











# Evaluation arrangement - of the Phase IV Agreement EC:FAO













## Mandatory for projects > 4 mUSD

Not mandatory for Article XIV Bodies (the MS decide on evaluation)

#### **Options:**

- DG SANTE or the Commission

  manages an evaluation without OED participation. This evaluation, if needed, could be led by external, independent consultants or firm
- 2. OED manages the evaluation involving DG-SANTE throughout the evaluation process. This could be further formalised with an Agreement Under #2, OED consults main stakeholders and resource partners at various stages, such as: i) at the ToRs preparation, ii) through dedicated interviews during the evaluation scoping and implementation; iii) sharing the draft evaluation report for comments, iv) discussing evaluation conclusions and recommendations in a stakeholder workshop.













# **Evaluation Management**

- FAO and OIE made a formal agreement on the management of the evaluation
- Joint Management Group formed
- FAO (OED) led the management of the evaluation, including contracting of experts, ensuring process and timetable followed

# Evaluation Management Group

#### **Functions:**

- Support and oversee the evaluation management
- Provide inputs and review on key evaluation decision points
  - Evaluation Scope, Approach and Methods; Scheduling and Resource allocation
  - ToR
  - Evaluation team selection criteria and selection
  - Inception Report
  - Evaluation Report (draft for circulation and final draft)
- Act as a liaison for the evaluation with the appropriate technical units within their own organizations













#### **Evaluation Processes and Procedures**

#### FAO-OED Evaluation Quality Assurance System and Guidelines

FAO-OED procedures for Evaluation Conduct and Quality Assurance will be applied to all substantive aspects of the evaluation, including terms of reference, evaluation team selection, the inception report and draft and final evaluation report, internal peer review processes and external quality assurance (through an external independent expert to be recruited).

The evaluation conduct will reflect the UNEG Norms and Standards. Its principles are common to all UN agencies. The present document is agreed between OIE-PMC and FAO-OED with respect to the following which are further covered below:

- clearance processes and quality assurance
- clarity on responsibility for the final evaluation report
- clarity on responsibility for report as submitted within the agencies to management and governing bodies
- clarity on responsibility for management response to the evaluation













#### **Evaluation Reporting**

# Reporting to governing bodies

Each cooperating agency should operate in line with its own procedures in terms of reporting to its Governing Bodies and with the Secretariats of the two Organizations. Requirements from each Reference Group member should be documented in the ToR. Pro-active communications are encouraged.

According to FAO-OED's Evaluation Policy, the Office of Evaluation is not required to report to the Governing Bodies for this type of evaluation but all evaluation reports are posted on the FAO-OED internet and intranet.













# Evaluation outputs (deliverables) (based on the GF-TADS evaluation)

The expected outputs are the following:

- Evaluation Matrix the evaluation team shall develop the evaluation matrix.
- Evaluation inception report— the evaluation team will prepare an inception report before going into the fully-fledged data collection exercise.
- Draft evaluation report- OED (or the MG if agreed with EC) will review the zero draft of the evaluation report submitted by the evaluation team to ensure it meets the required quality criteria. The draft evaluation report will then be circulated among key stakeholders for comments as well as to the external QA expert before finalisation; suggestions will be incorporated as deemed appropriate by the evaluation team.
- Final evaluation report: should include an executive summary and illustrate the evidence found that responds to the evaluation issues and/or questions listed in the ToRs.
  - The EMG (OED and EC) and ultimately, OED Director in consultation with the nominated DG-SANTE officer, will be responsible for the final version of the evaluation report.













# **Evaluation methodology**

- To be agreed it depends on the objectives
- Desk reviews
- Structured Interviews (phone, in person)
- Country missions (if needed)
- Group Meetings: for evidence, for feedback to review findings











### Proposal of the Timeline

- 3/2018 : agree with ExCom, EC on form of evaluation management
- 6/2018: agreement on terms of reference, timelines
- 9/2018: evaluation team experts agreed, contracted
- 10/2018 to 12/2018: evaluation period
- 1/2019: Draft Report developed
- 2/2019: report/consult on Draft, to Executive Committee/other stakeholders
- 3/2019: response of Management/ExCom to report
- 4/2019: Final Report (reporting to the General Session if agreed)