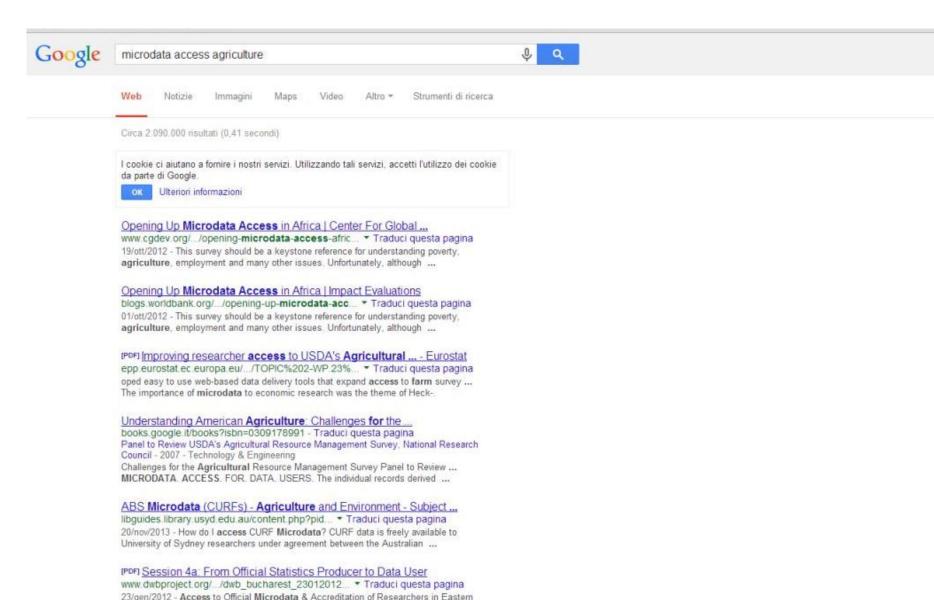
# Improving access to and use of microdata from agricultural censuses and surveys

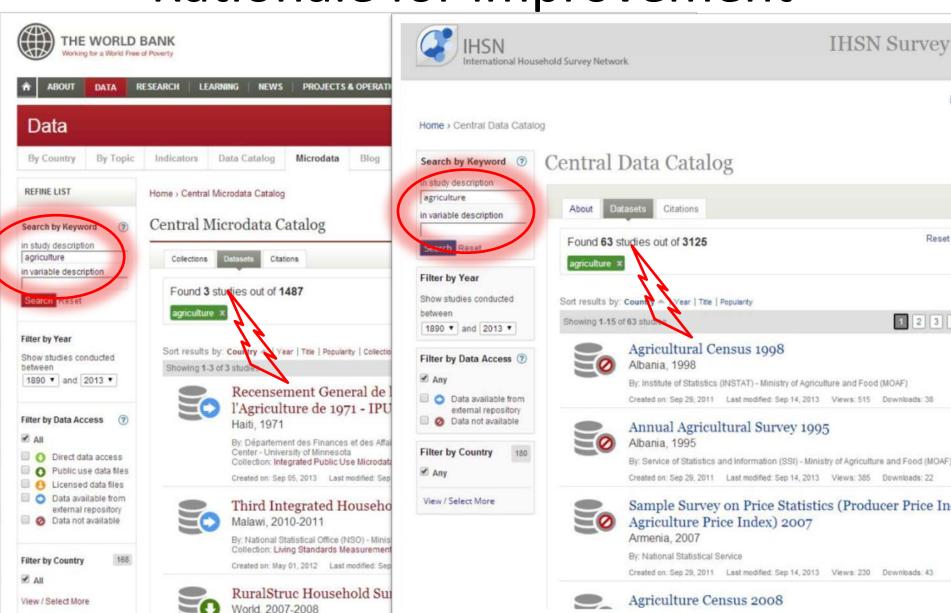
What role for FAO at national and global levels?

Francois Fonteneau
Statistics Division, FAO

#### Content

- 1. Rationale for improvement
- How? Examples of what the IHSN and ADP are doing
  - 1. Focus: metadata
  - 2. Focus: confidentiality + terms of use
- 3. Examples from agencies: it can work
- 4. A possible role for FAO





#### Complaints from users:

- "we didn't know they had these data" Visibility
- "they don't want to share them" Accessibility
- "these data are messy"
   Reliability
- "this is not really what I need" Relevance
- "how can I assess progress?" Comparability
- "where is the documentation?" Usability
- "there is no transparency"
   Quality, credibility

#### Issues faced by producers:

- "we don't know how to deal with microdata"
  - can we disseminate them in our country?
    - If YES, how to disseminate them?
    - To whom?
    - What costs?
  - how to document them?
  - how to improve transparency on sources?
- "what do others do?"
  - where is the good practice?

#### Why should we improve?

- 1. To support research
- 2. To enhance the credibility of official statistics
- 3. To reduce duplication in data collection
- 4. To increase return on investment and leveraging funding for statistics
- 5. To comply with a contractual or legal obligation

The obligation to disseminate microdata does not conflict with the obligation to maintain confidentiality and privacy but conflicts with the aim of CD projects for surveys and censuses, when these issues are ignored!

## Why it does not happen?

- It is actually happening in some countries, but still many obstacles remain, such as:
  - Legal issues : apply the law ... or change it
  - Confidentiality: maintaining respondents' trust

"Individual data collected by statistical agencies for statistical compilation, whether or not they refer to natural or legal persons are to be strictly confidential and used exclusively for statistical purposes." UN 6<sup>th</sup> principle for off stat.

- Other technical issues
- Exposure to contradiction
  - Quality of microdata
  - Discrepancies with aggregated, published official statistics
- Loss of exclusivity
- Cost and technical capacity

#### **IHSN:** sharing solutions

#### IHSN Survey Catalogue

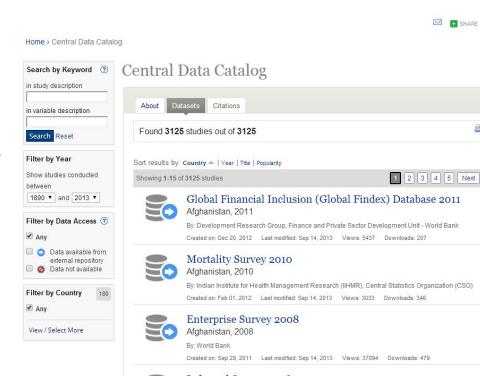
- √ 3,100+ surveys described
- √ Link to access policies + datasets

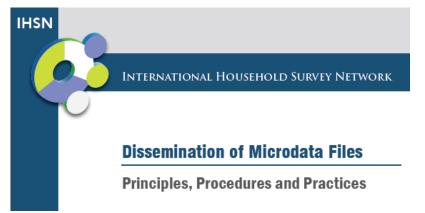
#### Software Tools

- ✓ Microdata Management Toolkit
- ✓ Microdata Anonymization Toolkit
- ✓ National Data Archive (NADA)

#### Guidelines

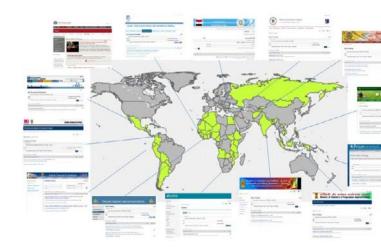
- √ Long term preservation (ICPSR)
- ✓ Design of access policy





#### **ADP: bringing solutions to countries**

- More survey data accessible and used
  - √ 40 national survey catalogues established
  - √ Use monitored
  - ✓ Indicators re-calculated (Nigeria)
- More national capacity on survey data management
  - √ 66 countries received TA
  - √ 20 more countries involved in regional activities
    - Joint workshops with FAO
- Survey methods / instruments improved
  - √ pilot phase with CSA-Ethiopia





## focus: the importance of metadata

#### 1. For discovering data

 You and your users will know about data availability by providing searchable data catalogs

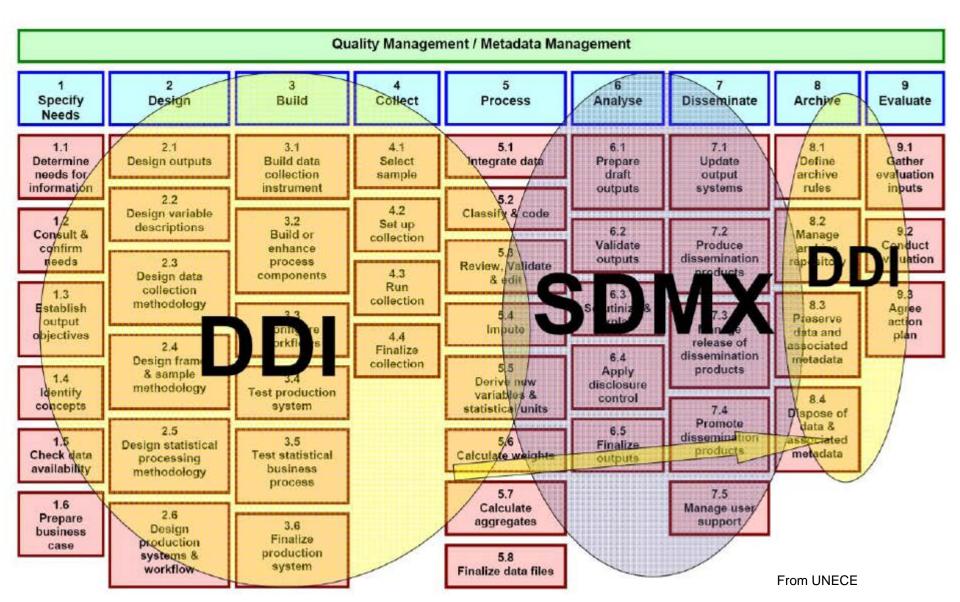
#### 2. For making data usable

- Users need to fully understand the data: why, by whom, when, and how they were collected, processed and disseminated
- 3. For assessing data quality

=> metadata standards for microdata: DDI, etc.

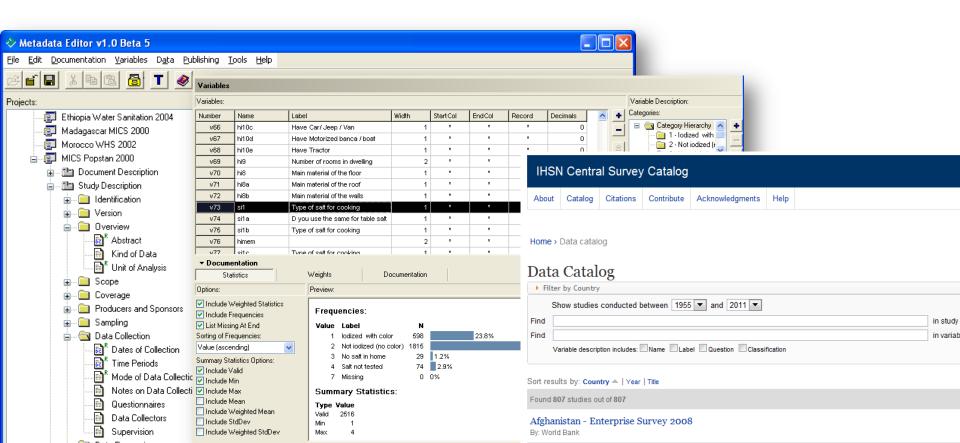


## GSBPM, DDI, SDMX



#### focus: IHSN toolkit

- 1. IHSN Microdata Management Toolkit (DDI metadata editor)
- 2. NADA: on line searchable survey cataloguing system
- IHSN Microdata Anonymization Toolbox (R-based sdcMicro)
   Risk measurement / risk reduction / information loss



## focus: confidentiality

Survey planning Production of metadata at each step of the survey; preferably in compliance with Questionnaire design, sampling design OR Data collection Data collection, data coding, and international metadata standards. data capture as a Data coding single operation (data entry in the Data capture field RAW DATASET (to be archived) Data editing; derivation of new variables; EDITED DATASET (to be archived) Tabulation, Anonymization, analysis subsetting DATASET(S) FOR DISSEMINATION Cataloguing, dissemination

- Microdata does not mean confidential data
- Different access modes
  - Remote execution
  - On-site
  - File distribution
- Screen users and usage
- Progressive approach

## focus: confidentiality

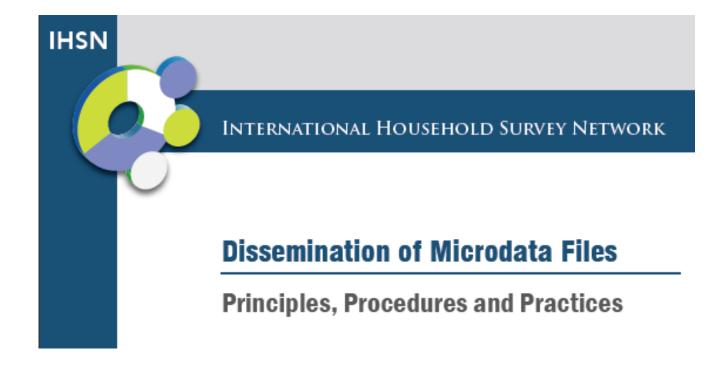
Any web development Reports, tables (PDF) tool No issue of confidentiality CountrySTAT/DevInfo/Prog **Indicators** noz, etc. Disclosure risk On-line interactive REDATAM/IMIS, SuperStar, managed by the tabulation, analysis and Nesstar, etc. application mapping tools Microdata (n% sample) and Requires data IHSN MMT + NADA detailed metadata anonymization

What outputs?

What tools?

#### Access policy: terms of use + platform

Formulating an access policy and procedures





Filter by Collection

View / Select More

✓ Any

#### **Lao Statistics Bureau**



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( X

Next »

**Data Catalog** Mission LSB Home About LNADA Policies Activities Contact Us Home Home > Central Data Catalog Central Data Catalog Search by Keyword in study description Datasets About in variable description Found 21 studies out of 21 Search Reset Filter by Year Sort results by: Year | Title A | Popularity Show studies conducted between Showing 1-15 of 21 studies 1995 ▼ and 2012 ▼ Annual Household Survey 2003 ສຳຫລວດຄົວເຮືອນ ປີ 2003 (?) Filter by Data Access 2003 ✓ Any By: National Statistical Center (NSC) - Committee for Planning and Investment 6 Licensed data files Created on: Jun 03, 2012 Last modified: Dec 14, 2012 Views: 11829 Downloads: 1171 Data not available

2004

1995

Annual Household Survey 2004 By: National Statistical Center (NSC) - Committee for Plai **LAO PDR** Census of Population and Housing

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Created on: Jun 03, 2012 Last modified: Aug 21, 2012

#### LAO Statistical Bureau

http://www.lsb.gov.la/nada/index.php/home





BAS Electronic Archiving and Network Services

Home

**Data Catalog** 

Policies and Procedures

By: Bureau of Agricultural (BAS) - Department of Agriculture

Created on: Jan 20, 2011 Last modified: Nov 13, 2012 Views: 8960

**Archiving Activities** 

Partner Data Archives

Downloads: 3602

**Survey Brochures** 

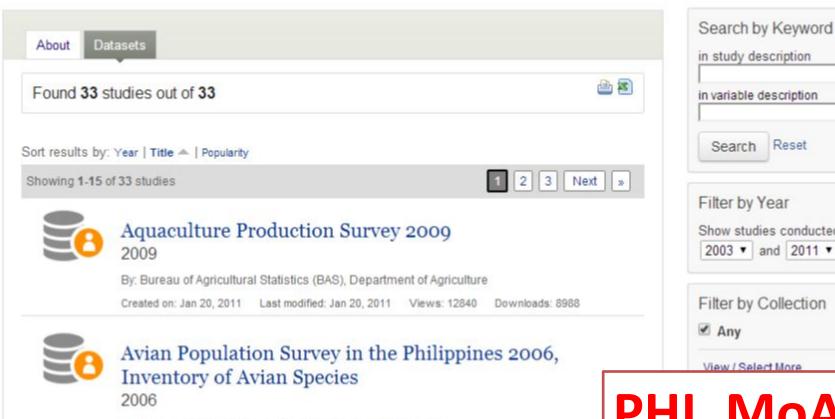
Home > Central Data Catalog

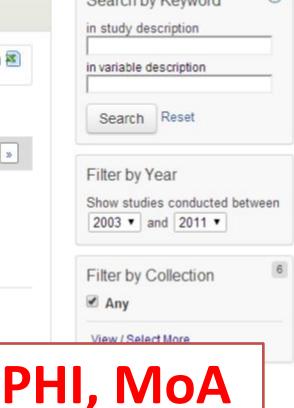














#### सांख्यिकी और कार्यक्रम कार्यान्वयन मंत्रालय Ministry of Statistics & Programme Implementation

Mic	cro Data Archive			
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	Show studies conducted betw	een 1987 w and 2010 w		
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Annual Survey of Industries 1999-2000			INIDIA	

Annual Survey of Industries 2000-01

By: Central Statistics Office (Industrial Statistics Wing), MOSPI. Government of India

By Central Statistics Office (Industrial Statistics Wing), MOSPI, Government of India-

#### Vanuatu National Statistics Office (VNSO)

Ministry of Finance and Economic Management Port Vila, Vanuatu



#### HALO MO WELKAM!

Phone: (678) 22110/22111

Email: stats@vanuatu.gov.vu





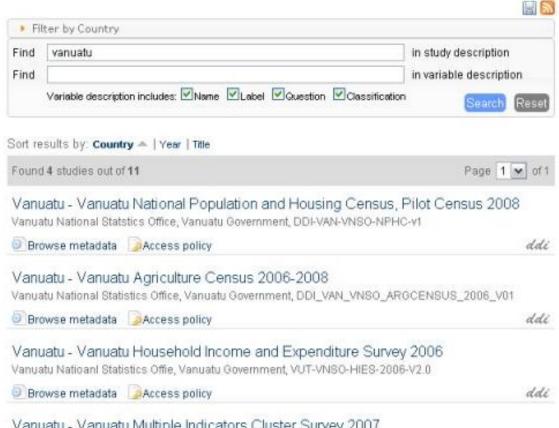
Home

**New Releases** 

**Current Surveys** 

**Data Catalog** 

#### Data Catalog



#### Site Navigation

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  - Trades Summary
  - Consumer Price Index (CPI)
  - Tourism
  - Quarterly Statistical Indicators
- Current Surveys
  - Census 2009
  - Census 2009 Tables
  - HIES 2010
- Data Catalog

#### LIVE POPULATION OF VANUATU

Total Live\* Population of Vanuatu at 20:31:07

257,137

Births: 7,911 Deaths 1,344

#### **VANUATU**

Population Clock Outside VNSO Building

Vanuatu - Vanuatu Multiple Indicators Cluster Survey 2007

Ministry of Health, Government of Vanuatu, Ministry of Health, VUT-MICS-2007-1.0

#### A possible role for FAO

- We are committed to serve our clients: data users (internal and external) and data producers (internal and external)
  - Provision of financial and technical assistance to NSOs and MoAs
  - Facilitate access to microdata, central repository
- Leveraging on:
  - Global Strategy
  - World Census of Agriculture
  - Field projects
  - Membership in IHSN

## Thank you!

more?

francois.fonteneau@fao.org www.ihsn.org

#### Time is ripe for action

• "The fact that others are starting to create output we used to have a monopoly on, means that we are losing relevance. Does this mean that we be defensive about it and try to maintain our foothold by legislation etc.? We don't think so. We propose to actively pursue a course in which we use our strengths and resources to create the statistical outputs that will give our stakeholders a clear perspective on what is happening in the world today."

(CES High-Level Group for the Modernisation of Statistical Production and Services, <a href="http://www1.unece.org/stat/platform/display/hlgbas/Strategic+vision+of+the+HLG">http://www1.unece.org/stat/platform/display/hlgbas/Strategic+vision+of+the+HLG</a>)

- Lack of comparability between sources, lack of transparency = issue in the MDG measurement process.
  - Shaping the post-2015 agenda: need for greater access to sources, incl microdata
- DHS, MICS surveys or the IPUMS initiative provide a strong evidence of the large demand for Public-use microdatasets, and that a culture of data sharing and collaboration should result in a wealth of new knowledge.

## how some NSOs describe their role on disseminating microdata

- <u>Australia</u>: "Access to microdata assists and encourages informed decision making through enabling wider use of ABS data for social and economic research and analysis. [...] An inability to meet these demands will increasingly become a disadvantage to ABS core business, the relevance of the ABS and ultimately to the coherence of the NSS. This, along with a number of other drivers for change including the growing risk of identification, has led the ABS to propose a new strategy for accessing ABS microdata into the future."

  (ABS, <a href="http://www.abs.gov.au/">http://www.abs.gov.au/</a>)
- <u>Ireland</u>: "The primary objective of the CSO in providing access to microdata is to support the research community and to ensure that maximum usage is made of the data collected by the CSO. This approach supports the move towards evidence-based policy-making, has the potential to reduce the cost of research and also helps to avoid duplicate data collections." (CSO <a href="http://www.cso.ie/">http://www.cso.ie/</a>).
- <u>Sri Lanka</u>: "The mission of the National Microdata Archive of Sri Lanka is to satisfy the data needs of the National and International research community who are striving hard to find answers to the socioeconomic problems across the world. Backed by the Department of Census and Statistics the central statistical agency in Sri Lanka, LankaDatta disseminates relevant, reliable and up-to-date statistical information being produced by the Agencies in the National Statistical System of Sri Lanka while preserving strict confidentiality of the respondents." (Department of Census and Statistics, Sri Lanka <a href="http://statistics.sltidc.lk/">http://statistics.sltidc.lk/</a>)