

October 2007

# codex alimentarius commission



FOOD AND AGRICULTURE  
ORGANIZATION  
OF THE UNITED NATIONS

WORLD  
HEALTH  
ORGANIZATION



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## Agenda Item 8

### JOINT FAO/WHO FOOD STANDARDS PROGRAMME

#### EXECUTIVE COMMITTEE OF THE CODEX ALIMENTARIUS COMMISSION

*Sixtieth Session, FAO Headquarters, Rome, Italy, 4-7 December 2007*

#### FAO/WHO PROJECT AND TRUST FUND FOR ENHANCED PARTICIPATION IN CODEX

**Prepared by the WHO Secretariat for the Trust Fund**

#### **NINTH PROGRESS REPORT (JULY - DECEMBER 2007)**

##### **A. INTRODUCTION**

1. This is the Ninth Progress Report on the FAO/WHO Project and Trust Fund for Enhanced Participation in Codex. It covers activities for the second half of 2007 and follows on from the information contained in the Eighth Progress Report (ALINORM 07/30/9F Rev).

##### **B. TECHNICAL SECTION**

###### *2007 Meeting Participation*

2. For the period January to September 2007 177 delegates from 88 countries were supported to attend 13 Codex meetings. The breakdown of participants was: 67% from Least Developed Countries (LDCs) and other lower income countries; 24% from lower middle income countries; and 9% from upper middle income countries. Annex A provides details of the countries supported. Countries supported by the Trust Fund are required to submit concise but comprehensive reports outlining their activities before, during and after the meetings including the impact that attendance had on Codex activities at national level. An overall review and summary of the reports received from countries for Codex meetings attended between July 2005 to June 2006 is expected to be available in late 2007.

3. For the period October to December 2007, 69 delegates from 54 countries are expected to be supported to attend three Codex meetings. The expected breakdown of participants from October to December 2007 is: 58% from LDCs and other lower income countries; 36% from lower middle income countries; and 6% from upper middle income countries. Annex B provides details of this projected support.

### 2008 Applications

4. The "Call for Applications" for support in 2008 was made widely available in August and September 2007 using the following channels: Codex-L; WHO and FAO regional offices and representatives in countries, WHO, FAO and Codex Secretariat websites, WHO and FAO newsletters. In addition, and in order to target eligible countries who have never applied, or who did not receive support in 2007, personal communication was initiated by the Codex Trust Fund Secretariat with the designated Codex Contact Point in the country. Applications will be reviewed in November 2007 prior to consideration by the Consultative Group for the Trust Fund (CGTF) in December 2007 when decisions will be made on levels of support to be provided in 2008 as a function of funding available in the Codex Trust Fund. Countries will be advised of the outcome of their applications before the end of 2007, or by the end of the first week in 2008.

### Codex Training in 2007

5. An FAO/WHO organized Codex training for the European region will be held in Warsaw, Poland from 1-3 October 2007. The training will bring together 27 participants from 18 countries. 20 of these delegates from 14 eligible countries will be supported by the Codex Trust Fund.

## C. FINANCIAL SECTION

### 2007 Finance

6. During the period January to September 2007 a total of US\$ 831,676 was received in contributions from Japan, Germany, Sweden, and Switzerland. Table 1 provides the breakdown.

7. In-kind contributions were received from the Department for International Development of the United Kingdom (DFID) to support the consultant carrying out the study "*Initiative to explore linkages between increased participation in Codex and enhanced international food trade opportunities*", and from the United States Codex Office for the development and data entry of the Codex Trust Fund participation database.

**Table 1. Codex Trust Fund - Contributions received January to September 2007**

<b>Donor</b>	<b>Amount Received (in contribution currency)</b>	<b>Amount Received (in US\$)</b>	<b>Date Received</b>
<b>Switzerland</b>	CHF 200,000	163,934	January
<b>Switzerland</b>	CHF 68,000	55,738	January
<b>Japan</b>	US\$ 80,000	80,000	March
<b>Sweden</b>	SEK 3,000,000	441,000	July
<b>Germany</b>	EURO 30,000	41,004	August
<b>Japan</b>	US\$ 50,000	50,000	September
<b>Total amount received</b>		<b>US\$ 831,676</b>	

8. Additional contributions amounting to approximately US\$625,000 are expected by December 2007. Sources from which contributions are expected are: the European Commission, the United States of America, France.

9. Total expenditure by the Codex Trust Fund in 2007 is projected to be US\$ 1,590,800 (including administrative and management costs). The total average cost of supporting participants to Codex meetings, including all administrative functions related to the Trust Fund, is expected to be US\$ 4,000 per participant. The Codex Trust Fund has managed to reduce the average cost per participant in 2007 by adopting a policy of using economy class air tickets only, with exceptions made for participants who have particularly long and/or difficult journeys.

## **2008 Finance**

10. For the first time in its history, the Codex Trust Fund will be welcoming developing countries into the circle of countries who will be making a contribution to the Codex Trust Fund. The first developing country to pledge its support was Malaysia in an intervention made at the 30<sup>th</sup> Session of the Codex Alimentarius Commission (CAC) in July 2007. However, as this progress report goes into print no further firm pledges (with monetary value stated) have been pledged or are expected in 2008. While efforts continue to be made to secure other donations for 2008 and beyond, as at September 2007, a figure of US\$ 1.8 million (including administrative and management costs) is being used to plan levels of participant support in 2008 to ensure that support levels remain constant with those of 2007. There is currently a US\$ 1.2 million dollar funding gap that would allow the Trust Fund to offer this level of support in 2008.

11. In its 12<sup>th</sup> meeting held in September 2007, the CGTF decided that developing countries who are contributing to the Codex Trust Fund also remain eligible for support from the Trust Fund, provided that they continue to meet the country eligibility criteria.

## **D. OTHER ISSUES**

### ***Eligibility***

12. In line with established policy and practice of the Trust Fund, country eligibility was reviewed in August 2007 for support in 2008 using the latest information available from the United Nations Conference on Trade and Development (UNCTAD) Least Developed Countries Report 2007, the World Bank World Development Report 2007, and the United Nations Development Programme (UNDP) Human Development Report 2006. Changes in eligibility and country groupings were as follows and Codex Contact Points in the relevant countries were notified accordingly:

- Afghanistan moved from Group 1B to Group 1A.
- Cameroon, Republic of Congo, Republic of Moldova and Nicaragua moved from Group 1B to Group 2.
- Romania moved from Group 2 to Group 3B.
- Mauritius, Malaysia, Antigua and Barbuda, and Oman moved from Group 3A to Group 3B.
- Antigua and Barbuda no longer eligible in 2008 due to high income status.

13. In accordance with the matched funding status requirement as stipulated in the initial project document ([http://www.who.int/foodsafety/codex/en/proj\\_doc\\_e.pdf](http://www.who.int/foodsafety/codex/en/proj_doc_e.pdf)), and the revised matched funding scale adopted by the CGTF at their ninth meeting in April 2006, four countries "graduated" from the Codex Trust Fund at the end of 2006. They were expected to be fully self-funding to participate in Codex meetings in 2007 and will not be eligible to submit an application for support in 2008.

14. At their 12<sup>th</sup> meeting in September 2007, the CGTF considered the ongoing validity of eligibility based on income and human development status criteria, and particularly whether any revisions might be necessary to the eligibility criteria to ensure that countries who need support most continue to receive it, while not excluding countries who might need support. It was decided that the CGTF would keep the criteria under review and that the Codex Trust Fund Secretariat would elicit suggestions from donor and beneficiary countries on possible revisions in eligibility criteria while retaining objectivity and transparency in the application of these criteria by the Codex Trust Fund.

### ***Codex Trust Fund assessment in 2007 and follow up***

15. As reported in the Eighth Progress Report, a study entitled *Initiative to explore linkages between increased participation in Codex and enhanced international food trade opportunities* was undertaken for the Codex Trust Fund between February and June 2007 with support from the DFID. The report with findings and recommendations was made available during the 30<sup>th</sup> Session of the CAC in July 2007 and was well received. In addition to comments made during the CAC agenda item on the Codex Trust Fund, participants at the CAC had an opportunity to comment on all aspects of the Codex Trust Fund, as well as on the findings and recommendations of the report, during an informal meeting of the Codex Trust Fund held on 6 July 2007.

16. Participants at the informal meeting highlighted some of the benefits that had been obtained as a result of Codex Trust Fund support and made recommendations to enhance further the effectiveness of the Codex Trust Fund. With regard to the study report *Initiative to explore linkages between increased participation in Codex and enhanced international food trade opportunities (the so-called "Connor report")*, participants welcomed the findings and recommendations which it was felt outlined future directions for the work of Codex Trust Fund.
17. The report of this informal meeting has been circulated on the Codex-L list and is available on the Codex Trust Fund website.
18. At its 12<sup>th</sup> meeting in September 2007, the CGTF agreed to a strategic planning process to be initiated by the Codex Trust Fund Secretariat to follow up the findings and recommendations of the "Connor report". The strategic planning process will consist of:
- 1) A planning meeting involving members of the CGTF and other key stakeholders in December 2007;
  - 2) Input to and feedback on the outcomes of the planning meeting from different stakeholder groups using electronic means;
  - 3) Analysis and synthesis of the above for final decision by the CGTF prior to implementation.
19. It is envisaged that the strategic planning process will result in agreement on:
- strategic positioning of the Codex Trust Fund;
  - major stakeholders and how the Trust Fund and other actors will work with them;
  - prioritized areas of activity for the Trust Fund and how these will be monitored and evaluated;
  - areas of activity of other stakeholders and how monitoring and evaluation of these will feed into Trust Fund framework;
  - elements of marketing, fundraising and communications strategy and roles and responsibilities of different stakeholders.
20. Based on the outcomes of the strategic planning process, the following will be developed for implementation by the Codex Trust Fund in 2008:
- Workplan for Codex Trust Fund (activities, timelines, responsibilities, performance indicators, terms of reference for human resources, human and financial resource requirements...).
  - Monitoring and evaluation system for the Codex Trust Fund based on log frame with roles and responsibilities for provision of data.
  - Detailed fundraising action plan.
  - Detailed communications action plan.

### ***Database of participation***

21. With in-kind support from the United States Codex Office, a database of Codex Trust Fund participation was developed spanning the entire period of Codex Trust Fund operation from 2004 to July 2007. This tool has already proved to be invaluable in allowing the Codex Trust Fund Secretariat to provide up-to-date and accurate reporting on participation supported by the Codex Trust Fund. Data can be sorted by: name of participant; country of origin, ministry/institution of origin; participant's contact information; Codex region of origin, Codex meeting attended; year of participation; country status (LDC/non-LDC); gender of participant. The database is now being validated, completed, maintained and tailored by the Trust Fund. It will be a key element in the monitoring and evaluation framework to be developed as one of the products of the strategic planning process.

*Annex A - Countries supported by the Codex Trust Fund, January-September 2007*

<b>Meeting</b>	<b>Country</b>
FAO/WHO Coordinating Committee for Europe 15-18 January 2007, Vilnius, Lithuania	Serbia Uzbekistan
FAO/WHO Coordinating Committee for Africa 23-26 January 2007, Rabat, Morocco	Angola Benin Botswana Burkina Faso Burundi Cameroun Cape Verde Central African Republic Chad Democratic Republic of Congo Republic of Congo Côte d'Ivoire Ethiopia Gabon Gambia Ghana Guinea Guinea-Bissau Kenya Lesotho Madagascar Malawi Mali Mauritania Morocco Niger Nigeria Senegal Seychelles Sierra Leone Swaziland United Republic of Tanzania Togo Uganda Zambia Zimbabwe
Codex Committee on Fats and Oils 19-23 January 2007, London, England	Gambia Pakistan Syrian Arab Republic
FAO/WHO Coordinating Committee for the Near East, 26 February-1 March 2007, Amman, Jordan	Egypt Lebanon Syrian Arab Republic
Codex Committee on Methods of Analysis and Sampling, 5-9 March 2007, Budapest, Hungary	Central African Republic Chile Kenya Kiribati Myanmar Senegal Vietnam
Codex Committee on General Principles 2-6 April 2007, Paris, France	Benin Central African Republic China Colombia Cuba

	<p>Democratic Republic of the Congo  Egypt  El Salvador  Ethiopia  Indonesia  Jamaica  Macedonia  Mexico  Morocco  Níger  Samoa  Sudan  Togo  Uzbekistan</p>
<p>Codex Committee on Contaminants in Foods  16-20 April 2007, Beijing, China</p>	<p>Brazil  Croatia  Ghana  Iran  Kenya  Madagascar  Mongolia  Nepal  Nigeria  Oman  Philippines  Togo  Uganda  United Republic of Tanzania</p>
<p>Codex Committee on Food Additives  24-28 April 2007, Beijing, China</p>	<p>Iran  Kenya  Madagascar  Mongolia  Nepal  Nigeria  Oman  Philippines  Serbia  Togo  Uganda</p>
<p>Codex Committee on Food Labelling  30 April-4 May 2007, Ottawa, Canada</p>	<p>Angola  Bolivia  Cook Islands  Côte d'Ivoire  Ecuador  Gambia  Grenada  Guyana  Haiti  India  Kenya  Lao People's Democratic Republic  Lesotho  Madagascar  Mali  Mauritania  Mongolia  Nepal  Nicaragua  Paraguay  St Kitts and Nevis  Samoa</p>

	<p>Sri Lanka Tunisia Uruguay Vanuatu</p>
<p>Codex Committee on Pesticide Residues 7-12 May 2007, Beijing, China</p>	<p>Brazil Cuba Dominica Dominican Republic El Salvador Ghana Guinea India Iran Lesotho Madagascar Malawi Pakistan</p>
<p>Codex Alimentarius Commission 2-7 July 2007, Rome, Italy</p>	<p>Algeria Antigua Barbuda Armenia Benin Bhutan Burundi Cameroon Central African Rep. China Colombia Côte d'Ivoire Democratic Rep. Of Congo Ethiopia Fiji Ghana Guinea Jordan Lao People's Democratic Republic Malawi Mali Morocco Niger Nigeria Papua New Guinea Paraguay Sudan Togo Uganda United Republic of Tanzania Vanuatu Vietnam Zambia Zimbabwe</p>
<p>Codex Committee on Residues of Veterinary Drugs in Foods, 3-7 September 2007, Colorado, USA</p>	<p>Angola Cape Verde Cook Islands Guatemala Indonesia Malawi Vietnam Zimbabwe</p>
<p>Ad Hoc Intergovernmental Task Force on Food Derived from BioTechnology, 24-28 Sept, Chiba, Japan</p>	<p>Cameroon Mali</p>

*Annex B - Countries to be supported by the Codex Trust Fund, October-December 2007*

<b>Meeting</b>	<b>Country</b>
Codex Committee on Food Hygiene 30 October-4 November 2007, New Deli, India	Albania Bhutan Burundi Cameroun Cape Verde Central African Republic Côte d'Ivoire Dominican Republic Ecuador Eritrea Fiji Gambia Guatemala Guinea Haiti Honduras Kiribati LAO People's Democratic Rep Macedonia Mauritania Nicaragua Papua New Guinea Peru Philippines Saint Lucia Senegal Solomon Islands Sri Lanka Tonga Tunisia Uganda Zambia Zimbabwe
Codex Committee on Nutrition and Foods for Special Dietary Uses, 12-16 November 2007, Bad Neuenahr, Germany	Argentina Barbados Benin Kiribati Niger Senegal Zambia Zimbabwe
Codex Committee on Food Import and Export Inspection and Certification Systems 26-30 November 2007, Queensland, Australia	Albania Algeria Armenia Belize Bolivia Burundi Cape Verde Democratic Republic of the Congo Dominican Republic Ethiopia Guyana Haiti Honduras Jamaica Jordan



	Lesotho Federated States of Micronesia Mongolia Nepal Nicaragua Pakistan Peru Samoa Sri Lanka Solomon Islands Tonga Uzbekistan Vanuatu
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### *Annex C - Grouping of Eligible Countries*

#### GROUPING OF ELIGIBLE COUNTRIES - 2008 SUPPORT

*Update of Annex C of CX/EXEC 05/57/, 133 countries in total*

*Changes: Afghanistan moved from Group 1B to Group 1A (LDC status). Cameroon, Republic of Congo, Republic of Moldova and Nicaragua moved from Group 1B to Group 2. Romania moved from Group 2 to Group 3B. Mauritius, Malaysia, Antigua and Barbuda, and Oman moved from Group 3A to Group 3B. No longer eligible in 2008 due to high income status: Antigua and Barbuda. No longer eligible for Codex Trust Fund support ("Graduates" at the end of 2006): Chile, Costa Rica, Panama, Seychelles.*

#### **GROUP 1 (56 countries)**

<b>Group 1A – Least Developed Countries (LDC)</b> <i>As listed by the Least Developed Countries Report 2007 (UNCTAD)</i>	<b>Group 1B – Other Low Income Countries (LIC)</b> <i>Listed as LIC by the World Development Report 2007 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2006 (UNDP) *except Democratic People's Republic of Korea for which Human Development Index cannot be computed</i>
<b>Africa</b> Angola Benin Burkina Faso Burundi Cape Verde Central African Republic Chad Democratic Republic of the Congo Equatorial Guinea Eritrea Ethiopia Gambia Guinea Guinea-Bissau Lesotho Liberia Madagascar Malawi Mali Mauritania Mozambique Niger Rwanda Senegal Sierra Leone Togo Uganda United Republic of Tanzania Zambia	<b>Africa</b> Côte d'Ivoire Ghana Kenya Nigeria Zimbabwe
<b>Asia</b> Afghanistan Bangladesh Bhutan Cambodia Lao Peoples Democratic Republic Myanmar Nepal	<b>Asia</b> *Democratic People's Republic of Korea India Mongolia Pakistan Viet Nam
<b>Europe</b> -	<b>Europe</b> Uzbekistan Kyrgyzstan

<b>Latin America and the Caribbean</b> Haiti	<b>Latin America and the Caribbean</b>
<b>Near East</b> Sudan Yemen	<b>Near East</b>
<b>South-West Pacific</b> Kiribati Samoa Solomon Islands Vanuatu	<b>South-West Pacific</b> Papua New Guinea
<b>43 countries</b>	<b>13 countries</b>

**GROUP 2 (46 countries)**

<p><i>Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2007 (World Bank) and Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2006 (UNDP).</i></p> <p><i>*except Cook Islands which is unlisted in both reports and Serbia which appears as Serbia Montenegro in WDR 2007 and Serbia, Iraq and Federated States of Micronesia for which Human Development Index cannot be computed.</i></p>
<p><b>Africa</b> Cameroon Congo, Republic of Morocco Namibia Swaziland</p>
<p><b>Asia</b> China Indonesia Philippines Sri Lanka Thailand</p>
<p><b>Europe</b> Albania Armenia Belarus Bulgaria Georgia Kazakhstan Moldova, Republic of *Republic of Serbia The Former Yugoslav Republic of Macedonia Ukraine</p>
<p><b>Latin America and the Caribbean</b> Bolivia Brazil Colombia Cuba Dominican Republic Ecuador El Salvador Guatemala Guyana Honduras Jamaica Nicaragua Paraguay Peru Suriname</p>
<p><b>Near East</b> Algeria Egypt</p>

*Iraq Iran, Islamic Republic of Jordan Syrian Arab Republic Tunisia
<b>South-West Pacific</b> *Cook Islands Fiji *Micronesia, Federated States of Tonga
<b>46 countries</b>

**GROUP 3 (31 countries)**

<b>Group 3A</b> <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2007 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2006 (UNDP).</i>	<b>Group 3B</b> <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2007 (World Bank) and High Human Development (HHD) in the Human Development Report 2006 (UNDP).</i>
<b>Africa</b> Botswana Gabon South Africa	<b>Africa</b> Mauritius
<b>Asia</b>	<b>Asia</b> Malaysia
<b>Europe</b> Russian Federation Turkey	<b>Europe</b> Croatia Czech Republic Estonia Hungary Latvia Lithuania Poland Romania Slovak Republic
<b>Latin America and the Caribbean</b> Belize Dominica Grenada Saint Lucia Saint Vincent and the Grenadines Venezuela	<b>Latin America and the Caribbean</b> Argentina Barbados Mexico Saint Kitts and Nevis Trinidad and Tobago Uruguay
<b>Near East</b> Lebanon Libyan Arab Jamahiriya	<b>Near East</b> Oman
<b>South-West Pacific</b> -	<b>South-West Pacific</b> -
<b>13 countries</b>	<b>18 countries</b>