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Agenda Item 5

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REVISED PROPOSED DRAFT GUIDELINES ON THE APPLICATION OF GENERAL PRINCIPLES OF FOOD HYGIENE TO THE CONTROL OF FOODBORNE PARASITES

(Prepared by Japan and Canada)

This CRD has been prepared by Japan and Canada (as Chair and Co-chair of the current working group). It reflects changes identified by country comments received to the eWG report (CX/FH 15/47/6). It will be used as the working document for the physical working group session on Sunday, 8 Nov 2015.

PROPOSED DRAFT GUIDELINES ON THE APPLICATION OF GENERAL PRINCIPLES OF FOOD HYGIENE TO THE CONTROL OF FOODBORNE PARASITES

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INTRODUCTION

1. Foodborne parasites are a major public health burden worldwide, particularly <u>in areas</u> with poor sanitary facilities and in populations that traditionally consume raw and undercooked food dishes. It is estimated that over <u>xx [number will be inserted after WHO FERG report is published]</u>² billion people are currently infected by <u>foodborne</u>-parasites<u>that may be transmitted by food</u>. Infections may have prolonged, severe, and sometimes fatal outcomes, and result in considerable hardship in terms of food safety, security, quality of life, and negative impacts on livelihood.

2. The joint Food and Agriculture Organization of the United Nations (FAO)/ World Health Organization (WHO) report on *Multicriteria-Based Ranking for Risk Management of Foodborne Parasites* lists 24 parasite species, genera or families that ranked highest in global public health concern. The top 8 highly ranked parasites are *Taenia solium*, *Echinococcus granulosus*, *Echinococcus multilocularis*, *Toxoplasma gondii*, *Cryptosporidium* spp., *Entamoeba histolytica*, *Trichinella* spp, and *Opisthorchiidae*. The ranking was based on 7 criteria of which 5 wereand 80% of the weighting was public health related, and based primarily on public health concerns., i.e. 85% of weighting. Overall scores of each parasite was calculated by normalised parasite criteria scores based on published data multiplied by fractional weights and summed up to the definite score per parasite. The ranking indicates that the foodborne parasites of greatest concerns from a global public health perspective are not limited to a single parasite group or a food vehicle, but could span a number of different parasites groups, sources and food vehicles.

3. Knowledge of the parasite <u>life</u> cycles, transmission routes and environmental requirements is needed to understand which control measures may be effective. Foodborne parasites <u>can beare</u> transmitted to humans by ingestion of fresh or processed foods that have been infested that are hosts in the parasite's life cycle (e.g. meat that contains *Trichinella* larvae or *Toxoplasma* tissue cysts) or that have been are contaminated with the soil or water carrying infective stages of parasites (e.g. cysts, oocysts, eggs). In the first case, human infection can occur through the consumption of an infective stage in raw, undercooked or poorly processed meat and offal from domesticated animals, game, fish, crustaceans, cephalopods and molluscan shellfish. In the second case, human infection can <u>also</u>-occur from ingestion of infective stages in water and on foods such as fresh fruit and vegetables resulting from animal or human faecal contamination (e.g. oocysts of *Cryptosporidium* <u>spp.</u> in fresh vegetables).

4. Control of foodborne parasites can be achieved through the prevention of infection of farmed food animals (e.g. livestock, poultry, fish) with infective stages, laboratory testing and follow-up actions (e.g. those included in the section 7.2.1 in the Guidelines for the Control of *Trichinella* spp. in meat of Suidae), the prevention of contamination of fresh and processed foods with infective stages, and/or the inactivation of parasites in or on foods during processing. Control during primary production is important for many parasite/food combinations, while control measures during post-harvest are necessary for other parasite/food combinations. During a parasite hazard analysis, producers should consider how the product will be further processed, prepared and consumed in order to determine appropriate parasite control measures. Education and awareness-raising are important components of consumer protection from foodborne parasitic diseases and, in many cases, may be the only feasible option available.

5. The first step of foodborne parasite risk management should be identifying any potential parasite hazard(s) applicable to the food being produced¹. The details of the epidemiology (both human and animal disease) and <u>the</u> life cycle of each parasite are essential in the identification, prevention and control of the risks associated with that parasite. Epidemiological data collection in <u>meatproducing animalsfood</u> and environmental parasite surveys <u>could-can</u> be effective in identifying hazards and collecting information to be used for the decisions making of risk management strategy decisionsies. Surveillance for parasitic diseases in humans is complicated by the often prolonged incubation periods, sub-clinical nature, and unrecognized chronic sequelae and lack of easily available diagnostic procedures.

¹ The Principles and Guidelines for the conduct of Microbiological Risk Management (MRM) (CAC/GL 63-2007).

6. The occurrence and distribution of parasitic species in the raw commodities used for food can be affected by climate changes, land use, and other environmental factors. The spread of foodborne parasitic diseases is also affected by human behaviour (for instance, the environmental contamination by human faeces due to the lack of latrines, and the human-to-human contacts favouring the that spread of intestinal parasite eggs and cyctss, mainly protozoa), -demographics, and global trade. For example, globalization of food trade offers new opportunities for parasite dissemination into new areas. In addition, variations in food preferences and consumption patterns, such as the increasing tendency to eat meat, fish and seafood raw, undercooked, smoked, pickled or dried, and the demand for free-range and exotic foods such as bush meat or wild game also influence the spread of parasitic diseases.

SECTION 1 - OBJECTIVES

7. The primary purpose of these guidelines is to provide guidance on preventing, <u>inactivatingreducing</u>, <u>inactivating controlling</u> foodborne parasite hazards that present a public health risk to an acceptable level. The guidelines provide science-based advice to governments and the food industry with the aim of protecting the health of consumers against foodborne parasites and ensuring fair practices in food trade. The guidelines also provide information that will be of value to consumers and other interested parties.

SECTION 2 - SCOPE, USE AND DEFINITION

2.1 SCOPE

8. These guidelines for the control of foodborne parasites are applicable to all foods, except for <u>bottled</u> water, from primary production through consumption. They should complement guidelines in place for any other pathogens (e.g. bacterial and viruses).

9. Resources targeting control measures should be applied to parasite hazards in proportion to the public health risk. Countries in which specific parasites are endemic should take special measures to reduce the identified risk to an acceptable level.

10. The Section 3 (Primary Production) is subdivided into four food categories: i) Meat and meat products, ii) Milk and milk products, iii) Fish and fishery products, iv) Fresh fruits and vegetables. The scope of these categories are the same as provided in the following codes:

- Meat and meat products: Code of Hygienic Practice for Meat (CAC/RCP 58-2005), especially, raw or undercooked meat
- Milk and Milk products: Code of Hygienic Practice for Milk and Milk Products (CAC/RCP 57-2004), especially, unpasteurized milk and milk products
- Fish and Fishery products: *Code of Practice for Fish and Fishery Products* (CAC/RCP 52-2003), especially, raw or undercooked fish and fishery products
- Fresh Fruits and Vegetables: *Code of Hygienic Practice for Fresh Fruits and Vegetables* (CAC/RCP 53-2003), especially fruits and vegetables consumed raw or undercooked

11. The remaining sections contain guidelines applicable to the food chain after primary production (i.e., processing, food service, <u>and</u> home preparation, <u>and consumption</u>), but are not subdivided into food categories.

12. The joint FAO/WHO Expert meeting on *Multicriteria-Based Ranking for Risk Management of Foodborne Parasites* ranked foodborne parasites by "importance" on a global basis. The <u>24 top ranked parasite-food combinations corresponding tomost important foodborne parasites and the primary four-food categories with which they are associated (shown in the based on Ttable 2 of the FAO/WHO report) are as follows (other parasites may be more important locally/regionally).²</u>

Meat and meat products:

- Taenia solium
- Toxoplasma gondii
- Trichinella spiralis, and other Trichinella spp.
- Taenia saginata
- Sarcocystis spp.

² Refer to Table 2 of the report of a joint FAO/WHO Expert Meeting on Multicriteria-based ranking for risk management of food-borne parasites

• Spirometra spp.

Milk and Milk products:

- Cryptosporidium spp.
- Toxoplasma gondii

Fish and Fishery products:

- Opisthorchiidae
- Paragonimus spp.
- Anisakidae
- Heterophyidae
- Diphyllobothriidae

Fresh Fruits and Vegetables:

- Taenia solium
- Echinococcus granulosus
- Echinococcus multilocularis
- Cryptosporidium spp.
- Entamoeba histolytica
- Ascaris spp.
- Giardia duodenalis (syn. G. intestinalis, G. lamblia)
- Fasciola spp.
- Cyclospora cayetanensis
- Trichuris trichiura
- Balantidium coli
- Toxocara spp.
- Toxoplasma gondii

2.2 USE

13. These guidelines follow the format of the *General Principles of Food Hygiene* (CAC/RCP 1-1969) and should be used in conjunction with it and other relevant codes of practice such as:

- Code of Hygienic Practice for Meat (CAC/RCP 58-2005),
- Code of Hygienic Practice for Milk and Milk Products (CAC/RCP 57-2004),
- Code of Practice for Fish and Fishery Products (CAC/RCP 52-2003),
- Code of Hygienic Practice for Fresh Fruits and Vegetables (CAC/RCP 53-2003)

14. The World Organization for Animal Health (OIE) develops standards for the prevention, detection and control of some foodborne parasites at the primary production stage. Therefore, these guidelines should also be used in conjunction with relevant chapters of the OIE Codes and Manuals and the OIE/FAO guide to Good Farming Practices for Animal Production Food Safety. Other technical reports provided by FAO/WHO may also be relevant.

15. Additional guidance for the control of specific parasites in certain food may be found in annexes and supplements.

16. Flexibility in application of the Guidelines is important. They are primarily intended for use by government risk managers and industry in the design and implementation of food control systems.

2.3 DEFINITIONS

17. Definitions relevant to these guidelines include:

Fish³

Aquaculture ³

Feed⁴

Fish farm ³

Cyst – A resting transmission stage of a parasite that can cause infection when consumed. Environmental cysts are resistant to outside conditions and can be transferred with soil, dust, and water to food. Tissue cysts are located within animal tissues. environmental life cycle stage of some protozoan parasites, including cysts (e.g., *Entamoeba histolytica, Giardia duodenalis*); it may also refer to tissue cysts of *Toxoplasma gondii*, sarcocysts of *Sarcocystis* spp., or hydatid cysts of *Echinococcus* spp.

Foodborne Parasite – Any parasite that can be transmitted to humans by ingesting food.

Host – An organism which harbours the parasite.

Definitive Host – The host in the life cycle of a parasite in which sexual <u>reproduction matruity</u> occurs. For parasites without sexual reproduction, the host of most importance is usually considered to be the definitive host.

Intermediate Host – <u>The A</u> host which harbours <u>the larvala developmental</u> stages of the parasite <u>prior to maturity</u>.

Metacercariae – (singular: metacercaria) – Encysted infectious larval stage of trematodes; found in the tissues of animal intermediate hosts or attached to aquatic plants.

Oocyst – the <u>infective</u><u>environmental</u>, developmental stage of coccidian parasites, produced through sexual reproduction in the definitive host. <u>They can be infective or not</u>.

Larvae – immature form of any parasite, before the assumption of the mature shape. Theylt can be infective or not.

Tachyzoite – motile life cycle stage of some coccidian parasites (e.g. *Toxoplasma gondii*); undergo rapid multiplication in the host before developing into bradyzoites and forming tissue cysts.

SECTION 3 - PRIMARY PRODUCTION

18. It is necessary to conduct a hazard analysis to identify the foodborne parasite hazards that could be present in the feed and food production environment and that may contaminate foods during primary production. Control of parasites during primary production is particularly important when subsequent control steps during processing may not be adequate to eliminate the hazard or reduce it to an acceptable level.

19. Sources of parasitic contamination of feed, food and food producing animals at the primary production site include feed, water, soil, workers, untreated manure, sludge or fertilizers contaminated by faeces of human and/or domestic or-and wild animals, or proximity to other activities which could result in run-off or flooding with contaminated water.-Therefore, attention to water quality throughout the food-chain, from primary production through processing to consumption is very important. In addition to the above, food-producing animals feeding on other live and dead animals (e.g., mammals, fish, birds, invertebrates), are important sources of parasitic infections.

A. Meat and Meat Products

20. Important meat-transmitted foodborne parasites include, but are not limited to, *Taenia saginata* (cattle), *Taenia solium* (pigs), *Trichinella spiralis* (pigs, horses, game), *Toxoplasma gondii* (pigs, cattle, chickens, sheep, goats, horses, game), *Trichinella* spp. (other than *T. spiralis*) (pigs, horses and game), *Sarcocystis* spp.,(pigs, cattle) and *Spirometra* spp.(frogs snakefish, reptiles, and amphibians). Certain foodborne parasites present in domestic animals may be transmitted to food plants via fecal contamination (e.g., *Echinococcus* spp., *Cryptosporidium* spp., and *Giardia* duodenalis.) These parasites are not associated with human illness from consumption of meat, however they should be controlled in animal production in order to interrupt their life cycle. Foodborne parasites, present in domestic and which are not transmissible to human via meats, but are transmissible via fecal contamination of food (e.g., *Echinococcus, Cryptosporidium, and Giardia*) should be controlled in animal production in order to interrupt the life cycle. Foodborne parasites, present in animal production in order to interrupt their life cycle. Foodborne parasites, present in domestic and wild animals and which are not transmissible to human via meats, but are transmissible via fecal contamination of food (e.g., *Echinococcus, Cryptosporidium, and Giardia*) should be controlled in animal production in order to interrupt the life cycle of parasites. For information on specific food vehicles for these parasites, see Table 2 in *Multicriteria-Based Ranking for Risk Management of Food-Borne Parasites*, Report of a Joint FAO/WHO Expert Meeting, 2012.

³ Code of Practice for Fish and Fishery Products (CAC/RCP 52-2003)

⁴ Code of Practice on Good Animal Feeding (CAC/RCP 54-2004)

3.1 ENVIRONMENTAL HYGIENE

21. Refer to Section 3.1 (Environmental Hygiene) of the *General Principles of Food Hygiene (CAC/RCP 1-1969)*, and Section 5.5 (Hygiene of the Primary Production Environment) of the *Code of Hygienic Practice for Meat* (CAC/RCP 58-2005) and chapters 4.13, and 6.3 of the OIE Terrestrial Animal Health Code.

22. OPTION 1: Faeces of domestic and wild animals (e.g. *Toxoplasma* oocysts in felids), as well as human faeces (e.g. *Taenia* eggs), may contain parasites that are infective to domestic food-producing animals. Some parasites may also be transmitted to domestic animals or other animal hosts when these animals eat infected tissues from other animals. Where parasites will not be controlled at a later processing stage, measures should in place to control the parasitic hazard., the feasibility of producing meat products with concepts to avoid environmental contamination of foodborne parasites by controls during primary production should be considered before production begins. A production area may be unsuitable if controls cannot be applied at primary production and they will not be controlled at later stages. The risk associated with the introduction of organic material (e.g., faecal and other material that may contain oocysts or eggs) from non-food-producing animals into the production environment should also be addressed.

<u>OPTION 2:</u> Faeces of domestic and wild animals (e.g. Toxoplasma oocysts in felids), as well as human faeces (e.g. Taenia eggs), may contain parasites that are infective to domestic food-producing animals. Some parasites may also be transmitted to domestic animals or other animal hosts when these animals eat infected tissues from other animals. Where parasites will not be controlled at a later processing stage, the feasibility of producing meat products with concepts to avoid <u>controlling</u> environmental contamination <u>introduction</u> of foodborne parasites by <u>controls</u>-during primary production with available methods should be <u>considered-determined</u> before <u>primary</u> production begins. A production area may be unsuitable if controls cannot be applied at primary production and <u>they-parasites</u> will not be controlled at later stages. The risk associated with the introduction of organic material (e.g., faecal and other material that may contain oocysts or eggs) from non-food-producing animals into the production environment should also be <u>addressed</u> assessed.

23. Game meat may contain parasites that infect humans <u>directly or via the infection of livestock</u>. The environment of wild animals, and open range domesticated animals cannot be controlled. <u>Therefore</u>, _{τ} requiring <u>mitigating</u> measures <u>should be in place</u> to <u>be taken in order</u> to minimize the risk at a later stage in the food chain.

3.2 HYGIENIC PRODUCTION OF FOOD SOURCES

24. For information related to the control of parasites related to animal feed, refer to the *Code of Practice* on *Good Animal Feeding* (CAC/RCP 54-2004) Sections <u>4., 5., and 6.</u>-5. (Primary production) of the *Code of Hygienic Practice for Meat* (CAC/RCP 58-2005), and Chapter 6.3. (The Control of Hazards of Animal Health and Public Health Importance in Animal Feed) <u>and Chapter 6.4.</u> (Biosecurity Procedures in Poultry <u>Production</u>) of the *OIE Terrestrial Animal Health Code* (2014), and the WHO/FAO/OIE Guidelines for the surveillance, prevention and control of taeniosis/cysticercosis, and FAO/WHO/OIE Guidelines for the surveillance, management, prevention and control of trichinellosis.

25. Where indicated by a hazard analysis, control measures and/or hygienic practices should be implemented that prevent foodborne parasites from contaminating foods or infecting food animals during primary production, or that reduce contamination to an acceptable level.

26. Domestic animals (e.g., cats and dogs), wild animals (e.g., foxes and rodents), and unauthorized people should be excluded from barns and outdoor areas used for food animals, and the primary production environment to the extent $possible_{\underline{x}}$ Efor example. Felidae are the definitive hosts for *Toxoplasma gondii* and faeces from contaminated cats contains oocysts that contaminate fields and other feeding areas.

27. Fully enclosed animal housing systems, or other systems that prevent intrusions of potentially contaminated small animals or unauthorized people, combined with other good production practices, can be effective in controlling foodborne parasite hazards in meat, since such systems have been demonstrated to be very effective for a number of parasites (e.g. *Trichinella* <u>spp.</u>, *Toxoplasma*).

28. Good hygienic practices including management of waste, such as maintaining and using sanitary toilet facilities should be in place and implemented. Toilets for staff and visitors should be provided. Human facces should be disposed of in such a way as to eliminate contact with animals or pasture land.

29. Feed for food-producing animals should be manufactured and stored in such a manner as to avoid parasite contamination. Food sources should conform to section 4, 5 and 6 of the *Code of Practice on Good Animal Feeding* (CAC/RCP 54-2004).

30. Feed should be effectively protected against rodents (for e.g. *Trichinella* spp. control), cats (for e.g. *Toxoplasma gondii* control) and other animals. All dead animals should be immediately removed from feed storage and food-producing animal production areas and disposed of in a safe manner.

31. Primary producers should supply water <u>which that</u> is not a significant source of transmission of foodborne parasites to food-producing animals and <u>and to the extent possible</u> block access of food producing animals to surface water <u>and water collection systems</u> to minimize the potential for infection with parasites.

32. In order to assess whether foodborne parasite controls at primary production are properly implemented and effective, control measures should be documented and verified. Animal surveillance may be a useful tool for assessing control measure needs/shortcomings; however, because of the practical limitations of sampling and testing methodology, testing cannot assure the absence of a parasite hazard.

33. Information exchange between primary production and the slaughterhouse or processing plant should be encouraged e.g.:

- the status of the herd (controlled housing or not, history of parasitic infection) in order to facilitate a more targeted control on parasites in the slaughterhouse;
- feedback from findings in slaughterhouse to the herds on findings during inspection, with the purpose to review preventive measures at the farm.

3.3 HANDLING, STORAGE AND TRANSPORT

34. Refer to section 5.6 Transport of the Code of Hygienic Practice for Meat (CAC/RCP 58-2005) and Chapter 7.2. (Transport of animals by sea), 7.3. (Transport of animals by land), 7.4. (Transport of animals by air) of the OIE Terrestrial Animal Health Code (2014).

3.4 CLEANING, MAINTENANCE AND PERSONNEL HYGIENE AT PRIMARY PRODUCTION

[TO BE DISCUSSED BY WG. DOES THIS SECTION FIT BETTER HERE OR IN SECTION 4].

35. Refer to Section 11. Personal Hygiene of the *Code of Hygienic Practice for Meat* (CAC/RCP 58-2005), Chapter 4.13. (General recommendations on disinfection and disinsection) of the *OIE Terrestrial Animal Health Code* (2014), for recommendations on cleaning, disinfection and personal hygiene.

(Old 28.) Good hygienic practices including management of waste, such as maintaining and using sanitary toilet facilities should be in place and implemented. Toilets for staff and visitors should be provided. Human faeces should be disposed of in such a way as to eliminate contact of potentially infectious faeces with animals or pasture land.

36. (36 and old 75.) Farm workers may be from endemic areas and homes with inadequate sanitary facilities. Workers may be infected with parasites without feeling ill or showing any symptoms. In order to minimize the probabilityopportunity for contamination of the production environment with parasitic stages from human faeces, on-farm sanitary facilities should be installed and used, e.g. functional latrines in the field that do not leak contaminants into the primary production area, and an adequate means of hygienically washing and drying hands. Waste from sanitary facilities should be hygienically disposed of.

3.5 MONITORING AND SURVEILLANCE AT PRIMARY PRODUCTION

37. Refer to Chapter 1.4. of the *OIE Terrestrial Animal Health Code* (2014). Surveillance and monitoring of foodborne parasites in food animals and in species that are potential sources of parasites could be effective in developing risk management strategies. Monitoring and surveillance can be useful as tools to verify the effectiveness of parasite controls, <u>and</u> should begin at primary production

38. Assurance that a parasite hazard is adequately controlled can be attained through demonstration of properly implemented controls and hygienic practices, which may be supported by a series of negative test results over a sufficient time period through <u>a</u> risk-based surveillance programme.

39. It is important to exchange information between primary production the owner of the herds and the slaughterhouse or processing plant e.g.:

- If the herd of origin is kept under controlled management conditions, this information should be provided to the slaughterhouse in order to facilitate a more targeted control on parasites.
- When the status of the herd in relation with parasite infection (e.g.-<u>raised in controlled housing or</u> not(where applicable), history of parasitic infection) is known, it should be communicated to the slaughterhouse in order to facilitate a more targeted <u>assessment of parasite controls-monitoring of</u> <u>parasites</u> in the slaughterhouse.
- The status of the meat, following a post-mortem inspection in the slaughterhouse should be provided to owner of herds, to facilitate a more targeted control at primary production.

B. Milk and milk products

40. Important mMilk- can be contaminated with transmitted foodborne parasites such asinclude *Cryptosporidium* spp. and *Toxoplasma gondii*. Unpasteurized milk has been associated with outbreaks of cryptosporidiosis and toxoplasmosis. Contamination of unpasteurized milk with *Cryptosporidium* spp. may result from unsanitary milking conditions, such as when the udders are not properly cleaned. Outbreaks of toxoplasmosis have been associated with the consumption of unpasteurized goat and camel milk. <u>TachyzoitesInfective stages</u> of *Toxoplasma* in recently infected animals may be excreted in the milk, resulting in milk-borne infection. Unpasteurized milk has been associated with outbreaks of cryptosporidiosis in Australia and the United Kingdom.

3.1 ENVIRONMENTAL HYGIENE

41. Refer to Section 3.1 of the Code of Hygienic Practice for Milk and Milk Products (CAC/RCP 57-2004).

42. Cats should be excluded, to the extent possible, from barns and food production, handling and storage areas used for dairy herds (e.g. cows, goats, sheep and camels). To the extent possible, D_dairy herds should not be allowed to graze areas where Felidae are commonly found since cats are the only definitive hosts for *Toxoplasma gondii* and faeces from recently infected cats contain environmentally resistant oocysts that contaminate fields and other feeding areas.

3.2 HYGIENIC PRODUCTION OF FOOD SOURCES

43. Refer to the Code of Practice on Good Animal Feeding (CAC/RCP 54-2004) and Section 3.2 of the Code of Hygienic Practice for Milk and Milk Products (CAC/RCP 57-2004).

3.3 HANDLING, STORAGE AND TRANSPORT

44. Refer to Section 3.3 of the Code of Hygienic Practice for Milk and Milk Products (CAC/RCP 57-2004).

3.4 CLEANING, MAINTENANCE AND PERSONNEL HYGIENE AT PRIMARY PRODUCTION

45. Refer to section 6 of the Code of Hygienic Practice for Milk and Milk Products (CAC/RCP 57-2004).

C. Fish and fishery products

46. Important fish-transmitted foodborne parasites include Opisthorchiidae in freshwater fish, *Paragonimus* spp. in freshwater crustacea, Anisakidae in marine fish, crustaceans and cephalopods, Heterophyidae in freshwater/brackish water fish, and Diphyllobothriidae in freshwater and marine fish.

47. During the parasite hazard analysis, producers should consider how the food will be further processed, prepared and consumed in order to determine appropriate parasite controls. For example, fish that may contain foodborne parasites, but may not have gone through appropriate parasite control can be marketed as "**not suitable for raw consumption**" if the fish is cooked before consumption although allergies may need to be considered.

3.1 ENVIRONMENTAL HYGIENE

48. Refer to Section 6.1.1 (Site selection), Section 6.1.2 (Growing water quality), of the Code of Practice for Fish and Fishery Products (CAC/RCP 52-2003).

49. Wild fish, and aquacultured fish withouth no controlled rearing conditions, may contain parasites that infect people. The environment of wild fish cannot be controlled, requiring measures to be taken at a later stage of the food chain, e.g. processing, for fish that will be consumed raw or undercooked.

50. Some species of wild large tuna (e.g. *Thunnus alalunga, Thunnus albacares)* appear to have few or no parasites in the edible portion, and thus may not have a significant parasite hazards.

51. Animals and people present in the vicinity of aquaculture ponds can be infected with foodborne parasites that are transmitted to humans through fish. Animals and humans may excrete parasite eggs that enter water and develop into larval stages that subsequently infect farmed fish. Material derived from on-board evisceration of fish showing signs of infection by parasites communicable to humans should not be disposed of at sea unless it has undergone a treatment that kills the parasites, in order not to maintain the parasite life cycle

52. The source of water used for aquaculture fish farming can be a risk factor for parasitic infections. The larval stages of certain trematodes, which may be present in fish farm water, can penetrate fish skin and infect fish tissues. Aquaculture primary producers should use clean water and seek appropriate guidance on water quality, and should prevent influx of contaminated water (including waste water). The hygienic suitability of the water, under both normal and rain-storm conditions, should be assessed prior to the development of the operation.

53. Some aquaculture methods may reduce a parasites hazard to an acceptable level, for example, ocean pen-reared salmon that are raised on commercial pelleted feed have not been observed to contain the same levels of anisakid worms observed in wild salmon. Closed systems with controlled feed and environment conditions can effectively eliminate parasites that normally occur in wild fish.

3.2 HYGIENIC PRODUCTION OF FOOD SOURCES

54. Refer to Section 3 (Prerequisite Programmes) and Section 6 (Aquaculture Production) of the *Code of Practice for Fish and Fishery Products* (CAC/RCP 52-2003), and the *Code of Practice on Good Animal Feeding* (CAC/RCP 54-2004) and OIE Aquatic Animal Health Code.

55. To prevent potential transmissions of parasites, fingerlings should only be purchased from producers who implement reliable source management systems and Good Aquaculture Practice (GAgP). <u>Fingerlings</u> collected from the wild may contain foodborne parasites that remain a hazard in adult fish.

(old 51). Animals and people in the vicinity of aquaculture ponds can be infected with foodborne parasites that are transmitted to humans through fish. Animals and people may excrete parasite eggs that enter water and develop into larval stages that subsequently infect farmed fish. In order to minimize the opportunity for contamination of the production environment with parasitic stages from human faeces, on-farm sanitary facilities should be installed, e.g. functional latrines in the field, and an adequate means of hygienically washing and drying hands.

56. Animals, including dogs and cats, <u>are definitive hosts for freshwater trematodemay be</u> fishborne parasite<u>s</u>-hosts and should be excluded from aquaculture ponds<u>or fish farm</u> to the extent possible, for example by placing fences around ponds. Good practices include not feeding raw meat/offal of fish to dogs and cats, preventing fish-eating mammals from accessing fish ponds and controlling the population of semi-domesticated or stray/feral dogs and cats in close vicinity of fish farms. Workers <u>infected with or</u> being treated for fish-borne trematodes (liver and intestinal flukes) should be excluded from the farm environment during treatment.

57. Particular <u>A</u>attention should <u>also</u> be given to animals that serve as intermediate hosts in the life cycle of fishborne parasites. For example, in the case of aquaculture, the exclusion of snails, as intermediate hosts for fishborne trematodes, from fish farm areas, may help interrupt trematode life cycles in fish ponds. For wild fish, intermediate hosts cannot be controlled, and fish migrate from different areas with varying risks for exposure to parasites.

58. Using raw fish as feed for aquaculture is likely to introduce a risk of parasitic infection, therefore it should be avoided as much as possible. Raw fish used for feed may be previously frozen in order to inactivate parasites. It is particularly important to inactivate parasites in feed where the fish will not be subsequently frozen, and may be consumed raw or undercooked. Fingerlings collected from the wild may contain foodborne parasites that remain a hazard in adult fish.

59. Toilets should not directly empty into fishponds. Fishponds should be protected from contamination from human and animal faeces, pollution with sewage and other wastes. Untreated human and animal excreta should not be used as fertilizer or as fish food.

60. Where needed, control measures at primary production should be assessed in order to determine if they are properly implemented and effective. Fish surveillance may be a useful tool for assessing control measure needs/shortcomings; however, because of the practical limitations of sampling and testing methodology, testing cannot assure the absence of a parasite hazard.

61. Eviscerating fish without any undue delay during harvest is helpful to prevent parasite migration from the viscera into the meat after harvest.

3.3 HANDLING, STORAGE AND TRANSPORT

61. Eviscerating fish without any undue delay during harvest is helpful to prevent parasite migration from the viscera into the flesh after harvest.

62. Refer to Section 6.3.5 Holding and transportation and Section 6.3.6 Storage and transportation of live fish of the *Code of Practice for Fish and Fishery Products* (CAC/RCP 52-2003), and Chapters 5.5. (Control of Aquatic Animal Health Risks Associated with Transport of Aquatic Animals) of the *OIE Aquatic Animal Health Code* (2014) for considerations for transport.

3.4 CLEANING, MAINTENANCE AND PERSONNEL HYGIENE AT PRIMARY PRODUCTION

63. Refer to Section 3.4 Hygiene Control Programme and 3.5 Personal Hygiene and Health of the *Code* of *Practice for Fish and Fishery Products* (CAC/RCP 52-2003) and Section 4 of the OIE Aquatic Animal Health Code.

3.5 MONITORING AND SURVEILLANCE AT PRIMARY PRODUCTION

64. <u>Monitoring and surveillance canExamining fish for live fishborne parasites may be a useful tools to</u> assess the effectiveness of the fishborne parasite preventive control measures of parasites and, for better effectiveness, may need to begin at primary production. <u>Procedures such as using a candling table when</u> inspecting for fishborne parasites, may be useful. Data from monitoring and surveillance can be useful to develop and review risk management strategies.

65. Assurance that a parasite hazard is adequately controlled <u>can_may</u> be attained through demonstration of properly implemented controls and hygienic practices, which may be supported by a series of negative test results over a sufficient time period through <u>a</u> risk-based surveillance programme.

D. Fresh fruits and vegetables

66. Important fruit- and vegetable-transmitted foodborne parasites include, but are not limited to, *Taenia* solium, *Echinococcus granulosus*, *Echinococcus multilocularis*, *Toxoplasma-gondii*, Entamoeba histolytica, *Cryptosporidium* spp., *Ascaris spp., Giardia duodenalis*, *Fasciola* spp., *Cyclospora cayetanensis*, *Trichuris trichiura*, *Balantidium coli*, and *Toxocara* spp. For information on specific food vehicles for these parasites see Table 2 in *Multicriteria-Based Ranking for Risk Management of Food-Borne Parasites*, Report of a Joint FAO/WHO Expert Meeting, 2012.

67. Certain fruits and vegetables are consumed raw without a cooking or freezing step <u>or disinfection</u> to kill parasites. In this case, controls that reduce the parasite hazard to an acceptable level during primary production are especially important. Adequate washing is one control measure feasible to be used in many cases.

3.1 ENVIRONMENTAL HYGIENE

68. Refer to Section 3.1 of the *Code of Hygienic Practice for Fresh Fruits and Vegetables* (CAC/RCP 53-2003).

69. Areas for cultivation of fresh fruits and vegetables need to be assessed in terms of their susceptibility to direct or indirect faecal contamination from wild animals, domestic animals and/or humans, whether from run-off, flooding, irrigation water, or natural fertilizers. Prior to selecting the site for cultivation it should be determined if adequate control measures can be implemented to manage any identified risks.

3.2 HYGIENIC PRODUCTION OF FOOD SOURCES

70. Refer to the Code of Hygienic Practice for Fresh Fruits and Vegetables (CAC/RCP 53-2003)

71. The use of biological soil amendments of animal origin, particularly on fresh produce, should be managed to minimize the potential for contamination with parasites (e.g. adequately treating manure). Parasite eggs and <u>cysts_oocysts</u> can survive for years in the environment, and can be highly resistant to environmental changes; for example *Ascaris* eggs can remain viable in anaerobically digested sewage sludge.

72. In case the presence of snail intermediate host (Lymnaeidae) is identified, aquatic plants, such as watercress, grown in the area should not be harvested for raw consumption in order to prevent infection with *Fasciola hepatica and F. gigantica*.

73. Flooding may cause contamination of crops with water containing the parasite eggs, cysts and oocysts from animal or human faeces. After such events, produce should be evaluated for risk of contamination and where there is a risk, proper disposal of the affected produce is needed.

3.4 CLEANING, MAINTENANCE AND PERSONNEL HYGIENE AT PRIMARY PRODUCTION

74. Refer to Sections 3.2.3 and 3.4 of the Code of Hygienic Practice for Fresh Fruits and Vegetables (CAC/RCP 53-2003).

75. Farm workers may be from endemic areas and homes with inadequate sanitary facilities. Workers may be infected with parasites without feeling ill or showing any symptoms. In order to minimize the opportunity for contamination of the production environment with parasitic stages from human faeces, installation and use of the on-farm sanitary facilities should be established, e.g., functional latrines in the field, and an adequate means of hygienically washing and drying hands. Waste from sanitary facilities should be hygienically disposed of.

E. Bottled/Packaged Drinking Water

Refer to the Code of Hygienic Practice for Collecting, Processing and Marketing of Natural Mineral Waters (CAC/RCP 33-1985) and the Code of Hygienic Practice for Bottled/Packaged Drinking Waters (Other than Natural Mineral Waters) (CAC/RCP 48-2001).]

Bottled/packaged drinking water is water that is filled into hermetically sealed containers of various compositions, forms, and capacities that is safe and suitable for direct consumption without necessary further treatment. Bottled drinking water is considered a food. The terms "drinking" and "potable" are used interchangeably in relation to water.[3.2 Hygienic production of food sources]

Water intended for bottling should be carried in completely separate lines from water not intended for bottling. These lines should be identified, preferably by different colours. There must be no cross-connections. Water used for cleaning and disinfection, should be potable (the standards of potability should not be less than those contained in the latest edition of the WHO Guidelines for Drinking Water Quality) if there is a chance that it comes into direct or indirect contact with water that is intended for bottling; otherwise it may be non-potable (if used where there is no direct or indirect contact with water for bottling). For storage, the provision in the General Principles of Food Hygiene (CAC/RCP 1-1969) apply.

SECTION 4 - ESTABLISHMENT: DESIGN AND FACILITIES

[TO BE DISCUSSED BY WG. DOES THIS SECTION FIT BETTER HERE OR ON SECTION 3.4 OF MEAT].

4.1 LOCATION

4.1.1 ESTABLISHMENTS

(Old 28.) Good hygienic practices including management of waste, such as maintaining and using sanitary toilet facilities should be in place and implemented. Toilets for staff and visitors should be provided. Human faeces should be disposed of in such a way as to eliminate contact of potentially infectious faeces with animals or pasture land.

(Old 36 and 75.) Farm workers may be from endemic areas and homes with inadequate sanitary facilities. Workers may be infected with parasites without feeling ill or showing any symptoms. In order to minimize the opportunity for contamination of the production environment with parasitic stages from human faeces, on-farm sanitary facilities should be installed and used, e.g. functional latrines in the field, and an adequate means of hygienically washing and drying hands. Waste from sanitary facilities should be hygienically disposed of.

4.2 PREMISES AND ROOMS

4.2.1 Design and layout

76. The post-harvest processing establishment should be designed to exclude animals that may excrete faeces that contain parasite stages. The layout should minimize the introduction of soil that may contain feces from animals and parasite stages from the outside environment. (e.g. presence of hygiene barrier, or changing boots/clothes at the entrance of the establishment).

SECTION 5 - CONTROL OF OPERATION

5.1 CONTROL OF FOOD HAZARDS

77. Control measures are used to address specific foodborne parasite hazards, e.g., as part of a Hazard Analysis and Critical Control Point (HACCP)-based system. Contamination of foods during processing with parasites transmitted by the faecal-oral route is typically controlled by a stringent application of hygiene control systems, which could be referred to as, e.g. Good Hygienic Practices (GHPs) and sanitation standard operation procedures (SSOPs). These prerequisite programs, together with validated interventions for specific parasites provide a framework for the control of foodborne parasites.

78. During the parasite hazard analysis, food business operators should consider how the product will be further processed, prepared and consumed in order to determine appropriate parasite controls. Where the hazard analysis indicates the presence of a significant foodborne parasite hazard, slaughter and post-harvest processing operations should have control measures in place that prevent or eliminate the hazard or reduce it to an acceptable level.

79. The hazard analysis may determine that a foodborne parasite hazard is adequately controlled at primary production, or by the previous processor. In this case, methods may be used to verify that previous control measures are adequate, such as inspecting the implementation of control measures at the primary producer or previous processor, and for some products, testing incoming product for the presence of parasites.

80. Various processes have been shown to control parasites in selected food items, but the conditions needed to inactivate parasites are subject to substantial variability depending on the parasites, the food matrix and the location of parasites in the food matrix. Specific processing steps and processing combinations should be subject to rigorous validation to ensure consumer protection. For additional infomation on validation, refer to the *Guidelines for the Validation of Food Safety Control Measures* (CAC/GL 69-2008). Control measures may include: freezing, heat treatment, salting, drying, high pressure processing combinations (hurdle concept) to control parasites should be used in accordance with guidance from competent authorities, where available.

81. Newer technologies or combinations of technologies are being developed for inactivating parasites. Prior to implementation in the food production chain, methods to inactivate parasites should be validated for the specific parasite/food combination. Some treatments may be subject to prior approval by the relevant competent authority.

5.2 KEY ASPECTS OF HYGIENE CONTROL SYSTEMS

5.2.1 Time and temperature control

82. Time and temperature control treatments (freezing and heating) that will result in the reduction/elimination of viable parasites are the most commonly used preventative control measures. Such treatments should be done in accordance with validated parameters, as described in relevant and reliable guidelines and other scientific literature.

5.2.2 Specific process steps

5.2.2.1 Freezing

83. Many parasites in food are susceptible to freezing. However, specific time/temperature combinations are required to inactivate parasites by freezing, and these are also dependent on the food type and portion size. Some parasites (e.g. *Trichinella nativa* and *T britovi* larvae or eggs of *Echinococcus multilocularis*) are resistant to freezing. *T. nativa* can survive up to 5 years at -18°C. Freezing<u>of</u> meat cannot be recommeanded as a control measure in areas where <u>*T. nativa* or</u> *T. britovi* is found in wild mammals.

84. For control of parasites in fish and fishery products intended for raw consumption by freezing, refer to Annex 1 of the *Code of Practice for Fish and Fishery Products* (CAC/RCP 52-2003). For control of parasites in cold smoked fish, smoke-flavored fish, and smoke-dried fish refer to Annex 1 of the *Standard for Smoked Fish, Smoke-flavored Fish and Smoke-dried Fish* (CODEX STAN 311-2013).

5.2.2.2 Heat treatment

85. Parasites can be inactivated by adequate heat treatment of foods and water. Other validated treatments may be used.

5.2.2.3 Salting, curing, marinating, pickling, smoking

86. Processing methods such as salting, curing, marinating, pickling, and-smoking-at 40°C, and addition of food additives that may be effective for the control of certain other foodborne pathogens, are generally not sufficient for the control of foodborne parasites. Combinations of several treatments (hurdle concept) can be effective to control parasites. When a combination of treatments is used, it should be subject to rigorous validation to ensure consumer protection.

5.2.2.4 Irradiation

87. Irradiation serves as anotheris a possible measure for parasite control. <u>Refer to the General</u> <u>Standard for Irradiated Foods (CODEX STAN 106-1983).</u>

5.2.2.5 Washing

88. Fruit and vegetables should be washed with <u>water that does not compromise food safety in the circumstances of its use (running</u>, clean water) to reduce parasites although it should be noted that most parasite eggs or (oo)cysts are sticky and difficult to remove from fruits and vegetables <u>particularly those with crevices or folds on the surface. Refer to Code of Hygienic Practice for Fresh Fruits and Vegetables (CAC/RCP 53-2003).</u>

5.4 PACKAGING

89. It should be noted that vacuum packaging does not alter the <u>viability infectivity</u> of parasites in food <u>but prolongs their viability</u>.

5.5 WATER

90. Water used for washing fruits and vegetables during processing may need to be treated to reduce parasites. Some parasites are resistant to common water disinfection techniques. For example, some parasitic stages (e.g., *Cryptosporidium* oocysts) are resistant to common water disinfection techniques such as those utilizing chlorinechlorination. For these parasites, alternative validated methods may be used as a means of inactivating or removing parasites in water, especially those for direct human consumption, in contact with food or used as ingredient.

5.7 DOCUMENTATION AND RECORDS

91. Documentation related to validation, monitoring and verification activities regarding the control measures used for parasites should be kept.

92. Monitoring and review of foodborne parasite safety control systems is an essential component of application of a risk management framework (RMF). It contributes to verification of process control and demonstrating progress towards achievement of public health goals.

93. Information on the level of control of parasites at appropriate points in the food chain can be used for several purposes e.g. to validate and/or verify outcomes of food control measures, to monitor compliance with public health goals, and to help prioritise regulatory efforts to reduce foodborne parasite illnesses.

SECTION 6 – ESTABLISHMENT: MAINTENANCE AND SANITATION

6.3 **PEST CONTROL SYSTEMS**

94. Insects, such as flies and cockroaches, and animals such as rodents and birds can <u>concentrate and</u> transport parasite stages from faeces to food and should be controlled.

SECTION 7 – ESTABLISHMENT: PERSONAL HYGIENE

95. Proper personal hygiene such as hand-washing practices should be used to prevent faecal-oral transmission of parasites. For example, workers infected with the tapeworm *T. solium* with improper hand-washing practices can spread eggs that cause the severe disease neurocysticercosis.

SECTION 9 - PRODUCT INFORMATION AND CONSUMER AWARENESS

9.2 **PRODUCT INFORMATION**

96. Labels may be used to help differentiate between products that are intended for raw consumption, and products that are intended to be cooked by the consumer. However, labels are often overlooked by the consumer and are not considered to be adequate control measures. Therefore, even with the beneficial use of labels instructing consumers to cook the product, a parasite hazard should be reduced to an acceptable level before marketing products that are likely to be consumed raw or undercooked.

9.4 CONSUMER EDUCATION

97. In order to increase consumer awareness of foodborne parasite hazards, education, is an important component of risk management, and in some cases may be the only practical option available. Consumers should recognize the risks associated with consumption of raw, undercooked, and lightly processed (e.g. marinated, smoked) meat and fish, as well as the consumption of certain fruits and vegetables that may not be rendered safe simply by washing alone. Consumer advice should be provided on how to prepare foods (e.g. cooking times and temperatures) and on the importance of good hygiene (e.g. hand-washing) in order to avoid infection with foodborne parasites. Consumers should always make sure to separate raw foods from cooked foods, and ready to eat fruit and vegetables to prevent cross-contamination while handling and preparing meals. The WHO Five keys to safer food could assiste in this process.⁵

98. Education is particularly important for consumers in endemic areas, and in high risk groups, such as those who are pregnant or immunocompromised (e.g. *Toxoplasma gondii* in pregnant women and immunocompromised groups; *Cryptosporidium* <u>spp.</u> in children, immunocompromised groups and older adults.) For such consumers, advice on the preparation and consumption of high-risk foods such as fresh produce, adequate cooking of meat and fish prior to consumption and the importance of hygiene, e.g. handwashing, is critical.

99. When people are diagnosed with an *Anisakis* spp. nematodes allergy, they should be advised to avoid eating marine fish.

⁵ WHO. 2006. Five keys to safer food manual. Available at: http://www.who.int/foodsafety/publications/5keysmanual/en/

SECTION 10 – TRAINING

100. Workers engaged in primary production, processing, preparation, retail or food service should be trained and/or instructed in the control of foodborne parasites (e.g. <u>from good animal husbandry practices to hygiene and sanitation measures</u>) to a level appropriate to the operations they are to perform.<u>-in-pParticular attention should be paid to abattoir workers who may be performing post-mortem inspection procedures and workers in processing plants and food service facilities who prpepare fish and fishery products for raw consumption.</u>

10.2 TRAINING PROGRAMMES

101. Training programmes should contain information on the following, as appropriate to those being trained:

- The potential for food to be a vehicle of transmission of foodborne parasites if contaminated.
- The potential sources and routes of transmission of foodborne parasites.
- The potential for persistence of parasites in/on contaminated foods and food production settings.
- The need to comply with good animal husbandry practices and the importance of compliance with such practices, including:
 - the role of domestic and wild animals in the transmission of certain parasites;
 - the importance of on-farm sanitation and hygiene in interrupting the life cycle of parasites and minimizing the opportunity for faecal-oral transmission; and
 - the importance of animal feed management to avoid domestic and wild life parasite contamination.
- Proper hand washing practices and the importance of strict compliance with hand washing instructions at all times, particularly after being in contact with faecal matter. It is advisable to educate each new employee in the proper practices that are to be followed for hand-washing.
- The importance of adequate food processing and preparation to eliminate potential parasite risks.
- Task-specific practices to reduce or eliminate the risks of parasites in foods.

10.3 INSTRUCTION AND SUPERVISION

102. Training and instructions should be given to all new personnel on the transmission and management of foodborne parasites.

103. Inspectors or other relevant authorities, who inspect fields, post-harvest processing plants, and food service facilities, should also be trained as per paragraph 92.

Periodic retraining of existing personnel should be given as refresher and to maintain competence level of all personnel.