

### **BUILDING CAPACITIES FOR BETTER...**

# Monitoring and Evaluation: what, why and how?

Adapted from presentations by

Jody Harris International Food policy Research Institute (IFPRI)

Elliot Vhurumuku World Food Programme (WFP)

NUTRITION AND FOOD SECURITY PROGRAMMING SEED SECURITY ASSESSMENT ACCOUNTABILITY TO AFFECTED POPULATIONS







### WHAT TO MEASURE AND WHY



#### Food security:

consistent access to diverse, nutritious diets (Quantity and quality)

Underlying causes

#### **Gender issues:**

decision-making power, income, time use, and knowledge

#### Malnutrition

Nutrient intake

Health status

Immediate causes

Food security and quality Care resources and practices

Health services and WASH

Healthy environments: free from contaminants and disease vectors

#### **Institutions**

Political and ideological framework

**Economic structure** 

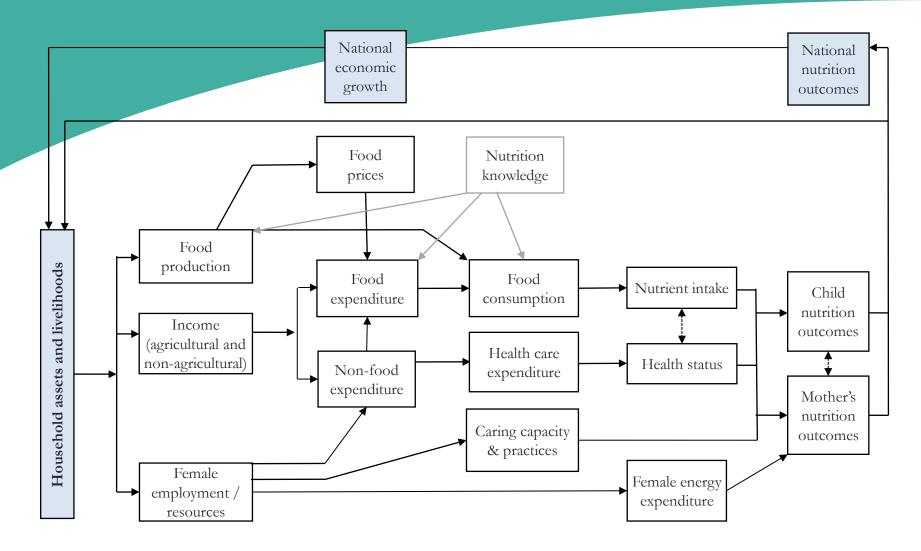
Resources Environment, technology, people

Basic causes

Source: Adapted from UNICEF 1990

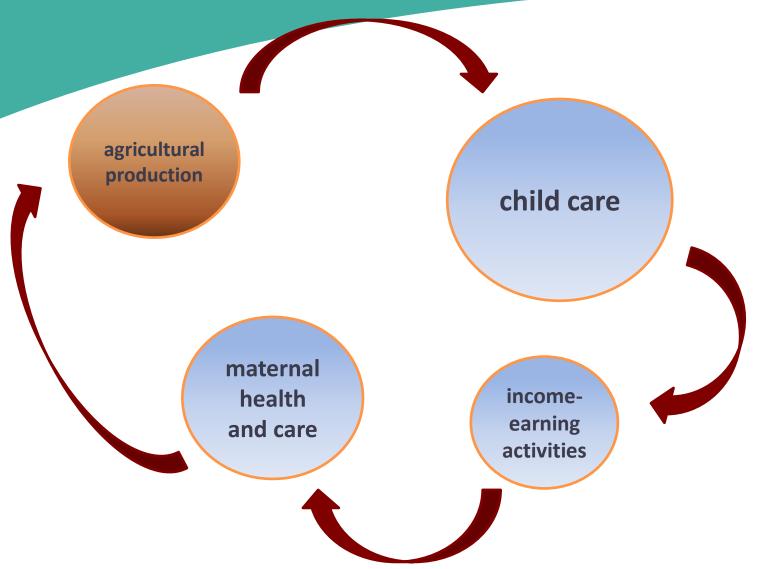


## Pathways from agriculture to nutrition



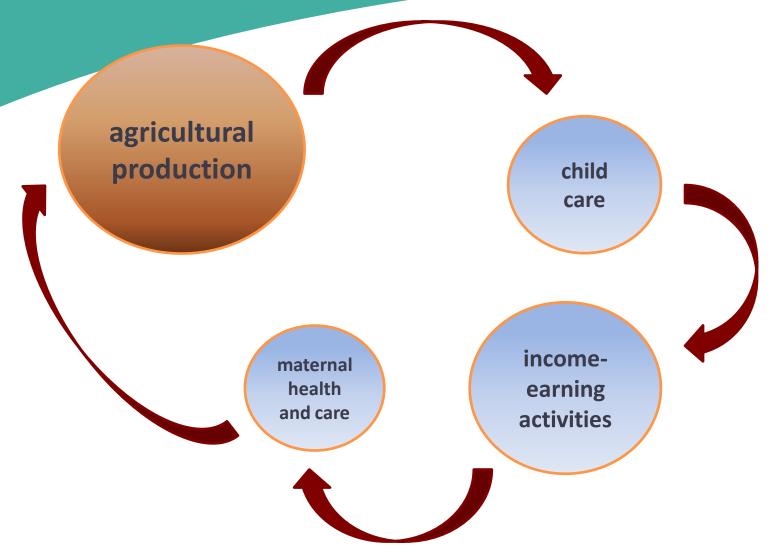


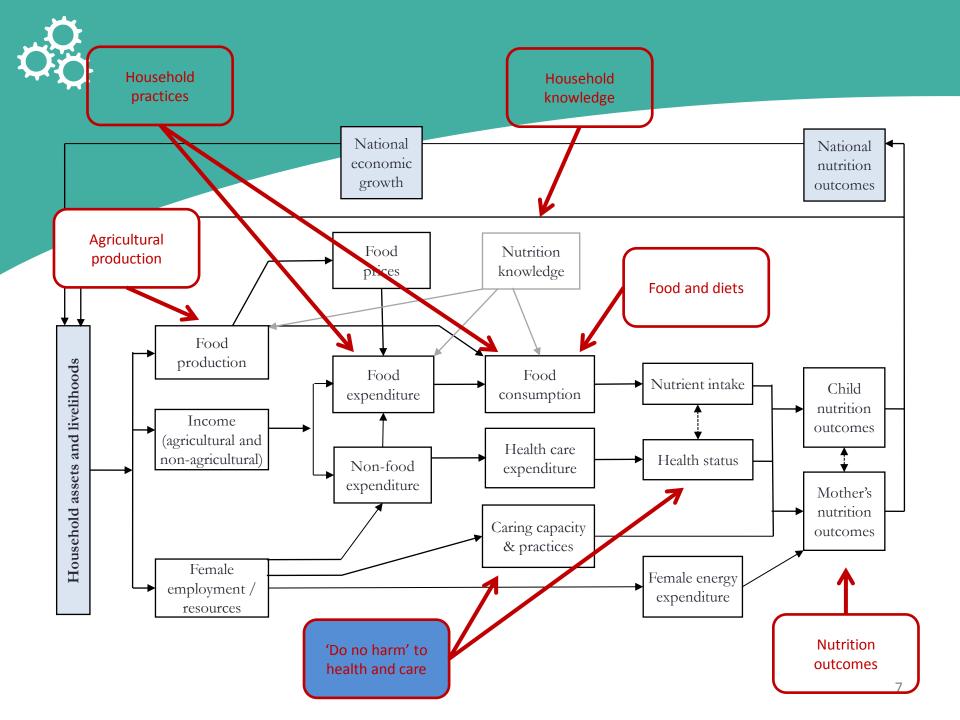
# The zero-sum game





## The zero-sum game







### **HOW TO MEASURE IT WELL**



# Monitoring vs. Evaluation

### Monitoring

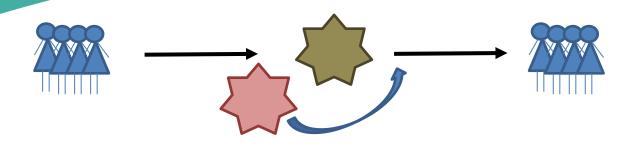
- Involves routine tracking of program performance (e.g. inputs and outputs) during program implementation
- Is a tool for management
- Feeds into an evaluation

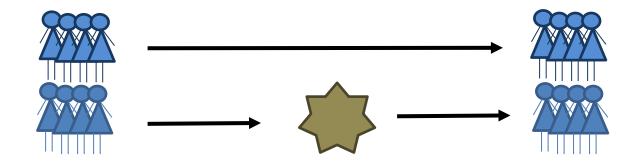
### **Evaluation**

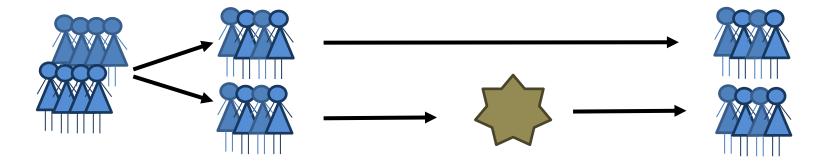
- Measures the effect of the program on outcomes and objectives
- Attempts to attribute outcomes to their causes
- May assess associated costs









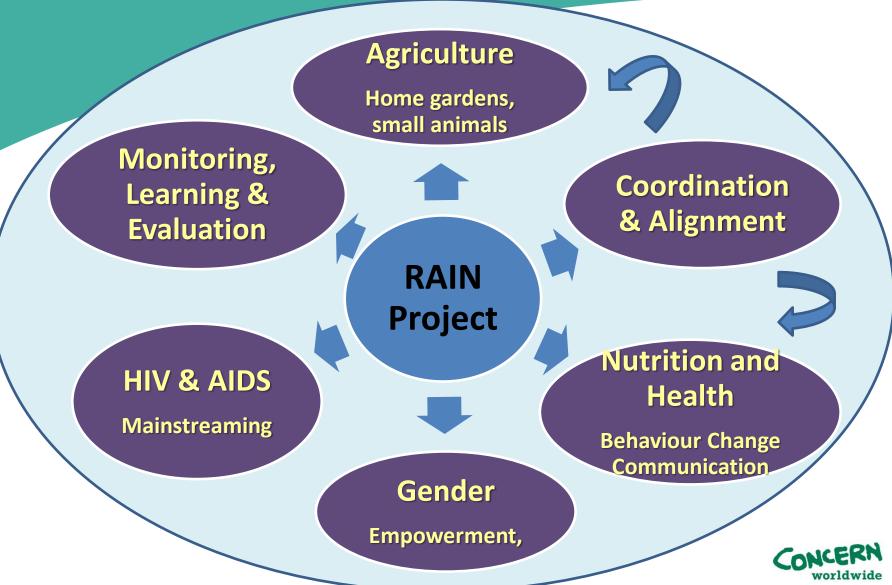




## **EXAMPLE: RAIN PROJECT**

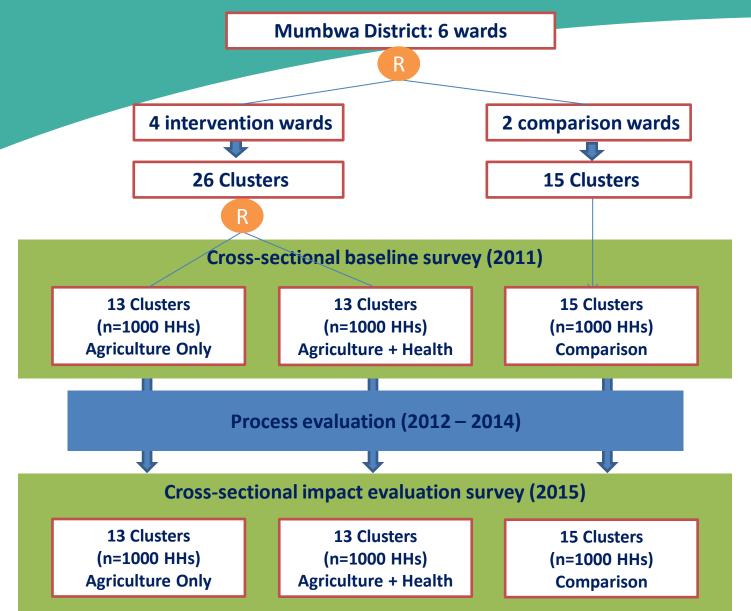


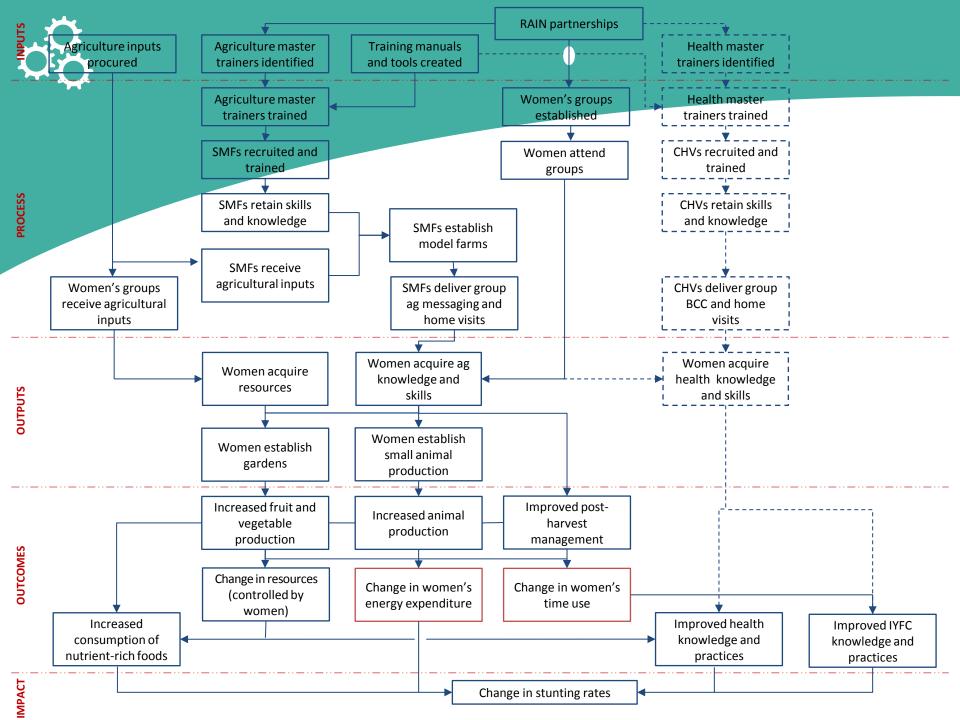
# **RAIN Project Components**





### RAIN evaluation design



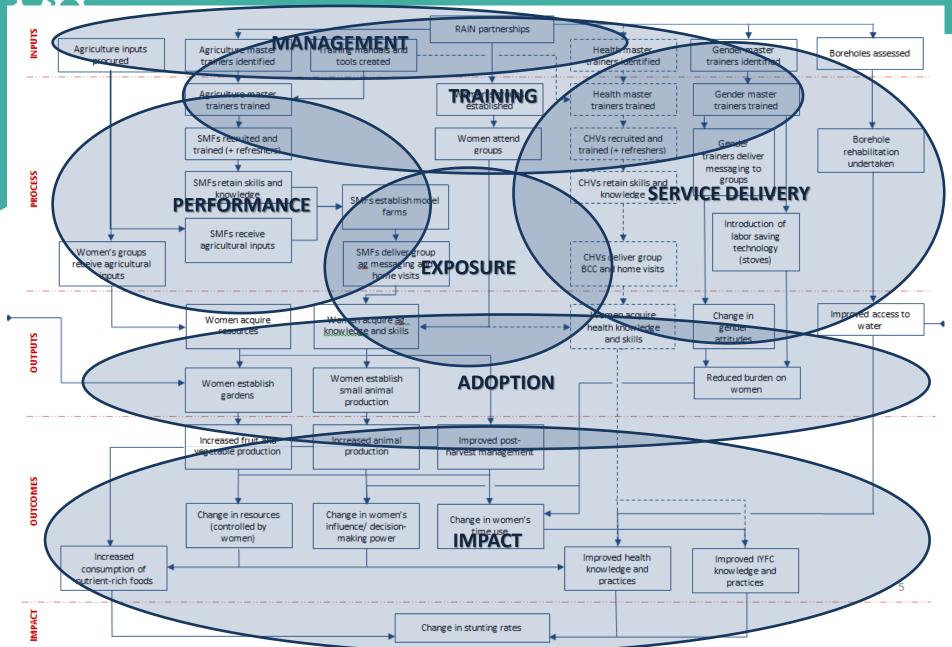




## Impact evaluation: Objectives

- To assess the impact of the two different RAIN intervention packages on stunting among children aged 24 to 59 months
- To assess the impact of the different RAIN packages of interventions on:
- Availability of and access to a year-round supply of diverse and micronutrient-rich plant and animal source foods at household level = production diversity (and purchase)
- Infant and young child feeding (IYCF) indicators among children
   0-23 months of age = Dietary Diversity + care
- Preventive and curative health practices and nutrition knowledge among mothers = health and knowledge

### AIN program impact pathway (PIP)





## **RAIN** evaluation

### **Impact** evaluation

- Main impact indicator is stunting
- Measures dietary diversity as an outcome of agricultural intervention
- Measures health and care indicators as outcomes of nutrition and health intervention
- Uses a randomized control group and pre-post surveys

### **Process evaluation**

- Based on detailed Program Impact Pathway (PIP)
- Assesses program delivery and program usage
- Tells us what is working and why, to explain impact results



## Questions

- What can be challenging in doing the kind of impact evaluation the RAIN project is using?
- What are positive features that you could potentially replicate or use in your programmes?



## **INDICATORS**



# on Indicators along the impact pathway

	Nutritional <sup>®</sup> status <sup>®</sup>	Food  consumption  and  diets	Householdffoodfaccess []		HH®Nutrition-	
			Household? food? consumption!	HHItonsumption-related behaviours	related? knowledge? and@practices?	Food? production?
	Stunting: H/A2	Individual <sup>®</sup>	Food?	Coping Strategy 2	KAPInn:III	Ex:Increase
	Wasting: 2	dietary2	<b>Consumption</b>	Index₫(CSI)177	Nutrition <b>®</b> 2 ■	yields@n?
	W/H2	diversity2	Score (FCS)	?	IYCF?	micronutrient?
	Micronutrient?	score?	?	Reduced Coping 2	Hygiene <b>ß</b> ②	rich@trops2
	Deficiencies <sup>2</sup>	?	Household <sup>2</sup>	Strategy <b>I</b> ndex <b>I</b>	health-	?
		Women's <sup>™</sup>	Dietary?	(rCSI)?	seeking?	<b>??</b>
		DDS2	Diversity ?	?	behavior, 🛚	
		?	Score (HDDS)	Household⊞ood②	Etc.12	
		IYCF: 2	?	Insecurity@nd2	?	
		%1€xclusrive?		Access <b></b> cale?	→æ.g.E%æf2	
		breastfeeding 2		(HFIAS)	mothers who?	
		Minimum <sup>2</sup>		?	canddentify 📆 🛭	
		acceptable2		Household Hunger 🛚	local vitamin 2	
		diet?		Scale (HHS)	A@Tich@Toods@T	
				?	?	
				%IncomeIspentIbn		
				food?		



### **Individual Dietary Diversity (consumption)**

# Individual Dietary Diversity Score (IDDS)

- Dietary diversity represents the number of different foods or food groups consumed over a reference period - usually 24-hour recall
- Designed to capture micronutrient adequacy
- Number of food groups examined: 12
- Target: individuals (IDDS)
- Designed for simplicity of use
- Variation: Women's Dietary Diversity Score (being improved)
- Widely promoted by the UN FAO and USAID (FANTA).

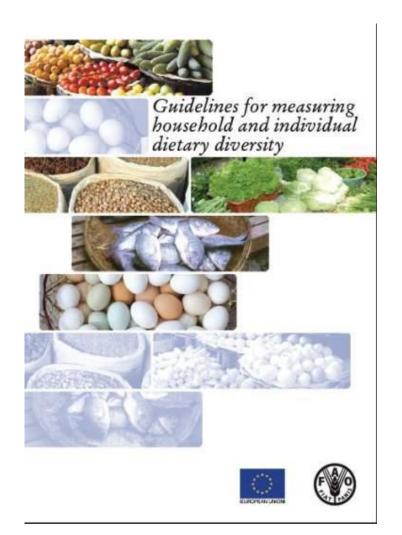
# IYCF: breastfeeding & Complementar y feeding

- Target: children under 2 years
- Exclusive breastfeeding until 6 months and continued breastfeeding until 2 years
- Complementary feeding: Minimum acceptable diet
  - Age of introduction of complementary foods
  - Frequency of feeding
  - Dietary diversity

# WHO IYCF indicators: Child DD

### Indicators for assessing infant and young child feeding practices PART 2 MEASUREMENT USAID AED. FANTA - 2 UCDAVIS ( POLES MERICONSTITUTE unicef 🚱 World Health Organization

### FAO guidelines: Adult (and HH) DD





### **Definition of the Indicators (Household access to food)**

### Food Consumption Score (FCS)

- The frequency weighted diet diversity score is a score calculated using the frequency of consumption of different food groups consumed by a household during the 7 days before the survey.
- An acceptable proxy indicator to measure caloric intake and diet quality at household level, giving an indication of food security status of the household if combined with other household access indicators.
- It is a **composite score** based on dietary diversity, food frequency, and relative nutritional importance of different food groups.
- used primarily by the World Food Programme

# Household Dietary Diversity Scale (HDDS)

- Dietary diversity represents the number of different foods or food groups consumed over a given reference period
- similar to the FCS, but usually with a 24-hour recall period without frequency information or weighted categorical cut-offs
- It is a proxy measure for HH food access to *diverse* foods
- Number of food groups examined: 12
- Target: household (HDDS)
- Designed for simplicity of use
- Widely promoted by the UN FAO and USAID (FANTA).



### Food groups and weights in FCS

Food Group	Food Items belonging to group	Food groups	Weight for FCS
1. Cereals and grain:	Rice, pasta, bread / cake and / or donuts, sorghum, millet, maize,	1.Cereals and	2
2. Roots and tubers:	potato, yam, cassava, sweet potato, taro and / or other tubers	Tubers	
3. Legumes/nut:	beans, cowpeas, peanuts, lentils, nut, soy, pigeon pea and / or other nuts	2. Pulses	3
4. Orange vegetables (vegetables rich in Vitamin A):	carrot, red pepper, pumpkin, orange sweet potatoes,		
5. Green leafy vegetables:,	spinach, broccoli, amaranth and / or other dark green leaves, cassava leaves	3. Vegetables	1
6. Other vegetables:	onion, tomatoes, cucumber, radishes, green beans, peas, lettuce, etc.		
7. Orange fruits (Fruits rich in Vitamin A):	mango, papaya, apricot, peach	4. Fruit	1
8. Other Fruits:	banana, apple, lemon, tangerine	TTT GITC	
9. Meat:	goat, beef, chicken, pork (meat in large quantities and not as a condiment)		
10. Liver, kidney, heart and / or other organ meats		5. Meat and	4
11. Fish / Shellfish:	fish, including canned tuna, escargot, and / or other seafood (fish in large quantities and not as a condiment)	fish	
12. Eggs			
13. Milk and other dairy products:	fresh milk / sour, yogurt, cheese, other dairy products (Exclude margarine / butter or small amounts of milk for tea / coffee)	6. Milk	4
14. Oil / fat / butter:	vegetable oil, palm oil, shea butter, margarine, other fats / oil	7. Oil	0.5
15. Sugar, or sweet:	sugar, honey, jam, cakes, candy, cookies, pastries, cakes and other sweet (sugary drinks)	8. Sugar	0.5
16. Condiments / Spices:	tea, coffee / cocoa, salt, garlic, spices, yeast / baking powder, lanwin, tomato / sauce, meat or fish as a condiment, condiments including		
	small amount of milk / tea coffee.	Condiments	0
		CSB	25



### **Food groups in HDDS**

Food groups used	Food groups used for HDDS	
Cereals and grain	1. Cereals, roots,	
Roots and tubers	and tubers	
Legumes / nuts	2. Pulses and legumes	
Orange vegetables (vegetables rich	3. Vegetables	
in Vitamin A)		
Green leafy vegetables		
Other vegetables		
Orange fruits (Fruits rich in	4. Fruits	
Vitamin A)		
Other Fruits		
Meat		
Liver, kidney, heart and / or other	5. Meats, fish and	
organ meats		
Fish / Shellfish	seafood, and eggs	
Eggs		
Milk and other dairy products	6. Dairy products	
Oil / fat / butter	7. Oils and fats	
Sugar, or sweet	Not considered	
Condiments / Spices	Not considered	

### **CALCULATION**

- Regroup the 16 food groups used for FCS in the 7 food groups as per the table, by simply adding frequencies;
- 2. For each food group create a new binominal variable that has two possible values:
- 1 yes: the household / individual consumed that specific food group
- 0 no: they did not consume that food.
- Sum all the binominal variables in order to create a HDDS;
- 2. The new variable will have a range from 0 through the maximum number of food groups collected (7).



### Definition of the Indicators (Consumption-related behaviours)

Coping
Strategies
Index
(CSI/rCSI)

- The CSI measures behaviour: the things that people do when they cannot access enough food.
- Measures the adjustments HH make in consumption and livelihoods.
- Coping can be consumption changes; expenditure reduction; income expansion;
- adopted by WFP/VAM (World Food Programme/Vulnerability Analysis Mapping unit), FAO/FSNAU (UN Food and Agriculture Organization/Food Security and Nutrition Analysis Unit for Somalia), and the Global IPC (Integrated Phase Classification) team, among others.
- rCSI tend to measure the less-severe coping behaviours
- rCSI Uses the five most common strategies with standardized weights

Household
Food
Insecurity and
Access Scale
(HFIAS)

- The HFIAS was designed to capture household behaviours
   signifying insufficient quality and quantity, as well as anxiety and
   uncertainity over household insecure access or food supply.
- Insufficient quality (includes variety and preferences of the type of food):
- Insufficient food intake and its physical consequences:



### Definition of the Indicators (Consumption-related behaviors)

The Household Hunger Scale (HHS)

- essentially a behavioural measure.
- It tends to capture more-severe behaviours; e.g.
  - Was there ever no food to eat of any kind in your house because of lack of resources to get food?
  - Did you or any household member go to sleep at night hungry because there was not enough food?
  - Did you or any household member go a whole day and night without eating anything because there was not enough food?

Self-assessed measure of food security (SAFS).

- These include self-assessments of current food security status in a recent recall period and the change in livelihood status over a longer period of time
- highly subjective in nature and perhaps too easy to manipulate in programmatic contexts



## Definition of the Indicators (Consumption-related indicators)

## Spending on food

- Estimating the proportion of expenditure on food of the total household income
- Considers the propensity of people closer to the edge of poverty,
   spend a greater and greater proportion of their income on food
- Can also look at the proportion spent on different food groups



## Impact pathways

- Integrating food security and nutrition → comes together in the M&E framework
- There are different pathways through which an intervention can address the causes of malnutrition.
- Your M&E system should help you monitor and evaluate these pathways throughout project implementation.
- Important to measure the possible negative impacts/ unexpected outcomes and external factors of our intervention on nutrition (Do no harm)



## **M&E** indicators

- Stunting is a good impact indicator of multi-sectoral programmes
- Acute malnutrition / wasting subject to many variations
- For food security interventions, important to measuring impact at least on household food consumption, if possible individual
- Nutrition is about individuals → looking at the individual is the only way to understand maternal and child nutrition
- Dietary diversity measurement should be complemented with KAP survey
- Capturing "nutrition" in indicators: e.g. "increased food production" → "increased production of micronutrient rich foods"
- Choice of indicators depends on intervention + what is feasible



## M&E process

- What a program measures will depend on the program aims
- There are different designs for impact evaluations, which provide different levels of certainty about attribution of impact to the program itself
- A process evaluation is used to understand which elements of a program are working and which are not
- Monitoring is a vital management tool which should be undertaken in any program
- For impact evaluation: call an expert



## **GROUP WORK**



### Two exercises

- Monitoring the nutritional impact of a food security-related intervention
  - Agro-pastoralists(LH2)
  - Smallholders and landless farmers (LH5)
- Creating a common food security and nutrition M&E framework
  - ➤ Urban/peri-urban (LH1)
  - ➤ Sedentarizing pastoralists (LH3)

Pastoralists with small herds LH4 → goes to other groups



# Nutritional impact of food security intervention

- Take the intervention you discussed yesterday
- Clarify the impact pathway from the intervention to nutritional status
- Identify any potential negative impacts along this impact pathway
- Identify indicators to measure:
  - each step of the impact pathway
  - Negative impacts



## Common M&E framework

- Starting from the bottom, identify indicators for the impact, outcomes and all solutions
- Go as far up as you go in the tree