



ASIA-PACIFIC FORESTRY WEEK 2016

Philippines, 22–26 February 2016



Stream 4: New Institutions, New Governance

Emerging Governance Mechanisms in ASEAN: Supporting improved “Standards and Conformance Infrastructure” for forest sector legality and sustainability initiatives

Bruno Cammaert, FAO FLEGT Programme

bruno.cammaert@fao.org

Overview of Standards and Conformance Infrastructure Elements

Component	FSC	National Schemes (for PEFC)	FLEGT VPA
Accreditation Bodies	Accreditation Services International	National Accreditation Bodies (members of International Accreditation Forum)	Generally not applicable unless verification element of TLAS is done by independent 3 rd party certifiers (e.g. SVLK)
Scheme Managers	FSC, with National Standard Development Groups	National Governing Bodies and Members of PEFC	VPA Joint Implementation Committee
Legality Standards	FSC Controlled Wood	PEFC Controlled sources	Legality Definition (TLAS)
Sustainability standards	FSC Interim National Standards or Approved National and Regional Standards	National Standards (PEFC Endorsed)	na
Chain-of-Custody requirements	CoC Standards	CoC Standard	Supply Chain Controls (TLAS)
Certification Bodies	FSC accredited	Nationally Accredited	na unless VPA uses independent verification (e.g. SVLK)
Auditors (Independent, government)	Independent	Independent	Government Officer and/or Independent (e.g. SVLK)
System auditors, independent monitors	FSC and ASI both full members of ISEAL, undergo independent evaluation against ISEAL's Codes of Good Practice. Complaints mechanisms and Dispute Resolution System FSC Policy for Association mechanism	National Accreditation Bodies PEFC Approved Independent Assessors International and National Complaint Mechanisms	VPA Evaluators or Auditors (at least once per year and appointed by JIC), Independent Forest Monitoring (CSO)



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Examples of joint Standards and Conformity Infrastructure (simultaneous or retroactive, FSC/PEFC/VPA)

- Compatible legality or sustainability standards leading to (mutual) recognition
- Compatible CoC/traceability (Voluntary Schemes) and Supply Chain Controls (VPA)
- Joint review of standards (JIC, Scheme Managers, Standard Development Groups)
- Multi-purpose audits
- Multi-purpose verification training for independent or government auditors/inspectors
- Reinforced linkages between Scheme Assessors and VPA Auditors
- Reinforced linkages between Independent Forest Monitoring and Complaints Mechanisms



Group work: Current Activities, Needs and Synergies

Component	Activities and needs (FSC, PEFC, VPA)	Existing/planned synergies
Accreditation Bodies		
Scheme Managers		
Legality Standards		
Sustainability standards		
Chain-of-Custody requirements		
Certification Bodies		
Auditors (Independent, government)		
System auditors, independent monitors		



Existing partners and supporters

Partners	Supporters

NOTES:

- Brainstorming
- Future support depending on resource mobilisation, ASEAN level commitment and country level confirmation of support needs
- VPA related support activities would also need EC endorsement (bilateral)