codex/Connor_report.pdf)  
 2007 - Slorach, Stuart. *Enquiry concerning the FAO/WHO Project and Fund for Enhanced Participation in Codex ("Codex Trust Fund")*  
[http://www.who.int/foodsafety/codex/Slorach\\_report.pdf](http://www.who.int/foodsafety/codex/Slorach_report.pdf)

- Stakeholder input to the strategic action plan;
- Administration of a questionnaire to gain understanding of donor assessment and expectations;
- Development and presentation of elements for a monitoring and evaluation framework;
- Organization and delivery of a Codex training course for Asia and the Pacific;
- Reinforcement of quality assurance in the application and reporting processes.

The strategic planning process has also helped secure increased and more sustained donor support (see Graph 1). At the same time the Codex Trust Fund must continue its efforts to bring new donors on board, increase donations from existing donors and secure multi-year funding to ensure the sustainability of resources and facilitate the planning of Codex Trust Fund activities in future years.

### **Communication and the Codex Trust Fund**

The Codex Trust Fund is a dynamic mechanism that has to adapt itself to changing needs of countries as they develop their Codex capacity and increasingly engage in the Codex process. Communicating with beneficiary countries and other stakeholders is essential in understanding and responding to these changing needs. The priority in the first three years of Trust Fund operation was to increase the participation of eligible countries in Codex meetings (output no. 1). From 2007 "listening and learning" combined with assessments of the Trust Fund picked up that participation at meetings was still considered essential but needed to be accompanied by actions to enhance the quality of country participation by strengthening Codex activities at all levels (output no. 2).

This has been responded to by using all means at the disposal of the Trust Fund working with FAO, WHO and Codex host countries to provide Codex training, FAO/WHO workshops on specific Codex issues, pre-Codex meeting briefings, and to match needs of countries with FAO and WHO technical cooperation, including technical cooperation between developing countries (TCDC). 2008 was characterized by:

- increasing engagement in the dialogue process with beneficiary and donor countries to further strengthen the participation of beneficiary countries in Codex;
- continuing to invest in Codex training and pre-Codex meeting workshops;
- understanding and responding to donor expectations;
- monitoring Trust Fund outcomes and ensuring the participation of beneficiary countries in this process;
- ensuring the sustainability of participation in Codex as countries move through different stages of funding and graduate.

It should be noted that all communication activities must be undertaken with the financial resources allocated for management and administration of the Trust Fund, and human resources available in the Codex Trust Secretariat. Mechanisms for communication and dialogue to maximize impact include the following with examples given:

#### **1) *Electronic, telephone and internet communications* -**

- Daily contact throughout the year with individual beneficiary countries regarding applications, priority Codex meetings, priority activities other than participation in meetings, administration of travel, participant reports, meeting matched funding status etc.;
- Regular e-mail exchanges with donor countries;
- Regular e-mail contact with FAO and WHO Headquarters, Regional and Country Office staff to coordinate Trust Fund technical and administrative issues;
- Posting of news, updates and new documents on the Codex Trust Fund website;
- Dissemination of reports on assessments, evaluations, reviews, trainings etc. through the Codex-L and Codex-Direct and on the Codex Trust Fund website.

#### **2) *Physical meetings and events* -**

- Discussion on the Codex Trust Fund during the official proceedings of the CCEXEC and CAC (Codex Trust Fund agenda item);
- Regular meeting with beneficiary countries attending the CAC;



- Physical meetings with donor country representatives attending the annual Codex Alimentarius Commission;
- Annual "side event" on the Codex Trust Fund scheduled on the margins of the Codex Alimentarius Commission for exchange with all stakeholder groups;
- Pre-meeting briefings and/or workshops/training sessions for beneficiary countries prior to some Codex meetings;

### 3) *Reports, Advocacy and Communication Documents* -

- Bi-annual progress reports;
- Annual reports;
- Updates on liquidity forecast and annual reporting to Trust Fund donors;
- Ad hoc documents as required (e.g. for fundraising, for Codex meetings);
- Codex Trust Fund one-page flyer.

## C. FINANCIAL SECTION

### 2003-2008

To provide a complete financial picture, the following information covers the full period since the Codex Trust Fund was initiated. During the period from January 2003 to December 2008, a total of USD 7,475,532 was received as contributions from 14 Member countries and the European Community. Table 5 below shows a breakdown of contributions received 2003-2008 by donor and month of receipt.

**Table 5 - Codex Trust Fund -- Contributions Received (2003-2008)  
(to December 2008)**

<b>Donor</b>	<b>Amount Received (in contribution currency)</b>	<b>Amount Received (in thousand USD)</b>	<b>Date Received</b>
Switzerland	CHF 50,000	35,971	January 2003
Canada	CAD 50,000	34,014	April 2003
United States	USD 168,000	168,000	November 2003
Norway	USD 100,000	100,000	December 2003
Ireland	EUR 50,000	60,824	December 2003
Netherlands	USD 50,000	50,000	December 2003
Switzerland	CHF 25,000	20,000	January 2004
European Community	EUR 280,250	348,570	February 2004
Canada	CAD 200,000	150,344	February 2004
Australia	AUD 40,000	27,906	August 2004
Sweden	SEK 2,000,000	281,960	November 2004
Netherlands	USD 50,000	50,000	November 2004
Ireland	EUR 30,000	39,788	December 2004
New Zealand	NZD 50,000	35,770	December 2004
Norway	USD 100,000	100,000	December 2004
United States	USD 85,000	85,000	December 2004
Germany	USD 50,000	50,000	January 2005
European Community	EUR 280,250	366,340	February 2005
Canada	CAD 200,000	163,586	March 2005
Sweden	SEK 3,000,000	381,194	November 2005
Netherlands	USD 50,000	50,000	November 2005
United States	USD 157,893	157,893	December 2005
Norway	USD 100,000	100,000	December 2005
Finland	EUR 50,000	58,824	December 2005
Japan	USD 80,000	80,000	February 2006
European Community	EUR 190,000	229,746	April 2006
Canada	CAD 200,000	175,362	April 2006
Netherlands	USD 50,000	50,000	May 2006
Sweden	SEK 3,000,000	416,089	July 2006

New Zealand	NZD	50,000	33,040	December	2006
Norway	USD	100,000	100,000	December	2006
Germany	USD	66,250	66,250	December	2006
United States	USD	60,292	60,293	December	2006
Switzerland	CHF	200,000	163,934	January	2007
Switzerland	CHF	68,000	55,738	January	2007
Japan	USD	80,000	80,000	March	2007
Sweden	SEK	3,000,000	441,000	July	2007
Germany	EUR	30,000	41,004	August	2007
Japan	USD	50,000	50,000	September	2007
Netherlands	EUR	50,000	73,746	December	2007
Sweden	SEK	3,000,000	453,210	December	2007
European Community	EUR	3004.75	4,262	January	2008
United States	USD	175,000	175,000	February	2008
European Community	EUR	210,000	310,651	February	2008
Japan	USD	80,000	80,000	March	2008
Canada	CAD	125,000	127812	March	2008
New Zealand	NZD	50,000	39,475	April	2008
Australia	AUD	50,000	48,040	June	2008
Malaysia	USD	10,000	10,000	August	2008
European Community	EUR	200,000	278,551	September	2008
Japan	USD	50,000	50,000	October	2008
United States	USD	666,220	666,220	October	2008
France	USD	100,000	100,000	November	2008
Norway	NOK	712,000	100,125	December	2008
<b>Total</b>			<b>7,475,532</b>		

In 2008 Malaysia became the first country with an economy classified as upper middle income to move from the status of beneficiary country to that of donor country with a first contribution to the Codex Trust Fund. Malaysia has announced its intention to continue to contribute to the Codex Trust Fund every year for the duration of the Trust Fund lifespan. A number of high income OECD and non-OECD countries can be considered potential donors to the Trust Fund and it is hoped that many countries will follow the example of Malaysia in providing support to the Trust Fund.

Expenditure from the Codex Trust Fund from 2003 to 2008 totalled USD 5,951,417 (including Program Support Costs) and was made up as follows:

**Table 6 - Breakdown of Codex Trust Fund total expenditure  
(as at 31 December 2008)**

<b><i>Support to beneficiary countries</i></b>	
Least Developed and Low Income Countries	2,739,466
Lower and Upper Middle Income Countries	1,883,958
Training and technical support	470,815
<b><i>Project management and administration</i></b>	<b>857,178</b>
<b>Total (in USD)</b>	<b>5,951,417</b>

### **2009 Projections**

The balance of funds carried forward to 2009 was USD 1,524,115. This carryover, together with the approximately USD 276,078 contribution received in March 2009 from Ireland, EUR 60,000 contribution from 2008 still expected from the Netherlands and pledges for 2009 from Malaysia, the Netherlands, and Sweden will total approximately USD 3,065,987. Based on this projection, the provisional budget for the Codex Trust Fund for 2009 was established by the Consultative Group for the Trust Fund at \$2.2 million. It is expected that this sum will allow support of around 300 participants from 85 countries to attend 18 Codex meetings and Task Forces, as well as provide support to attend Codex training courses. It will also allow for sufficient carryover to support participation in Codex meetings by eligible countries in the first quarter of 2010.

**Annex A - Composition and Functions of the Consultative Group  
for the Trust Fund (CGTF) - December 2008**

WHO/Geneva

- Dr Jørgen Schlundt, Director, Department of Food Safety, Zoonoses and Foodborne Diseases (Chairperson)
- Mrs Catherine Mulholland, Administrator, Codex Trust Fund

WHO/Rome

- Dr Hilde Kruse, Regional Food Safety Adviser, WHO Regional Office for Europe, Rome

FAO/Rome

- Dr Ezzeddine Boutrif, Director, Division of Nutrition and Consumer Protection
- Ms. Mary Kenny, Nutrition Officer, Food Quality and Standards Services
- Ms Dominique di Biase, Senior Programme Officer, Field Programme Development Service, Technical Cooperation Department
- Mr Antonio Tavares, Legal Officer, Office of the Legal Counsel

Codex Secretariat/Rome

- Dr Kazuaki Miyagishima, Secretary, Codex Alimentarius Commission, Joint FAO/WHO Food Standards Programme

**CGTF Functions**

- To provide strategic guidance to the Project;
- To establish relevant guidelines and criteria as a framework for Project activities;
- To monitor that such guidelines and criteria are followed by the Project and that reporting requirements are met;
- To monitor the coherence and complementarity of Project activities with respect to other funding mechanisms dealing with similar issues; and
- To monitor the Project's progress and evaluate achievements.

**Annex B - Eligible countries by Codex regions 2008 (As at August 2007)**

<b>Africa (44 countries)</b>		
Angola	Gabon	Namibia
Benin	Gambia	Niger
Botswana	Ghana	Nigeria
Burkina Faso	Guinea	Rwanda
Burundi	Guinea-Bissau	Senegal
Cameroon	Kenya	Seychelles
Cape Verde	Lesotho	Sierra Leone
Central African Republic	Liberia	South Africa
Chad	Madagascar	Swaziland
Congo, Republic of	Malawi	Togo
Côte d'Ivoire	Mali	Uganda
Democratic Republic of the Congo	Mauritania	United Republic of Tanzania
Equatorial Guinea	Mauritius	Zambia
Eritrea	Morocco	Zimbabwe
Ethiopia	Mozambique	
<b>Asia (18 countries)</b>		
Afghanistan	Indonesia	Philippines
Bangladesh	Lao People's Democratic Republic	Sri Lanka
Bhutan	Malaysia	Thailand
Cambodia	Mongolia	Vietnam
China	Myanmar	
Democratic People's Republic of Korea	Nepal	
India	Pakistan	
<b>Europe (21 countries)</b>		
Albania	Hungary	Slovak Republic
Armenia	Kazakhstan	Former Yugoslav Republic of Macedonia
Belarus	Kyrgyzstan	Turkey
Bulgaria	Latvia	Ukraine
Croatia	Moldova, Republic of	Uzbekistan
Czech Republic	Romania	
Estonia	Russian Federation	
Georgia	Republic of Serbia	
<b>Latin America and the Caribbean (29 countries)</b>		
Argentina	El Salvador	Saint Kitts and Nevis
Barbados	Grenada	Saint Lucia
Belize	Guatemala	Saint Vincent and the Grenadines
Bolivia	Guyana	Suriname
Brazil	Haiti	Trinidad and Tobago
Chile	Honduras	Uruguay
Colombia	Jamaica	Venezuela
Cuba	Mexico	
Dominica	Nicaragua	
Dominican Republic	Paraguay	
Ecuador	Peru	
<b>Near East (12 countries)</b>		
Algeria	Jordan	Sudan
Egypt	Lebanon	Syrian Arab Republic
Iran, Islamic Republic of	Libyan Arab Jamahiriya	Tunisia
Iraq	Oman	Yemen
<b>South-West Pacific (9 countries)</b>		
Cook Islands	Micronesia, Federated States of	Solomon Islands
Fiji	Papua New Guinea	Tonga
Kiribati	Samoa	Vanuatu

## Annex C Grouping of countries eligible to submit applications for 2008 support

**133 countries in total** (as at August 2007)

Afghanistan moved from Group 1B to Group 1A (LDC status). Cameroon, Republic of Congo, Republic of Moldova and Nicaragua moved from Group 1B to Group 2. Romania moved from Group 2 to Group 3B. Mauritius, Malaysia, and Oman moved from Group 3A to Group 3B. Antigua and Barbuda is no longer eligible in 2008 due to high income status.

### GROUP 1 (56 countries)

<b>Group 1A – Least Developed Countries (LDC)</b> <i>As listed by the Least Developed Countries Report 2007 (UNCTAD)</i>	<b>Group 1B – Other Low Income Countries (LIC)</b> <i>Listed as LIC by the World Development Report 2007 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2006 (UNDP) *except Democratic People's Republic of Korea for which Human Development Index cannot be computed</i>
<b>Africa</b> Angola Benin Burkina Faso Burundi Cape Verde Central African Republic Chad Democratic Republic of the Congo Equatorial Guinea Eritrea Ethiopia Gambia Guinea Guinea-Bissau Lesotho Liberia Madagascar Malawi Mali Mauritania Mozambique Niger Rwanda Senegal Sierra Leone Togo Uganda United Republic of Tanzania Zambia	<b>Africa</b> Côte d'Ivoire Ghana Kenya Nigeria Zimbabwe
<b>Asia</b> Afghanistan Bangladesh Bhutan Cambodia Lao Peoples Democratic Republic Myanmar Nepal	<b>Asia</b> *Democratic People's Republic of Korea India Mongolia Pakistan Viet Nam
<b>Europe</b> -	<b>Europe</b> Uzbekistan Kyrgyzstan
<b>Latin America and the Caribbean</b> Haiti	<b>Latin America and the Caribbean</b>

<b>Near East</b> Sudan Yemen	<b>Near East</b>
<b>South-West Pacific</b> Kiribati Samoa Solomon Islands Vanuatu	<b>South-West Pacific</b> Papua New Guinea
<b>43 countries</b>	<b>13 countries</b>

**GROUP 2 (46 countries)**

<p><i>Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2007 (World Bank) and Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2006 (UNDP).</i></p> <p><i>*except Cook Islands which is unlisted in both reports and Serbia which appears as Serbia Montenegro in WDR 2007 and Serbia, Iraq and Federated States of Micronesia for which Human Development Index cannot be computed.</i></p>
<p><b>Africa</b> Cameroon Congo, Republic of Morocco Namibia Swaziland</p>
<p><b>Asia</b> China Indonesia Philippines Sri Lanka Thailand</p>
<p><b>Europe</b> Albania Armenia Belarus Bulgaria Georgia Kazakhstan Moldova, Republic of *Republic of Serbia The Former Yugoslav Republic of Macedonia Ukraine</p>
<p><b>Latin America and the Caribbean</b> Bolivia Brazil Colombia Cuba Dominican Republic Ecuador El Salvador Guatemala Guyana Honduras Jamaica Nicaragua Paraguay Peru Suriname</p>
<p><b>Near East</b> Algeria Egypt *Iraq Iran, Islamic Republic of Jordan</p>

Syrian Arab Republic Tunisia
<b>South-West Pacific</b> *Cook Islands Fiji *Micronesia, Federated States of Tonga
<b>46 countries</b>

**GROUP 3 (31 countries)**

<b>Group 3A</b> <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2007 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2006 (UNDP).</i>	<b>Group 3B</b> <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2007 (World Bank) and High Human Development (HHD) in the Human Development Report 2006 (UNDP).</i>
<b>Africa</b> Botswana Gabon South Africa	<b>Africa</b> Mauritius Seychelles
<b>Asia</b>	<b>Asia</b> Malaysia
<b>Europe</b> Russian Federation Turkey	<b>Europe</b> Croatia Czech Republic Estonia Hungary Latvia Romania Slovak Republic
<b>Latin America and the Caribbean</b> Belize Dominica Grenada Saint Lucia Saint Vincent and the Grenadines Venezuela	<b>Latin America and the Caribbean</b> Argentina Barbados Chile Mexico Saint Kitts and Nevis Trinidad and Tobago Uruguay
<b>Near East</b> Lebanon Libyan Arab Jamahiriya	<b>Near East</b> Oman
<b>South-West Pacific</b> -	<b>South-West Pacific</b> -
<b>13 countries</b>	<b>18 countries</b>

**"Graduates" of the Codex Trust Fund<sup>910</sup>**

<b>January 2007</b>	Costa Rica Lithuania Panama Poland
<b>Total number of graduating countries</b>	<b>4 countries</b>

<sup>9</sup> According to the matched funding requirements established at the inception of the Codex Trust Fund (see [http://www.who.int/foodsafety/codex/en/proj\\_doc\\_e.pdf](http://www.who.int/foodsafety/codex/en/proj_doc_e.pdf)) a gradual increase in the financial participation of countries will take place as the countries move through the cycle of Codex Trust Fund support. The first countries from Group 3B who have received support for 3 years (full support in the first year, 50% support in the second and third years) are expected to be fully self-funding by the fourth year.

<sup>10</sup> Revised according to updated participation database

**Annex D - Countries supported by the Codex Trust Fund, January-December 2008**

<b>Meeting</b>	<b>Country</b>
8 <sup>th</sup> Codex Committee on Milk and Milk Products, Queenstown, New Zealand, 4-8 February 2008	Indonesia Iran, Islamic Republic of Mongolia Nepal
8 <sup>th</sup> Codex Committee on Natural Mineral Waters, Lugano, Switzerland, 11 – 15 February 2008	Niger Sri Lanka
29 <sup>th</sup> Codex Committee on Fish and Fishery Products, Trondheim, Norway, 18-23 February 2008	Angola Cambodia Cape Verde Kenya Mauritania Togo
1 <sup>st</sup> Ad hoc Codex Intergovernmental Task Force on the Processing and Handling of Quick Frozen Foods, Bangkok, Thailand, 25-29 February 2008	Uzbekistan
29 <sup>th</sup> Codex Committee on Methods of Analysis and Sampling, Budapest, Hungary, 10-14 March 2008	Democratic People's Republic of Korea Iran, Islamic Republic of Madagascar Mozambique Zambia Zimbabwe
2 <sup>nd</sup> Codex Committee on Contaminants in Foods, The Hague, The Netherlands, 31 March-4 April 2008	China Cook Islands Côte D'Ivoire Eritrea Georgia Ghana Kenya Niger Nigeria United Republic of Tanzania Viet Nam
40 <sup>th</sup> Codex Committee on Pesticide Residues, Hangzhou, China, 14-19 April 2008	Burundi Cameroon Côte D'Ivoire Cuba Democratic People's Republic of Korea Ecuador Guinea Bissau Haiti Malawi Mali Pakistan Senegal Sierra Leone United Republic of Tanzania Togo Uganda
40 <sup>th</sup> Codex Committee on Food Additives, Beijing, China, 21-25 April 2008	Albania Algeria Bosnia Herzegovina Dominican Republic Democratic People's Republic of Korea Gambia Indonesia The Former Yugoslav Republic of Macedonia Madagascar Mali



	<p>Myanmar  Republic of Serbia  Sierra Leone  Syrian Arab Republic  Uganda  Uzbekistan</p>
<p>36<sup>th</sup> Codex Committee on Food Labelling,  Ottawa, Canada, 28 April-2 May 2008</p>	<p>Bolivia  Egypt  Gambia  Ghana  Guyana  Jamaica  Lao Peoples Democratic Republic  Lesotho  Madagascar  Mauritania  Mozambique  Nepal  Nicaragua  Philippines  Saint Lucia  Samoa  Swaziland  Tunisia  Vanuatu  Viet Nam  Zambia</p>
<p>14<sup>th</sup> Codex Committee on Fresh Fruits and  Vegetables, Mexico City, Mexico, 12-17 May  2008</p>	<p>Angola  Bhutan  Colombia  Cuba  Fiji  Haiti  India  Iran, Islamic Republic of  Mali  Pakistan  Peru  Sierra Leone</p>
<p>31<sup>st</sup> Codex Alimentarius Commission, Geneva,  Switzerland, 30 June-4 July 2008</p>	<p>Armenia  Bhutan  Cuba  Democratic Republic of the Congo  El Salvador  Guatemala  Lao Peoples Democratic Republic  Lesotho  Morocco  Niger  Papua New Guinea  Paraguay  Peru  Sudan  Suriname  Togo  Zambia  Zimbabwe</p>
<p>24<sup>th</sup> Codex Committee on Processed Fruits and  Vegetables, Arlington, VA (Washington DC  metro area), U.S.A., 15-20 September 2008</p>	<p>Albania  Cook Islands  Côte D'Ivoire  Ecuador  Ethiopia  Fiji</p>

	Guinea Madagascar Niger Nigeria Samoa
26 <sup>th</sup> FAO/WHO Coordinating Committee for Europe, Warsaw, Poland, 7-10 October 2008	Armenia Bosnia Herzegovina Bulgaria Czech Republic Georgia Moldova, Republic of Republic of Serbia Turkey
2 <sup>nd</sup> Ad hoc Codex Intergovernmental Task Force on Antimicrobial Resistance, Seoul, Republic of Korea, 20-24 October 2008	Kenya The Former Yugoslav Republic of Macedonia
10 <sup>th</sup> FAO/WHO Coordinating Committee for North America and South West Pacific, Nuku'alofa, Tonga, 28-31 October 2008	Cook Islands Micronesia, Federated States of Samoa Solomon Islands Vanuatu
30 <sup>th</sup> Codex Committee on Nutrition and Foods for Special Dietary Uses, Cape Town, South Africa, 3-7 November 2008	Egypt Ethiopia Gambia Ghana Guinea Kenya Mongolia Niger Sudan Zimbabwe
16 <sup>th</sup> FAO/WHO Coordinating Committee for Latin America and the Caribbean, Acapulco, Mexico, 10-14 November 2008	Bolivia Dominica Dominican Republic El Salvador Guatemala Haiti Honduras Jamaica Nicaragua Saint Lucia Suriname
16 <sup>th</sup> FAO/WHO Coordinating Committee for Asia, Denpasar, Indonesia, 17-21 November 2008	Afghanistan Bhutan Cambodia Cook Islands Democratic People's Republic of Korea Indonesia Lao Peoples Democratic Republic Maldives Mongolia Myanmar Papua New Guinea Philippines Thailand Viet Nam
17 <sup>th</sup> Codex Committee on Food Import and Export Inspection and Certification Systems, Cebu, Philippines, 24-28 November 2008	Belize Bhutan Cape Verde Ecuador Egypt Fiji

	Honduras Jamaica Malawi Papua New Guinea Paraguay Solomon Islands Swaziland
40 <sup>th</sup> Codex Committee on Food Hygiene, Guatemala City, Guatemala, 1-5 December 2008	Croatia Democratic Republic of the Cong El Salvador Honduras Indonesia Lao People's Democratic Republic Malawi Mali Nicaragua Nicaragua Papua New Guinea Peru Philippines Republic of Serbia Turkey

**PART 2****FAO/WHO PROJECT AND FUND FOR  
ENHANCED PARTICIPATION IN CODEX****11<sup>th</sup> Progress Report  
(January-June 2009)****A. INTRODUCTION**

This is the Eleventh Progress Report on the FAO/WHO Project and Fund for Enhanced Participation in Codex (Codex Trust Fund). It covers activities for the first six months of 2009 and follows on from the information contained in the 2008 Annual Report.

**B. TECHNICAL SECTION*****2009 Applications***

Countries eligible for support from the Codex Trust Fund are required to submit a yearly application form which is due on 31 October of each calendar year. In order to be considered for support a country's application form must:

- 1) be the result of a national consultative process involving relevant Codex stakeholders and showing evidence of coordination between at least the ministries of health and agriculture;
- 2) demonstrate that the country has gone through a prioritization process and given rationale for the choice of Codex meetings prioritized;
- 3) be submitted by the officially designated Codex Contact Point.

If the country has received support in the previous year, all participant reports for the reporting period must have been received by the Trust Fund.

Applications are reviewed on the basis of the above criteria and decisions on support are taken by the Consultative Group for the Trust Fund in a meeting held in December of each calendar year. All countries are informed of the result of their application for support by end December/beginning January of each calendar year.

As in the past, the "Call for Applications" for support in 2009 was made widely available in September 2008 using the following channels: Codex e-mail lists; WHO and FAO regional offices and representatives in countries, WHO, FAO and Codex Secretariat websites, WHO and FAO newsletters. In order to continue targeting eligible countries who have never benefited from Codex Trust Fund support, WHO regional office focal points followed up with government officials in these countries to ensure that they were aware of the existence of the Trust Fund, their eligibility, and the process to be followed to request support.

Applications for support were received from a total of 96 countries. Of these, four countries have never applied before and an additional 12 countries did not benefit from support in 2008.

Those submitting applications that are no longer eligible for support in 2009 are as follows:

- "Graduates" from Group 2<sup>11</sup>: Bolivia, Colombia, Cuba, Dominican Republic, Guatemala, Iran, Morocco, Peru, Tunisia
- "Graduates" from Group 3b<sup>12</sup>: Croatia.

Those submitting applications who qualify for an extra year of support at 50% as small island developing states (population below 1 million):

- Group 2: Cook Islands, Tonga
- Group 3a: Belize.

<sup>11</sup>They have benefited from CTF support for 5 years: Years 1, 2 and 3 at 100%, years 4 and 5 at 50%. They are expected to be fully self-supporting in 2009 according to the matched funding table.

<sup>12</sup>They have benefited from CTF support for 3 years: Year 1 at 100%, years 2 and 3 at 50%. They are expected to be fully self-supporting in 2009 according to the matched funding table.

A number of countries applied for and became members of Codex in 2009 as follows:

- Montenegro 15 January 2009 (awaiting application)
- Comoros 15 January 2009 (awaiting application)
- Tajikistan 18 February 2009 (application received)
- Djibouti 19 March 2009. (awaiting application)

All of these new Codex members are eligible for support from the Trust Fund. As new members they may submit an application for support at any time during their first year of membership (the application should be received by the Codex Trust Fund at least 9 weeks before the start of any Codex meeting listed as one of the country priorities). The Codex Trust Fund has contacted all new Codex members to apprise them of the existence of the Trust Fund, to give them information on how it works, and to invite them to submit an application.

Of the 86 eligible countries who submitted applications for support in 2009, the breakdown is as follows:

- 39 countries from Group 1a;
- 14 countries from Group 1b;
- 24 countries from Group 2;
- 8 countries from Group 3a;
- 1 country from Group 3b.

Breakdown of applications received according to Codex region is as follows:

**Table 1 - Breakdown of applications received for support in 2009 by Codex Region**

<b>Codex Region</b>	<b>No. of countries eligible<sup>13</sup></b>	<b>No. of applications received</b>	<b>% of eligible countries applying</b>
Africa	43	36	84%
Asia	19	14	74%
Europe	20	13	65%
Latin America & the Caribbean	23	16	70%
Near East	12	8	67%
South West Pacific	9	9	100%
<b>Total for 2009</b>	<b>126</b>	<b>96</b>	<b>76%</b>

Given the number of applications received from the different country sub-groupings, and the liquidity forecast for 2009, at its 14<sup>th</sup> meeting held by teleconference on 15 December 2008, the Consultative Group for the Codex Trust Fund determined the level of support to be offered in 2009 as follows:

Group 1a	5 meetings
Group 1b	4 meetings
Group 2	3 meetings
Group 3a	2 meetings
Group 3b	1 meeting

#### **Progression through the Codex Trust Fund - meeting the matched funding requirement**

Thirty-nine (39) countries are on matched funding status in 2009. This is the first calendar year that countries from Groups 1a (least developed) and 1b (low income and low or medium human development) are obliged to respond to the matched funding status requirement. Countries can meet their requirement in a number of ways:

- 1) For every participant supported to attend a Codex meeting by the Trust Fund, the country uses national resources to support an additional participant to the same meeting;

<sup>13</sup> No. of countries eligible includes 2009 graduates as lists of eligible countries established prior to 2009 application process and deadline.

2) For every meeting to which the Trust Fund supports a participant, the country uses national resources to support a participant to another Codex meeting;

3) The Trust Fund and the country support participation using a "cost-sharing" arrangement with the Trust Fund supporting part of the cost of participation (e.g. ticket cost or per diem cost) and the national government supporting the other part.

Where countries are not in a position to meet the matched funding requirement in 2009, often due to the fact that planning was not undertaken to secure government resources for participation in Codex meetings, the Codex Trust Fund reduces by approximately 50% the support that is provided to the country for the calendar year.

Information on how countries who are on 50% matched funding status in 2009 are planning on meeting the matched funding requirement this year will be given in a Conference Room Document (CRD) at the CAC.

Table 2 below lists all countries who have graduated from the Codex Trust Fund as at 31 December 2008. The table shows their progression through the matched funding status (years where 50% shows) to full graduation (year where 100% shows to indicate that they are expected to be fully self-supporting).

**Table 2 Progression in matched funding status of graduates of the Codex Trust Fund<sup>14</sup>**

<i>Country (Graduate)</i>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>
Antigua and Barbuda	NA	0%	50%	50%	100%	100%
Argentina	NA	0%	50%	50%	100%	100%
Bolivia	0%	0%	0%	50%	50%	100%
Chile	0%	50%	NA	50%	100%	100%
Colombia	0%	0%	0%	50%	50%	100%
Costa Rica	0%	50%	50%	100%	100%	100%
Croatia	NA	NA	0%	50%	50%	100%
Cuba	0%	0%	0%	50%	50%	100%
Dominican Republic	0%	0%	0%	50%	50%	100%
Ecuador	0%	0%	0%	50%	50%	100%
El Salvador	0%	0%	0%	50%	50%	100%
Guatemala	0%	0%	0%	50%	50%	100%
Iran, Islamic Republic of	0%	0%	0%	50%	50%	100%
Lithuania	0%	50%	50%	100%	100%	100%
Mexico	NA	0%	50%	50%	100%	100%
Morocco	0%	0%	0%	50%	50%	100%
Panama	0%	50%	50%	100%	100%	100%
Paraguay	0%	0%	0%	50%	50%	100%
Peru	0%	0%	0%	50%	50%	100%
Poland	0%	50%	50%	100%	100%	100%
Seychelles	NA	0%	50%	50%	100%	100%
Tunisia	0%	0%	0%	50%	50%	100%
Uruguay	0%	NA	50%	50%	100%	100%

**0%** = fully supported by the Trust Fund

**50%** = expected to match the support of the Trust Fund at 50%

**100%** = country is expected to be fully self-supporting

**NA** = no attendance in Codex meetings supported by the Trust Fund in the calendar year in question therefore year does not count in matched funding progression

For these same set of countries who have graduated from the Trust Fund, Table 3 below gives information on how they met their 50% matched funding requirement for the relevant calendar year. In order to track this, only Codex meetings (including Codex committees, task forces and regional coordinating committees but

<sup>14</sup> Graduates 2007 – 2008 who applied for support from the Codex Trust Fund

excluding working groups) countries attended using national resources, or sources of support other than the Codex Trust Fund, have been included in the table. Participant lists included in official reports of Codex meetings were used as the source for this information.

**Table 3 How Codex Trust Fund graduates met the 50% matched funding requirement**  
(*FM* = Fully Matched, *PM* = Partially Matched, *NM* = Not Matched)

Country (Graduate)	2004	2005	2006	2007	2008
<b>Antigua and Barbuda</b> <i>Group 3a</i>	No Application	0%	(FM) CCFH38th CCLAC15th	(NM) No Meeting Attended	Graduate
<b>Argentina</b> <i>Group 3b</i>	No Application	0%	(FM) CCMAS27th CCFL34th CCGP23rd CCPR38th CCMMP7th CCLAC15th CCFICS15th CCFFV13th	(FM) CAC30th CCPR39th CCFL35th CCGP24th CCMAS28th CCFO20th CCFICS16th CCNFSDU29th CCFH39th CCRVD17th	Graduate
<b>Bolivia</b> <i>Group 2</i>	0%	0%	0%	(FM) CCNFSDU29th CAC30th	(PM) CCLAC16th
<b>Chile</b> <i>Group 3b</i>	0%	(FM) CAC28th CCFFV12th CCFL23rd CCFAC37th CCPR37th CCGP22nd CCMAS26th CCFFP27th CCFICS14th CCNFSDU27th	No Meeting Attended	(FM) CAC30th CCPR39th CCFL35th CCGP24th CCFICS16th CCNFSDU29th	Graduate
<b>Colombia</b> <i>Group 2</i>	0%	0%	0%	(FM) CAC30th CCFL35th CCFO20th CCRVD17th	(FM) CCFL36th CAC31st CCLAC16th
<b>Costa Rica</b> <i>Group 3b</i>	0%	(FM) CAC28th CCFFV12th CCPR37th CCFH37th CCMH11th CCFICS14th CCNFSDU27th	(FM) CCRVD16th CCFAC38th CCGP23rd CCPR38th CCFH38th CCFL15th CCNFSDU28th CCPFV23rd	Graduate	Graduate
<b>Croatia</b> <i>Group 3b</i>	No Application	No Application	0%	(FM) CAC30th CCGP24th CCEURO25th	(FM) CCNMW8th CCEURO26th
<b>Cuba</b> <i>Group 2</i>	0%	0%	0%	(FM) CAC30th CCFL35th CCFA39th CCCF1st CCMAS28th CCFICS16th CCFH39th	(FM) CAC31st CCFFV14th CCFL36th CCCF2nd CCMAS29th CCFFP29th CCNMW8th CCFH40th CCFICS17th CCLAC16th
<b>Dominican</b>	0%	0%	0%	(FM)	(FM)

Country (Graduate)	2004	2005	2006	2007	2008
<b>Republic Group 2</b>				CAC30th CCFL35th CCRVDF17th	CAC31st CCFH40th CCPFV24th
<b>Ecuador Group 2</b>	0%	0%	0%	(FM) CAC30th CCFA39th CCCF1st	(FM) CAC31st CCPFV24th CCLAC16th
<b>El Salvador Group 2</b>	0%	0%	0%	(PM) CAC30th	(PM) CAC31st CCFH40th
<b>Guatemala Group 2</b>	0%	0%	0%	(FM) CAC30th CCNFSDU29th	(FM) CAC31st CCFH40th CCLAC16th
<b>Iran, Islamic Republic of Group 2</b>	0%	0%	0%	(FM) CAC30th CCCF1st CCNE4th CCFH39th	(FM) CAC31st CCFH2nd CCFFP29th CCMMP8th CCFICS17th CCNFSDU30th CCPR40th
<b>Lithuania Group 3b</b>	0%	(FM) CAC28th CCFAC37th CCGP22nd CCMAS26th CCMH11th	(FM) CAC29th CCRVDF16th CCFAC38th CCGP23rd CCFICS15th	Graduate	Graduate
<b>Mexico Group 3b</b>	No Application	0%	(FM) CAC29th CCRVDF16th CCFL34th CCFAC38th CCGP23rd CCMMP7th CCFH38th CCLAC15th CCFICS15th CCNFSDU28th CCFFV13th CCFFP28th	(FM) CAC30th CCPR39th CCFL35th CCFA39th CCGP24th CCFICS16th CCNFSDU29th CCFH39th CCRVDF17th	Graduate
<b>Morocco Group 2</b>	0%	0%	0%	(FM) CAC30th CCPR39th CCFL35th CCGP24th CCFO20th CCAFRICA17th CCNFSDU29th CCFH39th	(FM) CAC31st CCFL36th CCPR40th CCCF2nd CCMAS29th CCFFP29th CCNMW08th CCFH40th CCFICS17th
<b>Panama Group 3a</b>	0%	(FM) CCFFV12th CCGP22nd	(FM) CAC29th CCGP23rd CCLAC15th	Graduate	Graduate
<b>Paraguay Group 2</b>	0%	0%	0%	(FM) CAC30th CCFL35th CCGP24th	(FM) CAC31st CCFL36th CCFA40th CCPFV24th CCLAC16th
<b>Peru Group 2</b>	0%	0%	0%	(PM) CAC30th	(PM) CAC31st CCLAC16th
<b>Poland Group 3b</b>	0%	(FM) CAC28th	(FM) CAC29th	Graduate	Graduate



Country (Graduate)	2004	2005	2006	2007	2008
		CCFFV12th CCFL33rd CCFAC37th CCPR37th CCGP22nd CCMAS26th CCFO19th CCNFSDU27th	CCMAS27th CCFAC38th CCGP23rd CCNFSDU28th CCPFV23rd CCFFV13th CCFFP28th		
<b>Seychelles Group 3b</b>	No Application	0%	(NM) No Meeting Attended	(NM) No Meeting Attended	Graduate
<b>Tunisia Group 2</b>	0%	0%	0%	(FM) CAC30th CCCFL35th CCGP24th CCNE4th CCFO20th	(FM) CAC31st CCCF2nd CCNMW8th
<b>Uruguay Group 3b</b>	0%	No Application	(FM) CCMMP7th CCLAC15th	(FM) CAC30th CCRVD17th	Graduate

### 2009 Meeting Participation

For the period January to December 2009 it is expected that 320 delegates from 86 countries will be supported to attend 16 Codex meetings and task forces. The breakdown of participants is expected to be: 73% from Least Developed Countries (LDCs) and other lower income countries; 22% from lower middle income countries; and 5% from upper middle income countries. Annex B provides details of the meetings selected by countries for support in 2009 (based on country applications and additional information available at the time of writing).

### Codex training

Codex training courses have now been organized and run in most regions and this has helped train up 1-2 officials in most Codex Trust Fund eligible countries to enhance their participation in Codex at national, regional and global levels. A final regional Codex training will be organized for Codex Trust Fund eligible countries in the Codex Near East region in 2009. This regional Codex training will take place in the second half of 2009 and the venue is still being determined.

The Codex Trust Fund supported 18 participants from 18 countries to participate in an FAO/WHO workshop on risk analysis which took place in Accra, Ghana on 23 February 2009 immediately preceding the 17<sup>th</sup> Session of the FAO/WHO Coordinating Committee for Africa.

Following the strategic action plan of the Codex Trust Fund, Codex training aimed at further enhancing country and individual participation in Codex will continue to be carried out at a sub-regional and national level. This will allow for more tailored Codex training to respond to specific needs of countries and groups of countries in the same region who have similar levels of Codex development and/or face similar difficulties and challenges in strengthening their participation in Codex. This approach to Codex training supported by the Codex Trust Fund was discussed with representatives of FAO, WHO and countries of the Codex African Region at the 17<sup>th</sup> Session of the FAO/WHO Coordinating Committee for Africa in the context of the Strategic Plan for CCAFRICA. Among the proposals made were the following:

- FAO/WHO to organize seminars/workshops on food safety and matters of concern to the Codex African region in conjunction with sessions of CCAFRICA;
- FAO/WHO with support from the Codex Trust Fund to identify needs and draw up implementation plan for Codex capacity-building in Codex African region for 2009-2010.

Follow up planning is currently being undertaken by the Codex Trust Fund, WHO and FAO.

Also in line with the strategic action plan, the Codex Trust Fund is undertaking a landscape analysis of Codex capacity-building. Countries and organizations who are involved in providing training and other capacity-building activities aimed at enhancing participation of individuals and countries in Codex will be contacted by the Codex Trust Fund Secretariat to participate. An on-line questionnaire has been developed

using DataCol to collect and analyse data and information received. Those participating may be contacted for a follow-up telephone interview as relevant. A report will be prepared with the results of the landscape analysis. The report will be shared with Codex Trust Fund stakeholders and will be posted on the Codex Trust Fund website. The information gathered will also be fed into the wider FAO/WHO/OIE/ICD<sup>15</sup> initiative on "Capacity Building in Food Safety, Water Safety and Nutrition through Training and Education."

### ***Reporting, monitoring and evaluation***

In response to the recommendations of the analysis of reports received from participants for the period August 2005-July 2007 (Gossner 2008<sup>16</sup>), the Codex Trust Fund Secretariat redesigned the format to be used to guide Codex Trust Fund-supported participants in preparing and submitting their reports on meetings attended. In order to improve the quality and timely submission of reports, the comparability of data and information provided, and the use of this data for timely feedback as well as monitoring and evaluation purposes, it was decided to use Datacol software to develop an on-line questionnaire format for reporting. The new reporting format is currently being piloted with participants supported by the Codex Trust Fund to attend Codex meetings in the first half of 2009. Feedback will then be incorporated into the reporting format and it is anticipated that all participants will be submitting their reports using the new format by the end of 2009. Codex Trust Fund stakeholders who are interested in seeing the new reporting format and/or providing their feedback are cordially invited to contact the Codex Trust Fund Secretariat at [codextrustfund@who.int](mailto:codextrustfund@who.int).

At the time of writing, an analysis of reports received from participants for the period August 2007-December 2008 was being finalized (Dimechkie 2009 forthcoming). The study was undertaken using the same methodology as in Gossner 2008 to allow for comparison of parameters between the two reporting periods. In addition, the study focuses on detecting regional trends as well as any links between participants' years of experience attending the Codex meetings and the quality of report responses. Results will be used to:

- further guide the Codex Trust Fund in the design and administration of the reporting instrument;
- sharing and managing the knowledge that results among different Codex Trust Fund stakeholders;
- capturing information from the participant reports for the purposes of monitoring and evaluation.

Other activities that have been or will be initiated in 2009 that are aimed at further implementing the monitoring of the Codex Trust Fund as outlined in the strategic action plan include:

- Tracking sustainability of participation in Codex meetings. Many countries have moved on to 50% matched funding status in 2009. In an effort to establish baseline information on how countries have been able to participate in Codex meetings using national resources, a database of participation in Codex meetings for the period 2004-2008 was developed by an external consultant. This database gives information on all participations in Codex meetings for the period in question that were not supported by the Codex Trust Fund. It helps identify countries who are well on their way to sustainable participation in Codex, as well as countries who are experiencing difficulties (completed March 2009).
- Strengthening Codex activities in the African region and monitoring outcomes. A number of Codex Trust Fund supported activities were proposed during working groups convened to provide input to the Strategic Framework for Africa at the recently held FAO/WHO Coordinating Committee for Africa (CCAFRICA, Accra, Ghana, 24-27 February 2009). Among these was a proposal to establish and facilitate mechanisms for sharing information and expertise between CCPs and NCCs (sharing experiences, "buddying" and "mentoring").
- Design and implementation of a landscape analysis (in-depth profiling) of a selection of CTF eligible countries focusing on an expected outcome of ways to use CTF leverage to enhanced Codex activities at country levels through strengthened CCPs, NCCs and FAO/WHO country office involvement in Codex (design phase scheduled May-June 2009);
- Follow up on outcomes of the Codex training course for Asia and the Pacific. A 12 month follow up questionnaire is envisaged to assess the extent to which participants and countries have been able to put into practice the new skills and knowledge gained during the training course (scheduled October 2009).

<sup>15</sup> Industry Council for Development. For more information see <http://www.icd-online.org>

<sup>16</sup> Gossner, Céline. Participant Reports - Codex Trust Fund. May 2008 available at [http://www.who.int/foodsafety/codex/country\\_report\\_assessment\\_05\\_07.pdf](http://www.who.int/foodsafety/codex/country_report_assessment_05_07.pdf)

A mid-term evaluation of the Codex Trust Fund is scheduled for 2009. Proposals for the scope, methodology, timeframe and resource requirements will be presented for discussion with Codex Trust Fund stakeholders during the 32<sup>nd</sup> Session of the Codex Alimentarius Commission. Feedback received will be incorporated into the final proposal. It is envisaged that the mid-term evaluation will be carried out in the second half of 2009. Results will be presented to the 33<sup>rd</sup> Session of the CAC.

## C. FINANCIAL SECTION

### 2009 Finance

A balance of funds of USD 1,524,115 was carried forward from 2008 to 2009. In March 2009 a contribution of EUR 215,893 (approximately USD 276,078) was received from Ireland for the period 2009-2010.

To date four further contributions are expected to the Codex Trust Fund in 2009 totalling USD 465,781 and these are shown in Table 2. This will provide the Codex Trust Fund with an expected USD 2,265,974 in 2009.

Negotiations are underway for further multi-year contributions from Sweden and the Netherlands. These will be reported on once agreements have been finalized.

**Table 4 Codex Trust Fund - Contributions expected April to December 2009  
(as at 30 March 2009)**

<b>Donor</b>	<b>Amount expected (in contribution currency)</b>	<b>Approximate amount expected (in USD)</b>	<b>Agreement period</b>
<b>Netherlands</b>	EUR 60,000	USD 81,632	2008 (Agreement signed in Dec 08)
<b>Germany</b>	EUR 50,000	USD 68,027	2009
<b>Malaysia</b>	USD 10,000	USD 10,000	2009
<b>European Commission</b>	EUR 225,000	USD 306,122	2010
<b>Total approximate monetary amount expected</b>		<b>USD 465,781</b>	

In 2009 planned expenditures of the Trust Fund will be approximately USD 2.2 million. Approximately USD 1.5 million, representing 70% of expenditures, will be allocated for support to participation in Codex meetings to widen further Codex participation, while USD200,000 will be allocated for Codex training to enhance that participation by strengthening Codex activities at national, regional and global levels.

**Annex A - Countries supported by the Codex Trust Fund, January-May 2009**  
(as at 30 May 2009)

<b>Meeting</b>	<b>Country</b>
5 <sup>th</sup> Coordinating Committee for the Near East, 26-29 January 2009, Tunis, Tunisia (CCNEA)	Lebanon Sudan Syria
21 <sup>st</sup> Codex Committee on Fats and Oils, 16-20 February 2009, Kota Kinabalu, Malaysia (CCFO)	Ethiopia Gambia Maldives Syria Togo
18 <sup>th</sup> Coordinating Committee for Africa, 24-27 February 2009, Accra, Ghana (CCAFRICA)	Angola Benin Burundi Cameroon Central African Republic Congo, Democratic Republic of Côte d'Ivoire Gambia Guinea Lesotho Liberia Madagascar Malawi Sierra Leone Sudan Swaziland Uganda United Republic of Tanzania
30 <sup>th</sup> Codex Committee on Methods of Analysis and Sampling, 9 - 13 March 2009, Balatonalmádi, Hungary (CCMAS)	Fiji
41 <sup>st</sup> Codex Committee on Food Additives, 16-20 March 2009, Shanghai, China (CCFA)	Georgia Macedonia, Former Yugoslav Republic of Sierra Leone Rwanda Viet Nam
3 <sup>rd</sup> Codex Committee on Contaminants in Foods, 23-27 March 2009, Rotterdam, The Netherlands (CCCCF)	Cambodia Ghana Kenya Pakistan Rwanda Serbia Sudan United Republic of Tanzania Uzbekistan Viet Nam
25 <sup>th</sup> Codex Committee on General Principles, 30 March - 3 April 2009, Paris, France (CCGP)	Cambodia Central African Republic Côte d'Ivoire Egypt Georgia Guinea Bissau India Kyrgyzstan Mozambique Samoa Uganda Uzbekistan

41 <sup>st</sup> Codex Committee on Pesticide Residues, 20-25 April 2009, Beijing, China (CCPR)	Angola Cameroon Cook Islands Ghana Guinée Guinea Bissau Ethiopia Haiti India Jamaica Kenya Lao Peoples Democratic Republic Lesotho Malawi Maldives Mali Mozambique Mauritania Pakistan Serbia Sierra Leone Tajikistan Togo Tonga Uganda United Republic of Tanzania
37 <sup>th</sup> Codex Committee on Food Labelling 04-08 May 2009, Calgary, Canada (CCFL)	Algeria Bulgaria Guinea Bissau Guyana Haiti Jamaica Kyrgyzstan Lao Peoples Democratic Republic Lesotho Mali Mauritania Micronesia, Federated States of Nicaragua Papua New Guinea Saint Lucia Samoa Solomon Islands Turkey Vanuatu Viet Nam
18 <sup>th</sup> Codex Committee on Residues of Veterinary Drugs in Foods, 11-15 May 2009, Natal, Brazil (CCRVDF)	Cameroon Kenya Libyan Arab Jamahiriya Malawi Mali Pakistan Philippines Serbia Zambia Zimbabwe

**Annex B - Countries to be supported by the Codex Trust Fund, June-December 2009**

*(to be updated upon consultation with countries  
who missed meetings & who are on matched funding)*

<b>Meeting</b>	<b>Country</b>
32 <sup>nd</sup> Codex Alimentarius Commission, 29 June - 04 July 2009, Rome, Italy (CAC)	Afghanistan Angola Armenia Belize Benin Bhutan Bosnia & Herzegovina Burkina Faso Burundi Congo, Democratic Republic of Côte d'Ivoire Democratic People's Republic of Korea Egypt Eritrea Ethiopia Gambia Georgia Guinea Jamaica Kyrgyzstan People's Democratic Republic Lao People's Democratic Republic Mauritania Mozambique Niger Nigeria Papua New Guinea Rwanda Samoa Solomon Islands Senegal Swaziland Syrian Arab Republic Togo Uganda United Republic of Tanzania Vanuatu Viet Nam Zimbabwe
30 <sup>th</sup> Codex Committee on Fish and Fishery Products, 28 September - 2 October 2009, Agadir, Morocco (CCFFP)	Armenia Cambodia Cape Verde Cook Islands Cuba Eritrea Fiji Gambia Ghana Guinea Guinea-Bissau Guyana Honduras Kiribati Liberia Libyan Arab Jamahiriya

	<p>Maldives Mauritania Micronesia, Federated States of Pakistan Papua New Guinea Philippines Rwanda Solomon Islands Suriname</p>
<p>3<sup>rd</sup> Ad hoc Codex Intergovernmental Task Force on Antimicrobial Resistance, 12 - 16 October 2009, Jeju, Republic of Korea (TFAMR)</p>	<p>Macedonia, Former Yugoslav Republic of Philippines</p>
<p>15<sup>th</sup> Codex Committee on Fresh Fruits and Vegetables, 19-23 October 2009, Mexico City, Mexico (CCFFV)</p>	<p>Belize Bhutan Burkina Faso Burundi Cook Islands Gambia Ghana Grenada Guyana Haiti Honduras Kenya Kiribati Madagascar Mali Nepal Nicaragua Samoa Senegal Sierra Leone Swaziland Togo Tonga Vanuatu Zambia</p>
<p>31<sup>st</sup> Codex Committee on Nutrition and Foods for Special Dietary Uses, 2-6 November 2009, Düsseldorf, Germany (CCNFSDU)</p>	<p>Benin Côte d'Ivoire Eritrea Ghana Grenada Kiribati Micronesia, Federated States of Mongolia Myanmar Senegal Sudan</p>
<p>41<sup>st</sup> Codex Committee on Food Hygiene, 16-20 November 2009, Washington, United States of America (CCFH)</p>	<p>Angola Benin Bhutan Bosnia &amp; Herzegovina Bulgaria Burundi Cambodia Cameroon Cape Verde Congo, Democratic Republic of the Egypt Guatemala Guinea Guinea-Bissau</p>

	<p>Honduras  Kiribati  Lao People's Democratic Republic  Lebanon  Lesotho  Liberia  Macedonia, Former Yugoslav Republic of  Madagascar  Malawi  Mali  Mongolia  Mozambique  Nepal  Nicaragua  Papua New Guinea  Rwanda  Saint Lucia  Saint Vincent and the Grenadines  Samoa  Senegal  Solomon Islands  Sudan  Togo  Tonga  Vanuatu  Viet Nam  Zambia  Zimbabwe</p>
<p>Physical Working Groups, 7-11 July 2009,  Miami, United States of America (CCFICS)</p>	<p>Lao People's Democratic Republic  Micronesia, Federated States of  Papua New Guinea  Solomon Islands  Uganda  Zambia</p>



**Annex C - Grouping of Countries Eligible To Submit Applications For 2009 Support**  
**126 countries in total**  
 (as at August 2008)

Changes: Cape Verde moves from Group 1A to Group 2. Maldives as new Codex member added to Group 1A (LDC status). Bulgaria and Brazil moved from Group 2 to Group 3b due to upper middle income status and high human development status. Kazakhstan moved from Group 2 to Group 3a due to upper middle income status and medium human development. Czech Republic no longer eligible due to ranking as high income OECD country. Barbados, Estonia and Trinidad and Tobago no longer eligible due to ranking as high income countries

**GROUP 1 (56 countries)**

<b>Group 1A – Least Developed Countries (LDC)</b> <i>As listed by the Least Developed Countries Report 2008 (UNCTAD)</i>	<b>Group 1B – Other Low Income Countries (LIC)</b> <i>Listed as LIC by the World Development Report 2008 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2007/08 (UNDP) *except Democratic People's Republic of Korea for which Human Development Index cannot be computed</i>
<b>Africa</b> Angola Benin Burkina Faso Burundi Central African Republic Chad Democratic Republic of the Congo Equatorial Guinea Eritrea Ethiopia Gambia Guinea Guinea-Bissau Lesotho Liberia Madagascar Malawi Mali Mauritania Mozambique Niger Rwanda Senegal Sierra Leone Togo Uganda United Republic of Tanzania Zambia	<b>Africa</b> Côte d'Ivoire Ghana Kenya Nigeria Zimbabwe
<b>Asia</b> Afghanistan Bangladesh Bhutan Cambodia Lao Peoples Democratic Republic Maldives Myanmar Nepal	<b>Asia</b> *Democratic People's Republic of Korea India Mongolia Pakistan Viet Nam
<b>Europe</b> -	<b>Europe</b> Uzbekistan Kyrgyzstan
<b>Latin America and the Caribbean</b> Haiti	<b>Latin America and the Caribbean</b>

<b>Near East</b> Sudan Yemen	<b>Near East</b>
<b>South-West Pacific</b> Kiribati Samoa Solomon Islands Vanuatu	<b>South-West Pacific</b> Papua New Guinea
<b>43 countries</b>	<b>13 countries</b>

**GROUP 2 (45 countries)**

<i>Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2008 (World Bank) and Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2007/2008 (UNDP). *except Cook Islands which is not ranked in either report and Iraq, Federated States of Micronesia and Serbia for which Human Development Index is not computed.</i>
<b>Africa</b> Cameroon Cape Verde Congo, Republic of Morocco Namibia Swaziland
<b>Asia</b> China Indonesia Philippines Sri Lanka Thailand
<b>Europe</b> Albania Armenia Belarus Bosnia Herzegovina Georgia Moldova, Republic of *Republic of Serbia The Former Yugoslav Republic of Macedonia Ukraine
<b>Latin America and the Caribbean</b> Bolivia Colombia Cuba Dominican Republic Ecuador El Salvador Guatemala Guyana Honduras Jamaica Nicaragua Paraguay Peru Suriname
<b>Near East</b> Algeria Egypt *Iraq Iran, Islamic Republic of Jordan Syrian Arab Republic Tunisia

<b>South-West Pacific</b> *Cook Islands Fiji *Micronesia, Federated States of Tonga
<b>45 countries</b>

**GROUP 3 (25 countries)**

<b>Group 3A</b> <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2008 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2007/2008 (UNDP).</i>	<b>Group 3B</b> <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2008 (World Bank) and High Human Development (HHD) in the Human Development Report 2007/2008 (UNDP).</i>
<b>Africa</b> Botswana Gabon South Africa	<b>Africa</b> Mauritius
<b>Asia</b>	<b>Asia</b> Malaysia
<b>Europe</b> Kazakhstan Russian Federation Turkey	<b>Europe</b> Bulgaria Croatia Hungary Latvia Romania Slovak Republic
<b>Latin America and the Caribbean</b> Belize Dominica Grenada Saint Lucia Saint Vincent and the Grenadines Venezuela	<b>Latin America and the Caribbean</b> Brazil Saint Kitts and Nevis
<b>Near East</b> Lebanon Libyan Arab Jamahiriya	<b>Near East</b> Oman
<b>South-West Pacific -</b>	<b>South-West Pacific -</b>
<b>14 countries</b>	<b>11 countries</b>

**"Graduates" of the Codex Trust Fund<sup>17</sup>**

<b>January 2007</b>	Costa Rica, Lithuania, Panama, Poland
<b>January 2008</b>	Antigua and Barbuda, Argentina, Chile, Mexico, Seychelles, Uruguay
<b>Total number of graduating countries</b>	<b>10 countries</b>

<sup>17</sup>According to the matched funding requirements established at the inception of the Codex Trust Fund (see [http://www.who.int/foodsafety/codex/en/proj\\_doc\\_e.pdf](http://www.who.int/foodsafety/codex/en/proj_doc_e.pdf)) a gradual increase in the financial participation of countries will take place as the countries move through the life cycle of Codex Trust Fund support. The first countries from Group 3B who have received support for three years (full support in the first year, 50% support in the second and third years) are expected to be fully self-funding by the fourth year.