

# FAO SPECIFICATIONS AND EVALUATIONS FOR AGRICULTURAL PESTICIDES

## FLUMIOXAZIN

*N*-(7-fluoro-3,4-dihydro-3-oxo-4-prop-2-ynyl-2*H*-1,4-benzoxazin-6-yl)cyclohex-1-ene-1,2-dicarboximide



FOOD AND AGRICULTURE ORGANIZATION *of* THE UNITED NATIONS

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## DISCLAIMER<sup>1</sup>

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FAO specifications are developed with the basic objective of promoting, as far as practicable, the manufacture, distribution and use of pesticides that meet basic quality requirements.

Compliance with the specifications does not constitute an endorsement or warranty of the fitness of a particular pesticide for a particular purpose, including its suitability for the control of any given pest, or its suitability for use in a particular area. Owing to the complexity of the problems involved, the suitability of pesticides for a particular purpose and the content of the labelling instructions must be decided at the national or provincial level.

Furthermore, pesticides which are manufactured to comply with these specifications are not exempted from any safety regulation or other legal or administrative provision applicable to their manufacture, sale, transportation, storage, handling, preparation and/or use.

FAO disclaims any and all liability for any injury, death, loss, damage or other prejudice of any kind that may arise as a result of, or in connection with, the manufacture, sale, transportation, storage, handling, preparation and/or use of pesticides which are found, or are claimed, to have been manufactured to comply with these specifications.

Additionally, FAO wishes to alert users to the fact that improper storage, handling, preparation and/or use of pesticides can result in either a lowering or complete loss of safety and/or efficacy.

FAO is not responsible, and does not accept any liability, for the testing of pesticides for compliance with the specifications, nor for any methods recommended and/or used for testing compliance. As a result, FAO does not in any way warrant or represent that any pesticide claimed to comply with a FAO specification actually does so.

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<sup>1</sup> This disclaimer applies to all specifications published by FAO.

## INTRODUCTION

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FAO establishes and publishes specifications\* for technical material and related formulations of agricultural pesticides, with the objective that these specifications may be used to provide an international point of reference against which products can be judged either for regulatory purposes or in commercial dealings.

From 2002, the development of WHO specifications follows the **New Procedure**, described in the 1st edition of “Manual for Development and Use of FAO and WHO Specifications for Pesticides” (2002) and amended with the supplement of this manual (2006), which is available only on the internet through the FAO and WHO web sites. This **New Procedure** follows a formal and transparent evaluation process. It describes the minimum data package, the procedure and evaluation applied by FAO and the Experts of the FAO/WHO Joint Meeting on Pesticide Specifications (JMPS). [Note: prior to 2002, the Experts were of the FAO Panel of Experts on Pesticide Specifications, Registration Requirements, Application Standards and Prior Informed Consent, which now forms part of the JMPS, rather than the JMPS.]

FAO Specifications now only apply to products for which the technical materials have been evaluated. Consequently from the year 2000 onwards the publication of FAO specifications under the **New Procedure** has changed. Every specification consists now of two parts namely the specifications and the evaluation report(s):

**PART ONE: The Specification** of the technical material and the related formulations of the plant protection product in accordance with chapter 4, 5 and 6 of the 5<sup>th</sup> edition of the “Manual on the development and use of FAO specifications for plant protection products”.

**PART TWO: The Evaluation Report(s)** of the plant protection product reflecting the evaluation of the data package carried out by FAO and the JMPS. The data are to be provided by the manufacturer(s) according to the requirements of Appendix A, annex 1 or 2 of the “Manual on the development and use of FAO specifications for plant protection products” and supported by other information sources. The Evaluation Report includes the name(s) of the manufacturer(s) whose technical material has been evaluated. Evaluation reports on specifications developed subsequently to the original set of specifications are added in a chronological order to this report.

FAO specifications under the **New Procedure** do not necessarily apply to nominally similar products of other manufacturer(s), nor to those where the active ingredient is produced by other routes of manufacture. FAO has the possibility to extend the scope of the specifications to similar products but only when the JMPS has been satisfied that the additional products are equivalent to that which formed the basis of the reference specification.

**Specifications bear the date (month and year) of publication of the current version.**

**Dates of publication of the earlier versions, if any, are identified in a footnote.**

**Evaluations bear the date (year) of the meeting at which the recommendations were made by the JMPS.**

\* NOTE: PUBLICATIONS ARE AVAILABLE ON THE INTERNET AT <http://www.fao.org/ag/agp/agpp/pesticid/> OR IN HARDCOPY FROM THE PLANT PROTECTION INFORMATION OFFICER.

## **PART ONE**

### **SPECIFICATIONS**

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## FLUMIOXAZIN

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### INFORMATION

*ISO common name*

Flumioxazin (ISO 1750, published)

*Chemical names*

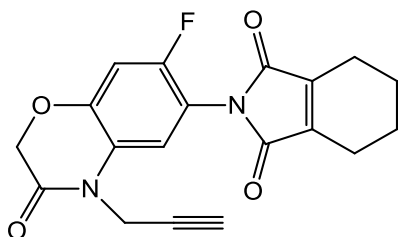
IUPAC *N*-(7-fluoro-3,4-dihydro-3-oxo-4-prop-2-ynyl-2*H*-1,4-benzoxazin-6-yl)  
cyclohex-1-ene-1,2-dicarboximide

CA 2-[7-fluoro-3,4-dihydro-3-oxo-4-(2-propynyl)-2*H*-1,4-benzoxazin-6-yl]-  
4,5,6,7-tetrahydro-1*H*-isoindole-1,3(2*H*)-dione

*Synonym*

S-53482, Sumisoya

*Structural formula*



*Molecular formula*

C<sub>19</sub>H<sub>15</sub>FN<sub>2</sub>O<sub>4</sub>

*Relative molecular mass*

354.3 g/mole

*CAS Registry number*

103361-09-7

*CIPAC number*

578

*Identity tests*

HPLC retention time, IR spectrum

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## FLUMIOXAZIN TECHNICAL MATERIAL

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### FAO Specification 578 / TC (January 2015<sup>\*</sup>)

*This specification, which is PART ONE of this publication, is based on an evaluation of data submitted by the manufacturer whose name is listed in the evaluation report (578/2014). It should be applicable to TC produced by this manufacturer but it is not an endorsement of those products, nor a guarantee that they comply with the specifications. The specification may not be appropriate for TC produced by other manufacturers. The evaluation report (578/2014), as PART TWO, forms an integral part of this publication.*

#### 1. Description

The material shall consist of flumioxazin together with related manufacturing impurities, in the form of yellowish brown powder, free from visible extraneous matter and added modifying agents.

#### 2. Active Ingredient

##### 2.1 Identity tests (578/TC/M/2, CIPAC/4763) (Note 1)

The active ingredient shall comply with an identity test and, where the identity remains in doubt, shall comply with at least one additional test.

##### 2.2 Flumioxazin content (578/TC/M/3, CIPAC/4763) (Note 1)

The flumioxazin content shall be declared (not less than 960 g/kg) and, when determined, the average measured content shall not be lower than the declared minimum content.

Note 1 The method for determination of flumioxazin content in TC and WP was provisionally adopted by CIPAC In 2011 and became a full method in 2012. Prior to its publication in Handbook O, copies of the method can be ordered through <http://www.cipac.org/cipacpub.htm>

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<sup>\*</sup> Specifications may be revised and/or additional evaluations may be undertaken. Ensure the use of current versions by checking at: <http://www.fao.org/agriculture/crops/core-themes/theme/pests/jmps/ps-new/en/>

PART TWO

EVALUATION REPORTS

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FLUMIOXAZIN

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## FLUMIOXAZIN

### FAO/WHO EVALUATION REPORT 578/2014

#### Recommendations

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The Meeting recommended that the new specifications for flumioxazin TC proposed by Sumitomo Chemical Co., Ltd. as amended, should be adopted by FAO

#### Appraisal

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Flumioxazin is not under patent.

Flumioxazin has not been evaluated by the FAO/WHO JMPR and WHO/IPCS. The US EPA has completed a review of the toxicological data submitted for this compound. [EPA 2001] It was evaluated by the European Commission and included in Annex I of the Council Directive 91/414/EEC. [CD, 2002] Flumioxazin is currently under evaluation by European Commission as part of the procedure for the renewal of the inclusion in Annex I to Council Directive 91/414/EEC. [CR, 2010] A proposal for harmonised classification and labelling of flumioxazin was submitted to the European Chemicals Agency (ECHA) in 2013. [ECHA, 2013]

The data for flumioxazin were evaluated in support of a new FAO specification based on the draft specification (TC) and the supporting data provided by Sumitomo Chemical Co. in October 2013.

The data submitted were in accordance with the requirements of the revised (second revision, November 2010) 1<sup>st</sup> edition of the Manual on development and use of FAO and WHO specifications for pesticides [FAO/WHO Manual, 2010] and supported the existing specification.

Flumioxazin is currently registered in Europe, the United States of America, Latin America, Australia, as well as some Asian countries.

The confidential data submitted by the proposer on the manufacturing process of flumioxazin, the data summary in support of the physical-chemical, toxicological and ecotoxicological properties were in accordance with the information supplied to France for registration purposes. The impurities and QC limits for flumioxazin TC produced by Sumitomo agree between the information submitted to FAO and to France. [Six, 2014]

Flumioxazin is a yellow blown odourless powder with a melting range between 203.5 and 209.7 °C. The compound has a low vapour pressure and water solubility ( $\approx 0.8$  mg/L) that is not pH dependent. It is soluble in medium polarity organic solvents like dichloromethane, acetone or ethyl acetate, but only slightly soluble in hexane. The octanol/water partition coefficient is not pH dependent and indicates limited potential to bioaccumulation. Flumioxazin is hydrolysed in aqueous media with DT<sub>50</sub> of 3 to 5 days, 19 to 26 hours, and 14 to 23 minutes at pH 5, 7 and 9, respectively. Flumioxazin absorbs UV light in the 215 to 220 nm range. Photolytic DT<sub>50</sub> was determined to be 21 – 26 hours in aqueous media at 25°C. No dissociation constant was observed or possible to determine due to rapid hydrolytic decomposition under alkaline conditions.

The main formulation type available is wettable powder (WP).

The Meeting was provided with commercially confidential information on the manufacturing process and batch analysis data on all impurities present below or above 1 g/kg and their manufacturing limits in the TC. Mass balances were 99.89 – 100.55 % in the 5-batch data. The maximum limits for the impurities were supported by the batch data.

In the submission the company proposed that there are no relevant impurities. Nevertheless, a noble metal used as a catalyst in the manufacturing process was considered by the Meeting but it concluded that it is very unlikely that low levels of that metal remaining in the flumioxazin technical material would reach 10% of the GHS limit, leading to classification as a sensitizer. Therefore residues of that noble metal were not considered relevant.

The identity of flumioxazin is confirmed by comparing the retention time of the sample with an authentic standard using reversed phase HPLC, and by comparing IR spectrum. The analytical method for the determination of the active ingredient in flumioxazin technical is reversed-phase HPLC with UV detection (CIPAC/4763) (ISBN 902951629). Impurities were determined by HPLC-UV. The LOQ for all impurities was 0.1 %. Test methods for determination of physical-chemical properties of the technical active ingredient were OECD, EPA, EC and CIPAC, respectively.

Toxicity data were available for acute and sub-acute to chronic toxicity, including carcinogenicity and teratogenicity, genotoxicity and ecotoxicology, derived from the technical grade active ingredient manufactured by the proposer. The Meeting requested further explanations regarding the findings on the two developmental toxicity studies in rat [Aitio, 2014]. Several dose-related effects were observed: cardiac ventricular septum defects, wavy ribs, curvature of the scapular and reduced ossification the cerebral spine. However, a series of mode of action studies showed that the effects were rat specific and could not be extrapolated to humans. The view of the proposer was that the rat is an inappropriate model for assessing the developmental toxicity of flumioxazin in humans. The Meeting accepted this explanation.

The Meeting recommended the adoption of the new FAO specification for the technical material.

SUPPORTING INFORMATION  
FOR  
EVALUATION REPORT 578/2014

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## USES

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Flumioxazin is a contact herbicide, not systemic and hence not translocated in plants. It is used in agriculture in arable and permanent crops, in industrial weed control, amenities (non agricultural uses) to control broad leaved weeds and grasses.

Flumioxazin acts by inhibiting the protoporphyrinogen oxidase, leading to the accumulation of porphyrines in sensitive plants. In presence of light and oxygen, the porphyrines cause the peroxidation of the lipidic membranes, leading to irreversible damages to the cell membranes, causing the death of the cell. Applied in pre-emergence, flumioxazin acts by contact with the radicles and young shoots of the emerging seed, causing the necrosis of the shoots and radicles. Applied in early post emergence, flumioxazin acts by contact with the leaf tissue causing the bleaching, withering and desiccation of the damaged plant organs, followed by the necrosis of the plant.

### Identity of the active ingredient

#### *ISO common name*

Flumioxazin (ISO 1750, published)

#### *Chemical name(s)*

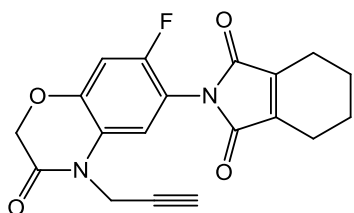
IUPAC *N*-(7-fluoro-3,4-dihydro-3-oxo-4-prop-2-ynyl-2*H*-1,4-benzoxazin-6-yl)cyclohex-1-ene-1,2-dicarboximide

CA 2-[7-fluoro-3,4-dihydro-3-oxo-4-(2-propynyl)-2*H*-1,4-benzoxazin-6-yl]-4,5,6,7-tetrahydro-1*H*-isoindole-1,3(2*H*)-dione

#### *Synonyms*

S-53482, Sumisoya

#### *Structural formula*



#### *Molecular formula*

C<sub>19</sub>H<sub>15</sub>FN<sub>2</sub>O<sub>4</sub>

#### *Relative molecular mass*

354.3

#### *CAS Registry number*

103361-09-7

#### *CIPAC number*

578

#### *Identity tests*

HPLC retention time, IR spectrum

**Table 1: Physical-chemical properties of pure flumioxazin**

| Parameter                           | Value(s) and conditions   | Purity %                   | Method reference (and technique if the reference gives more than one) | Study number         |
|-------------------------------------|---|----------------------------|---|----------------------|
| Vapour pressure                     | 3.2 x 10 <sup>-4</sup> Pa at 22 °C  | 99.5                       | OECD 104 (gas saturation method)                                      | [201]<br>SBP-01-0010 |
| Melting point.                      | 203.51 – 209.74 °C  | 99.6                       | OECD 102,<br>EEC Method A.1   | [202]<br>SBP-0056    |
| Temperature of decomposition        | 273.33 °C at an atmospheric pressure of 101.79 kPa  | 99.6                       | OECD 103,<br>EEC Method A.2   | [202]<br>SBP-0056    |
| Solubility in water                 | 0.786±0.1081 mg/l at 20 °C (doubly-distilled water)<br>Due to neutral properties of flumioxazin, effect of pH was not investigated.                 | 99.6                       | EEC Method A.6,<br>OECD 105 (column elution)                          | [203]<br>SBP-0057    |
| Octanol/water partition coefficient | log P <sub>OW</sub> = 2.55 at 20 °C (pH 5.92 – 5.98)  | 99.9                       | OECD 107<br>(Equivalent to EEC Method A.8)                            | [204]<br>SBP-00-0001 |
| Hydrolysis characteristics          | Half-life = 3.43 days at 25 °C at pH 5<br>Half-life = 18.9 – 23.9 hours at 25 °C at pH 7<br>Half-life = 14.0 – 15.1 minutes at 25 °C at pH 9        | radio-chemical purity > 99 | EPA-FIFRA 161-1<br>(Equivalent to EEC Method C.7 or OECD 111)         | [205]<br>SBM-00-0006 |
|                                     | Half-life = 4.91 – 5.20 days at 25 °C at pH 5<br>Half-life = 23.2 – 25.9 hours at 25 °C at pH 7<br>Half-life = 21.3 – 22.7 minutes at 25 °C at pH 9 | radio-chemical purity > 99 | EPA-FIFRA 161-1<br>(Equivalent to EEC Method C.7 or OECD 111)         | [206]<br>SBM-00-0005 |
| Photolysis characteristics          | Half-life = 20.94 hours at 25 °C at pH 5 under artificial sunlight conditions (imido-label)   | radio-chemical purity > 99 | EPA-FIFRA 161-2   | [207]<br>SBM-51-0051 |
|                                     | Half-life = 26.31 hours at 25 °C at pH 5 under artificial sunlight conditions (phenyl-label)  | radio-chemical purity > 99 | EPA-FIFRA 161-2   | [208]<br>SBM-51-0052 |
|                                     | Quantum yield = 0.065 at 25±2 °C at pH 4  | 99.6                       | OECD 316  | [209]<br>SBP-0058    |
|                                     | Calculated half-life = 0.139 – 0.161 days (3.3 – 3.9 hours) for latitude 30, 40 and 50 °N and summer  | not applicable             | OECD 316,<br>GCSOLAR programme  | [210]<br>SBP-0060    |
| Dissociation characteristics        | Not determined as the substance decomposed at pH >9 and no spectral changes were observed at pH ≤7  | 99.5                       | OECD 112 (spectrophotometric method)                                  | [211]<br>SBP-00-0021 |

|                                |                   |      |                        |                         |                      |        |
|--------------------------------|-------------------|------|------------------------|-------------------------|----------------------|--------|
| Solubility in organic solvents | Temperature: 25°C | g/l  | 97.6<br>techni-<br>cal | OECD 105 (flask method) | [212]<br>SBP-01-0011 |        |
|                                | acetone           |      |                        |                         |                      | 17.0   |
|                                | methanol          |      |                        |                         |                      | 1.56   |
|                                | ethyl acetate     |      |                        |                         |                      | 17.8   |
|                                | acetonitrile      |      |                        |                         |                      | 32.3   |
|                                | dichloromethane   |      |                        |                         |                      | 191    |
|                                | hexane            |      |                        |                         |                      | 0.0247 |
|                                | n-octanol         |      |                        |                         |                      | 0.163  |
|                                | tetrahydrofuran   | 53.8 |                        |                         |                      |        |

**Table 2: Chemical composition and properties of flumioxazin technical material**

|   |   |
|---|---|
| Manufacturing process, maximum limits for impurities $\geq 1$ g/kg, 5 batch analysis data | Confidential information supplied and held on file by FAO. Mass balances were 99.89 – 100.55 % and percentages of unknowns were $<0.1$ %. |
| Declared minimum flumioxazin content  | 960 g/kg  |
| Relevant impurities $\geq 1$ g/kg and maximum limits for them                             | None  |
| Relevant impurities $< 1$ g/kg and maximum limits for them:                               | None  |
| Stabilisers or other additives and maximum limits for them:                               | None  |
| Melting temperature range of the TC   | Not available   |

The agreed health-based reference values during the first EU peer review were [EC, 2002]:

|               | Value                  | Study  | Safety factor |
|---------------|------------------------|--|---------------|
| ADI           | 0.009 mg/kg bw per day | Rat, 2-y study                                     | 200           |
| AOEL systemic | 0.018 mg/kg bw per day | Rat, 90-d study, corrected for 83% oral absorption | 100           |
| ARfD          | 0.05 mg/kg bw          | Rat, developmental toxicity Study (10 mg/kg bw/d)  | 200           |

The IPCS hazard classification of flumioxazin is: Unlikely to present acute hazard, class U.  
EU classification of flumioxazin according to Regulation No 1272/2008/EC (Annex VI Table 3.2): [CLP, 2008]

Hazard class and category codes (Annex VI Table 3.1):

| Classification   |                          | Labelling                      |                          |
|--|--------------------------|--------------------------------|--------------------------|
| Hazard Class and Category Code(s)                      | Hazard Statement Code(s) | Pictogram, Signal Word Code(s) | Hazard statement Code(s) |
| Repr. 1B<br>Aquatic<br>Acute 1<br>Aquatic<br>Chronic 1 | H360D<br>H400<br>H410    | GHS08<br>GHS09<br>Dgr          | H360D<br>H410            |

Hazard statement:           H360D (May damage the unborn child),  
                                      H400 (Very toxic to aquatic life),  
                                      H410 (Very toxic to aquatic life with long lasting effects)

The company proposed the change of classification in the dossier for EU Annex I renewal submitted to the rapporteur member state (Czech Republic) in February 2012. Though the classification “Repr. Cat. 2; R61” was based on developmental effects in the rat and presumed relevance to humans, an extensive program of research with flumioxazin has provided evidence that the rat is particularly sensitive to the toxic effects of flumioxazin whereas this is unlikely to be the case in humans. Therefore, a proposal to remove Repr. Cat 2; R61 and H360D has been made, which is currently under evaluation by ECHA.

## FORMULATIONS AND CO-FORMULATED ACTIVE INGREDIENTS

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The main formulation types available are wettable powders (WP). These formulations are registered and sold in many countries throughout the world in Europe, USA, Latin American Countries, Australia and some Asian countries.

Flumioxazin may be co-formulated with other pesticides.

## METHODS OF ANALYSIS AND TESTING

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The flumioxazin content can be determined by HPLC, using UV detection at 292 nm and internal standardisation with naphthalene [101]. The identity of the active ingredient is confirmed by HPLC, IR and MS. [102] and [103].

The analytical method for the active ingredient in TC and WP is a full CIPAC method (ISBN 902951629), adopted at the 2012 CIPAC meeting. The method is not yet published in a Handbook, but is available as a pre-published method. Flumioxazin is determined by reverse phase HPLC chromatography using a 250 mm x 4.6 mm C18 (5 µm) column and UV detection at 288 nm. The method(s) for determination of impurities are based on HPLC with UV detection.

Test methods for determination of physical-chemical properties of the technical active ingredient were OECD, EPA or EC, respectively.

## CONTAINERS AND PACKAGING

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No special requirements for containers and packaging have been identified.

## EXPRESSION OF THE CONTENT OF ACTIVE INGREDIENT

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The active ingredient content is expressed as flumioxazin.



## **ANNEX 1**

### **HAZARD SUMMARY PROVIDED BY THE PROPOSER**

Notes.

- (i) The proposer confirmed that the toxicological and ecotoxicological data included in the summary below were derived from flumioxazin having impurity profiles similar to those referred to in the table above.
- (ii) The conclusions expressed in the summary below are those of the proposer, unless otherwise specified.

**Table 3: Toxicology profile of the flumioxazin technical material, based on acute toxicity, irritation and sensitization.**

| Species            | Test               | Purity % <sup>2</sup> | Guideline, duration, doses and conditions                          | Result  | Study number         |
|--------------------|--------------------|-----------------------|--|---|----------------------|
| Rat male/female    | oral               | 94.8                  | EPA-FIFRA 540/9, 82, 025<br>0, 5000 mg/kg bw                       | LD <sub>50</sub> : >5000 mg/kg bw   | [301]<br>SBT-00-0006 |
| Rat male/female    | dermal             | 94.8                  | EPA-FIFRA 540/9, 82, 025<br>0, 2000 mg/kg bw                       | LD <sub>50</sub> : >2000 mg/kg bw   | [302]<br>SBT-00-0007 |
| Rat male/female    | inhalation         | 98.3                  | EPA-FIFRA 81-3<br>4-hr exposure<br>0, 1550, 3930 mg/m <sup>3</sup> | LC <sub>50</sub> : >3930 mg/m <sup>3</sup> (max.<br>feasible concentration) | [303]<br>SBT-00-0011 |
| Rabbit male/female | skin irritation    | 94.8                  | EPA-FIFRA (1982)<br>4-hr exposure                                  | Non-irritating  | [304]<br>SBT-90-0005 |
| Rabbit male/female | eye irritation     | 94.8                  | EPA-FIFRA (1982)   | Non-irritating  | [304]<br>SBT-90-0005 |
| Guinea pig male    | skin sensitisation | 94.8                  | EPA-FIFRA 81-6<br>Maximization test (Magnusson & Kligman)          | Non-sensitizing   | [305]<br>SBT-90-0008 |

<sup>2</sup> Note: Purity is the content of pure active ingredient in the technical material, expressed as a percentage.

**Table 4: Toxicology profile of the flumioxazin technical material based on repeated administration (subacute to chronic)**

| Species           | Test <sup>3</sup>                   | Purity % | Guideline, duration, doses and conditions   | Result   | Study number         |
|-------------------|-------------------------------------|----------|---|--|----------------------|
| Mouse male/female | Sub-chronic / 28-d / diet           | 94.8     | EPA-FIFRA 82-1<br>4 weeks<br>0, 1000, 3000, 10000 ppm<br>(equivalent to: 0, 151.5, 419.9, 1366.5 mg/kg bw/d for male, 0, 164.5, 481.6, 1698.3 mg/kg bw/d for female)              | Effects: Increase in absolute and/or relative liver weight   | [306]<br>SBT-00-0014 |
| Rat male/female   | Sub-chronic / 90-d / diet           | 98.4     | EPA-FIFRA 82-1<br>13 weeks<br>0, 30, 300, 1000, 3000 ppm<br>(equivalent to: 0, 2.28, 20.71, 69.70, 243.5 mg/kg bw/d for male, 0, 2.21, 21.72, 71.53, 229.6 mg/kg bw/d for female) | NOAEL:<br>69.70 mg/kg bw/d (male),<br>71.53 mg/kg bw/d (female)<br>LOAEL:<br>243.5 mg/kg bw/d (male),<br>229.6 mg/kg bw/d (female) | [307]<br>SBT-91-0002 |
| Rat male/female   | Sub-chronic / 90-d / diet           | 94.8     | EPA-FIFRA 82-1<br>13 weeks<br>0, 30, 300, 1000, 3000 ppm<br>(equivalent to: 0, 1.9, 19.3, 65.0, 196.7 mg/kg bw/day for male, 1, 2.2, 22.4, 72.9, 218.4 mg/kg bw/day for female)   | NOAEL: 19.3 mg/kg bw/d (male), 2.2 mg/kg bw/d (female)<br>LOAEL: 65.0 mg/kg bw/d (male), 22.4 mg/kg bw/d (female)                  | [308]<br>SBT-10-0023 |
| Dog male/female   | Sub-chronic / 90-d / oral (capsule) | 94.8     | EPA-FIFRA 82-1<br>13 weeks<br>0, 10, 100, 1000 mg/kg bw/d   | NOAEL: 10 mg/kg bw/d (male & female)<br>LOAEL: 100 mg/kg bw/d (male & female)  | [309]<br>SBT-30-0038 |
| Dog male/female   | Chronic / 1-y / oral (capsule)      | 94.8     | EPA-FIFRA 83-1<br>1 year<br>0, 10, 100, 1000 mg/kg bw/d   | NOAEL: 10 mg/kg bw/d (male & female)<br>LOAEL: 100 mg/kg bw/d (male & female)  | [310]<br>SBT-30-0039 |

<sup>3</sup> Note: Purity is the content of pure active ingredient in the technical material, expressed as a percentage.

|                   |  |      |   |  |                      |
|-------------------|--|------|---|--|----------------------|
| Rat male/female   | Dermal toxicity / 21-d                             | 94.8 | EPA-FIFRA 82-2<br>21 days<br>0, 100, 300, 1000 mg/kg bw/d   | NOAEL: 1000 mg/kg bw/d (male), 300 mg/kg bw/d (female)<br>LOAEL: 1000 mg/kg bw/d (female)  | [311]<br>SBT-11-0026 |
| Rat male/female   | Chronic toxicity & carcinogenicity / 2-y / diet    | 94.8 | EPA-FIFRA 83-5<br>2 years<br>0, 50, 500, 1000 ppm<br>(equivalent to: 0, 1.8, 18.0, 36.5 mg/kg bw/d for male, 0, 2.2, 21.8, 43.6 mg/kg bw/d for female)          | NOAEL: 1.8 mg/kg bw/d (male), 2.2 mg/kg bw/d (female)<br>LEL: 18 mg/kg bw/d (male), 21.8 mg/kg bw/d (female)<br>Not carcinogenic     | [312]<br>SBT-30-0040 |
| Mouse male/female | Carcinogenicity / 18-m / diet                      | 94.8 | EPA-FIFRA 83-2<br>78 weeks<br>0, 300, 3000, 7000 ppm<br>(equivalent to: 0, 31.1, 314.9, 754.1 mg/kg bw/d for male, 0, 36.6, 346.4, 859.1 mg/kg bw/d for female) | NOAEL: 31.1 mg/kg bw/d (male), 36.6 mg/kg bw/d (female)<br>LEL: 314 mg/kg bw/d (male), 346.4 mg/kg bw/d (female)<br>Not carcinogenic | [313]<br>SBT-30-0048 |
| Rat male/female   | Reproduction / dose-range finding / one generation | 94.8 | EPA-FIFRA 83-4, OECD 416, JMAFF 4200<br>0, 100, 500, 1000, 5000 ppm   | Parental<br>NOEL: <100 ppm<br>Offspring<br>NOEL: 100 ppm   | [314]<br>SBT-11-0018 |
| Rat male/female   | Reproduction / dose-range finding / one generation | 94.8 | EPA-FIFRA 83-4, OECD 416, JMAFF 4200<br>0, 100, 200, 300, 400, 500 ppm  | Parental<br>NOEL: 200 ppm<br>Offspring<br>NOEL: 200 ppm  | [315]<br>SBT-11-0019 |
| Rat male/female   | Reproduction / two generation                      | 94.8 | EPA-FIFRA 83-4, OECD 416, JMAFF 4200<br>0, 50, 100, 200, 300 ppm  | Parental<br>NOAEL: 200 ppm<br>Reproductive<br>NOAEL: 200 ppm<br>Offspring<br>NOAEL: 100 ppm  | [316]<br>SBT-21-0035 |

|               |  |      |  |   |                      |
|---------------|--|------|--|---|----------------------|
| Rat female    | Teratogenicity / oral / dose-range finding   | 98.2 | EPA-FIFRA 83-3<br>0, 30, 100, 200, 500 mg/kg bw/d    | Because of the high degree of embryoletality at 100 mg/kg bw/d and greater, 30 mg/kg bw/d was recommended as the maximum dose for the definitive study. | [317]<br>SBT-90-0037 |
| Rat female    | Teratogenicity / oral                        | 94.8 | EPA-FIFRA 83-3<br>0, 1, 3, 10, 30 mg/kg bw/d         | Maternal<br>NOAEL: >30 mg/kg bw/d   | [318]<br>SBT-00-0012 |
| Rabbit female | Teratogenicity / oral / dose-range finding   | 94.8 | EPA-FIFRA 83-3<br>0, 300, 500, 1000, 1500 mg/kg bw/d | Maternal<br>NOAEL: >1500 mg/kg bw/d   | [319]<br>SBT-11-0016 |
| Rabbit female | Teratogenicity / oral                        | 94.8 | EPA-FIFRA 83-3<br>0, 300, 1000, 3000 mg/kg bw/d      | Maternal<br>NOAEL: 1000 mg/kg bw/d<br>Developmental<br>NOAEL: 3000 mg/kg bw/d   | [320]<br>SBT-11-0017 |
| Rat female    | Teratogenicity / dermal / dose-range finding | 94.8 | EPA-FIFRA 83-3<br>0, 100, 200, 400, 800 mg/kg bw/d   | Maternal<br>NOAEL: >800 mg/kg bw/d  | [321]<br>SBT-00-0015 |
| Rat female    | Teratogenicity / dermal                      | 94.8 | EPA-FIFRA 83-3<br>0, 30, 100, 300 mg/kg bw/d         | Maternal<br>NOAEL: >300 mg/kg bw/d  | [322]<br>SBT-10-0021 |

**Table 5: Mutagenicity profile of the flumioxazin technical material based on *in vitro* and *in vivo* tests**

| Species   | Test   | Purity % <sup>4</sup>                                   | Guideline, duration, doses and conditions   | Result  | Study number         |
|---|--|---|---|---|----------------------|
| ---   | Stability study<br><i>in vitro</i>                   | radiolabelled:<br>>99<br>non-<br>radiolabelled:<br>94.9 | In-house method<br>Under Ames conditions: 1000 µg/plate<br>Under chromosomal aberration conditions: 0.2 mM  | Stable for 2 days under Ames conditions, degraded with a half-life of about 1 hour under chromosomal aberration conditions. | [323]<br>SBT-20-0036 |
| <i>Salmonella typhimurium</i> / <i>Escherichia coli</i> | Bacterial reverse mutation<br><i>in vitro</i>        | 94.8  | EEC B.13/14<br>-/S9: 0, 50, 100, 200, 500, 1000, 2000 µg/plate  | -/S9: Negative  | [324]<br>SBT-90-0004 |
| Chinese hamster ovary cells (CHO-K1)                    | Chromosomal aberration<br><i>in vitro</i>            | 98.2  | EPA-FIFRA 84-2<br>-/S9: 0, 10.6, 35.4, 70.9 µg/mL   | -S9: Negative<br>+S9: Positive  | [325]<br>SBT-80-0049 |
| Chinese hamster V79 cells                               | <i>hprt</i> forward mutation<br><i>in vitro</i>      | 99.6  | OECD 476, EC No.440/2008 B.17<br>-/S9: 0, 14.1, 28.1, 56.3, 112.5, 225 µg/mL<br>-S9: 0, 14.1, 28.1, 56.3, 112.5, 225 µg/mL<br>+S9: 0, 28.1, 56.3, 112.5, 337.5, 450 µg/mL | -/S9: Negative  | [326]<br>SBT-0111    |
| Mouse bone marrow cells                                 | Bone marrow micronucleus, <i>in vivo</i>             | 98.4  | EPA-FIFRA 84-2<br>0, 300, 1000, 5000 mg/kg  | Negative  | [327]<br>SBT-80-0050 |
| Rat bone marrow cells                                   | Bone marrow chromosomal aberration<br><i>in vivo</i> | 94.8  | EPA-FIFRA 84-2<br>Male: 0, 1250, 2500, 5000 mg/kg<br>Female: 0, 1250, 2500, 4400, 5000 mg/kg  | Negative  | [328]<br>SBT-00-0009 |
| Rat hepatocytes   | Unscheduled DNA synthesis<br><i>in vivo</i>          | 94.8  | EPA-FIFRA 84-4<br>0, 1250, 2500, 5000 mg/kg   | Negative  | [329]<br>SBT-00-0013 |

<sup>4</sup> Note: Purity is the content of pure active ingredient in the technical material, expressed as a percentage.

**Table 6: Ecotoxicology profile of the flumioxazin technical material**

| Species  | Test                          | Purity % <sup>5</sup> | Guideline, duration, doses and conditions                                     | Result  | Study number         |
|--|-------------------------------|-----------------------|---|---|----------------------|
| Bobwhite quail<br>( <i>Colinus virginianus</i> )   | Acute oral                    | 94.8                  | EPA-FIFRA 71-1<br>14 days<br>0, 292, 486, 810, 1350, 2250 mg/kg bw            | LD <sub>50</sub> : >2250 mg/kg bw               | [401]<br>SBW-01-0003 |
| Mallard duck<br>( <i>Anas platyrhynchos</i> )      | Acute oral                    | 94.8                  | EPA-FIFRA 71-1<br>14 days<br>0, 292, 486, 810, 1350, 2250 mg/kg bw            | LD <sub>50</sub> : >2250 mg/kg bw               | [402]<br>SBW-11-0005 |
| Bobwhite quail<br>( <i>Colinus virginianus</i> )   | Dietary / 5-d                 | 94.8                  | EPA-FIFRA 71-2, ASTM E 857-81<br>5 days<br>0, 562, 1000, 1780, 3160, 5620 ppm | LC <sub>50</sub> : >5620 ppm (>1870 mg/kg bw/d) | [403]<br>SBW-11-0010 |
| Mallard duck<br>( <i>Anas platyrhynchos</i> )      | Dietary / 5-d                 | 94.8                  | EPA-FIFRA 71-2, ASTM E 857-81<br>5 days<br>0, 562, 1000, 1780, 3160, 5620 ppm | LC <sub>50</sub> : >5620 ppm (>2130 mg/kg bw/d) | [404]<br>SBW-11-0011 |
| Bobwhite quail<br>( <i>Colinus virginianus</i> )   | Reproduction / one-generation | 94.8                  | EPA-FIFRA 71-4<br>21 weeks<br>0, 100, 250, 500 ppm                            | NOEC: 500 ppm (49.8 mg/kg bw/d)                 | [405]<br>SBW-41-0016 |
| Mallard duck<br>( <i>Anas platyrhynchos</i> )      | Reproduction / one-generation | 94.8                  | EPA-FIFRA 71-4<br>21 weeks<br>0, 100, 250, 500 ppm                            | NOEC: 250 ppm (31 mg/kg bw/d)                   | [406]<br>SBW-41-0018 |
| Rainbow trout<br>( <i>Oncorhynchus mykiss</i> )    | Acute<br>Flow-through         | 94.8                  | EPA-FIFRA 72-1<br>96 hours<br>0, 0.56, 0.92, 2.0, 2.9, 5.4 mg a.s./L          | LC <sub>50</sub> : 2.3 mg a.s./L                | [407]<br>SBW-90-0001 |
| Bluegill sunfish<br>( <i>Lepomis macrochirus</i> ) | Acute<br>Flow-through         | 94.8                  | EPA-FIFRA 72-1<br>96 hours<br>0, 2.1, 3.9, 6.3, 9.4, 21 mg a.s./L             | LC <sub>50</sub> : >21 mg a.s./L                | [408]<br>SBW-90-0002 |
| Rainbow trout<br>( <i>Oncorhynchus mykiss</i> )    | Chronic<br>Flow-through       | 94.3                  | OECD 204<br>21 days<br>0, 0.20, 0.37, 0.61, 1.2, 2.4 mg a.s./L                | NOEC: 0.37 mg a.s./L                            | [409]<br>SBW-21-0009 |

<sup>5</sup> Note: Purity is the content of pure active ingredient in the technical material, expressed as a percentage.

|  |                         |   |  |                                       |                      |
|--|-------------------------|---|--|---------------------------------------|----------------------|
| Water flea<br>( <i>Daphnia magna</i> )                                     | Acute<br>Flow-through   | 94.7  | EPA-FIFRA 72-2<br>48 hours<br>0, 3.7, 6.0, 5.1, 6.0, 9.3 mg a.s./L   | EC <sub>50</sub> : 5.9 mg a.s./L      | [410]<br>SBW-21-0007 |
| Water flea<br>( <i>Daphnia magna</i> )                                     | Chronic<br>Flow-through | radiolabelled:<br>98.5<br>non- radio-<br>labelled: 94.8 | EPA-FIFRA 74-2<br>21 days<br>0, 0.015, 0.028, 0.057, 0.107, 0.205 mg<br>a.s./L                                       | NOEC: 0.057 mg a.s./L                 | [411]<br>SBW-41-0014 |
| Water flea<br>( <i>Daphnia magna</i> )                                     | Chronic<br>Semi-static  | 99.0  | OECD 211<br>21 days<br>0, 0.050, 0.10, 0.20, 0.40, 0.80 mg a.s./L<br>(nominal)                                       | NOEC: 0.10 mg a.s./L                  | [412]<br>SBW-0050    |
| Green alga<br>( <i>Pseudokirchneriella<br/>subcapitata</i> )               | Chronic<br>Static       | radiolabelled:<br>99.7<br>non- radio-<br>labelled: 99.5 | EPA-FIFRA 122-2, 123-2<br>72 hours<br>0, 0.00012, 0.00033, 0.00079, 0.0020,<br>0.0049 mg a.s./L                      | EC <sub>50</sub> : 0.000852 mg a.s./L | [413]<br>SBW-0030    |
| Green alga<br>( <i>Pseudokirchneriella<br/>subcapitata</i> )               | Chronic<br>Static       | 94.3  | OECD 201<br>72 hours<br>0, 0.00054, 0.0011, 0.0021, 0.0043,<br>0.0085 mg a.s./L (nominal)                            | EC <sub>50</sub> : 0.0012 mg a.s./L   | [414]<br>SBW-21-0008 |
| Diatom<br>( <i>Navicula pellicu-<br/>losa</i> )                            | Chronic<br>Static       | radiolabelled:<br>99.7<br>non- radio-<br>labelled: 99.5 | EPA-FIFRA 122-2, 123-2<br>120 hours<br>0, 0.000042, 0.000074, 0.00015, 0.00031,<br>0.00061, 0.0012, 0.0024 mg a.s./L | EC <sub>50</sub> : 0.0015 mg a.s./L   | [415]<br>SBW-0028    |
| Duckweed<br>( <i>Lemna gibba</i> )   | Chronic<br>Semi-static  | radiolabelled:<br>99.7<br>non- radio-<br>labelled: 99.5 | EPA-FIFRA 122-2, 123-2<br>14 days<br>0, 0.000051, 0.00011, 0.00022, 0.00044,<br>0.00087, 0.0017 mg a.s./L            | EC <sub>50</sub> : 0.00035 mg a.s./L  | [416]<br>SBW-0027    |
| Sediment dwelling<br>invertebrates<br>( <i>Chironomus ri-<br/>parius</i> ) | Chronic<br>Static       | 99.0  | ASTM E 1398-94, DoE 3460 P2<br>23 days<br>0, 0.01, 0.05, 0.09, 0.22, 0.74 mg a.s./kg                                 | NOEC: 0.73 mg a.s./kg                 | [417]<br>SBW-0042    |
| Honeybee<br>( <i>Apis mellifera</i> )                                      | Acute contact           | 94.8  | EPA-FIFRA 141-1<br>48 hours<br>0, 14, 23, 38, 63, 105 µg a.s./bee  | LD <sub>50</sub> : >105 µg a.s./bee   | [418]<br>SBW-01-0004 |



|   |         |      |   |   |                      |
|---|---------|------|---|---|----------------------|
| Earthworm<br>( <i>Eisenia fetida</i> )          | Acute   | 94.8 | OECD 207<br>14 days<br>0, 61, 123, 246, 491, 982 mg/kg soil | LC <sub>50</sub> : >982 mg/kg soil                                      | [419]<br>SBW-11-0006 |
| Nitrogen transformation / Carbon mineralisation | 28 days | 99.4 | EPPO guideline  | No effect at 1.75 mg a.s./kg d.w.soil<br>(equivalent to 1.2 kg a.s./ha) | [420]<br>SBW-41-0020 |

## ANNEX 2

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