# codex alimentarius commission



FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED NATIONS WORLD HEALTH ORGANIZATION



JOINT OFFICE: Viale delle Terme di Caracalla 00100 ROME Tel: 39 06 57051 www.codexalimentarius.net Email: codex@fao.org Facsimile: 39 06 5705 4593

Agenda Item 7

CX/NFSDU 01/7 September 2001

# JOINT FAO/WHO FOOD STANDARDS PROGRAMME

# CODEX COMMITTEE ON NUTRITION AND FOODS FOR SPECIAL DIETARY USES Twenty-third Session Berlin, Germany, 26-30 November 2001

# PROPOSAL FOR REVISION OF THE ADVISORY LIST(S) OF MINERAL SALTS AND VITAMIN COMPOUNDS FOR THE USE IN FOODS FOR INFANTS AND CHILDREN (CAC/GL 10-1979)1 (prepared by Germany)

The Committee on Nutrition and Foods for Special Dietary Uses at its 22<sup>nd</sup> Session, held in Berlin from 19 to 23 June 2000, considered the Proposed Draft Revision of the Advisory List(s) of Mineral Salts and Vitamin Compounds for the Use in Foods for Infants and Children. During the discussion it was emphasised that criteria for inclusion in and/or deletion from the Advisory List(s) were essential in order to ensure that the respective nutrients be safe and appropriate for the dietary use by infants and young children. Furthermore, it was discussed if other nutrient categories apart from vitamin compounds and mineral salts should be included in the Lists.

It was agreed that a Circular Letter would request comments from delegations concerning the criteria for inclusion and deletion of mineral salts and vitamin compounds adopted by the 18<sup>th</sup> Session of the CCNFSDU (ALINORM 87/26, App. XII). In addition, for a thorough revision of the Lists the following aspects would need to be evaluated and commented:

- the objective and the title of the list
- the structure of the list
- the choice of nutrients
- the purity requirements
- use in different types of foods for infants and children.

Until 31 July Germany had received nine comments, from Australia, Cuba, Hungary, Malaysia, Mexico, Spain, USA, EU, and ISDI. Based on those comments, Germany has prepared the following document for consideration by the Committee at the forthcoming session.

#### 1) Comments concerning the Criteria for inclusion and deletion of nutrient sources

With respect to the **criteria** for inclusion and/or deletion of mineral salts and vitamin compounds in the List(s), the following proposals were submitted:

<sup>1</sup> Based on comments received to the CL 2001/7-NFSDU.

# <u>Ad 1 (a)</u>

- <u>Australia</u>: The use of the word "suitable" is unclear in this criterion. Does it refer to nutritional or technological suitability or both?
- <u>Mexico</u>: The term "nutrient sources" should be replaced by "nutrients", as reference is made to the nutrients themselves in their chemical composition.
- <u>Spain</u>: The wording "for infants and children" should be added to make clear that this population group is targeted.
- <u>USA</u>: points out that the nutrient sources should be appropriate for "use as a sole source of nutrition".

# <u>Ad 1 (b)</u>

- <u>Australia</u>: ADIs have not been determined for all current compounds or categories of compounds that might be added to the List in future.
- <u>EU</u>: It should not be necessary for a nutritional substance to be a recognised food additive  $\rightarrow$  it is proposed that this requirement should be deleted from the criteria.
- <u>Mexico</u>: ADI (Acceptable Daily Intake) refers to additives, while RDI refers to nutrients. The criteria for additives are different from those for nutrients. The main objective of the vitamin compounds and mineral salts refers to the addition for nutritional purposes, but not for their use for technological purposes. → "its use does not exceed the ADI" should be removed
- <u>USA</u>: According to the JECFA, the ADI should not be considered applicable to neonates and young children below the age of 12 weeks. The following wording is proposed: "the use of an anion (or acid from which the anion is derived) does not exceed a daily intake that is safe and appropriate for a young infant".
- <u>ISDI</u>: The aim of this criterion is questioned. JECFA evaluations are only done for additives and contaminants, but not for nutritional substances.

## <u>Ad 1 (c)</u>

- <u>EU</u>: The definition of appropriate studies on the biological availability of substances should be extended to allow consideration of other appropriate studies apart from those in animals or infants, for example in children or adults.
- <u>Mexico</u> proposes the following wording: "there is evidence for the existence and amount of the bioavailability of each vitamin and mineral"

# <u>Ad 1 (e)</u>

• <u>Malaysia</u>: An additional criterion should be included regarding the stability of a nutrient: "the stability of nutrient(s) in the food(s) in which it is to be used can be demonstrated"

# <u>Ad 2</u>

• <u>EU</u>, <u>ISDI</u>, and <u>Mexico</u> proposed to delete the second part of the criterion: "if there is no evidence of their continued commercial application".

 $\rightarrow$  Taking the above comments into consideration, Germany proposes the following amendment of the criteria (changes are printed in bold) and invites the Committee to discuss this proposal at the forthcoming session.

- 1. Substances that are to be added for nutritional purposes to foods for infants and young children may be included in the Lists only if:
  - (a) they are shown to be safe and **appropriate** as nutrient sources **for infants and young children**
  - (b) Regarding this criterion, several delegations proposed to remove the reference to ADI. The wording proposed by the USA is already included in criterion (a). → Thus, Germany proposes to remove this criterion.
  - (c) it is demonstrated by appropriate studies in animals and/or **humans** that the **substances** are biologically available

- (d) the purity requirements of the **substances** are established in an internationally recognised specification **or, if there is no internationally recognised specification, national purity requirements may be considered**
- (e) the stability of nutrient(s) in the food(s) in which it is/they are to be used can be demonstrated
- 2. Substances shall be deleted from the List if they are found no longer to meet the above criteria.

## 2) <u>The objective and the title of the List(s)</u>

<u>Germany</u> states that the objective of the lists is to indicate nutrients, which may be added to foods intended for infants and children for nutritional purposes.

<u>Australia</u> and the <u>EU</u> proposed to extend the scope of the List(s) by increasing the range of nutrients in order to cover nutrients other than vitamin compounds and mineral salts, e.g. essential fatty acids, amino acids, and other nitrogenous substances such as nucleotides, taurine, and carnitine. Along with this, <u>Germany</u> proposes to adjust the title of the List(s) as follows:

#### "Advisory List(s) of Nutrient Compounds for the Use in Foods for Infants and Children".

#### 3) <u>The objective and the structure of the List(s)</u>

As it is envisaged to include other nutrient categories apart from vitamin compounds and mineral salts, the structure of the List(s) should be continued in such way that a separate table is composed for each nutrient category. <u>Germany</u> proposes the following structure:

- A: Advisory List of mineral salts and trace elements for use in foods for infants and children
- B: Advisory List of vitamin compounds for use in foods for infants and children
- C: Advisory List of amino acids and other nutrients for use in foods for infants and children
- D: Advisory List on Food Additives for Special Vitamin Forms

Regarding the internal structure of the Lists, <u>Germany</u> proposes that each table should consist of three columns, as shown below. The purity requirements and the use of each nutrient source should be indicated for each nutrient by use of specific abbreviations.

|  | Nutrient source | Purity requirements | Use in food categories for infants and children |
|--|-----------------|---------------------|---|
|--|-----------------|---------------------|---|

With respect to the use of the nutrient sources, Germany proposes to consider the following as possible food categories:

- Infant formula (IF)
- Follow-up formula (FUF)
- Complementary foods, processed cereal-based (PCBF) as well as canned baby foods (CBF) (Codex-Stan 73-1981)
- Foods for special medical purposes (FSMP)

For the above food categories for infants and children codex standards are available, while the following three categories are not mentioned in codex standards.

- Toddlers milk (TM)
- Oral rehydration solution (ORS) (these are partially identified as pharmaceutical products)
- Dietary supplements (DS) for infants and young children

# 4) <u>Comments and proposals regarding the Advisory List(s) and the inclusion and/or deletion of vitamin</u> <u>compounds and minerals salts in the List(s)</u>

Germany proposes that the Advisory List(s) be preceded by the following preamble:

"These lists include nutrient compounds, which may be used for nutritional purposes in dietary foods for infants and young children. The criteria for the composition of such foods stipulated in the respective standards shall be adhered to."

Some of the proposals for inclusion and/or deletion of nutrient sources submitted by member delegations were in accordance with the List(s) of nutrient sources proposed by Germany at the last session (CX/NFSDU 00/8). For easy reference, these lists are included in the annex I of this discussion paper.

*Additional proposals:* Apart from the Lists attached in the annex, it was proposed to include the following nutrients in the respective lists:

# A: Advisory List of Mineral Salts for Use in Foods for Infants and Children

## Potassium (K)

- <u>Australia</u>: Potassium phosphate (tribasic)
- <u>EU, ISDI</u>: Potassium hydroxide

## Sodium (Na)

- <u>Australia</u>: Sodium phosphate (monobasic)
- <u>Australia</u>: Sodium phosphate (tribasic)

## Iron (Fe)

- <u>ISDI</u>: Ferric saccharate FCC
- <u>ISDI</u>: Ferric orthophosphate FCC

#### Magnesium (Mg)

- <u>EU, ISDI</u>: Magnesium hydroxide
- EU: Magnesium salts of citric acid
- ISDI: Magnesium acetate

#### Selenium (Se)

<u>ISDI</u>: Sodium hydrogen selenite

# Chromium (Cr III)

- <u>ISDI</u>: Chromium (III) chloride
- <u>ISDI</u>: Chromium (III) sulphate:

# Molybdenum (Mo VI)

- ISDI: Sodium molybdate
- <u>ISDI</u>: Ammonium molybdate:

#### Fluoride (F)

- <u>ISDI</u>: Potassium fluoride
- <u>ISDI</u>: Sodium fluoride

#### C: Advisory List of Amino Acids and Other Nutrients for Use in Food for Infants and Children:

#### Choline

- <u>Australia</u>: Choline bitartrate
- <u>EU, ISDI</u>: Choline

#### Nucleotide

 <u>Australia</u>: Cytidine5-monophosphate (CMP) Cytidine5-monophosphate sodium salt Uridine5-monophosphate (UMP) Uridine5-monophosphate sodium salt Adenosin5-monophosphate (AMP) Adenosin5-monophosphate sodium salt Guanosine5-monophosphate sodium salt Inosine5-monophosphate sodium salt

Furthermore, Australia requested that the following nutrients be retained in the Advisory Lists:

#### A: Advisory List of Mineral Salts

Calcium sulphate Ferrous citrate Ferrous succinate Sodium sulphate Sodium tartrate

#### **B:** Advisory List of Vitamin Compounds

Retinol propionate Cholecalciferol-cholesterol d-alpha-tocopheryl succinate dl-alpha-tocopheryl succinate

#### References

European Commission (1999). Opinion on substances for nutritional purposes which have been proposed for use in the manufacture of foods for particular nutritional purposes (PARNUTS). Scientific Committee for Food. Brussels, Belgium.

Codex Alimentarius Commission (ALINORM 01/26). Report of the 22<sup>nd</sup> session of the Codex committee on Nutrition and Foods for Special Dietary Uses. 19 – 23 June 2000, Berlin, Germany.

Commission Directive 96/5/EC, Euratom of 16 February 1996 on processed cereal-based foods and baby foods for infants and young children. Official Journal L 049, 28/02/1996: (17-28), including Amendments OJ L 167, 12/06/1998: (23-24) and OJ L124, 18/05/1999: (8-10).

Commission Directive 91/321/EEC of 14 May 1991 on infant formulae and follow-on formulae Official Journal L 175, 04/07/1991: (35-49), including Amendments OJ L 049, 28/02/1996: (p.12) and OJ L 139, 02/06/1999: (p.29).

Nestel P(ed.). Proceedings: Interventions for Child Survival. OMNI Manual. May 17-18, 1995 London, United Kingdom: Blum M.. Overview of Iron Fortification of Foods. F. Hoffmann-La Roche Ltd. Basel, Switzerland.

Raiten D J, Talbot J M and Waters J H (Editors): Executive Summary for the Report: Assessment of Nutrient Requirements for Infant Formulas. Life Sciences Research Office, American Society for Nutritional Sciences. Supplement to The Journal of Nutrition 1998, vol. 128;11:(2059S - 2294S).

WHO/EMRO. Joint World Health Organisation/UNICEF/Micronutrient Initiative Strategic Development Workshop on Food Fortification with Special Reference to Iron Fortification of Flour, Muscat, Oman, from 26 to 30 October 1996. WHO, 1998.

#### ANNEX I

## ADVISORY LISTS OF NUTRIENT COMPOUNDS FOR USE IN FOODS FOR INFANTS AND CHILDREN

# A: Advisory List of Mineral Salts and Trace Elements for Use in Foods for Infants and Children

|      | Mineral Salts  | Purity Requirements | Use in Foods for Infants and<br>Children   |
|------|--|---------------------|--|
| 1.   | Source of Calcium (Ca)   |                     |  |
| 1.1  | Calcium carbonate  | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS  |
| 1.2  | Calcium chloride   | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS  |
| 1.3  | Tricalcium dicitrate<br>(Calcium citrate)                      | USP, FCC            | IF; FUF; PCBF; CBF; TM; FSMP; DS   |
| 1.4  | Calcium gluconate  | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS  |
| 1.5  | Calcium glycerophosphate                                       | Ph Eur, FCC         | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS  |
| 1.6  | Calcium lactate  | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS  |
| 1.7  | Calcium hydroxide  | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS  |
| 1.8  | Calcium oxide  | FCC                 | PCBF; CBF; TM; FSMP; DS  |
| 1.9  | Calcium dihydrogen phosphate<br>(Calcium phosphate, Monobasic) | FCC                 | PCBF; CBF; TM; FSMP; DS  |
| 1.10 | Calcium hydrogen phosphate<br>(Calcium phosphate, Dibasic)     | Ph Eur, USP, FCC    | PCBF; CBF; TM; FSMP; DS  |
| 1.11 | Tricalcium diphosphate<br>(Calcium phosphate, Tribasic)        | FCC                 | PCBF; CBF; TM; FSMP; DS  |
| [2.  | Source of Phosphorus (P)]                                      |                     |  |
| 2.1  | Calcium phosphate, monobasic                                   | FCC, FAO/WHO        | Milk substitute and low sodium formulae  |
| 2.2  | Calcium phosphate, dibasic                                     | FCC                 | Milk substitute and protein<br>hydrolysate formulae                                  |
| 2.3  | Calcium phosphate, tribasic                                    | FCC: FAO/WHO        | Milk substitute, protein hydrolysate<br>and premature formulae; infant cereals       |
| 2.4  | Magnesium phosphate, dibasic                                   | FCC                 | Milk substitute and lactose-free formulae  |
| 2.5  | Magnesium phosphate, tribasic                                  | FCC, FAO/WHO        |  |
| 2.6  | Potassium phosphate, monobasic                                 | FCC, FAO/WHO        | Protein hydrolysate formulae   |
| 2.7  | Potassium phosphate, dibasic                                   | FCC, FAO/WHO        | Milk-based, milk substitute and<br>protein hydrolysate formulae                      |
| 2.8  | Sodium phosphate, dibasic                                      | FCC, FAO/WHO        | Electrolyte mixture supplement   |
| 2.9  | Phosphoric acid  | FCC, FAO/WHO        | All infant and follow-up formulae;<br>cereal-based foods for infants and<br>children |

|      | Mineral Salts                                    | Purity Requirements | Use in Foods for Infants and<br>Children  |
|------|--|---------------------|---|
| [3.  | Source of Chloride (CI)]                         |                     |   |
| 3.1  | Calcium chloride                                 | FCC, FAO/WHO        | Milk-based, milk substitute and<br>protein supplement formulae;<br>electrolyte mixture supplement |
| 3.2  | Choline chloride                                 | FCC, FAO/WHO        | Milk-based, milk substitute and<br>protein hydrolysate formulae                                   |
| 3.3  | Magnesium chloride                               | FCC, FAO/WHO        | Milk-based, milk substitute and lactosefree formulae  |
| 3.4  | Manganese chloride                               | FCC                 | Milk-based formulae   |
| 3.5  | Potassium chloride                               | FCC, FAO/WHO        |   |
| 3.6  | Sodium chloride                                  | FCC, FAO/WHO        | Milk-substitute formulae, baby foods<br>and electrolyte mixture supplement                        |
| 3.7  | Sodium chloride, iodized                         | FCC                 | Milk substitute formulae  |
| 3.8  | Hydrochloric acid                                | FCC, FAO/WHO        | All infant and follow-up formulae;<br>cereal-based foods for infants and<br>children              |
| 4.   | Source of Iron (Fe)                              |                     |   |
| 4.1  | Ferrous carbonate,<br>stabilised with saccharose |                     | PCBF; CBF; TM; FSMP; DS   |
| 4.2  | Ferrous fumarate                                 | Ph Eur, FCC         | IF, FUF, PCBF, CBF, TM, FSMP, DS  |
| 4.3  | Ferrous gluconate                                | Ph Eur, USP, FCC    | IF, FUF, PCBF, CBF, TM, FSMP, DS  |
| 4.4  | Ferrous lactate                                  | NF, FCC             | IF, FUF, PCBF, CBF, TM, FSMP, DS  |
| 4.5  | Ferrous sulphate                                 | Ph Eur, USP, FCC    | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS   |
| 4.6  | Ferric ammonium citrate                          | DAC, FCC            | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS   |
| 4.7  | Ferric citrate                                   |                     | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS   |
| 4.8  | Ferric diphosphate<br>(pyrophosphate)            | FCC                 | IF, FUF, PCBF, CBF, TM, FSMP, DS  |
| 4.9  | Hydrogen reduced iron                            | FCC                 | PCBF; CBF   |
| 4.10 | Electrolytic iron                                | FCC                 | PCBF; CBF   |
| 4.11 | Carbonyl iron                                    | FCC                 | PCBF; CBF   |
| 5.   | Source of Magnesium (Mg)                         |                     |   |
| 5.1  | Magnesium hydroxide carbonate                    | Ph Eur, USP, FCC    | IF, FUF, PCBF, CBF, TM, FSMP, DS  |
| 5.2  | Magnesium chloride                               | PH.Eur, USP, FCC    | IF, FUF, PCBF, CBF, TM, FSMP, DS  |
| 5.3. | Trimagnesium dicitrate<br>(Magnesium citrate)    |                     | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS   |

|      | Mineral Salts  | Purity Requirements | Use in Foods for Infants and<br>Children |
|------|--|---------------------|--|
| 5.4  | Magnesium gluconate  | FCC                 | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS      |
| 5.5  | Magnesium glycerophosphate                                     | BPC                 | PCBF, CBF, TM, FSMP, DS                  |
| 5.6  | Magnesium hydroxide  | Ph Eur, USP, FCC    | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS      |
| 5.7  | Magnesium lactate  |                     | PCBF, CBF, TM, FSMP, DS                  |
| 5.8  | Magnesium oxide  | Ph Eur, USP, FCC    | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS      |
| 5.9  | Magnesium hydrogen phosphate<br>(Magnesium phosphate, Dibasic) | FCC                 | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS      |
| 5.10 | Trimagnesium diphosphate<br>(Magnesium phosphate, tribasic)    | FCC                 | IF, FUF, PCBF, CBF, TM, FSMP,<br>DS      |
| 5.11 | Magnesium sulphate   | FCC                 |  |
| 6.   | Source of Sodium (Na)  |                     | 1  |
| 6.1  | Sodium carbonate   | Ph Eur, FCC         | IF, FUF, FSMP                            |
| 6.2  | Sodium hydrogen carbonate<br>(Sodium bicarbonate)              | Ph Eur, USP, FCC    | IF, FUF, FSMP, ORS                       |
| 6.3  | Sodium chloride  | Ph Eur USP, FCC     | IF, FUF, FSMP, ORS                       |
| 6.4  | Trisodium citrate<br>(Sodium citrate)                          | Ph Eur, USP, FCC    | IF, FUF, FSMP, ORS                       |
| 6.5  | Sodium gluconate   | USP, FCC            | IF, FUF, FSMP                            |
| 6.6  | Sodium lactate   | Ph Eur, USP, FCC    | IF, FUF, FSMP                            |
| 6.7  | Sodium dihydrogen phosphate<br>(Sodium phosphate, Monobasic)   | Ph Eur, USP, FCC    | IF, FUF, FSMP                            |
| 6.8  | Disodium hydrogen phosphate<br>(Sodium phosphate, Dibasic)     | Ph Eur, USP, FCC    | IF, FUF, FSMP                            |
| 6.9  | Trisodium phosphate<br>(Sodium phosphate Tribasic)             | FCC                 | IF, FUF, FSMP                            |
| 6.10 | Sodium hydroxide   | -                   | IF, FUF, FSMP                            |
| 7.   | Source of Potassium (K)  |                     | 1  |
| 7.1  | Potassium carbonate  | Ph Helv, USP, FCC   | IF; FUF; FSMP                            |
| 7.2  | Potassium hydrogen carbonate<br>(Potassium bicarbonate)        | Ph Eur, USP, FCC    | IF; FUF; FSMP;ORS                        |
| 7.3  | Potassium chloride   | Ph Eur, USP, FCC    | IF; FUF; FSMP;ORS; PCBF; CBF;<br>TM      |
| 7.4  | Tripotassium citrate<br>(Potassium citrate)                    | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM;<br>FSMP;ORS      |
| 7.5  | Potassium gluconate  | USP, FCC            | IF; FUF; PCBF; CBF; TM; FSMP             |
| 7.6  | Potassium glycerophosphate                                     | FCC                 | PCBF; CBF; TM; FSMP                      |
| 7.7  | Potassium lactate  | FCC                 | IF; FUF; PCBF; CBF; TM; FSMP             |

|      | Mineral Salts  | Purity Requirements | Use in Foods for Infants and<br>Children |
|------|--|---------------------|--|
| 7.8  | Potassium dihydrogen phosphate<br>(Potassium phosphate, Monobasic) | Ph Eur, FCC         | IF; FUF; FSMP                            |
| 7.9  | Dipotassium hydrogen phosphate<br>(Potassium phosphate, dibasic)   | FCC                 | IF; FUF; FSMP                            |
| 8.   | Source of Copper (Cu)  |                     |  |
| 8.1  | Cupric carbonate   |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 8.2  | Cupric citrate   |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 8.3  | Cupric gluconate<br>(Copper gluconate)                             | FCC                 | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 8.4  | Copper-lysine-complex  |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 8.5  | Cupric sulphate<br>(Copper sulphate)                               | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 9.   | Source of Iodine (I)   |                     |  |
| 9.1  | Potassium iodide   | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 9.2  | Sodium iodide  | Ph Eur              | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 9.3  | Potassium iodate   | Ph Eur, FCC         | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 9.4  | Sodium iodate  | Ph Eur              | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.  | Source of Zinc (Zn)  |                     |  |
| 10.1 | Zinc acetate   |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.2 | Zinc chloride  | Ph Eur, USP, JP     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.3 | Zinc citrate   |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.4 | Zinc gluconate   | FCC                 | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.5 | Zinc lactate   |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.6 | Zinc oxide   | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 10.7 | Zinc sulphate  | Ph Eur, USP, FCC    | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 11.  | Source of Manganese (Mn)   |                     |  |
| 11.1 | Manganese(II) carbonate  |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 11.2 | Manganese(II) chloride   | FCC                 | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 11.3 | Manganese(II) citrate  |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |

|      | Mineral Salts                  | Purity Requirements | Use in Foods for Infants and<br>Children |
|------|--------------------------------|---------------------|--|
| 11.4 | Manganese(II) glycerophosphate | FCC                 | PCBF; CBF; TM; FSMP; DS                  |
| 11.5 | Manganese(II) sulphate         | USP, FCC            | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 11.6 | Manganese(II)gluconate         |                     | IF; FUF; PCBF; CBF; TM; FSMP;<br>DS      |
| 12.  | Source of Selenium (Se)        |                     |  |
| 12.1 | Sodium selenate                |                     | IF; FUF; FSMP                            |
| 12.2 | Sodium selenite                | DAC                 | IF; FUF; FSMP                            |

| * | IF   | = | infant formula                    |
|---|------|---|-----------------------------------|
|   | FUF  | = | follow-up formula                 |
|   | PCBF | = | processed cereal based food       |
|   | CBF  | = | canned baby food                  |
|   | ТМ   | = | toddlers' milk                    |
|   | FSMP | = | food for special medical purposes |
|   | DS   | = | dietary supplement                |
|   | ORS  | = | oral rehydration solution         |

# B: Advisory List of Vitamin Compounds for Use in Foods for Infants and Children

| Vitamin                    | Vitamin Form                  | Purity Requirements  |
|----------------------------|-------------------------------|----------------------|
| 1. Vitamin A               | all trans Retinol             | Ph Eur, FCC          |
|                            | Retinyl acetate               | Ph Eur, USP, FCC     |
|                            | Retinyl palmitate             | Ph Eur, USP, FCC     |
| 2. Provitamin A            | beta-Carotene                 | Ph Eur, USP          |
| 3. Vitamin D               |                               |                      |
| 3.1 Vitamin D <sub>2</sub> | Ergocalciferol                | Ph Eur, USP, FCC, NF |
| 3.2 Vitamin D <sub>3</sub> | Cholecalciferol               | Ph Eur, USP, FCC     |
| 4. Vitamin E               | D-alpha-Tocopherol            | Ph Eur, USP          |
|                            | DL-alpha-Tocopherol           | Ph Eur, USP          |
|                            | D-alpha-Tocopheryl acetate    | Ph Eur, USP          |
|                            | DL-alpha-Tocopheryl acetate   | Ph Eur, USP          |
| 5. Vitamin C               | L-Ascorbic acid               | Ph Eur, USP, FCC     |
|                            | Calcium-L-ascorbate           | Ph Eur, USP, FCC     |
|                            | Potassium-L-ascorbate         |                      |
|                            | 6-Palmitoyl-L-ascorbic acid   | Ph Eur, USP, FCC     |
|                            | (Ascorbyl palmitate)          |                      |
|                            | Sodium-L-ascorbate            | USP, FCC             |
|                            |                               |                      |
| 6. Vitamin B <sub>1</sub>  | Thiaminchloride hydrochloride | Ph Eur, USP, FCC     |
|                            | Thiamin mononitrate           | Ph Eur, USP, FCC     |
| 1                          |                               |                      |

| Vitamin                    | Vitamin Form                        | Purity Requirements |
|----------------------------|-------------------------------------|---------------------|
| 7. Vitamin $B_2$           | Riboflavin                          | Ph Eur, USP, FCC    |
|                            | Riboflavin-5'-phosphate sodium      | Ph Eur, USP, FCC    |
| 8. Niacin                  | Nicotinicacid amide (Nicotinamide)  | Ph Eur, USP, FCC    |
|                            | Nicotinic acid                      | Ph Eur, USP, FCC    |
| 9. Vitamin B <sub>6</sub>  | Pyridoxal hydrochloride             | Ph Eur, USP, FCC    |
|                            | Pyridoxal 5-phosphate               |                     |
|                            | Pyridoxal dipalmitate               |                     |
| 10. Folic acid             | N-Pteroyl-L-glutamic acid           | Ph Eur, USP, FCC    |
| 11. Pantothenic acid       | Calcium-D-pantothenate              | Ph Eur, USP, FCC    |
|                            | Sodium-D-pantothenate               |                     |
|                            | D-Panthenol                         | Ph Eur, USP, FCC    |
| 12. Vitamin $B_{12}$       | Cyanocobalamin                      | Ph Eur, USP, FCC    |
|                            | Hydroxocobalamin                    | Ph Eur, USP, NF     |
| 13. Vitamin K <sub>1</sub> | Phytomenadione (2-Methyl-3-phytyl-  | Ph Eur, USP, FCC    |
|                            | 1,4-naphthoquinone) (Phylloquinone) |                     |
| 14. Biotin                 | D-Biotin                            | Ph Eur, USP, FCC    |

# C: Advisory List of Amino Acids and Other Nutrients for Use in Foods for Infants and Children

|       | Nutrient                           | Purity Requirements | Use in Foods for Infants and<br>Children*  |
|-------|------------------------------------|---------------------|--|
| 1. So | ource of Amino Acids               |                     |  |
| 1.1   | L- Arginine and its hydrochloride  | Ph Eur, USP, FCC    | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.2.  | L-Cystine and its dihydrochloride  | Ph Eur, FCC         | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.3   | L-Cysteine and its hydrochloride   | FCC                 | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.4   | L- Histidine and its hydrochloride | Ph Eur, FCC         | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.5   | L-Isoleucine and its hydrochloride | FCC                 | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.6   | L-Leucine and its hydrochloride    | Ph Eur, FCC         | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.7   | L-Lysine and its monohydrochloride | Ph Eur, USP, FCC    | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |

|      | Nutrient                                | Purity Requirements   | Use in Foods for Infants and<br>Children*  |
|------|---|-----------------------|--|
| 1.8  | L-Methionine                            | Ph Eur, USP, FCC      | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.9  | L-Phenylalanine                         | Ph Eur, FCC           | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.10 | L-Threonine                             | Ph Eur, FCC           | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.11 | L-Tryptophan                            | DAB, Ph Eur, USP, FCC | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.12 | L-Tyrosine                              | Ph Eur, USP, FCC      | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 1.13 | L-Valine                                | Ph Eur, FCC           | only for improving the nutritional<br>quality of the protein in IF; FUF;<br>FSMP |
| 2.   | L- Carnitine and its hydrochloride      | USP                   | IF; FUF; FSMP; DS  |
| 3.   | Taurine                                 | JP                    | IF   |
| 4.   | Source of Choline                       |                       |  |
| 4.1  | Choline chloride                        | DAB, FCC              | IF; FUF; PCBF; CBF; FSMP   |
| 4.2  | Choline citrate                         | NF                    | IF; FUF; PCBF; CBF; FSMP   |
| 4.3  | Choline hydrogen tartrate               | DAB, FCC              | IF; FUF; PCBF; CBF; FSMP   |
| 5.   | <b>myo-Inositol</b><br>(=meso-Inositol) | FCC                   | IF; FUF; PCBF; CBF; FSMP   |

#### Abbreviations:

| BPC | = | British Pharmaceutical Codex | DAB     | = Deutsches Arzneibuch      |
|-----|---|------------------------------|---------|-----------------------------|
| DAC | = | Deutscher Arzneimittel Codex | FCC     | = Food Chemicals Codex      |
| JP  | = | The Pharmacopoeia of Japan   | NF      | = The National Formulary    |
| USP | = | United States Pharmacopeia   | Ph Helv | = Pharmacopeia of Helvetica |

#### D: Advisory List on Food Additives for Special Vitamin Forms

For reasons of stability and safe handling, some vitamins have to be converted into suitable preparations, e.g. stabilised oily solutions, gelatine or gum arabic coated products, fat embedded preparations, dry rubbed preparations. For this purpose, the edible materials and the additives included in the respective Codex standard may be used.

|   | Maximum Level in Ready-to-use Food |
|---|------------------------------------|
| (a) Maltodextrins                                   | 500 mg/kg                          |
| (in formulae with lactose as only carbohydrate)     |                                    |
| (b) Gum arabic (gum acacia)                         | 100 mg/kg                          |
| (c) Silicon dioxide (for vitamin preparations only) | 10 mg/kg                           |

| (d) Mannit ( $B_{12}$ dry rubbing 0,1 %)                   | 10 mg/kg  |
|--|-----------|
| (e) Trisodium citrate ( $B_{12}$ acidic preparation 0,1 %) | 260 mg/kg |
| (f) Citric acid ( $B_{12}$ acidic preparation 0,1 %)       | 90 mg/kg  |