REPORT OF THE 29th SESSION
OF THE CODEX COMMITTEE ON NUTRITION AND FOODS
FOR SPECIAL DIETARY USES

Bad Neuenahr-Ahrweiler, Germany
12 - 16 November 2007

Note: This report includes Circular Letter CL 2007/43-NFSU
TO: Codex Contact Points  
Interested International Organizations

FROM: Secretary, 
Codex Alimentarius Commission,  
Joint FAO/WHO Food Standards Programme, FAO,  
Viale delle Terme di Caracalla,  
00153 Rome, Italy

SUBJECT: Distribution of the Report of the 29th Session of the Codex Committee on Nutrition and Foods for Special Dietary Uses (ALINORM 08/31/26)

A. REQUEST FOR COMMENTS AND INFORMATION AT STEP 8 OF THE PROCEDURE:

1. Draft Revised Codex Standard for Foods for Special Dietary Use for Persons Intolerant to Gluten (ALINORM 08/31/26 para. 64 and Appendix III)

Governments and international organizations wishing to comment on the above text should do so in writing, preferably by email to: the Secretary, Codex Alimentarius Commission, Viale delle Terme di Caracalla, 00153 Rome, Italy (fax: +39 06 5705 4593, e-mail: codex@fao.org) before 1 April 2008.

2. Draft Advisory Lists of Nutrient Compounds for Use in Foods for Special Dietary Uses Intended for Infants and Young Children (ALINORM 08/31/26, para. 78 and Appendix IV)

Governments and international organizations wishing to comment on the above text should do so in writing, preferably by email to: the Secretary, Codex Alimentarius Commission, Viale delle Terme di Caracalla, 00153 Rome, Italy (fax: +39 06 5705 4593, e-mail: codex@fao.org) before 1 April 2008.

B. REQUEST FOR COMMENTS AND INFORMATION AT STEP 5 OF THE PROCEDURE:

Establishment and Application of Risk Analysis Principles by the Codex Committee on Nutrition and Foods for Special Dietary Uses (ALINORM 08/31/26 para. 121 and Appendix VI)

Governments and international organizations are invited to comment on the above text and should do so in writing, preferably by email to the Secretary, Codex Alimentarius Commission, Viale delle Terme di Caracalla, 00153 Rome, Italy (fax: +39 06 5705 4593, e-mail: codex@fao.org), with a copy to: Dr Rolf Grossklaus, Director and Professor, Federal Institute for Risk Assessment, P.O. Box 33 00 13, 14191 Berlin, Germany, Fax: +49 1888 529 – 49 65, e-mail: ccnfsdu@bmelv.bund.de before 1 April 2007.
C. REQUEST FOR COMMENTS AND INFORMATION AT STEP 6 OF THE PROCEDURE:

1. Guidelines for Use of Nutrition Claims: Draft Table of Contents for Nutrient Contents (Part B Containing Provisions on Dietary Fibre) (ALINORM 08/31/6, para. 41 and Appendix II)

While considering this matter, the Committee agreed that it was not possible to progress further on the document at this stage, as it was preferable to allow more time for consultations at the national level, as the scientific papers had only been available shortly before the meeting. The Committee also agreed to ask comments as to how the FAO/WHO scientific update applied to the definition proposed for dietary fibre and its applicability for conditions for claims (for details of consideration see paras 22-41).

Governments and international organizations are invited to comment on the above text and should do so in writing, preferably by email to: Dr Rolf Grossklaus, Director and Professor, Federal Institute for Risk Assessment, P.O. Box 33 00 13, 14191 Berlin, Germany, Fax: +49 1888 5 29 – 49 65, e-mail: ccnfsdu@bmelv.bund.de with a copy to the Secretary, Codex Alimentarius Commission, Viale delle Terme di Caracalla, 00153 Rome, Italy (fax: +39 06 5705 4593, e-mail: codex@fao.org) before 1 April 2008;

2. Draft Advisory List of Nutrient Compounds for Use in Foods for Special Dietary Uses Intended for Infants and Young Children: Part D Advisory List of Food Additives for Special Nutrient Forms: Provisions on gum arabic (gum acacia) (ALINORM 08/31/26, paras 75-78 and Appendix V)

While considering the level of gum arabic that should be included in the list as there had been no consensus at the last session on the levels of 10 or 100 mg/kg, the Committee could not come to a conclusion and agreed to retain the two levels of 10 and 100 mg/kg in square brackets (for details of consideration see paras 75-78).

Governments and international organizations are invited to comment on the above text and should do so in writing, preferably by email to: Dr Rolf Grossklaus, Director and Professor, Federal Institute for Risk Assessment, P.O. Box 33 00 13, 14191 Berlin, Germany, Fax: +49 1888 5 29 – 49 65, e-mail: ccnfsdu@bmelv.bund.de with a copy to the Secretary, Codex Alimentarius Commission, Viale delle Terme di Caracalla, 00153 Rome, Italy (fax: +39 06 5705 4593, e-mail: codex@fao.org) before 1 April 2008.
SUMMARY AND CONCLUSIONS

The 29th Session of the Codex Committee on Nutrition and Foods for Special Dietary Uses reached the following conclusions:

MATTERS FOR FINAL ADOPTION BY THE 31ST SESSION OF THE CODEX ALIMENTARIUS COMMISSION:

The Committee:
- agreed to forward to the Commission the Draft Revised Codex Standard for Foods for Special Dietary Use for Persons Intolerant to Gluten for final adoption at Step 8 (ALINORM 08/31/26 para. 64 and Appendix III);
- agreed to forward to the Commission the Draft Advisory List of Nutrient Compounds for Use in Foods for Special Dietary Uses Intended for Infants and Young Children for final adoption at Step 8 (ALINORM 08/31/26, para. 78 and Appendix IV).

MATTERS FOR PROVISIONAL ADOPTION BY THE 31ST SESSION OF THE CODEX ALIMENTARIUS COMMISSION:

The Committee:
- agreed to forward to the Commission the Establishment and Application of Risk Analysis Principles by the Codex Committee on Nutrition and Foods for Special Dietary Uses for adoption at Step 5 (ALINORM 08/31/26 para. 121 and Appendix VI).

MATTERS FOR ACTION BY THE 31ST SESSION OF THE COMMISSION

NEW WORK

The Committee:
- Agreed to ask, through the 61st Session of the Executive Committee, the 31st Session of the Commission to approve new work on the Revision of Nutrient Reference Values for Labelling Purposes. The Project Document is attached as Appendix VII (paras 122-133).

MATTERS OF INTEREST TO THE 31ST SESSION OF THE COMMISSION

The Committee:
- agreed to return the draft Table of Conditions for Nutrient Content (Part B containing provisions on dietary fibre) to Step 6 and to ask comments in the Circular Letter as to how the FAO/WHO scientific update applied to the definition proposed for dietary fibre and its applicability for conditions for claims (paras 22-41);
- agreed to cease the consideration of the Discussion Paper on the Production and Processing Standards Regarding the Nutritional Quality and Safety of Foods as these matters were already covered by the General Principles for the Addition of Essential Nutrients to Foods and the Proposed Draft Risk Analysis Principles Applied by the Committee on Nutrition and Foods for Special Dietary Uses being elaborated by the Committee (paras 134-140).

Intergovernmental Task Force on Foods Derived from Biotechnology (CTFBT)

- following the established Procedures between General Committees and Commodity Committees, the Committee noted that the proposed Annex on Food safety assessment of foods derived from

...
**recombinant-DNA plants modified for nutritional or health benefits** was considerably debated by the Intergovernmental Task Force on Foods derived from Biotechnology and after some discussion agreed to endorse the text as proposed by the Task Force (paras 16-21).

**MATTERS REFERRED TO OTHER COMMITTEES**

**Codex Committee on Food Additives (CCFA)**

The Committee noted the clarification of the Secretariat of JECFA regarding the applicability of ADI concept for infants below 12 weeks of age as presented in CRD 12 and agreed that there was no need to consider the food additives provisions at the Committee before JECFA and CCFA conclude their work on remaining food additive issues posed by the 28th Session of the Committee (para.15).

**Codex Committee on Methods of Analysis and Sampling (CCMAS)**

Following the established Procedures between Commodity Committees and General Committees, the CCNFSDU refers some responses to the questions on several methods in the standard for Infant Formula and Formulass for Special Medical Purposes Intended for Infants (paras 149-159).

**Codex Committee on Food Labelling (CCFL)**

Following the established Procedures between Commodity Committees and General Committees, the CCNFSDU refers the Section of Food Labelling of the Draft Revised Codex Standard for Foods for Special Dietary Use for Persons Intolerant to Gluten for endorsement by the CCFL (see paras 59-60 and Appendix III).
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INTRODUCTION

1. The Twenty-ninth Session of the Codex Committee on Nutrition and Foods for Special Dietary Uses (CCNFSDU) was held in Bad-Neuenahr-Ahrweiler, Germany from 12 to 19 November 2007 at the kind invitation of the Government of Germany. Dr Rolf Grossklaus, Director and Professor of the Federal Institute for Risk Assessment, Berlin chaired the Session. The Committee was attended by 278 delegates, observers and advisors representing 71 member countries, one member organization and 26 international organizations.

OPENING OF THE SESSION

2. Mr Gert Lindemann, State Secretary of the Federal Ministry of Food, Agriculture and Consumer Protection, Germany welcomed the participants and noted the importance of the work of the Committee in ensuring the highest standards worldwide to protect the health of consumers, especially infants and children while ensuring fair practices of food trade. Mr Lindemann pointed out the importance of the Committee’s work in implementing the WHO Global Strategy on Diet Physical Activity and Health and in such diverse areas as reducing malnutrition and obesity in countries around the world. While referring to the Provisional Agenda for this session of the Committee, Mr Lindemann encouraged the delegates to finalize the Draft Revised Standard for Gluten Free Foods and the Advisory List of Nutrient Compounds for the Use in Foods for Special Dietary Uses Intended for Infants and Young Children in order to ensure the protection of such vulnerable populations. Mr Lindemann indicated that it was very important to progress with the work on Substantiation of Health Claims and on the Application of Risk Analysis Principles by the Committee on Nutrition and Foods for Special Dietary Uses and decide on the work for Nutrient Reference Values for Food Labelling Purposes as these items were very important for the protection of consumers from deceptive and misleading claims and for choosing products on the basis of appropriate information. In conclusion, Mr Lindemann pointed out the importance of arriving at consensus with the work and wished all success to the delegates in their important work.

3. Following Rule II.5 of the Rules of Procedure of the Codex Alimentarius Commission the Committee was informed about CRD 2 on the division of competence between the European Community (EC) and its Member States and noted that 19 Member States of the EC were present at the current session.

ADOPTION OF THE AGENDA (Agenda Item 1)

4. The Committee noted the proposal of the delegation of Canada that the delegates might benefit from the presence of the Chairperson of the Codex Committee on Food Labelling and agreed to consider information from the Committee on Food Labelling on the consideration of the WHO Global Strategy on Diet, Physical Activity and Health under Agenda Item 11 “Other Business and Future Work”.

5. The Committee also noted that the Delegation of India had prepared a document (CRD 9) containing the proposal for new work on the elaboration of a standard for “Processed Cereal Based Foods for Underweight Infants and Young Children” and agreed to discuss this matter on Agenda Item 11 “Other Business and Future Work”.

6. The Delegation of Republic of Korea drew the attention of the Committee to the fact that sufficient time should be allocated to discuss Item 8 in order to have a clear guidance from the Committee regarding further work on this issue.

7. With these modifications the Committee adopted the Provisional Agenda as the Agenda for the 29th Session of the Committee.

1 CX/NFSDU 07/26/1; CRD 2 (Annotated Provisional Agenda on the Division of Competence between the European community and its Member States according to Rule II paragraph 5 of the Codex Alimentarius Commission; CRD 15 (comments of India).
Matters Referred by the Codex Alimentarius Commission and/or Other Codex Committees (Agenda Item 2)²

8. The Committee noted that most of the matters referred by the 30th session of the Commission were for information purposes while others would be discussed in more detail under relevant Agenda items. The Committee also noted that assignments given by the Commission in relation to the implementation of the Strategic Plan 2008-2013 of the Codex Alimentarius Commission such as the review and development of Codex standards and related texts for food safety was ongoing work and that Activity 2.2 Review of risk analysis principles would be taken on Item 7 while considering the elaboration of application of risk analysis principles by the Committee on Nutrition and Foods for Special Dietary Uses. In addition, the Committee noted matters referred as follows:

Trans fatty acids

9. The Representative of WHO informed the Committee about the Scientific Update on trans fatty acids (TFA) which was led by WHO. The Representative indicated that the Scientific Update reviewed the health effects of TFA from both epidemiological and experimental perspectives, as well as the feasibility of alternative replacements and pointed out that the expert group reviewed scientific background papers prepared and further agreed to prepare six scientific review papers. These include: 1) General historical background of the work related to TFA and the Global Strategy; 2) Risk assessment on TFA: epidemiological/experimental; 3) Quantification of consequences/model to assess alternatives to TFA; 4) Feasibility for replacement of TFA; 5) Assessing approaches to removing TFA in the food supply in industrialized countries and in developing countries; and 6) Summary and conclusions of the scientific update. The Representative indicated that the final papers would be published most likely in the European Journal of Clinical Nutrition in early 2008.

Prioritization of work

10. The Committee noted that the General Criteria for Prioritization of Work presented in the Codex Procedural Manual sufficiently covered needs of the Committee and therefore there was no need to develop additional criteria for the prioritization of work by the Committee.

Project documents

11. With regard to project documents for new work, the Committee noted that the 30th Session of the CAC was of the view that some project documents were not of sufficient quality, not addressing all criteria with sufficient explanation/justification and that the CAC had requested that in future all documents should be prepared in accordance with provisions set forth in the Codex Procedural Manual.

Methods of Analysis in the Codex Standard for Infant Formula

12. The Committee recalled that the 30th Session of the Commission had adopted the draft Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants with the understanding that the Section on Methods of Analysis would be reviewed by the CCNFSDU with a view to its submission to CCMAS for endorsement. The Committee noted that some proposals relevant to questions posed by the 28th session of the Codex Committee on Methods of Analysis and Sampling were contained in CRD 10 prepared by the United States and agreed to establish an inter-session working group to review issues related to methods of analysis and sampling in the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants and Young Children.

13. Some delegations drew the attention of the Committee to the fact that CRD 10 contained some provisions which were not acceptable to all members and expressed their view that more work on methods of analysis and sampling for all foods for special dietary uses was necessary. CRD 15 prepared by the EC was also made available. It was proposed that the work on this matter be extended between the current and subsequent sessions and that an electronic working group could do it (see also Item 11).

² CX/NFSDU 07/26/2; CX/NFSDU 07/29/2-Add.1 (matters referred from the Intergovernmental Task Force on Biotechnology); CRD 3 (information from WHO); CRD 15 (comments of India).
Review of Codex Committee structure and mandates of Codex Committees and Task Forces

14. The Delegation of Australia drew the attention of the delegates to the fact that the last session of the Commission due to time constraints was not able to finalize discussions and provide conclusions on Proposal No 10 regarding the work of the Committee and encouraged the Executive Committee and the Commission to finalize this discussion which would provide clear direction for future work to be undertaken by the CCNFSDU.

Food additives in infant formula

15. The Committee noted the clarification of the Secretariat of JECFA regarding the applicability of ADI concept for infants below 12 weeks of age as presented in CRD 12 and agreed that there was no need to consider the food additives provisions at the Committee before JECFA and CCFA conclude their work on remaining food additive issues posed by the 28th Session of the Committee.

Food safety assessment of foods derived from recombinant-DNA plants modified for nutritional or health benefits

16. The Committee recalled that the 7th session of the Codex ad hoc Intergovernmental Task Force invited the 29th Session of the Committee on Nutrition and Foods for Special Dietary Uses (CCNFSDU) to review the annex on Food safety assessment of foods derived from recombinant DNA plants modified for nutritional or health benefits and provide comments if necessary as an annex contained references to certain concepts related to nutrition.

17. The Chairperson of the Task Force Dr H. Yoshikura briefly introduced this Annex and explained that while developing it, the Task Force tried to ensure its consistency with other Codex texts developed by the Committees on Nutrition and Food Labelling by making references to appropriate texts elaborated by the above Committees and indicated that the wording contained in annex was reached after a careful consideration.

18. The Delegation of Norway while agreeing in principle with the content of the document proposed to amend last sentences of paragraphs 14 and 17 for clarification purposes.

19. The Delegation of Thailand drew the attention of the Committee to the fact that in document in the definition section only the definition of “nutrient” was included, however in the text the “upper level of intake” and “bioavailability” was used, therefore was of the view that these terms should be also defined. The Delegation also indicated that “bioavailability” in humans was required for both nutrients and undesirable substances in the document and was of the view that it would be inappropriate to allow an evaluation of undesirable substances in humans therefore in vitro or animal studies for them should be allowed.

20. Some delegations pointed out that this annex contained relevant references to the texts elaborated by the CCNFSDU, therefore proposed to endorse the document without additional changes.

21. The Committee noted that the proposed Annex was considerably debated by the Task Force and after some discussion agreed to endorse the text as proposed by the Task Force.

GUIDELINES FOR THE USE OF NUTRITION CLAIMS: DRAFT TABLE OF CONDITIONS FOR NUTRIENT CONTENTS (PART B CONTAINING PROVISIONS ON DIETARY FIBRE) AT STEP 7 (Agenda Item 3)4

22. The Committee recalled that its last session had agreed to return the Draft Table of Conditions for Claims (dietary fibre) to Step 6 with a Circular Letter asking comments and additional input on the definition and other provisions for dietary fibre in the light of the results of the FAO/WHO scientific update of

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3 ALINORM 08/31/34, Appendix III.
4 CL 2007/3-NFSDU, CX/NFSDU 07/29/3 (comments of Argentina, Australia, Costa Rica, Dominican Republic, Guatemala, Japan, Mexico, New Zealand, Philippines, United States of America, INFOODS, AAC, AIDGUM, EDA, IACST, IADSA, ICBA, ICGMA, IDF, IFAC, IFT, ILSI, IUNS), CX/NFSDU 07/29/3- Add.1 (comments of Brazil), CRD 1 (Report of the Working Group on the Revision of the Standard for Gluten Free Foods), CRD 3 (comments of India, Indonesia, Philippines), CRD 13 (comments of CIAA)
carbohydrates in human nutrition, including the definition of dietary fibre, which had been presented at the last session of the Committee.

23. The Representative of WHO informed the Committee that FAO and WHO had agreed to undertake a scientific update on some of the key issues related to carbohydrates in human nutrition in 2005. This Scientific Update of existing knowledge and evidence relating to the current recommendations was viewed as essential in the process leading up to an eventual expert consultation on carbohydrates in human nutrition. A meeting of the authors of the scientific background papers, together with several other expert peer-reviewers, was held in July 2006. At this meeting, the experts also reviewed issues related to dietary fibre, among various other issues, and proposed a definition of dietary fibre.

24. The Representative also informed the Committee about the availability of all the papers prepared for the Joint FAO/WHO Scientific Update on Carbohydrates in Human Nutrition as a supplement of the European Journal of Clinical Nutrition (Volume 61, Supplement 1, December 2007), which was also distributed to Codex Contact Points.

25. The brief rationale used by the experts for defining dietary fibre as “intrinsic plant cell wall polysaccharides” was provided by the Representative of WHO at the 28th Session of CCNFSDU. However, the Committee requested additional information regarding the work of the expert group and the issues and approaches employed by the expert group in reaching the conclusions. Therefore, WHO requested Professor J. Cummings, as a member of the expert group which undertook the scientific update for FAO and WHO, to participate and further inform the Committee. This information is presented in paragraphs 27 to 35.

26. Professor Cummings highlighted that the Joint FAO/WHO Scientific Update concerned the whole of carbohydrates, not just fibre. New evidence of the importance to health regarding glycaemic index and glycaemic load, sugars in relation to obesity, resistant starch, the concept of whole grains and of prebiotic oligosaccharides was considered. Most of these encroach upon the traditional area of fibre, and therefore, it is necessary in considering any definition of fibre to place it in the context of carbohydrates as a whole.

27. The expert group reviewed the classification of carbohydrates, based on their chemistry and dividing them into sugars, oligosaccharides and polysaccharides. The classification of the 1997 Joint FAO/WHO Expert Consultation was endorsed by the expert group. For all food components, it was agreed that they should be defined first by their chemistry. This was felt to be essential for good methods of measurement, labelling, health claims and enforcement.

28. In this context the definition of fibre was discussed. The importance of fibre to general health was accepted, in that a high fibre diet based on whole grain foods, fruits and vegetables was well established as being protective against various chronic diseases, such as diabetes, coronary heart disease and some cancers. The question of how to characterise fibre continues to present some difficulty. Existing definitions were considered, including that being proposed by the CCNFSDU. After considerable discussion, the expert group decided to define fibre as “intrinsic plant cell wall polysaccharides”.

29. A physiological basis for the definition, such as “non digestibility”, was considered inappropriate. Inclusion of “non digestibility” poses many problems as there is no agreement on the definition of digestibility and no method to measure nor validate it, without extensive difficult studies in humans. Digestion of food components in the gut is affected by many factors, such as gut transit time, the nature of the microflora, history of antibiotic use, other components of the diet and the amount consumed. Food processing, storage conditions, cooking and physical treatments such as grinding, also affect digestibility.

30. The expert group agreed that a food component should be defined in the first instance by its chemistry, not its function. The expert group was also unconvinced that the definition should include “properties” of fibre, such as effects on transit time, fermentation and lipid metabolism. These were not consistent effects of fibre and were felt to be the province of health claims. Many factors contributed to their control other than fibre.

31. The inclusion of carbohydrates, such as resistant starch and the prebiotic oligosaccharides in the definition of fibre was considered to be potentially misleading for the consumer. These carbohydrates, while having important properties in their own right, cannot be said to confer the benefits of fibre as originally
proposed. “There is no good evidence of protection against cardiovascular disease and diabetes when various oligosaccharides or isolated components of whole-grains, fruits, vegetables and legumes are added to functional and manufactured foods.”

32. Professor Cummings further provided responses to some of the written comments made on the new proposed definition as the outcome of the Joint FAO/WHO Scientific Update. One was the issue related to "intrinsic". There are two reasons for the inclusion of the term “intrinsic” in the proposed new definition. Firstly the Institute of Medicine of the USA makes the distinction between intrinsic and other types of fibre, which the expert group found to be a reasonable concept. Secondly, as stated already, there is no evidence that diets high in resistant starch, isolated and purified cell wall material, plant gums and oligosaccharides confer the health benefits traditionally ascribed to fibre.

33. To the question regarding the exclusion of lignin, Prof Cummings clarified that lignin was not included in the proposed definition because true lignin is very difficult to measure. What is normally reported as lignin in the fibre method is Klasson lignin, which is an impure mixture of often unidentified substances. If true lignin is found to be important to health, it should be measured separately.

34. Finally, the Committee was urged to take a broader view of carbohydrates, into which a definition of fibre can be fitted. The newly discovered properties of the carbohydrate components of the diet can only be exploited by the food industry with benefit to the consumer if these are defined and measured separately. Fibre represents a unique component that does not encroach on the other clearly defined classes of carbohydrates.

35. The Chair invited the Committee to provide general comments or questions on the scientific update and to consider whether the discussion on the Draft Table should be deferred until the next session in order to allow delegates to consider carefully the provisions for dietary fibre in the light of the scientific update.

36. The Committee had an opportunity to ask questions regarding the scientific update and considered in particular the following questions: the difference between intrinsic and other fibre; the substances which were not included in the definition of fibre, such as resistant starch; and analytical issues.

37. As regards the non-inclusion of resistant starch in the definition of fibre, Prof Cummings noted that there was always a possibility of declaring the presence of resistant starch as a health claim if adequate scientific justification existed. Other added nutrients could also be declared in the list of ingredients or as part of nutrition labelling.

38. The Delegation of the EC pointed out that the purpose of the Table of Conditions was to define nutritional claims. Therefore, some clarification was needed as to how the scientific update could affect the definition of fibre in this context.

39. The Observer from IUNS drew the attention of the Committee to the problems related to methodology and indicated that IUNS was currently working with IUPAC on the analytical methodology for the determination of fibre and that this work would be completed in 2008.

40. Several delegations expressed the view that they would need more time to consider the results of the scientific update. The Committee agreed that it was not possible at this stage to progress further on the document, as it was preferable to allow more time for consultations at the national level, as the scientific papers had only been available shortly before the meeting. It was noted that if the document was retained at Step 7 no comments would be requested and several delegations indicated that they would prefer to submit comments. After some discussion, the Committee agreed to return the current document to Step 6 and to ask comments in the Circular Letter as to how the FAO/WHO scientific update applied to the definition proposed for dietary fibre and its applicability for conditions for claims.

Status of the Guidelines for the Use of Nutrition Claims: Draft Table of Conditions for Nutrient Contents (Part B Containing Provisions on Dietary Fibre)

41. The Committee agreed to return the Draft Table (Provisions on Dietary Fibre) to Step 6 for further comments and consideration at the next session (see Appendix II).
DRAFT REVISED STANDARD FOR GLUTEN-FREE FOODS AT STEP 7 (Agenda Item 4)

42. The Committee recalled that at its 28th session it had agreed to return the Draft Revised Standard for Gluten-Free Foods to Step 6 for further comments and that a physical working group chaired by Sweden and co-chaired by Canada would meet before the current Session of the Committee to review the comments received and to prepare proposals in order to assist the Plenary in finalizing the Standard.

43. The Co-chairs from Sweden and Canada introduced CRD 1 and explained all changes that were proposed in the title and other sections of the Standard, which was presented in Appendix to the CRD 1.

44. The Committee expressed its appreciation to the Working Group for their excellent work and decided to consider the Standard based on the document prepared by the Working Group and presented in the Appendix to CRD 1. The Committee agreed to concentrate discussion only on those sections comments were received and square brackets existed. In addition to editorial and formatting corrections the Committee made the following changes and recommendations on the following sections.

Title

45. The Committee agreed to rename the title to Standard for Foods for Special Dietary Use for Persons Intolerant to Gluten.

Scope

46. After some discussion, the Committee accepted the second option for the scope proposed by the Working Group. It also clarified in the second paragraph that foods were for “general” rather than “normal” consumption and that foods that by their nature were “gluten-free” could also be represented for use by persons intolerant to gluten.

Section 2.1.1 Gluten-free foods and Section 2.1.2 Foods specially processed to reduce gluten content to levels above 20 up to 100 mg/kg

47. The Committee had a lengthy discussion regarding the definition for gluten-free foods described in this section and how to address labelling issues related to gluten-free foods and foods specially processed to reduce gluten.

48. A number of delegations were of the view that the term “gluten-free” should be reserved only for products that contain not more than 20 mg gluten per kg as sold or distributed to consumers or products gluten-free by nature containing no more than 20 mg gluten per kg and preferred to have only this group of products in the standard and pointed out that the Committee should help celiac patients to reduce the amount of gluten in their diet as low as possible.

49. A number of other delegations preferred to have two groups of foods: one for gluten free foods with a level not exceeding 20 mg/kg and another for products such as specially processed wheat starch based products with reduced gluten content above 20 to at a level of 100 mg/kg. They drew the attention of the Committee to the fact that products containing those levels of gluten were on the market and had been used as gluten free foods for a long time without any negative consequence and that the removal of these products would limit consumers’ choice for their diets.

50. Some delegations indicated that there was significant variation in the sensitivity to gluten among celiac patients and not all countries have those products on their markets. However, it was important to convey a message to consumers about the true nature of the products.

51. The Committee noted that this matter was also discussed at length at the Working Group which, as a compromise solution, inserted a footnote that starch at levels above 20mg gluten per kg could not be labelled as “gluten free” but can be as ingredient in a gluten-free product provided that the final product contained

5ALINORM 07/30/26, Appendix IV; CX/NFSDU 07/29/4 (comments from Argentina, Australia, Canada, Costa Rica, Guatemala, Mexico, United States of America, AAC, ISDI, IWGA, WGPAT); CX/NFSDU 07/29/4-Add.1 (comments from Brazil, AOECs); CX/NFSDU 07/29/4-Add.2 (comments from Cuba, Ghana); CRD 1 (Report of the Working Group); CRD 4 (comments from India, Philippines); CRD 11 (comments from AAC); CRD 16 (comments from South Africa).
lesson more than 20 mg gluten per kg. The Committee inserted Section 2.1.2 for foods with gluten content above 20 mg/kg to 100 mg/kg.

52. After some discussion, the Committee agreed that matters presented in the Description Section regarding gluten free foods and other foods with reduced gluten content should be addressed in conjunction with Section 4 on Labelling.

53. The Committee made some amendments to sections 2.1.1 a) and b) and 2.1.2 for clarification purposes and clarified a footnote regarding the tolerance to oats. The Committee agreed to specify that the allowance of oats that are not contaminated with wheat, rye or barley in foods covered by the standard may be determined at national level.

54. The Committee noted that the terms “very low gluten foods” or “low gluten foods” or ‘gluten-reduced foods” presented in the title and text of Section 2.1.2 might create confusion among consumers in different countries and after some discussion decided to rename this section to Foods Specially Processed to Reduce Gluten Content to level above 20 up to 100 mg/kg with consequential amendments in the text to that effect. The Committee also made some editorial amendments to the first paragraph of this section and deleted the square brackets around the level of 100 mg/kg.

55. The Committee agreed to insert the provision that decisions on marketing of products described in this section may be determined at national level.

56. The Delegation of Spain expressed their reservation on the decision to include Section 2.1.2 defining products from 20 to 100 mg gluten per kg.

57. In view of these changes, the Committee agreed to delete a footnote 2 from section 2.1.1 b) containing provisions for starch at levels above 20 to 100 mg gluten per kg as this was already covered by Section 2.1.2.

Section 3 Essential composition and quality factors

58. The Committee agreed to delete the square brackets around 100mg/kg in section 3.2 in view of its decision on section 2.1.2.

Section 4 Labelling

59. In section 4.1, the Committee clarified that the use of term “gluten-free” was for products described in Section 2.1.1.

60. Different proposals were put forward for section 4.2 in order to describe how foods processed to reduce gluten content to a level above 20 up to 100 mg/kg should be labelled. After some discussion the Committee agreed to use the second option of section 4.2 proposed by the working group with the addition of clarification that labeling of products described in Section 2.1.2 may be determined at the national level and that these products must not be called “gluten-free” and that labelling terms for such products should indicate the true nature of the food.

Section 5 Methods of analysis and sampling

61. The Committee agreed to the proposal of the Observer from WGPAT to rearrange section 5.1 for clarity and in order to provide more logic for the text and clarified that for qualitative analysis the presence of gluten shall be based on relevant methods e.g. ELISA or DNA.

62. The Committee agreed to emphasize that methods should be validated against a certified reference material and clarified that the detection limit should be at 10 mg gluten per kg or below. The Committee also deleted the reference to “traceability” and “internationally accepted standards” in the second paragraph.

63. To the concern expressed by the Delegation of Canada that the ELISA R5 Mendez method does not meet the definition of a Type I method and the request to ask the Committee on Methods of Analysis and Sampling to reconsider the status of this method, the Secretariat clarified that this matter had been substantively considered by the CCMAS at its 26th and 27th sessions and that the Committee came to a conclusion that a Type I should be allocated to this method. The Secretariat also recalled that new methods
could be proposed as they are available and meet criteria presented in Codex Procedural Manual, considered by the Committee and forwarded to the CCMAS for endorsement.

**Status of the draft revised Standard for Gluten-Free Foods**

64. The Committee agreed to forward the renamed draft revised Standard for Foods for Special Dietary Uses for Persons Intolerant to Gluten to the 31st Session of the Commission for final adoption at Step 8 (see Appendix III).

**DRAFT ADVISORY LIST OF NUTRIENTS COMPOUNDS FOR USE IN FOODS FOR SPECIAL DIETARY USES INTENDED FOR INFANTS AND YOUNG CHILDREN AT STEP 7 (Agenda Item 5)**

65. The Committee recalled that the Draft Advisory List had been adopted at Step 5 by the 29th Session of the Commission and circulated for comments at Step 6. The Committee considered the text section by section and made the following amendments and comments.

66. The Committee agreed with the proposal of the Delegation of the United States to add a new section on optional ingredients, as Codex standards on foods for infants and young children do not identify all optional ingredients. It was agreed that optional ingredients should meet the criteria specified in section 2.1, and the provisions in relevant Codex standards.

67. The Delegation of Mexico expressed the view that Hydrogen reduced iron had a low bioavailability and therefore should not be included in the advisory list. The Committee however retained this substance, noting that it was of an advisory nature and that countries could select the nutrient source that was most suitable at the national level.

68. The Delegation of India, referring to its written comments, proposed to include Sodium Iron EDTA as a source of iron. The Committee agreed that in order to consider the inclusion of additional substances, relevant data should be provided in accordance with the criteria set out in section 2.1.

69. Some editorial corrections were made throughout the text, including the references to the use of some substances in Codex standards and to the relevant Pharmacopoeia.

70. It was clarified in footnote 7 that the last column referred to foods for special medical purposes other than infant formula, as infant formula for special medical purposes intended for infants were included in a specific column.

71. In section 4. Sources of Sodium, the references to the use of sodium sulphate in Codex Standards were corrected. The Committee agreed with the proposal of the Delegation of the EC to delete Sodium Tartrate due to the possibility of exceeding the ADI and the contribution to sodium intake resulting from its use.

72. Following some discussion, the Committee clarified that in section 10. Folic Acid, Calcium-L-methylfolate was suitable only in foods for special medical purposes and section B of the Standard for Infant Formula.

73. In Part C, the Committee agreed to include Calcium-L-methylpholate in Part B on the basis of available purity requirements and to delete 4.6 Lecithin as it is an additive. In section 6. Nucleotides, the square brackets were deleted and all substances listed were retained.

74. The Committee agreed to delete the entire section listing the substances that lack official purity requirements as no additional information had been provided on these substances.

75. In Part D. Advisory List of the Committee recalled that the Committee on Food Additives had endorsed the additives in Part B with some amendments. The Committee discussed the level of gum arabic that should be included in the list as there had been no consensus at the last session on the levels of 10 or 100 mg/kg.

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*ALINORM 07/29/26, Appendix V, CL CX/NFSDU 07/29/05 (comments of Brazil, Costa Rica, Cuba, Dominican Republic, Ghana. Guatemala, United States), CRD 5 (comments of European Community, India, Indonesia, Philippines)*
76. The Delegation of the European Community expressed the view that the level of gum arabic should be 10 mg/kg as there was no justification for a higher level. The Delegation of the United States pointed out that the level in the current Advisory List was 100 mg/kg and that it should be retained as no new information had been provided to justify a lower level. The Observer from AIDGUM supported this position and proposed to provide additional information on technological justification why higher levels should be used.

77. The Committee could not come to a conclusion and agreed to retain the two levels of 10 and 100 mg/kg in square brackets for further consideration. However, it was agreed that this should not delay the progress of the Draft Revised Advisory List as all other issues had been addressed, including the other additives in part D.

Status of the Draft Advisory List of Nutrients Compounds for Use in Foods for Special Dietary Uses Intended for Infants and Young Children

78. The Committee agreed to advance the Draft Advisory List to Step 8 for adoption by the 31st Session of the Codex Alimentarius Commission (See Appendix IV), with the exception of the level of gum Arabic in Part D, which was returned to Step 6 for further comments and consideration at the next session (see Appendix V).

PROPOSED DRAFT RECOMMENDATIONS ON THE SCIENTIFIC BASIS OF HEALTH CLAIMS AT STEP 4 (Agenda Item 6)

79. The Committee recalled that its 28th session had not been able to consider this item in detail due to time constraints and had agreed to retain the Proposed Draft Recommendations at Step 4 for consideration at its next session.

80. The Delegation of France recalled the background of the development of the recommendations and noted that the text had been redrafted for the last session in the light of the comments received and the guidance provided by the Committee at previous sessions. The Delegation stressed the importance of the nature of scientific evidence as addressed in section 4 and noted that special cases were addressed separately. Some issues remained to be clarified, such as the scope, since the Committee had taken different views at different sessions as to whether claims should refer to total diets. The question of reevaluation of health claims also required further consideration as two approaches were possible: reevaluation on a regular basis or only when new substantial scientific evidence became available.

81. The Committee expressed its thanks to the Delegation of France and the drafting group for their excellent work on complex issues and had a general discussion on the main sections in the document.

Preamble

82. The Committee agreed that the Proposed Draft Recommendations, when finalized would be included as an Annex in the Guidelines for Use of Nutrition and Health Claims. The Committee therefore agreed to delete the Preamble as no additional reference to other Codex texts would be required as the recommendations would be part of the Guidelines.

Scope

83. The Delegation of India, referring to its written comments, proposed to add new provisions concerning the application of health claims under various circumstances. The Committee however agreed that the purpose of the Recommendations was to define the scientific basis of health claims and that other issues concerning health claims were addressed in the adopted Guidelines, which were not under consideration.

84. The Committee discussed the need to include additional food safety considerations. After some discussion, it was agreed to retain the current paragraph on food safety with an editorial amendment for clarification purposes.

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7 CX/NFSDU 07/29/6 (previously CX/NFSDU 06/28/7) CX/NFSDU 27/29/6-Add.1 (comments of Argentina, Australia, Bolivia, Brazil, Guatemala, Kenya, New Zealand, United States, CIAA, IASDA, ISDI, WSRO), CRD 6 (comments of Australia, Cuba, European Community, India, Indonesia, Malaysia, Philippines, United States)
Definition

85. It was proposed to delete this section as the Guidelines for Use of Nutrition and Health Claims did not refer to properties in the definition of health claims and this would ensure consistency of the Annex with the Guidelines.

86. Some delegations pointed out that biologically active substances were not defined and should not be included in the definition. The Committee agreed that this term should be replaced by “related substances or components”.

87. It was also agreed to replace “whole diets” with “categories of foods” as claims on whole diets were excluded.

88. The Delegation of France pointed out that this definition has been inserted in order to cope with the inclusion of whole diet in the Scope of the Recommendations and this might no longer be required.

89. The Delegation of the United States suggested that the phrase “properties of food” be replaced by the language of the Guidelines for Use of Nutrition and Health Claims “food or food constituent” throughout the text.

Evaluation of Scientific Evidence

90. The Delegation of the United States expressed the view that there should be a more detailed description of the clinical studies used as a basis for the substantiation of health claims, and proposed additional text to this effect. The Delegation therefore proposed to add new text in section 4.1 in order to describe the requirements for these studies more precisely. The Delegation of Malaysia proposed to include a reference to epidemiological studies in paragraph 4.1.

91. Some observers expressed the view that it was not always possible to substantiate health claims on the basis of well designed clinical trials. They were concerned that it might not be feasible and practical to base all health claims on evidence from human studies, especially as many original health claims were based on observational studies and epidemiological research. Some observers also pointed out that some common claims, for example for groups of foods such as vegetables, would be excluded by such provisions.

92. After some discussion, the Committee recognized that it was not possible to complete the review of the text section by section in view of the issues raised in the discussion and considered how to proceed further.

93. The Delegation of the United States proposed to reorganize the document in order to follow the steps for the substantiation of health claims, and to include the following in Section 4. Evaluation of Scientific Evidence: 4.1 Nature, quality and scope of the evidence; 4.2 Evaluation of the total body of relevant evidence; and 4.3 Special cases.

94. The Delegation of France recalled that the current structure was the outcome of responses to a circular letter and that a specific section was necessary to define the level of scientific evidence, while expressing some concern that this might be lost if the document was reorganized. The Delegation pointed out that while further development of the Section “Step-by-Step Process” might provide more useful information, the main issue to be addressed was the standard of evidence required to substantiate claims and sought the guidance of the Committee in order to proceed with the document.

95. The Delegation of Australia expressed the view that the structure of the document should correspond to the different types of health claims described in the Guidelines for Use of Nutrition and Health Claims and that the presentation of scientific evidence for substantiation could be also significantly different according to the type of claim concerned.

96. The Committee could not come to a conclusion on the provisions for scientific evidence or the reorganization of the text at this stage and agreed that an electronic working group led by France with the assistance of interested delegations working in English only would revise the document in the light of the comments received.
Status of the Proposed Draft Recommendations on the Scientific Basis of Health Claims

97. The Committee agreed to return the Proposed Draft Recommendations to Step 2/3 for redrafting by the electronic working group led by France, comments and consideration at the next session.

PROPOSED DRAFT NUTRITIONAL RISK ANALYSIS PRINCIPLES AND GUIDELINES FOR APPLICATION TO THE WORK OF THE COMMITTEE ON NUTRITION AND FOODS FOR SPECIAL DIETARY USES AT STEP 4 (Agenda Item 7)

98. The Committee recalled that a new work proposal on the Establishment and Application of Nutritional Risk Analysis Principles was approved by the 30th Session of the Commission.

99. The Delegation of Australia introduced the document and explained the structure and the content of the document. The Delegation indicated that the main definitions were taken from the Codex Procedural Manual and that two options for the title were proposed. The Delegation pointed out that the purpose of the document was intended for the application in the framework of the Codex rather than by governments.

100. The Committee expressed appreciation to the Delegation of Australia for the very high quality of their document.

General Comments

101. The Delegation of Malaysia drew the attention of the Committee to the need for a use of consistent language in various examples and pointed out that not all saturated fatty acids have the same physiological effect.

102. The Representative of FAO indicated that WHO/FAO should be the primary if not only source of scientific advice to CCNFSDU, and that international expert groups might not provide independent and unbiased scientific advice. FAO and WHO are committed, if requested by CCNFSDU, to hold expert meetings and consultations on the topics requested and to publish reports in a timely manner. For global risk assessment only international nutritional reference standards, but not regional or national ones should be used. For international nutritional risk assessment international databases on food consumption and food composition will have to be developed. For food safety, e.g. JECFA, the GEMS/food 13 cluster diets are used for exposure assessment, which might not be appropriate for nutritional risk assessment.

103. In response to the clarification requested by the Delegation of the United States of America regarding the need for a FAO/WHO expert consultation, the Representative of WHO indicated that the suggestion was made as the purpose of the draft principles was not clear, whether they were being developed for internal Codex use or for governments. However, following the explanation by the Delegation of Australia while introducing the document, it was clear that these principles are being developed for internal Codex use, but not for the use of the governments. The Representative of WHO explained that for developing guidance to governments, such as to develop recommendations for an approach to nutritional risk assessment, it is a standard practice for WHO to have an expert consultation involving external international experts. The Representative of WHO further noted that WHO is very much aware that Codex Member States are increasingly expressing the need for strengthening the role of FAO and WHO in providing timely scientific advice. WHO is ready to ensure timely provision of scientific advice with timely support from governments. A good example of this was the 2005 Technical Workshop on Nutrient Risk Assessment. With support from governments, FAO and WHO were able to deliver scientific advice in time. This issue should also be seen in the light of the development of the Global Initiative for Food related Scientific Advice (GIFSA) which will support the scientific work of FAO/WHO expert bodies, including various expert consultations and meetings related to nutrition.

104. The Committee considered the document section by section and in addition to editorial corrections made the following comments and changes.

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8 CX/NFSDU 07/29/7; CX/NFSDU 07/29/7 - Add.1 (comments from the United States, WSRO); CX/NFSDU 07/29/7-Add.2 (comments from Mexico); CRD 7 (comments from the European Community, Indonesia and Philippines)
Title

105. The Committee agreed to clarify the title to read “Nutritional Risk Analysis Principles and Guidelines for Application to the Work of the Committee on Nutrition and Foods for Special Dietary Uses” as it better addressed the content of the document, and it was consistent with titles of documents elaborated by other Codex Committees on this matter.

Section 1. Background

106. The Representative of WHO requested a clarification or adding some wording in the second paragraph of the text to ensure that the joint FAO/WHO expert consultations referred to in the document are those requested by the CCNFSDU, but not all FAO/WHO expert consultations, as many of the joint FAO/WHO expert consultations are not only for Codex, but for developing guidelines and recommendations for the governments, including those on human nutrient requirements, fortification guidelines, supplementation guidelines.

Section 2. Introduction

107. The Committee noted that it was not clear what the term “attendant” meant in relation to risk, therefore agreed to delete “attendant” in paragraph 3.

108. The Committee agreed to change “nutritional principles” to “nutritional risk analysis principles” in paragraph 4 and throughout the document.

109. The Committee agreed that the “favorable impact on health” should be changed to “nutritional or physiological effect” and placed it in square brackets in footnote 2 to better describe the potential impact of a related substance.

Section 3. Scope and Application

110. The Committee agreed to combine paragraphs 9 and 10 by deleting the reference to examples in the first and second bullet of paragraph 9 and combined the first and second bullets of paragraph 10 and moved it as the third bullet in paragraph 9, and put this bullet in square brackets for further comments and consideration.

111. The second bullet of old paragraph 12 was amended by deleting “potentially eligible” and clarifying that formulating general principles for assessing and managing risk related to food not only to health claims but also to nutrition claims.

112. Paragraph 13 was deleted as it covered issues that were not related to nutritional risk analysis.

Section IV Definitions

113. The Delegation of the European Community requested clarification regarding the status of the definitions as presented, indicating that some of these may need to be revised by the Committee to reflect more clearly nutritional risk assessment.

Section 5. Principles for Nutritional Risk Analysis

114. The Committee deleted the paragraph 16 because Section 1 already covered it.

115. The Committee agreed to combine paragraph 31 and 32 by deleting the first sentence of paragraph 31 and move the second sentence to the end of paragraph 32 and put this sentence into square brackets. The Delegation of Mexico proposed to add “stability” after “availability” in paragraph 31.

116. The Committee agreed to delete paragraph 33 as nutritional risk analysis was not in the terms of reference of JECFA.

117. The Committee agreed to consider a new paragraph as proposed by the Delegation of European Community in CRD 7 clarifying that nutritional risk management decisions should take into account food habits of different consumers and put this paragraph in square brackets for further comments and consideration.
Section 6. Selection of Risk Assessor by CCNFSDU

118. The Committee noted a proposal that in some cases national expertise might be required, therefore agreed to amend last sentence of paragraph 38 and put new wording into square brackets.

119. The Delegation of Malaysia expressed its concern with the inclusion of other sources of scientific advice in addition to FAO/WHO, and indicated that it should be clarified whether “national expertise” was provided by governments or by other sources.

120. In view of the deletion of some paragraphs, the text was renumbered accordingly.

Status of the Proposed Draft Nutritional Risk Analysis Principles and Guidelines for Application to the Work of the Committee on Nutrition and Foods for the Special Dietary Uses

121. The Committee recognized that significant progress had been made on the revision of the document and, despite the fact that a number of paragraphs were left in square brackets, it agreed to advance the Proposed Draft Nutritional Risk Analysis Principles and Guidelines for Application to the Work of the Committee on Nutrition and Foods for the Special Dietary Uses to Step 5 for adoption by the 31st Session of the Codex Alimentarius Commission (see Appendix VI).

DISCUSSION PAPER ON THE PROPOSALS FOR ADDITIONAL OR REVISED NUTRIENT REFERENCE VALUES FOR LABELLING PURPOSES (Agenda Item 8)*

122. The Committee recalled that an electronic working group coordinated by the Delegation of Republic of Korea was requested to revise the discussion paper on Nutrient Reference Values (NRVs) for labelling purposes by addressing the following issues: to set up principles for the establishment of NRVs, and the need to establish NRVs for different population groups.

123. The Delegation Republic of Korea introduced the revised document, which overviewed the existing situation and current practices in member countries; criteria for establishing NRVs for food labeling purposes and use of NRVs by national authorities and the basis for NRVs in different countries. The Delegation drew the attention of the Committee to the fact that it was very important to reach a firm agreement on the scope of nutrients and population group(s) to be covered and the use of NRVs before proceeding with further work. The Delegation also indicated that draft principles for establishing NRVs for general population were presented in the Appendix to the document and that the Project Document for new work (CRD 14) was prepared, if the Committee decided to proceed with it.

124. The Committee expressed its appreciation to the Delegation of Republic of Korea for their excellent work and had a lengthy discussion on the scope of the document and the way to proceed further.

125. The Delegation of the United States, while acknowledging the importance of the elaboration of NRVs for infants and young children, pointed out that the main priority for the Committee should progress on the work for NRVs for general population limited only for labeling purposes since the elaboration of NRVs for infants and young children would require additional preparatory work. This view was supported by several delegations.

126. The Delegation of the European Community proposed that the revision of NRVs was a very important work and should be continued in order to establish NRVs for the adult population and also for infants and young children from 6 to 36 months and was of the view that this work could progress in parallel. This view was supported by several delegations.

127. Some delegations pointed out that the establishment of principles and NRVs for infants and young children required the elaboration of a separate set of principles and additional data therefore proposed to start working on NRVs for adult population and to address infants and young children at a later stage.

* CX/NFSDU 07/29/8; CRD 8 (comments from European Community, Indonesia, Philippines, IADSA); CRD 14 (Project Document for New Work to Revise Nutrient Reference Values for Vitamins and Minerals prepared by Republic of Korea); CRD 16 (comments from South Africa).
128. The Committee agreed that the scope of the document should be limited to vitamins and minerals and that the use of NRVs should be limited to food labeling purposes.

129. The Committee noted that it would be very difficult to progress on the elaboration of two sets of principles and NRVs for adult population and for infants young children at the same time and agreed that this work would involve a process to develop the general principles for the establishment of NRVs for the general population as a first step. The Committee agreed that the next step would be a process to review all available reference values and their scientific basis by the principles agreed upon and, if appropriate, update and extend the current list of vitamin and mineral NRVs in the Guidelines for Nutrition Labelling. Once the above was completed, the Committee would establish vitamin and mineral NRVs for labeling for individuals 6 months to 36 months of age. The Committee then begin to work to establish principles that would apply to NRVs for this age group, using as a basis the principles identified for NRVs for the general population and modifying them as appropriate. Once those principles were developed, the NRVs for this age group would be established. The Committee also agreed to amend the Section 3 on Main Aspects to be covered in the Project Document presented in CRD 14 to that effect.

130. The Committee considered the need for scientific advice for the development of NRVs for infants and children and after some discussion agreed to amend Section 7 dealing with the identification of scientific advice in Project Document that the necessity for FAO/WHO scientific advice would be identified at a later stage during the elaboration of the document.

131. The Observer of NHF proposed to establish an additional NRV for each nutrient, to represent the population group with the greatest need for it, however the Committee did not support this proposal.

132. The Committee agreed to request the 31st Session of the Commission to approve new work on the revision of Nutrient Reference Values of Vitamins and Minerals for Food Labeling Purposes. Project Document for this work is attached to this report as Appendix 133. The Committee agreed that the Delegation of Republic of Korea with assistance of other interested parties would prepare a revised document, taking into account decisions taken by this session of the Committee, which would be sent for comments and subsequent consideration by the next session of the Committee.

DISCUSSION PAPER ON THE PRODUCTION AND PROCESSING STANDARDS REGARDING THE NUTRITIONAL QUALITY AND SAFETY OF FOODS (Agenda Item 9)10

134. The Committee recalled that at its last session it had agreed that the delegation of Canada would prepare a document providing more explanations on the development of such guidelines, for consideration at the current session.

135. The Delegation of Canada introduced the document and recalled that the WHO/FAO Draft Action Plan for the Implementation of the Global Strategy on Diet, Physical Activity and Health in Action 5, para. 43 addressed to the CCNFSDU stated that the CCNFSDU was to “Review the need for guidelines intended for governments on the use of sound nutrition principles in the production, processing and formulation of foods based on population nutrient intake goals of the 2002 Expert Consultation, taking into account advances in nutrition sciences during the past decade and the General principles for the Addition of Essential Nutrients to Foods”. The Draft Action Plan stated that such guidelines could assist in the development of foods suitable for inclusion in diets aimed at reducing risk of chronic diseases e.g. when reducing or eliminating trans fats in foods, such guidelines could provide advice on more healthful alternatives.

136. The Delegation recalled that the Guidelines for Use by Codex Committees on the Inclusion of provisions on Nutritional Quality in Food Standards and other Codex Texts developed by the Committee were adopted by the Commission in 1987 and were included in the CAC Procedural Manual. The major focus of the Guidelines was on addition of essential nutrients and restoration of nutrient losses in foods. The Committee started a revision of these guidelines in 1992 in order to address concerns over excessive intakes of fat, sugars and sodium and inadequate intakes of fibre. Canada had lead on the revision at that time,  

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10 CX/NFSDU 07/29/9.
however the work on the revision was discontinued and the guidelines were withdrawn from the Procedural Manual in 1997 after the Committee on General Principles and the Executive Committee of the Codex Alimentarius Commission recognized that many definitions and objectives of the Guidelines were already covered by the General Principles for the Addition of Essential Nutrients to Foods and that advice on nutrition policy was outside the mandate of the Committee.

137. The Delegation emphasized that food standards should promote and not impede the development of foods that are consistent with the Global Strategy. Food standards can clearly address several of the recommendations for diet in the Global Strategy such as limit energy intake from total fats and shift fat consumption away from saturated fats to unsaturated fats and towards elimination of trans-fatty acids, limit intake of free sugars and limit salt (sodium) consumption from all sources and ensure that salt is iodized. The Delegation therefore was of the view that guidance in the production, processing and formulation of foods that would address the above recommendations would be useful for consideration both by Codex Committees and national authorities. Canada pointed out however, that the Committee in deciding how to proceed on this item, should also consider current and proposed work such as the Draft Nutritional Risk Analysis Principles and Guidelines for Application by the Committee on Nutrition and the proposed work on to revise the General Principles for the Addition of Essential Nutrients to Foods and the work of the Codex Committee on Food Labelling on Modified Standardized Common Names.

138. The Delegation of the European Community while complimenting Canada for their work on the Discussion Paper indicated that advice on nutrition policy was outside the mandate of the Committee and that many definitions and objectives of the guidelines were already covered by the General Principles for the Addition of Essential Nutrients to Foods and that the revision of the general principles for the addition of essential nutrients to foods is currently under consideration for new work. The Delegation was of the opinion that the recently adopted guidelines on nutrition and health claims already took into account specific recommendations on nutritional quality and safety developed in the context of the WHO Global Strategy on Diet, Physical Activity and Health and that a draft on the establishment and application of principles for nutritional risk analysis and a discussion paper on the proposals for additional revised nutrient reference values for labelling purpose were currently under discussion by the Committee, therefore some of the specific recommendations of the WHO strategy could be taken into account in the above documents that are currently under discussion.

139. The Delegation of the United States was of the view that many issues in the Guidelines for Use by Codex Committees on the Inclusion of provisions on Nutritional Quality in Food Standards were already covered by the General Principles for Addition of Essential Nutrients to Food and that the Committee would consider its revision on the following agenda item and that several key issues would be addressed during the elaboration of the Proposed Draft Nutritional Risk Analysis Principles and Guidelines for Application to the Work of the CNFSDU.

140. In view of these proposals, the Committee agreed to cease the consideration of the discussion paper and concluded that the revision of the Guidelines for Use by Codex Committees on the Inclusion of provisions on Nutritional Quality in Food Standards and other Codex Texts was not necessary.

DISCUSSION PAPER ON THE PROPOSAL FOR NEW WORK TO AMEND THE CODEX GENERAL PRINCIPLES FOR THE ADDITION OF ESSENTIAL NUTRIENTS TO FOODS (CAC/GL 09-1987) (Agenda Item 10)\(^{11}\)

141. The Delegation of Canada introduced the document and indicated that the General Principles were adopted in 1987 and since then there were changed approaches or philosophies related to controlling the addition of vitamin and minerals for foods, changes in technologies employed for achieving addition or enhancement of vitamin and mineral levels in foods and an increased interest in the addition to foods of non-nutrient bioactive substances. The Delegation proposed new work to revise the General Principles that would address three separate issues within the Principles: addition or enhancement of the levels of essential nutrients to foods by indirect methods, including biofortification; discretionary addition of vitamins and minerals to food to provide consumers with a greater variety of foods with added vitamin and mineral

\(^{11}\) CX/NFSDU 07/29/10.
nutrients, including the need for setting maximum and minimum levels of addition and addition of bioactive substances that are non essential constituents to foods. The Delegation indicated that the project document for new work was prepared and presented in CX/NFSDU 07/29/10-Add.1 in line with the terms of reference and Strategic Objectives of the Commission.

142. The Committee expressed its appreciation to the Delegation of Canada for their work and agreed to concentrate on general comments.

143. The Delegation of the European Community was of the view that the evolving dietary habits of the population and technological progress accomplished by industry would justify the update of the General Principles. However it was of the opinion that at a first stage this update should continue to concentrate on the issues that are obviously within the scope of the current General Principles, namely the direct addition of nutrient to foods. The Delegation acknowledged the importance of taking into account issues arising from biofortification in the overall vitamin intake, but was sceptical about the capability of the CCNFSDU to tackle all the issues that would be relevant to biofortification in the framework of these general principles. The Delegation was in favour of the suggestion to introduce the concept of discretional fortification, allowing the addition of essential nutrients for reasons other than those listed in the current General Principles.

144. Concerning the addition of bioactive substances, the Delegation considered that, at this stage, the General Principles should continue to consider as a priority the addition of essential nutrients and that the inclusion of bioactive substances would render difficult to elaborate common principles.

145. As regards discretional fortification, the Delegation considered that restrictions for discretional fortification should only be justified on the basis of safety and on the possibility to mislead consumers and that discretionary fortification should be allowed only with sources evaluated for their safety and bioavailability. The risk of excessive intake of nutrients could be tackled with the establishments of maximum amounts for nutrients that could be added to foods and these maximum amounts should be established taking into consideration in particular the tolerable upper intake levels established by scientific risk assessment and the intakes from the diet. Periodic nutritional surveys should be envisaged in order to monitor shifts in dietary habits and/or industrial practices, which would need a revision of such levels.

146. Some other delegations noted the availability of new technologies and were in favour for further development of the document and emphasize importance of these issues for public health.

147. The Delegation of the United States supporting the concerns expressed by the Delegation of the European Community, indicated that the document described how principles could be applied to new technologies and pointed out that the last session of Task Force on Foods Derived from Biotechnology had noted that the General Principles for the Addition of Essential Nutrients to Foods (CAC/GL 9-1987) elaborated by this Committee were applicable to foods elaborated by these new technologies. The Delegation also pointed out that the revision of the General Principles might open many controversial areas on which it would be very difficult to reach an agreement as there was no common understanding on these issues.

148. The Committee noted that the work on the revision might proceed in areas where it could be possible to get an agreement and requested the Delegation of Canada to prepare a revised document, narrowing its scope in the light of the comments provided at the current session. The revised document would then be considered by the next session of the Committee.

OTHER BUSINESS AND FUTURE WORK (Agenda Item 11):

Methods of Analysis in the revised infant formula Standard

149. The Committee recalled that the Committee on Methods of Analysis and Sampling had not endorsed the methods proposed for inclusion in the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants and had addressed some specific questions on several methods (see Agenda Item 2).

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12 CRD 10 (comment of the United States), CRD 15 (comments of the EC), CRD 17 (proposals from the Working Group held during the session), CRD 18 (proposed mandate of the electronic working group)
150. The Delegation of the United States introduced the report of the working group that had met during the session and included proposals for reply to the questions from the CCMAS. The Delegation indicated that it had not been possible to come to a conclusion on all issues and to establish a list of methods as this required careful review of existing methods and delegations would need to consult with their experts at the national level. It was therefore proposed to establish an electronic working group to consider all remaining issues.

151. The Delegation of the European Community, recalling the recommendation of CCMAS to replace microbiological assays with more modern methods, proposed to forward several methods developed by CEN for the determination of vitamins for endorsement to CCMAS. The Delegation of the United States indicated that it had also proposed specific methods for nutrients listed in Section 3.1 in the revised Standard for Infant Formula. However as there was no consensus on these proposals, the Committee agreed that no specific methods could be sent for endorsement at this stage.

152. The Committee discussed the terms of reference of the working group proposed in CRD 18, and especially the possibility of revising other methods for special foods and the need for additional information in addition to the Principles for the Establishment of Codex Methods of Analysis. The Committee however agreed that the working group should concentrate on the finalisation of the methods applicable to infant formula, with the understanding that other methods could be considered at a later stage, and agreed on the following terms of reference.

153. The electronic working group (EWG) should prepare a list of methods of analysis for infant formulae to be considered at the 30th Session of the CCNFSDU in 2008. In preparing this list, the EWG should:

- Review methods of analysis for provisions listed in Section 3.1 of the Codex Revised Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants;
- Follow the Principles for the Establishment of Codex Methods of Analysis in the Codex Procedural Manual, including the General Criteria for the Selection of Methods of Analysis;
- The electronic working group, chaired by New Zealand, would be open to all members and observers, and would work in English.

154. The Committee agreed with the proposal of the Delegation of France to provide some responses to the questions from CCMAS specified in paragraphs 82-88 of ALINORM 07/30/23, as general agreement existed on some proposals from the working group listed in CRD 17. After some discussion, the Committee agreed on the following position.

155. The Delegation of the United States indicated that other responses in CRD 17 contained information relevant to the CCMAS inquiries and CRD 17 indicates issues have been referred to the electronic working group.

**Paragraphs 82 and 83 (methods for dietary fibre and PER)**

156. As Dietary Fibre and PER were not listed in section 3.1 Essential Composition, the Committee did not recommend including a method for any substance or provision that was not included in section 3.1.

**Paragraph 85 (Method for sodium and potassium)**

157. The Committee agreed that both the current AOAC method and the ISO 8070| IDF 119.2007 method should be listed.

**Paragraph 86 (crude protein)**

158. The Committee noted that the AOAC method 991.20 Nitrogen (total) in milk, identical to ISO 8968-1/2 IDF 20-1/2: 2001, specifies a nitrogen conversion factor of 6.38. This method can also be used for analysis of nitrogen in soy infant formulas with the use on an appropriate conversion factor. The Revised Standard includes a footnote for use of nitrogen conversion factors for calculation of the protein content of infant formulas. Consistent with that footnote, the Committee proposed the following wording for the use of nitrogen conversion factor for insertion in the Description column or footnote to the method.
"The calculation of the protein content of infant formulas prepared ready for consumption may be based on N x 6.25, unless a scientific justification is provided for the use of a different conversion factor for a particular product. The value of 6.38 is generally established as a specific factor appropriate for conversion of nitrogen to protein in other milk products, and the value of 5.71 as a specific factor for conversion of nitrogen to protein in other soy products.

159. The Committee agreed that the other questions from the CCMAS would be considered further at the next session on the basis of the recommendations of the electronic working group.

Proposal for new work to establish a standard for processed cereal-based foods for underweight infant and young children

160. The Delegation of India recalled that the 29th session of the Commission had adopted the Revised Standard for Processed Cereal-Based Foods for Infants and Young Children. India’s comments related to minimum cereal content, energy density and protein content in the revised Standard. The delegations of India and Thailand had reserved their position on the decision of the Commission to adopt a revised Standard. The Commission agreed to request the Committee on Nutrition and Foods for Special Dietary Uses to evaluate the need for revising sections 3.2, 3.3 and 3.4 of the adopted standard.

161. The Delegation drew the attention of the Committee to the adverse effects of malnutrition especially in developing countries of the world, causing a high infant, child and maternal mortality. Further consequences of malnutrition could lead to high level of anemia, low weight gain during pregnancy, acute infections and chronic diseases. It also significantly affects cognitive development and learning achievements of children and this puts additional stress on health care expenditures.

162. A vicious intergenerational cycle of malnutrition commences when a child is born with low birth weight. While malnutrition is caused by multiple problems including poverty, lack of health care and low consumption of protective foods such as milk, cereals, fruits and vegetables, the delayed and inadequate complementary feeding is found to be an important reason for the onset of malnutrition among children of 6 months to 2 years.

163. The Delegation indicated that in India about 46% of children in 0 to 3 years of age group are underweight and about 30% of children born in the country whose birth weight was reported, had low birth weight. Micronutrient deficiencies are also wide spread and 79% of children between 6 month to 3 years suffer from anemia. The Delegation indicated that in developing countries 146 million children under 5 years are underweight. Of these more than a half live in south Asia and 57 million live in India. The Delegation also emphasized that about 30000 children die each day and most of these children live in developing countries. Malnutrition contributes to these deaths.

164. The Delegation of India also pointed out that improving nutritional standards, particularly in the early years, is crucial for achieving the “Millennium Development Goals”, and that priorities must be altered for reducing child malnutrition by half by 2015. The delegation stated that while multiple strategies are required for addressing the problems of under nutrition in children, issues of timely and adequate complementary feeding with appropriate levels of nutritional density foods are very important.

165. The Delegation of India therefore urged the Committee to start working on a separate standard for Processed Cereal-Based Foods for Underweight Infants and Young Children so that nutritionally and energy dense composition in the proposed standard will help to reduce the burden of malnutrition in the developing countries.

166. The Delegation of the EC, while acknowledging the importance of this problem in developing countries, was of the view that the proposal for this work came in the beginning of the meeting and that it was not enough time to study this question in detail. The Delegation indicated that a number of issues such as nature of standard (regional or world-wide) and products concerned should be clarified. The delegation indicated that more thorough analysis of the problem was needed and proposed to prepare a more structured project document on this matter for consideration by the next session of the Committee.

13 CRD 9 (prepared by India).
The Delegation of Australia questioned whether the existing Codex Guidelines on Formulated Supplementary Foods for Older Infants and Young Children could help to address this problem.

Several delegations and observers supported the spirit of the document and volunteered to join India to develop the revised version of supporting document containing analysis and proposals on how the Committee could address this issue.

The Committee agreed that the Delegation of India with assistance from other interested parties would revise the document in the light of comments at the current session and prepare a more structured project document for consideration by the next session of the Committee.

Information from the Committee on Food Labelling

The Delegation of Canada, speaking as the Chair of the Committee on Food Labelling (CCFL), informed the Committee of the decisions taken by the CCFL on eight recommendations contained in the Draft Action Plan from WHO and FAO on the implementation of the WHO Global Strategy on Diet, Physical Activity and Health as related to labeling. It was also recalled that a physical working group would be held prior to the next CCFL session to discuss pending issues (ALINORM 07/30/22, paras. 20-64). The Delegation expressed the view that there was a need to consider what mechanisms were available for inter-committee communication and cooperation and seek guidance from WHO and FAO as to what were their roles, responsibilities and obligations in assisting with the implementation of the Global Strategy in relation to Codex activities.

The Chairperson thanked the Delegation of Canada for this information and recalled that the Committee was also intensifying its work on nutrition issues identified by the Global Strategy, and welcomed continued cooperation with the CCFL in order to ensure that Codex standards were consistent with the Global Strategy.

The Delegation of the EC expressed the view that the Committee should consider the Action Plan and for this purpose establish a physical working group prior to the next session in order to consider additional issues that may arise from the discussions of the Committee on Food labelling, or any other relevant nutritional issue relevant to the Global Strategy.

The Secretariat recalled that the Committee had complied with the request from the Commission concerning the implementation of the Global Strategy, as it had replied specifically to the proposals from WHO related to its mandate and was currently considering specific work of direct relevance to the Global Strategy. There had been no request for consideration of other issues related to the Global Strategy by the Commission, by other Committees or by any delegation for inclusion in the Agenda prior to the Committee or as Other Business when adopting the Agenda, as only a presentation of CCFL work for information purposes had been mentioned. The Secretariat noted that this Committee and the Committee on Food Labelling regularly exchanged advice and cooperated quite efficiently, as had been the case regarding Global Strategy issues of common relevance (NRVs). As regards procedures and mechanisms, any matter directed by any Codex Committee to another was systematically brought to the attention of that Committee under Matters Referred, while overall coordination regarding work on the Global Strategy was exercised by the Commission. The Secretariat also recalled that if a working group was established, it should have a clear mandate in application of the Guidelines for Physical Working Groups.

The Delegation of the United States suggested that in order to focus the discussion, a working group could consider some issues related to current work which is related to the Global Strategy, such as the extension of NRVs and substantiation of health claims. The Delegation of the European Community supported this view and also suggested to consider the issues that may be coming from the discussions of the CCFL or the Commission.

The Chairperson pointed out that in order to avoid confusion, it was important to give a clear mandate if a working group was established, and recalled that important items of work related to the Global

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14 Australia, Brazil, Ghana, Guatemala, Indonesia, Republic of Korea, Malaysia, Mexico, South Africa, Thailand, CI, IBFAN, ISDI and NHF.
Strategy were currently under consideration: the scientific basis of health claims and NRVs for labelling purposes. Discussion of these issues in a working group prior to the session might facilitate progress in the Plenary Session, as it had been the case with other issues. Several delegations supported the consideration of health claims and NRVs in a working group.

176. As regards other issues related to the Global Strategy, the Committee noted that it was not possible at that stage to anticipate if any questions or requests would be referred to the CCNFSDU from either CCFL or the Commission. The Committee therefore agreed with the proposal of the Delegation of France to insert a general reference to other matters related to the WHO Global Strategy as this would allow the working group to consider any relevant matters if required.

177. After some further discussion, the Committee agreed to convene a physical working group prior to the next session, with the following mandate:

Within the context of the mandate of CCNFSDU, the Working Group is asked to consider:

- Issues of relevance to the implementation of the Global Strategy on Diet, Physical Activity and Health which are under consideration by CCNFSDU
  - NRVs; Health Claims; and
  - any other matters related to the WHO Global Strategy

178. The Committee agreed that the physical Working Group, to be held immediately prior to the 30th Session, would be co-chaired by France and the Republic of Korea and by the United States and that it would work in English, French and Spanish.

Other Matters

Corruption of report of the 28th session of the Committee

179. The Committee noted that the last sentence in paragraph 160 of ALINORM 07/30/26 should be deleted as the Delegation of Norway had not supported the proposal on the revision of the Standard for Processed Cereal-Based Foods for Infants and Young Children (Sections 3.2, 3.3 and 3.4) and that this was not corrected at the adoption of the report at the last session of the Committee.

DATE AND PLACE OF THE NEXT SESSION (Agenda Item 12)

180. The Committee was informed that its 30th Session would take place in South Africa from 3 to 7 November 2008, subject to confirmation by the host government and the Codex Secretariat. The Committee thanked the Delegation of South Africa for its kind offer to host the next Session of the Committee.
# SUMMARY STATUS OF WORK

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LIST OF PARTICIPANTS
LISTE DES PARTICIPANTS
LISTA DE PARTICIPANTES

CHAIRPERSON/PRESIDENT/PRESIDENTE
Dr Rolf Grossklau
Director and Professor
Federal Institute for Risk Assessment (BfR)
P.O. Box 33 00 13
14191 Berlin,
Germany
Tel:  +49 (1888) 4 12 – 32 30
Fax:  +49 (1888) 5 29 – 49 65
E-Mail:   ccnfsdu@bmelv.bund.de

ASSISTANTS TO THE CHAIRPERSON/ASSISTANT AU PRESIDENT/
ASISTENTE AL PRESIDENTE
Ms Katharina Adler
Federal Ministry of Food, Agriculture
and Consumer Protection
Rochusstraße 1
53123 Bonn
Germany
Tel:  +49 (228) 99 4647
Fax:  +49 (228) 99 4965
E-Mail:   katharina.adler@bmelv.bund.de

MEMBER COUNTRIES/PAYS MEMBRES/
PAYSES MIEMBROS
ANGOLA
Dr Esmeralda Mateus Júnior
Coordenadora do Sub-Comité de Higiene dos Alimentos
Nutrição dos Alimentos
Comité Nacional para o Código Alimentar em Angola
Ministério de Agricultura e Desenvolvimento Rural,
7º andar Rua comandante Gika
527 Luanda
Angola
Tel.:  +244 912 247965
Fax:  +244 (2) 2223 23724
E-Mail:  secretariado_codex@yahoo.com.br
Dr Lidia Garcia Júnior Morais
2ª Secretária Executiva Adjunta do Comité Nacional
para Código Alimentar em Angola
Ministério de Agricultura e Desenvolvimento Rural,
7º andar Rua comandante Gika
527 Luanda
Angola
Tel.:  +244 923 316678
Fax:  +244 (2) 2223 23724
E-Mail:  lidiamorais43@hotmail.com.br

ARGENTINA/ARGENTINE
Prof Maria Luz Martinez
Farm./Lic. En Industrias Administracion nacional de Medicamentos,
Alimentos y Tecnologia Medica (ANMAT)
Instituto Nacional de Alimentos (INAL)
Estados Unidos 25
1101 Ciudad Autonoma de Buenos Aires
Argentina
Tel.:  +54 (11) 4340 0800 int 3514
Fax:  +54 (11) 4373 2001
E-Mail:  mmartin@anmat.gov.ar
Mrs Elizabeth Miriam Kleiman
Lic. En Nutrición
Secretaria de Agricultura, Ganadería, Pesca y Alimentos-SAGPYA
Av. Paseo Colón 922 Piso 2 Of. 222
C1063ACW Buenos Aires
Argentina
Tel.:  +54 (11) 4349 2236
Fax:  +54 (11) 4349 2097
E-Mail:  ekleim@mecon.gov.ar
AUSTRALIA / AUSTRALIE
Ms Janine Lewis
Principal Nutritionist
Food Standards Australia New Zealand
P.O. Box 7186
Canberra BC ACT 2610
Australia
Tel.: +61 (2) 6271 2245
Fax: +61 (2) 6271 2278
E-Mail: janine.lewis@foodstandards.gov.au

Ms Jenny Hazelton
Manager Public Health Nutrition Standards
Food Standards Australia New Zealand
P.O.Box 7186
Canberra B.C.ACT 2610
Australia
Tel.: +61 (2) 6271 2623
Fax: +61 (2) 6271 2278
E-Mail: jenny.hazelton@foodstandards.gov.au

Mrs Victoria Landells
Regulatory Strategist – Health and Nutrition
Fonterra
327 Ferntree Gully Road, Mt Waverly
3149 Victoria
Australia
Tel.: +61 (3)8541 1327
Fax: +61 (3) 8541 1462
E-Mail: victoria.landells@fonterra.com

Ms Usha Sriram-Prasad
Australian Government
Department of Agruculture, Fisheries & Forestry
GPO Box 858
Canberra 2601
Australia ACT
Tel.: +61 (2) 6272 3547
Fax: +61 (2) 6272 4367
E-Mail: usha.sp@daff.gov.au

Ms Jennifer McDonald
Australian Government
Department of Health and Aging
GPO Box 9848 MPD 15 ACT
2601 Canberra
Australia
Tel.: +61 (2) 6289 7107
E-Mail: jennifer.macdonald@health.gov.au

AUSTRIA / AUTRICHE
Dr Fritz Wagner
Federal Ministry for Health, Family and Youth
Radetzkystrasse 2
1030 Vienna
Austria
Tel.: +43 (1) 711 00 44 26
E-Mail: fritz.wagner@bmgfj.gv.at

BARBADOS / BARBADE
Mrs Cheryl Lewis
Technical Officer
Barbados National Standards Institution
Flodden, Culloden Road
St. Michael
Barbados, West Indies
Tel.: +1809 246 426 3870
Fax: +1809 246 436 1495
E-Mail: c.lewis@bnsi.com.bb

BELGIUM / BELGIQUE / BELGIQUE
Pascale De Gryse
Expert
Service public fédéral de la Santé Publique, Sécurité de
la Chaîne alimentaire et Environnement
Eurostation Bloc II Place Victor Hugo 40 bte 10
1060 Bruxelles
Belgium
Tel.: +32 (0) 2 524 7368
Fax: +32 (0) 2 524 7399
E-Mail: pascale.degryse@health.fgov.be

Mr José Bontemps
Conseiller scientifique et nutritionnel
SPADEL
Rue Colonel Bourg 103
1030 Bruxelles
Belgium
Tel.: +32 (2) 702 3811
Fax: +32 (2) 702 3812

Mr Wim Caers
Regulatory Affairs Manager
Beneo
Aandorenstraat 1
3300 Tienen
Belgium
Tel.: +32 (16) 801 483
Fax: +32 (16)801 359
E-Mail: wim.caers@beneo-group.com

BENIN / BENIN
Dr. Denis Mikode
Directeur Alimentation et Nutrition Appliquée
Secrétaire Permanent du du Comité National duCodex
Alimentarius
BP. 295 Porto Novo
Benin
Tel.: +229 9595 8422
Fax: +229 2021 3963
E-Mail: admikode@yahoo.fr

BOLIVIA / BOLIVIE
Mr Edwin Villegas Villarreal
Handelsattaché
Wichmannstraße 6
10787 Berlin
Tel.: +49 (30) 263915 0
Fax: +49 (30) 263915 15
E-Mail: embajada.bolivia@berlin.de
**BRAZIL / BRÉSIL / BRASIL**

Mrs Elisabete Gonçalves Dutra  
Technical Assistant  
National Health Surveillance Agency – Anvisa  
SEPN 511 – Bloco A - Edificio Bittar II  
70750-541 Brasília – DF  
Brazil  
Tel.: +55 (61) 3448 6285  
Fax: +55 (61) 3448 6274  
E-Mail: elisabete.goncalves@anvisa.gov.br

Miss Erika Carvalho  
Regulatory Affairs Adviser  
ABIA – Brazilian Food Manufactures Association  
Av Brigadeiro Faria Lima, 1478 – 11° andar  
01451-001 São Paulo  
Brazil  
Tel.: +55 (11) 5508 7564  
Fax: +55 (11) 5508 7503  
E-Mail: erika.carvalho@br.nestle.com

Mrs Tais Porto Oliveira Beviláqua  
Specialist in Health Surveillance  
General Coordination of Food and Nutrition Policy  
Ministry of Health  
SEPN 511, Bl. C. Ed. Bittar IV, 4° andar  
70750-543 Brasília  
Brazil  
Tel.: +55 (61) 3448 8231  
Fax: +55 (61) 3448 8228  
E-Mail: taís.porto@saude.gov.br

Miss Aline Cristino Figueiredo  
Specialist in Health Surveillance  
National Health Surveillance Agency  
Ministry of Health  
SEPN 511, Bl. A, Ed. Bittar II, 2° andar  
70750-541 Brasília  
Brazil  
Tel.: +55 (61) 3448 6352  
Fax: +55 (61) 3448 6274  
E-Mail: aline.figueiredo@anvisa.gov.br

**CANADA / CANADA**

Dr Mary L’Abbé  
Director  
Bureau of Nutritional Sciences  
Food Directorate, Health Canada  
251 Sir Frederick Banting Driveway, 2203 C  
Ottawa, Ontario  
K1A OL 2  
Canada  
Tel.: +1 (613) 948-8476  
Fax: +1 (613) 948 8470  
E-Mail: mary_labbe@hc-sc.gc.ca

Ms Christina Zehaluk  
Head, Special Purpose Foods  
Bureau of Nutritional Sciences  
Food Directorate  
Health Canada  
251 Sir Frederick Banting Driveway  
2203A Banting Research Centre Tunneys Pasture  
K1A OK9 Ottawa, Ontario  
Canada  
Tel.: +1 (613) 957 1739  
Fax: +1 (613) 941 6636  
E-Mail: christina_zehaluk@hc-sc.gc.ca

Dr Anne MacKenzie  
Senior Advisor, Vice-President, Programs  
Canadian Food Inspection Agency  
159 Cleopatra Drive  
K1A OY9 Ottawa, Ontario  
Canada  
Tel.: +1 (613) 221 7084  
Fax: +1 (613) 221 6656  
E-Mail: amackenzie@inspection.gc.ca

Ms Charmaine Kuran  
National Manager  
Nutrition and Health Claims  
Consumer Protection Division  
Canadian Food Inspection Agency  
159 Cleopatra Drive  
K1A OY9 Ottawa, Ontario  
Canada  
Tel.: +1 (613) 221 7200  
Fax: +1 (613) 221 7295  
E-Mail: kuranc@inspection.gc.ca

**CHILE / CHILI**

Dr Lorena Rodriguez-Osiac  
Médico Pediatra Magister en Nutrición  
Ministerio de Salud  
Mac Iver 459 8° Piss. Dpto. Alimentos y Nutrición  
Santiago  
Chile  
Tel.: +56 (2) 5740474  
E-Mail: lrodriguez@minsal.cl

Mrs Gisela Rodriguez Rideau  
Magister en Ciencias  
Nestlé  
Roger de Flor 2800 – Las Cones  
Santiago  
Chile  
Tel.: +56 (2) 3384232  
E-Mail: gisela.rodriguez@cl.netsle.com
Mr Juan Carlos Sola Alcázar  
Dietitian  
Abbott, Chile  
Av El Salto 5380 Huechuraba  
Santiago  
Chile  
Tel.: +56 (2) 750 6043  
E-Mail: juan.sola@abbott.com

**CHINA/CHINE**  
Prof. Shi An Yin  
Director of the Department of Maternal and Child Nutrition  
National Institute for Nutrition and Food Safety  
Chinese Center for Diseases Control and Prevention  
29 Nan Wei Road, Xuanwu District  
Beijing 100050  
P. R. China  
Tel.: +86 (10) 8313 2932  
Fax: +86 (10) 8313 2021  
E-Mail: shianyin@public.bta.net.cn

Dr. Xuejun Zhao  
Medical Director  
Nutricia China Baby Food  
15th Floor 1504 Westgate Hall  
1038, Nanjing Road West  
Shanghai, 200041  
P. R. China  
Tel.: +86 (21) 5899 0899  
Fax: +86 (21) 5899 5256  
E-Mail: xuejun.zhao@nutricia.com.cn

Prof. Kun Wu  
Public Health Institution  
Department Director  
Nutrition and Food Hygiene Department,  
Harbin Medical University  
157, Baonian Road, Nangang  
150086 Harbin  
P. R. China  
Tel.: +86 (451) 8750 2826  
Fax: +86 (451) 8750 2885  
E-Mail: wukun@public.hr.hl.cn

Mr Hongmin Xu  
Regulatory Director  
Amway (China) Co.Ltd  
233 Tianhe N. Road  
510613 Guangzhou  
P. R. China  
Tel.: +86 (20) 8519 8811  
Fax: +86 (29) 3891 2807  
E-Mail: nmxtiger@163.com

Mr Jian Bo Zhang  
Assistant Researcher  
National Institute of Nutrition and Food Safety, China  
7 Panjiayuan Nanli, Chaoyang district  
100021 Beijing  
China  
Tel.: +86 (10) 8777 6914  
Fax: +86 (10) 6771 1813  
E-Mail: zjbo318@sinas.com

Mrs Zhaoxia Shi  
Shanghai Wyeth Nutritional Co., Ltd.  
Beijing Office  
Suit 901, 905-909, China Life Tower  
16 Chaoyangmenwai Avenue  
Chaoyang District Beijing 100020  
Tel.: +86 (10) 6580 5237  
Fax: +86 (10) 6580 5399  
E-Mail: Shis4@wyeth.com

Mr Xudong Zhang  
Deputy Director  
Bureau of Health Supervision  
Ministry of Health,  
1 Xizhimenwai, Xicheng District  
100044 Beijing  
China  
Tel.: +86 (10) 68792594  
Fax: +86 (10) 6879 2408  
E-Mail: zhangxd@moh.gov.cn

**DENMARK/DANEMARK/DINAMARCA**  
Ms Anne Scott  
Master of Food Science an Technology  
Danish Veterinary and Food Administration  
Mørkhøj Bygade 19  
2860 Søborg  
Denmark  
Tel.: +45 3395 6142  
E-Mail: anscc@fvst.dk

Mr Søren Langkilde  
Master of Biology  
Danish Veterinary and Food Administration  
Division of Nutrition  
Mørkhøj Bygade 19  
2860 Søborg  
Denmark  
Tel.: +45 3395 6143  
E-Mail: srbl@fvst.dk
EGYPT / ÉGYpte / EGIPTO
Prof Abd el Aziz Mohammed Hosni
Deputy Permanent Representative of Egypt
Agricultural Counsellor
Embassy of Arab Republic of Egypt
Via Salaria 267
00199 Rome
Italy
Tel.: +39 (6) 854 8956
Fax: +39 (6) 854 2603
E-Mail: egypt@agrioffegypt.it

ERITREA / ÉRIThRéE
Mrs Amleset Hagos
IYCF Focal Person
Ministry of Health
Asmara
Eritrea
Tel.: +291 (1) 120297
Fax: +291 (1) 121614
E-Mail: amleset@moh.gov.ер

ESTONIA / ESTONIE
Ms Ursula Siim
Chief Specialist of the Food Safety Bureau, Food and Veterinary Department
Ministry of Agriculture
39/41 Lai Street
15056 Tallinn
Estonia
Tel.: +372 625 6547
Fax: +372 625 6210
E-Mail: urusa.siim@agri.ee

EUROPEAN COMMUNITY / COMMUNAUTÉ EUROPÉENNE / COMUNIDAD EUROPEA
Mr Basil Mathioudakis
Head of Unit
European Commission
Rue Froissart 101
1049 Brussels
Belgium
Tel.: +32 (2) 2959 182
Fax: +32 (2) 2961 735
E-Mail: basil.mathioudakis@ec.europa.eu

Ms Helen Lee
European Commission
Directorate-General SANCO
Rue Froissart 101
1049 Brussels
Belgium
Tel.: +32 (2) 299 8668
E-Mail: helen.lee@ec.europa.eu

Ms Ariane Vander Stappen
Policy officer
European Commission
Directorate-General SANCO
1049 Brussels
Belgium
Tel.: +32 (2) 2952 158
Fax: +32 (2) 2951 735
E-Mail: ariane.vander-stappen@ec.europa.eu

Ms Eva Maria Zamora Escribano
Administrator
European Commission
Rue Froissart 101 – 2/60
1040 Brussels
Belgium
Tel.: +32 (2) 299 8682
Fax: +32 (2) 299 8566
E-Mail: eva-maria.zamora-escribano@ec.europa.eu

Ms Bernadette Klink-Khachan
Codex Coordinator
European Commission
Rue Froissart 101 – 2/64
1040 Brussels
Belgium
Tel.: +32 (2) 295 7908
Fax: +32 (2) 299 8566
E-Mail: Bernadette.klink-khachan@ec.europa.eu

FINLAND / FINLANDE / FINLANDIA
Ms Kaisa Vaihia
Senior Advisor
Ministry of Trade and Industry
P.O.Box 32
00023 Government, Finland
Tel.: +358 (9) 1606 3536
Fax: +358 (9) 1606 2670
E-Mail: kaisa.vaihia@ktm.fi

Ms Sirpa Sarlio-Lähteenkorva
Ministerial Adviser
PhD, Adjunct professor
Ministry of Social Affairs and Health
Health Department
P.O. Box 33
00023 Government, Finland
Tel.: +358 (9) 16 07 40 35
Fax: +358 (9) 16 07 41 44
E-Mail: sirpa.sarlio-lahteenkorva@stm.fi

Ms Annika Nurttilä
Senior Officer
Finnish Food Safety Authority Evira
Mustialankatu 3
00790 Helsinki
Finland
Tel.: +358 (50) 5576414
Fax: +358 (50) 2077 24277
E-Mail: annika.nurttila@evira.fi
FRANCE / FRANCIA
Mrs Caroline Jayet
Direction générale de la concurrence, de la consommation et de la répression des franchises
Bureau D 3
Teledoc 251
59 bd Vincent Auriol
75703 Paris 13e
France
Tel.: +33 (1) 4497 2911
Fax: +33 (1) 4497 3048
E-Mail : caroline.jayet@dgccrf.finances.gouv.fr

Mr Pascal Audebert
Point de Contact du Codex alimentarius en France
Premier Ministre
Secrétariat général des Affaires européennes
2, boulevard Diderot
75572 Paris Cedex 12
France
Tel.: +33 (1) 44 87 16 03
Fax: +33 (1) 44 87 16 04
E-Mail: pascal.audebert@sgae.gouv.fr

Mrs Murielle Clémenté
Ministère de la Santé de la jeunesse et des sports,
DGS, Bureau alimentation et nutrition
14, Avenue Duquesne
75350 Paris
France
Tel.: +33 (1) 40 56 43 32
Fax: +33 (1) 40 56 54 12
E-Mail : murielle.clemente@sante.gouv.fr

Mrs Françoise Costes
Association de la Transformation Laitière Française
ATLA
42 Rue de Châteaudun
75314 Paris Cedex 09
France
Tel.: +33 (1) 49 70 72 69
Fax: +33 (1) 42 80 63 62
E-Mail: trs@atla.asso.fr

Mr Jean-Claude Attale
Regulatory Affairs Director
Cargill Texturizing Solutions
Tel.: +33 (1) 30 61 37 41
Fax: +33 (1) 39 04 67 99
E-Mail : jean.claude_attale@cargill.com

GERMANY / ALLEMAGNE / ALEMANIA
Dr Pia Noble
Federal Ministry of Food, Agriculture and Consumer Protection
Rochusstrasse 1
53123 Bonn
Germany
Tel.: +49 (228) 99 46 65
Fax: +49 (228) 99 49 65
E-Mail: pia.noble@bmelv.bund.de

Dr. Claudia Dietrich
Federal Ministry of Food, Agriculture and Consumer Protection
Rochusstrasse 1
53123 Bonn
Germany
Tel.: +49 (228) 99 46 65
Fax: +49 (228) 99 49 65
E-Mail: Claudia.dietrich@bmelv.bund.de

Dr. Joachim Bollmann
Federal Ministry of Food, Agriculture and Consumer Protection
Rochusstrasse 1
53123 Bonn
Germany
Tel.: +49 (228) 99 37 84
Fax: +49 (228) 99 37 43
E-Mail: joachim.bollmann@bmelv.bund.de

Mrs Ingrid Kundoch
Bundesministerium für Wirtschaft und Technologie
Villemombler Str. 76
53123 Bonn
Germany
Tel.: +49 (1888) 61 5 35 13
Fax: +49 (1888) 61 5 27 65
E-Mail: ingrid.kundoch@bmwi.bund.de

Ms Anke Weissenborn
Bundesinsitut für Risikobewertung
Federal Institute for Risk Assessment
Thielallee 88-92
14195 Berlin
Germany
Tel.: +49 (30) 84 12 38 12
Fax: +49 (30) 84 12 37 15
E-Mail: anke.weissenborn@bfr.bund.de

Mrs Renate Scherer
Lebensmittelchemikerin
Chemisches Landes- und Staatliches Veterinä rungen Basthungsamt
Josef-König-Straße 40
48147 Münster, Germany
Tel.: +49 (251) 98 21 - 2 28
Fax: +49 (251) 98 21 - 2 50
E-Mail: scherer@cvua.nrw.de
Ms Katrin Woese  
Landesamt für Verbraucherschutz Sachsen-Anhalt  
Fachbereich Lebensmittelsicherheit  
Freimfelder Str. 66-67  
06112 Halle/Saale  
Germany  
Tel.: +49 (345) 564 3434  
Fax: +49 (345) 564 3403  
E-Mail: katrin.woese@lav.ms.sachsen-anhalt.de

Mrs Sofia Beisel  
Dipl. Oec. troph.  
Deutsche Zöliakie-Gesellschaft e.V.  
Filderhauptstraße 61  
70599 Stuttgart  
Germany  
Tel.: +49 (711) 459981  
Fax: +49 (711) 459981-50

Mrs Stefanie Rams  
Manager Scientific and Regulatory Affairs  
Bund für Lebensmittelrecht und Lebensmittelkunde e.V.  
Godesberger Allee 142-148  
53175 Bonn, Germany  
Tel.: +49 (228) 8199 3146  
Fax: +49 (228) 8199 3246  
E-Mail: srams@bll-online.de

Mrs Gertrud Granel  
Fachbverband der Stärke Industrie e.V.  
Königstraße 57  
53115 Bonn  
Germany  
Tel.: +49 32 2212 07261  
Fax: +49 30 8871 3398-19  
E-Mail: g.granel@verbaende-jess.de

Dr Gert Krabichler  
Capsugel – a division of Pfizer  
10, rue Timken  
France  
Tel.: +33 3 8941 4811  
E-Mail: gert.krabichler@pfizer.com

Dr Michael Packert  
Südzucker AG  
Gottlieb-Daimler-Str- 12  
68165 Mannheim  
Tel.: +49 (621) 421 573  
Fax: +49 (621) 421 574  
E-Mail: michael.packert@suedzucker.de

Norbert Pahne  
Diätverband e.V.  
Godesberger Allee 142-148  
53175 Bonn  
Germany  
Tel.: +49 (228) 308 5110  
Fax: +49 (228) 308 5150  
E-Mail: diaetverband@t-online.de

Dr. Gerda Jost  
Manager Corporate & Regulatory Affairs  
Milupa GmbH  
Bahnstr. 14 -30  
61381 Friedrichsdorf  
Germany  
Tel.: +49 6172 991423  
Fax: +49 6172 991250  
E-Mail: gerda.jost@milupa.de

Mrs Constanze Hiepler  
Diätverband e.V.  
Association of Manufacturers of Dietetic Foods  
Godesberger Allee 142-148  
53175 Bonn  
Germany  
Tel.: +49 (228) 30851-0  
Fax: +49 (228) 30851-50  
E-Mail: hiepler@diaetverband.de

Ghana  
Ms Maria Lovelace-Johnson  
Head, Food Safety Management Unit  
Food and Drugs Board  
P.O.Box CT 2783  
Accra  
Ghana  
Tel.: +233 (20) 8115619  
Fax: +233 (21) 660389  
E-Mail: mariluv2004@hotmail.com

Greece / Grèce / GRECIA  
Mrs Magdalini Zika  
Pharmacist  
Hellenic Food Authority (EFET)  
124 Kifisias Av. & 2 Iatridou Str. Ampelokipi  
11526 Athens  
Greece  
Tel.: +30 210697 1554  
Fax: +30 210697 1501  
E-Mail: mizika@efet.gr
GUATEMALA

Ing. Ana Marroquin
Asistente Codex Alimentarius Guatemala
Miembro del Comite del Codex sobre Nutricion y Alimentos para Regimenes Especiales (CCNFSDU)
Ministerio de Agricultura, Ganadería y Alimentación
7a. Avenida 12-90 zona 13
Edificio Infoagro, 2do nivel, oficina 4
01013 Guatemala
Guatemala
Tel.: +502 2413 7466
Fax: +502 2434 4619
E-Mail: ana.marroquin@maga.gob.gt

Dr Antonio Ferraté de la Riva
Coordinador Nacional Codex Alimentarius Guatemala
Ministerio de Agricultura, Ganadería y Alimentación
7a. Avenida 12-90 zona 13
Edificio Infoagro, 2do nivel, oficina 4
01013 Guatemala
Guatemala
Tel.: +502 2413 7466
Fax: +502 2434 4619
E-Mail: antonio.ferrate@maga.gob.gt

HUNGARY / HONGRIE / HUNGRÍA

Dr Éva Barna
Head of Department
National Institute for Food Safety and Nutrition
Gyáli út 3/a
1097 Budapest
Hungary
Tel.: +36 (1) 476 6444
Fax: +36 (1) 215 5369
E-Mail: barna.eva@oeti.antsz.hu

INDIA / INDE

Ms Pradeep Bolina
Joint Secretary to the Government of India
Ministry of Women and Child Development
Shastri Bhawan, Dr. Rajendra Prasad Road,
110001 New Delhi
India
Tel.: +91 (11) 2338 1654
Fax: +91 (11) 2307 0480
E-Mail: jspb-wcd@nic.in

Mr Shaminder Pal Singh
Head – FICCI Codex Cell,
Federation of Indian Chambers of Commerce & Industry
Confederation of Indian Food Trade & Industry,
Federation House, Tansen Marg
110001 New Delhi
India
Tel.: +91 124 4539231
Fax: +91 124 4539200
E-Mail: shamindr@gmail.com

Dr G.S. Toteja
Deputy Director General (Senior grade)
Indian Council of Medical Research
Ansari Nagar
New Delhi 110029
India
Tel.: +91 (11) 2658 8762
Fax: +91 (11) 2658 8762
E-Mail: gstoteja@yahoo.com

Mr Yogesh Kumar Verma
Food Regulatory Affairs Manager
Confederation of Indian Industry
23, Lodhi Road Institutional Area
110003 New Delhi
India
Tel.: +91 9971 552655
E-Mail: vermayk@indiatimes.com

INDONESIA / INDONÉSIE/INDONESIA

Ms Sri Irawati Susalit
Director for Food Standardization
National Agency for Drug and Food Control
Jalan Percetakan Negara No 23
Jakarta 10560
Indonesia
Tel.: +62 (21) 4287 5584
Fax: +62 (21) 4287 5780
E-Mail: iras48@yahoo.com

Mrs Tetty Helfery Sihombing
Head of Subdirectorate of Certain Food
National Agency of Drug and Food Control
Jl. Percetakan Negara No.23
10560 Jakarta
Indonesia
Tel.: +62 (21) 4287 5584
Fax: +62 (21) 4287 5780
E-Mail: tettyhelfery@yahoo.com

IRAQ

Dr Mohsin AL-Delfi
Director, Diet Therapy Department
Nutrition Health Institute, Ministry of Health
A’adamiya Baghdad
Baghdad
Republic of Iraq
Tel.: +964 7901 731620
E-Mail: mohsinaldelfi@yahoo.com
IRELAND / IRELANDE / IRLANDE
Ms Joan Regan
Assistant Principal Officer
Dept. of Health and Children
Hawkins House
Hawkins Street
2 Dublin
Ireland
Tel.: +353 (1) 6 35 42 47
Fax: +353 (1) 6 35 45 52
E-Mail: joan_regan@health.irlgov.ie

Dr Mary Flynn
Chief Specialist Public Health Nutrition
Food Safety Authority of Ireland
Abbey Court
Lower Abbey Court
1 Dublin
Ireland
Tel.: +353 (1) 817 1315
Fax: +353 (1) 817 1215
E-Mail: mflynn@fsai.ie

ISRAEL / ISRAEL / ISRAEL
Dr Ziva Stahl
Director, Nutrition Department
Ministry of Health
20 King David Street
91010 Jerusalem
Israel
Tel.: +972 (2) 622 8855
Fax: +972 (2) 624 7173
E-Mail: ziva.stahl@moh.health.gov.il

ITALY / ITALIA / ITALIA
Dr Lucia Guidarelli
Senior Officer
Dietetics and Nutrition Unit
Ministero della Salute
P. za Marconi, 25
00144 Roma
Italy
Tel.: +39 (6) 5994 6828
Fax: +39 (6) 5994 6119
E-Mail: l.guidarelli@sanita.it

Mrs Brunella Lo Turco
Ministero delle Politiche Agricole Alimentari e Forestali
Via XX Settembre, 20
00187 Rome
Italy
Tel.: +39 (6) 4665 6041
Fax: +39 (6) 4880273
E-Mail: qpa6@politicheagricole.it

Dr. SSA Anna Paonessa
AIPA (Italian Association of Food Industries)
Corso di Porta Nuova 34
20121 Milano, Italy
Tel.: +39 (2) 65 41 84
Fax: +39 (2) 65 48 22

Dr Ciro Impagnatiello
Ministero delle Politiche Agricole Alimentari e Forestali
Via XX Settembre, 20
00187 Rome
Italy
Tel.: +39 (6) 4665 6046
Fax: +39 (6) 4880 273
E-Mail: c.impagnatiello@politicheagricole.it

JAPAN / JAPAN / JAPÓN
Dr Chieko Ikeda
Director
Office of International Food Safety
Department of Food Safety, Pharmaceutical and Food Safety Bureau
Minister of Health, Labour and Welfare
1-2-2 Kasumigaseki Chiyoda-ku
100-8916 Tokyo
Japan
Tel.: +81 (3) 3595 2326
Fax: +81 (3) 3503 7965
E-Mail: codexj@mhlw.go.jp

Mr Katsuhiro Chosho
Deputy Director
Office of Health Policy on newly developed Foods
Standards and Evaluation Division, Department of Food Safety,
Pharmaceutical and Food Safety Bureau, Ministry of Health, Labour and Welfare
1-2-2 Kasumigaseki, Chiyoda-ku
100-8916 Tokyo
Japan
Tel.: +81 (3) 3595 2327
Fax: +81 (3) 3501 4867
E-Mail: codexj@mhlw.go.jp

Mr Hiroki Hamano
Technical Advisor
Japan Health Food and Nutrition Food Association
2-7-27, Sadohara-cho, Ichigaya, Shinjuku-ku
162-0842 Tokyo
Japan
Tel.: +81 (3) 3268 3134
Fax: +81 (3) 3268 3136
E_Mail: hiroaki.hamano@danisco.com

Mr Yasuki Matsui
Office of Health Policy on newly developed Foods
Standards and Evaluation Division, Department of Food Safety,
Pharmaceutical and Food Safety Bureau, Ministry of Health, Labour and Welfare
1-2-2 Kasumigaseki, Chiyoda-ku
100-8916 Tokyo
Japan
Tel.: +81 (3) 3595 2327
Fax: +81 (3) 3501 4867
E-Mail: codexj@mhlw.go.jp
Adj. Prof. Hae-Rang Chung
Ewha Womans University
Dept. of Nutritional Science
11-1 Daehyun-dong, Seodaemun-ku
120-750 Seoul
Korea
Tel.: +82 (2) 886 0243
Fax: +82 (2) 6008 6878
E-Mail: chunghr@empal.com

Dr Jung-Ah Byun
Science Officer
Daejeon Regional Food & Drug Administration
120 Seonsa-ro, Seo-Gu
302-828 Daejeon
Korea
Tel.: +82 (42) 480 8786
Fax: +82 (42) 480 8790
E-Mail: junga68@kfda.go.kr

Ms Eunju Lee
Deputy Director
Nutritional Evaluation Team
Korea Food and Drug Administration
#194 Tongil-Ro, Eunpyeong-Gu
122-704 Seoul
Korea
Tel.: +82 (2) 380 1678
Fax: +82 (2) 359 0867
E-Mail: Eunju@korea.kr

Miss So Yoon Yun
Senior Researcher
Korea Food and Drug Administration
#194 Tongil-Ro, Eunpyeong-Gu
122-704 Seoul
Korea
Tel.: +82 (2) 380 1317
Fax: +82 (2) 359 0025
E-Mail: ysy0614@kfda.go.kr

Ms Indre Chmieliauskaite
Head of Department
National Nutrition Centre of Ministry of Health
Kalvariju 153
LT-08221 Vilnius
Lithuania
Tel.: +370 5277 8919
Fax: +370 5277 8713
E-Mail: indre@rmc.lt

Dr Arsim Agushi
Head of Unit for Quality Insurance
Ministry of Health
Food Directorate
Str. “50-ta Divizija” Nr 6
1000 Skopje
Macedonia
Tel.: +389 (2) 3296 430
Fax: +389 (2) 3296 823
E-Mail: arsimagushi@yahoo.com

Ms Rokiah Don
Senior Principal Assistant Director (Nutrition)
Family Health Development Division
Department of Public Health
Ministry of Health Malaysia
Level 7, Block E 10, Parcel E
Federal Government Administrative Centre
62590 Putrajaya
Malaysia
Tel.: +60 (3) 8883 4083
Fax: +60 (3) 8883 6175
E-Mail: rokiah@moh.gov.my

Ms Norrani Eksan
Senior Principal Assistant Director
Food Safety and Quality Division
Department of Public Health
Ministry of Health Malaysia
Level 3, Block E 7, Parcel E
Federal Government Administrative Centre
62590 Putrajaya
Malaysia
Tel.: +60 (3) 8883 3511
Fax: +60 (3) 8883 3815
E-Mail: norrani@moh.gov.my

Dr Tangavelu Thiagarajan
Regional Manager
Malaysian Palm Oil Board
3516 International Court, N.W.
20008 Washington D.C.
USA
Tel.: +1 (202) 572 9719
Fax: +1 (202) 572 9783
E-Mail: mpobtas@aol.com
**MÉXICO / MEXIQUE / MÉXICO**  
Mr Javier Luna  
Subdirector Ejecutivo de Seguimiento de Proyectos de Fomento  
Comisión de Fomento Sanitario  
Comisión Federal para la Protección contra riesgos Sanitarios Secretaría de Salud  
Monterrey #33 5° Piso, Colonia Roma, Delegación Cuautémoc  
06700 Ciudad de México  
México  
Tel.: +52 (55) 5080 5200, ext: 1401  
E-Mail: javierluna@salud.gob.mx

Mrs Martha Galicia  
Consultant  
Consejo Mexicano de la Industria de Productos de Consumo A.C.  
Seneca 65  
Col. Chapultepec Polanco  
11560 Ciudad de México  
México  
Tel.: +52 (55) 5280 4335  
Fax: +52 (55) 5280 4335  
E-Mail: mgalicia@conmexico.com.mx

Miss Claudia Jaquez  
Coordinadora de Asuntos Regulatorios Nutricionales  
Abbot Laboratories de México S.A. De C.V.  
Av. Coyoacán No 1622, Colonia del Valle, Delegación Benito Juárez  
03100 Ciudad de México  
México  
Tel.: +52 (55) 5726 4700  
Fax: +52 (55) 5726 4601  
E-Mail: claudia.jaquez@abbot.com

Ms Delia Altamirano  
Directora de Asuntos Regulatorios  
PepsiCo Internacional  
Bosques de Durazno #67 Piso 9  
Col. Bosques de las Lomas  
11700 Ciudad de México  
México  
Tel.: +52 (55) 2582 4746  
Fax: +52 (55) 2582 4746  
E-Mail: delia.altamirano@pepsico.com.mx

Dr Pedro Gutiérrez  
Director de Investigación  
Instituto Nacional de Pediatría  
Av. Insurgentes sur No 3700 Letra C, 1er Piso Torre,  
Col. Insurgentes Cuicuilco, Delegación Coyoacán  
04530 Ciudad de México  
México  
Tel.: +52 (55) 1084 0906  
Fax: +52 (55) 1084 3883  
E-Mail: pedrogtzca@prodigy.net.mx

**MONGOLIA / MONGOLIE**  
Ms Batsaikhan Enkhtungalag  
Head, Nutrition & Food Safety team,  
Secretary of the National Codex Team Nutrition Research Center, Public Health Institute  
Of the Ministry of Health  
Peace Avenue-17, Bayanzurkh District  
211049 Ulaanbaatar  
Mongolia  
Tel.: +976 (11) 455600  
Fax: +976 (11) 458645  
E-Mail: dulaanuul@hotmail.com

**MOROCCO / MAROC / MARRUECOS**  
Mr Moha Bouchebcheb  
Chef de Service  
Direction de la Protection des Végétaux, des Contrôles Techniques et de la Répression des Fraudes  
Ministère de l’Agriculture, du Développement Rural et des Pêches Maritimes  
Avenue My Ismail, B.P. 183,  
46000, Safi  
Maroc  
Tel.: +212 2462 8953  
E-Mail: bchebm@menara.ma

Dr Mustapha Mahfoudi  
Physician  
Ministry of Health  
Direction de la Population  
Ministère de la Santé  
Km 4.5 Route de Casablanca  
46000 Rabat  
Maroc  
Tel.: +212 3729 9834  
Fax: +212 3729 9834  
E-Mail: mmahfoudi@yahoo.fr

Mr Jamal Ennassir  
Director de Investigación  
Instituto Nacional de Pediatría  
Av. Insurgentes sur No 3700 Letra C, 1er Piso Torre,  
Col. Insurgentes Cuicuilco, Delegación Coyoacán  
04530 Ciudad de México  
México  
Tel.: +52 (55) 1084 0906  
Fax: +52 (55) 1084 3883  
E-Mail: pedrogtzca@prodigy.net.mx
MOZAMBIQUE
Mrs Francisca Barrote Cabral
Chief Information Unit in SETSAN Mozambique
Food Security and Nutrition Secretariat
Ministerio da Agriculture
2396 Maputo
Mozambique
Tel.: +258 82 3943820
E-Mail: fcabral@setsan.org.mz

NIGERIA / NIGÉRIA
Mr Dennis Onyeagocha
Deputy Director
Foods and Drug Services Dept.
Federal Ministry of Health
Federal Secretariat Complex Phase III
900244 Abuja
Tel.: +234 (9) 8033 147808
E-Mail: dennyo_2003@yahoo.com

NETHERLANDS / PAYS BAS / PAÏSES BAJOS
Mr Bas Van der Heide
Senior Policy Officer
Ministry of Health, Welfare and Sports
Nutrition, Health Protection and Prevention Department
P.O.Box 20350
2500 EJ The Haque
The Netherlands
Tel.: +31 (70) 340 5619
Fax: +31 (70) 340 5554
E-Mail: b.vd.heide@minvws.nl

NEW ZEALAND / NOUVELLE-ZÉLANDE / NUEVA ZELANDA
Ms Jenny Reid
Assistant Director
New Zealand Food Safety Authority
PO Box 2835
Level 4, Televom Building South Tower
86 Jervais Quay
6001 Wellington
New Zealand
Tel.: +64 (4) 463 2582
Fax: +64 (4) 463 2583
E-Mail: jenny.reid@nzfSa.govt.nz

PHILIPPINES / FILIPINAS
Ms Maria Victoria Pinion
Nutritionist-Dietitian
Bureau of Food and Drugs – Department of Health
Civic Drive Filinvest Corporate City,
Alabang, Muntinlupa City
1770 Muntinlupa
Philippines
Tel.: +63 (2) 8425606
Fax: +63 (2) 8425606
E-Mail: vdpinion@yahoo.com.ph

Dr Jaap Schrijver
Manager Regulatory Affairs Baby Foods
Royal Numico N.V.
Schipol Boulevard 105
P.O.Box 75538
1118 ZN Schipol Airport
The Netherlands
Tel.: +31 (20) 456 9466
Fax: +31 (20) 456 8466
E-Mail: jaap.schrijver@numico.com

Dr Linda Granlund
Nutrition Manager
NBL/Mills DA
Sofienberggata 19, POB 4644 Sofienberg
0506 Oslo
Norway
Tel.: +47 2321 67 42
Fax: +47 2321 68 01
E-Mail: tuose@mattilsynet.no

Mr David Roberts
Programme Manager (Nutrition)
New Zealand Food Safety Authority
P.O.Box 2835
Wellington
New Zealand
E-Mail: david.roberts@nzfsa.govt.nz

Mr Chris Ojembe
Chief Dept. of Food and Drug Services
Federal Ministry of Health
Federal Secretariat
900244 Abuja
Nigeria
Tel.: +234 (9) 8033 004551

Mrs Turid Ose
Senior Adviser
Norwegian Food Safety Authority
P.O.Box 383
2381 Brumunddal
Norway
Tel.: +47 2321 67 42
Fax: +47 2321 68 01
E-Mail: tuose@mattilsynet.no

Prof Helle Margrethe Meltzer
Norwegian Institute of Public Health
P.Box 4404 Nydalen
0403 Oslo
Norway
Tel.: +47 9901 9418
Fax: +47 2238 2380
E-Mail: heme@fhi.no
Mrs Flerida Villamor  
#3 Magdalost.  
Real Vill. 2  
Project 8  
Quezon City  
Philippines  
Tel.: +63 8418152  
Fax: +63 8418194  
E-Mail: lida.villamor@bms.com  

**POLAND / POLOGNE / POLONIA**  
Prof Hanna Kunachowicz  
Head of Department of Nutritional Value of Food Products  
National Food and Nutrition Institute  
Powsinska 61/63  
02903 Warsaw  
Poland  
Tel.: +48 (22) 5509 708  
Fax: +48 (22) 8423 741  
E-Mail: h.kunachowicz@izz.waw.pl  

Dr Katarzyna Stos  
Head of Food and Nutrition Safety Laboratory Unit  
National Food and Nutrition Institute  
Powsinska 61/63  
02-903 Warsaw  
Poland  
Tel.: +48 (22) 842 2171  
Fax: +48 (22) 842 1103  
E-Mail: k.stos@izz.waw.pl  

Prof Janusz Ksiazyk  
Head, Dept. Pediatrics  
Children’s Memorial Health Institute  
Dzeci Polskich 20  
04-730 Warsaw  
Poland  
Tel.: +48 (22) 815 1216  
Fax: +48 (22) 815 1212  
E-Mail: j.ksiazyk@czd.pl  

**PORTUGAL**  
Dr Dirce Silveira  
Senior Technician  
Ministry of Health/Instituto Nacional de Saúde  
Av. Padre Cruz  
1649-016 Lisbon  
Portugal  
Tel.: +351 (21) 751 9354  
Fax: +351 (21) 752 6400  
E-Mail: dirce.silveira@insa.min-saude.pt  

Dr. Luis Salino  
Advisor  
Ministry of Agriculture Rural Development and Fisheries  
Rua Padre António Vieira, 1  
1099-073 Lisbon  
Portugal  
Tel.: +351 (21) 3819305  
Fax: +351 (21) 3866650  
E-Mail: lsalino@gpp.pt  

Mr Kari Töllikkö  
Principal Administrator  
General Secretariat of the Council of the European Union  
The Portuguese Presidency  
Rue de la Loi 175  
1048 Bruxelles  
Belgium  
Tel.: +32 (2) 281 7841  
Fax: +32 (2) 281 6198  
E-Mail: kari.tollikko@consilium.europa.eu  

Mrs Pilar Velazquez  
Administrator  
The Portuguese Presidency  
Rue de la Loi 175  
1048 Bruxelles  
Belgium  
Tel.: +32 (2) 281 6628  
Fax: +32 (2) 281 7928  
E-Mail: pilar.velazquez@consilium.europa.eu  

**SERBIA**  
Prof Ivan Stankovic  
Institute of Bromatology, Faculty of Pharmacy  
University of Belgrade  
Vojvode Stepe 450  
11000 Belgrade  
Serbia  
Tel.: +381 (11) 3870 379 ext. 345  
Fax: +381 (11) 3972 840  
E-Mail: istank@eunet.yu  

**SINGAPORE / SINGAPOUR / SINGAPUR**  
Ms Lee San Lim  
Head, Pre-Market Approval Branch  
Agri-Food and Veterinary Authority  
5, Maxwell Road  
18-00 Tower Block, MND Complex  
069110 Singapore  
Singapore  
Tel.: +65 6325 8553  
Fax: +65 6324 4563  
E-Mail: lim.lee_san@ava.gov.sg
**SLOVAK REPUBLIC / SLOVAQUIE / ESLOVAQUIA**
Dr Iveta Truskova  
Public Health Authority of Slovak Republic  
Ministry of Health FSR  
Trnavska 52  
82645 Bratislava  
Slovak Republic  
Tel.: +421 (2) 444 55643  
Fax: +421 (2) 444 55643  
E-Mail: truskova@uvzsr.sk

**SOUTH AFRICA / AFRIQUE DE SUD / SUDÁFRICA**
Mrs Lynn Moeng  
National Department of Health  
Private Bag X828,  
0001 Pretoria  
South Africa  
Tel.: +27 (12) 312 0072  
Fax: +27 (12) 312 3112  
E-Mail: MoengL@health.gov.za

Mrs Andiswa Ngqaka  
National Department of Health  
Private Bag X828,  
0001 Pretoria  
South Africa  
Tel.: +27 (12) 312 0873  
Fax: +27 (12) 312 3112  
E-Mail: NgqakA@health.gov.za

Mrs Anne Pringle  
Health Products Association  
P.O.Box 68068  
Bryanston 2021  
South Africa  
Tel.: +27 (11) 317 8300  
Fax: +27 (11) 317 8547  
E-Mail: anne@sportron.co.za

**SPAIN / ESPAGNE / ESPAÑA**
Ms Almudena Rollán  
Spanish Food Safety and Nutrition Agency  
Alcalá, no 56  
28071 Madrid  
Spain  
Tel.: +34 (91) 3380 710  
Fax: +34 (91) 3380 169  
E-Mail: arollan@wanadoo.es

Ms Myriam García Cofrades  
Secretaria General  
Asociación Nacional de Fabricantes de Productos de Dietética Infantil  
Diego de León, 44  
28006 Madrid  
Spain  
Tel.:  +34  915 301801  
Fax: +34  915 301 801  
E-Mail : mgarcia.andi@telefonica.net

**SUDBAN / SUDAN / SUDÁN**
Mr Awad Mohamed Ahmed Sokrab  
Technical Affairs Administration Director  
Sudanese Standards and Metrology Organization  
Street Baledia  
P.O.Box 13573  
Khartoum  
Sudan  
Tel.: +249 (91) 501 6974  
Fax: +249 (183) 774 852  
E-Mail : awadsokrab@hotmail.com

Mr Ismail Ahmed Al Kamish  
Food Control  
Federal Ministry of Health  
P.O.303 Khartoum  
Sudan  
Tel.: +249 91224 7820  
Fax: +249 15514 5620  
E-Mail: kamish2005@hotmail.com

**SWEDEN / SUÈDE / SUECIA**
Mrs Kristina Lagestrand Sjölin  
Principal Administrative Officer  
National Food Administration  
Food Standards Department  
Box 622  
SE-75126 Uppsala  
Sweden  
Tel.: +46 (18) 175500  
Fax: +46 (18) 105848  
E-Mail: codex@slv.se

Mrs Ingrid Lindeberg  
Senior Administrative Officer  
National Food Administration  
Box 622  
SE-751 26 Uppsala  
Sweden  
Tel.: +46 (18) 175500  
Fax: +46 (18) 105848  
E-Mail: codex@slv.se

**SWITZERLAND / SUISSE / SUIZA**
Ms Elisabeth Nellen-Regli  
Resp. for Food for special dietary uses  
Swiss Federal Office of Public Health  
Schwarzenburgstr. 165  
3003 Bern  
Switzerland  
Tel.: +41 (31) 322 9560  
Fax: +41 (31) 322 9574  
E-Mail: elisabeth.nellen@bag.admin.ch
Dr Dirk Cremer
Global Regulatory Affairs Manager
DSM Nutritional Products
P.O.Box 3255, Bldg. 241/421
4002 Basel
Switzerland
Tel.: +41 (61) 687 3276
Fax: +41 (61) 688 1635
E-Mail: dirk.cremer@dsm.com

Dr. Marquard Imfeld
Senior Consultant
Bioresco Ltd.
Bundesstraße 29
4054 Basel
Switzerland
Tel.: +41 (61) 273 7706
Fax: +41 (61) 273 7703
E-Mail: marquard.imfeld@bioresco.ch

Hervé Nordmann
Scientific & Regulatory Affairs
Ajinomoto Co. Inc.
En Crochet 1
CH 1143 Apples
Switzerland
Tel.: +41 (21) 800 3763
Fax: +41 (21) 800 4087
E-Mail: herve.nordmann@asg.ajinomoto.com

Dr Philippe Pippet
Deputy Head Regulatory Affairs
Nestec Ltd.
Avenue Nestlé 55
1800 Vevey
Switzerland
Tel.: +41 (21) 924 4264
Fax: +41 (21) 924 4347
E-Mail: philippe.pippet@nestle.com

THAILAND / THAILANDE / TAILANDIA
Dr Songsak Srianujata
Senior Advisor,
Institute of Nutrition, Mahidol University
Salaya, Putthamonthon
Nakhonpathom 73170
Thailand
Tel.: +66 (2) 640 0461 ext. 112
Fax: +66 (2) 640 0465
E-Mail: rassn@mahidol.ac.th

Ms Patchane Intaraluk
Food Control Division
Food and Drug Administration
Ministry of Public Health
Tiwonond Road
Nonthaburi 11000
Thailand
Tel.: +66 (2) 590 7030
Fax: +66 (2) 591 8460
E-Mail: meefood@health.moph.go.th

Dr Noppadon Adjimatera
The Federation of Thai Industries
Queen Sirikit National Conventions Center, Zone C,
4th Floor
60 New Rachadapisek Road, Klontoe
Bangkok 10110
Thailand
Tel.: +66 (2) 624 6860
Fax: +66 (2) 624 6801
E-Mail: noppadon.adjimatera@intl.pepsico.com

Mr Manat Larpphon
Standards Officer,
Office of Commodity and System Standards
National Bureau of Agricultural Commodity and Food Standards
4th Floor, Ministry of Agriculture and Cooperatives
3 Rajdamern Nok Avenue
Bangkok 10200
Thailand
Tel.: +66 (2) 283 1600 ext. 1186
Fax: +66 (2) 280 3899
E-Mail: mlarpphon@yahoo.com

UNITED KINGDOM / ROYAUME-UNI / REINO UNIDO
Ms Claire Boville
Food Standards Agency
Aviation House
125, Kingsway
London, WC2B 6NH
United Kingdom
Tel.: +44 (20) 7276 8168
Fax: +44 (20) 7276 8193
E-Mail: claire.boville@foodstandards.gsi.gov.uk

Dr Bindiya Shah
Food Standards Agency
Aviation House
125, Kingsway
London, WC2B 6NH
United Kingdom
Tel.: +44 (20) 7276 8168
Fax: +44 (20) 7276 8193
E-Mail: Bindiya.shah@foodstandards.gsi.gov.uk

Mrs Sue Hattersley
Food Standards Agency
Aviation House
125, Kingsway
London, WC2B 6NH
United Kingdom
Tel.: +44 (20) 7276 8168
Fax: +44 (20) 7276 8193
E-Mail: sue.hattersley@foodstandards.gsi.gov.uk
UNITED STATES OF AMERICA / ÉTATS-UNIS D'AMÉRIQUE
ESTADOS UNIDOS DE AMÉRICA

Dr Barbara O. Schneeman
Director, Office of Nutritional Products
Labeling and Dietary Supplements
Center for Food Safety & Applied Nutrition
U.S. Food and Drug Administration (HFS-800)
5100 Paint Branch Parkway
College Park, MD 20740
USA
Tel.: +1 (301) 436 2373
Fax: +1 (301) 436 2636
E-Mail: barbara.schneeman@fda.hhs.gov

Dr Allison A. Yates
Director
Beltsville Human Nutrition Research Center
Agricultural Research Center
U.S. Department of Agriculture
10300 Baltimore Avenue
Bldg 307C, Rm. 117
Beltsville, MD 20705
USA
Tel.: +1 (301) 504-8157
Fax: +1 (301) 504-9381
E-Mail: alison.yates@ars.usda.gov

Dr Sue A. Anderson
Team Leader, Regulations and Review Team
Infant Formula and Medical Foods Staff
Office of Nutritional Products, Labeling and Dietary Supplements
Center for Food Safety & Applied Nutrition
Food and Drug Administration (HFS-850)
5100 Paint Branch Parkway
College Park, MD 20740
USA
Tel.: +1 (301) 436 1450
Fax: +1 (301) 436 2636
E-Mail: sue.anderson@fda.hhs.gov

Ms Nancy T. Crane
Regulatory Review Scientist
Office of Nutritional Products, Labeling and Dietary Supplements
Center for Food Safety & Applied Nutrition
Food and Drug Administration (HFS-850)
5100 Paint Branch Parkway
College Park, MD 20740
USA
Tel.: +1 (301) 436 1450
Fax: +1(301) 436 2636
E-Mail: nancy.crane@fda.hhs.gov

Mrs Edith Kennard
International Issues Analyst
U.S. Codex Office
Food Safety and Inspection Service
U. S. Department of Agriculture
Room 4861 – South Building
1400 Independence Avenue S.W.
Washington, DC 20250
USA
Tel.: +1 (202) 205-7760
Fax: +1 (202) 720 3157
E-Mail: edith.kennard@usda.gov

Non-Government Advisors

Mr Michael Auerbach
Senior Science Advisor
Corporate Regulatory Affairs
Danisco A/S
565 Taxter Road – Suite 590
Elmsford, NY 10523
USA
Tel.: +1 (800) 255 6837
Fax: +1 (914) 592 1407
E-Mail: michael.auerbach@danisco.com

Dr Sukh D. Bassi
Chief Science Officer
Vice President
MGP Ingredients, Inc.
1300 Main Street
P.O.Box 130
Atchison, Kansas 66002
USA
Tel.: +1 (913) 360-5246
Fax: +1 (913) 360-5746
E-Mail: sukh.bassi@mgpingredients.com

Ms Melanie Fairchild-Dzanis
Regulatory Director
Nestlé Nutrition, Nestlé USA
800 No Brand Blvd
Glendale, California 91203,
USA
Tel.: +1 (818) 549 5868
Fax: +1 (818) 549 5704
E-Mail: melanie.fairchild@us.nestle.com

Dr Mary H. Hager
Director, Regulatory Affairs
The American Dietetic Association
1120 Connecticut Av. NW, Suite 480
Washington DC 20036,
USA
Tel.: +1 (202) 775 8277
Fax: +1 (202) 775 8284
E-Mail: mhager@eatright.org
INTERNATIONAL NON-GOVERNMENTAL ORGANIZATIONS

AAC – ASSOCIATION DES AMIDONNERIES ET CEREALES
Mr Marcel Feys
AAC
Avenue des Arts 43
1040 Brussels
Belgium
Tel.: +32 (2) 289 6760
Fax: +32 (2) 513 5592
E-Mail: aaf@AAF-eu.org

ASPPG– ASSOCIATION OF THE EUROPEAN SELF-MEDICATION INDUSTRY
Dr Rose Schraitle
Association of the European Self-Medication Industry
7, Avenue de Tervuren
B-1040 Brussels
Belgium
Tel.: +32 2735 5130
Fax: +32 2735 5222
E-Mail: info@aesgp.be

AIDGUM
Prof John Lupien
via Aventina 30
00153 Rome
Italy
Tel.: +39 (6) 5725 0042
E-Mail: john@jrlupien.net

AOECS - ASSOCIATION OF EUROPEAN COELIAC SOCIETIES
Mrs Hertha Deutsch
Chair of AOECS-WG Codex, Labelling and Symbol
AOECS Association of European Coeliac Societies
Anton Baumgartner Strasse 44/C5/2302
1230 Vienna, Austria
Tel.: +43 (1) 667 1887
Fax: +43 (1) 667 1887
E-Mail: hertha.deutsch@utanet.at

CEFS – COMITÉ EUROPÉEN DES FABRICANTS DE SUCRE
Oscar Ruiz de Imaña
Head of Scientific and Regulatory Affairs
CEFS- Comité Européen des Fabricants de Sucre
Avenue de Tervuren 182
1150 Brussels
Belgium
Tel.: +32 (2) 762 0760
Fax: +32 (2) 771 0026
E-Mail: oscar.ruiz@cefs.org

Dr William C. MacLean
Consultant
1800 Upper Chelsea Road
Columbus, Ohio 43212
USA
Tel.: +1 (614) 486 6170
E-Mail: william.maclean@earthlink.net

Ms Mardi K. Mountford
Executive Vice President
International Formula Council
1100 Johnson Ferry Road,
Suite 300
30342 Atlanta, Georgia
USA
Tel.: +1 (404) 252 3663
Fax: +1 (404) 252 0774
E-Mail: mmountford@kellencompany.com
Charlene J. Rainey
President
Food Research, Inc.
575 Anton Boulevard, Suite 300
Costa Mesa, California 92626
USA
Tel.: 1 (949) 497 6066
Fax: 1 (714) 523-2556
E-Mail: charlierainey@sbcglobal.net

Mrs Agnes Aongola
Nutrition Specialist
Ministry of Health HQ
Haile Selassie Rd, Ndeke House, Box 32588
10101 Lusaka
Zambia
Tel.: +260 (1) 253179-82
Fax: +260 (1) 253173
E-Mail: saongola@yahoo.com

Mrs Agnes Aongola
Nutrition Specialist
Ministry of Health HQ
Haile Selassie Rd, Ndeke House, Box 32588
10101 Lusaka
Zambia
Tel.: +260 (1) 253179-82
Fax: +260 (1) 253173
E-Mail: saongola@yahoo.com

Mr Munyaradzi Livingstone Musiyambiri
Chief Government Analyst
Government Analyst Laboratory
Ministry of Health & Child Welfare
P.O.Box CY 231
Causeway
Harare
Zimbabwe
Tel.: +263 (4) 792026/7 / +263 11 874588
Fax: +263 (4) 708527
E-Mail: musim@africaonline.co.zw
Mrs Camille Perrin  
Scientific Regulatory Affairs Assistant-Manager  
CEFS- Comité Européen des Fabricants de Sucre  
Avenue de Tervuren 182  
1150 Brussels  
Belgium  
Tel.: +32 (2) 762 0760  
Fax: +32 (2) 771 0026  
Camille.perrin@cefs.org

CIAA  
Ms Elena Colgalniceanu  
Manager Consumer Information Diet & Health  
CIAA  
43 Avenue des Arts  
1040 Brussels  
Belgium  
Tel.: +32 (2) 514 1111  
Fax: +32 (2) 511 2905  
E_Mail : e.cogalniceanu@ciaa.eu

CONSUMER INTERNATIONAL  
Mrs Goski Alabi  
Consumer Advocacy Centre  
Lecturer/Research and Conference Coordinator  
Institute of Professional Studies  
P.O.Box 149, Legon  
Accra  
Ghana  
Tel.: +233 (27) 748 2339  
Fax: +233 (21) 513 3539  
E-Mail : goskia@yahoo.com

CRN - COUNCIL FOR RESPONSIBLE NUTRITION  
Dr. John Hathcock  
Vice President, International & Scientific Affairs  
Council fo Responsible Nutrition  
1828 L Street, NW  
Suite 900  
20036 Washington, DC  
USA  
Tel: +1 (202) 776 7955  
Fax: +1 (202) 204 7980  
E-Mail: jhathcock@crnusa.org

Mr Byron Johnson  
Industry Relations Director  
Access Business Group/Nutrilite  
7575 Fulton Street East  
49355 Ada, MI  
USA  
Tel: +1 (616) 787 7577  
Fax: +1 (616) 787 5625  
E-Mail: byron.johnson@accessbusinessgroup.com

Dr Mark Mansour  
Partner  
Foley & Ladner LLP  
300 K Street, NW  
Suite 500  
20007 Washington, DC  
USA  
Tel.: +1 (202) 672 5585  
Fax: +1 (202) 672 5399  
E-Mail: mmansour@foley.com

Mr John Venardos  
Vice President, Worldwide Regulatory & Government Affairs  
Herbalife International of America, Inc.  
1800 Century Park East  
90067 Century City, CA  
USA  
Tel.: +1 (310) 203 7746  
Fax: +1 (310) 557 3916  
E-Mail: johnv@herbalife.com

EFLA - EUROPEAN FOOD LAW ASSOCIATION  
Mr Matias Cortes  
Member  
EFLA  
Rue de la Loi 235  
1040 Brussels  
Belgium  
Tel.: +32 (2) 230 4845  
Fax: +32 (2) 230 8206  
E-Mail: efla_aeda@hotmail.com

EHPM – EUROPEAN FEDERATION OF ASSOCIATIONS OF HEALTH PRODUCT MANUFACTURERS  
Dr Derek Shrimpton  
Scientific Advisor  
EHPM  
Rue de l’association 50  
1000 Brussels  
Belgium  
Tel.: +32 (2) 209 1145  
Fax: +32 (2) 223 3064  
E-Mail: secretariat@ehpm.be

Mr Peter van Doorn  
EHPM  
Rue de l’association 50  
1000 Brussels  
Belgium  
E-Mail: peter.van.doorn@mcohealth.nl
IADSA - INTERNATIONAL ALLIANCE OF DIETARY / FOOD SUPPLEMENT ASSOCIATIONS

Mr Simon Pettman
Executive Director
International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

Mr David Pineda Ereño
Manager Regulatory Affairs
International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

Ms Kaori Nakajima
Secretariat
International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

PhD Hirobumi Ohama
Scientific Advisor
International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

Ms Hideko Ikeda
Scientific Advisor
International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

Dr Boris Pimentel
Secretariat
International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

Mrs Penny Viner
Secr International Alliance of Dietary/Food Supplement Associations (IADSA)
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

Mr Peter F. Zambetti
Global Business Development Manager
Dietary Supplements
CAPSUGEL
Rue de l’Association 50
1000 Brussels
Belgium
Tel.: +32 (2) 209 1155
Fax: +32 (2) 223 3064
E-Mail: secretariat@iadsa.be

IBFAN - INTERNATIONAL BABY FOOD ACTION NETWORK

Mr Mosadeq Sahebdin
Coordinator
Institute for Consumer Protection
2nd Floor, Hansrod Building, Jummah Mosque 1
Post Louis
Mauritius
Tel.: +230 210 4433
Fax: +230 211 4436
E-Mail: mosadeq15@intnet.mu

ICA – INTERNATIONAL CO-OPERATIVE ALLIANCE

Mr Kazuo Onitake
Head of Unit, Safety Policy service
Japanese Consumers’ Co-operative Union (JCCU)
Co-op Plaza, 3-29-8
Shibuya, Shubuya-ku,
150-8913 Tokyo
Japan
Tel.: +81 (3) 5778 8109
Fax: +81 (3) 5778 8002
E-Mail: kazuo.onitake@jccu.coop
ICBA - INTERNATIONAL COUNCIL OF BEVERAGES ASSOCIATIONS
Mrs Helen Falco
Technical Advisor
International Council of Beverages Associations
3-3-3 Nihonbashi-Muromachi Chuo-ku
103-0022 Tokyo
Japan
Tel.: +81 (3) 3270 7300
Fax: +81 (3) 3270 7306
E-Mail: hefalco@na.ko.com
Mr Hiromi Ohta
Technical Advisor
Japan Soft Drinks Association
3-3-3 Nihonbashi-Muromachi Chuo Kuo
Tokyo
Japan
Tel.: +81 (3) 3270 7300
Fax: +81 (3) 3270 7306
E-Mail: hiromi_ohta@suntory.co.jp
Dr. Shuji Iwata
Technical Adviser
Japan Soft Drinks Association
3-3-3 Nihonbashi-Muromachi Chuo Kuo
Tokyo
Japan
Tel.: +81 (3) 3270 7300
Fax: +81 (3) 3270 7306
E-Mail: shuji_iwata@suntory.co.jp

ICGMA – INTERNATIONAL CHEWING GUM ASSOCIATION
Mr Jean Savigny
General Counsel
c/o Keller and Heckman
523 avenue Louise
1050 Brüssel
Belgium
Tel.: +32 (2) 645 5071
Fax: +32 (2) 645 5050
E-Mail: savigny@khlaw.be
Mr Christophe Leprêtre
Manager Technical and Regulatory Affairs
c/o Keller and Heckman
523 avenue Louise
1050 Brüssel
Belgium
Tel.: +32 (2) 645 5078
Fax: +32 (2) 645 5050
E-Mail: lepetre@khlaw.be

ICGMA – INTERNATIONAL COUNCIL OF GROCERY MANUFACTURERS ASSOCIATIONS
Mr Robert Earl
Senior Director Nutrition Policy
ICGMA
1350 I Street, NW
2005 Washington, DC
USA
Tel.: +1 (202) 639 5970
Fax: +1 (202) 639 5991
E-Mail: reel@greonline.org
Dr Kenneth Falci
Senior Director
Scientific Regulatory Operations
Kellogg Company
235 Porter Street
49014 Battle Creek, MI
USA
Tel.: +1 (269) 961 3632
Fax: +1 (269) 660 4549
E-Mail: ken.falci@kellogg.com
Mrs Phyllis Tanaka
Vice President
Scientific and Regulatory Affairs
Food Policy
Food & Consumer Products of Canada
885 Don Mills Road, Suite 301
M3C1V9 Toronto ON
Canada
Tel.: +1 (416) 510 8024 ext 2246
Fax: +1 (416) 510 8043
E-Mail: phyllist@fcpc.ca

IDACE - ASSOCIATION DES INDUSTRIES DES ALIMENTS DIÉTÉTIQUES DE L’UNION EUROPÉENNE
Dr Andrée Bronner
Association des Industries des Aliments Diététiques de l’Union Européenne (IDACE)
194 Rue de Rivoli
75001 Paris, France
Tel.: +33 (1) 5345 8787
Fax: +33 (1) 5345 8780
E-Mail: andree.bronner@idace.org
Ms Leoniek Robroch
Food Legislation Officer
Association des Industries des Aliments Diététiques de l’Union Européenne (IDACE)
194 Rue de Rivoli
75001 Paris, France
Tel.: +33 (1) 5345 8787
Fax: +33 (1) 5345 8780
E-Mail: andree.bronner@idace.org
Mrs Ruth Birt
Scientific and Regulatory Affairs
Association des Industries des Aliments Diététiques de l’Union Européenne (IDACE)
194 Rue de Rivioli
75001 Paris, France
Tel.: +33 (1) 5345 8787
Fax: +33 (1) 5345 8780
E-Mail: andree.bronner@idace.org

IDF - INTERNATIONAL DAIRY FEDERATION
Ms Katrin Lehmann, Ph.d.
Technical Manager Dairy in Nutrition
Verband der Deutschen Milchwirtschaft e.V.
Mecklenheimer Allee 137
53115 Bonn
Germany
Tel.: +49 (228) 982 4316
Fax: +49 (228) 982 4320
E-Mail: k Lehmann@vdm-deutschland.de

Ms Marieke Lugt
Food Legislation Officer
Corporate Food Safety & Dairy Affairs
Friesland Foods
P.O.Box 124
7940 AC Meppel
The Netherlands
Tel.: +31 (522) 276 354
Fax: +31 (522) 276 475
E-Mail: marieke.lugt@frieslandfoods.com

Mr Joerg Seifert
Technical Director
International Dairy Federation
Diamant Building
80, Boulevard Auguste Reyers
1030 Brussels
Belgium
Tel.: +32 2 706 8643
Fax: +32 2 733 0413
E-Mail: jsieffert@fil-idf.org

Ms Sandra Tuijtelaars
Nutrition Officer
International Dairy Federation
Diamant Building
80, Boulevard Auguste Reyers
1030 Brussels
Belgium
Tel.: +32 (2) 706 8650
Fax: +32 (2) 733 0413
E-Mail: STuijtelaars@fil-idf.org

IFT - INSTITUTE OF FOOD TECHNOLOGISTS
Prof. Rosemary Walzem
Associate Professor
Texas A&M University
Department of Poultry Science and Department of Nutrition and Food Science
College Station, TX 77845
USA
Tel.: +1 979-845-7537
Fax: +1 979-845-1921
E-Mail: rwalzem@poultry.tamu.edu

Ms Gloria Brooks-Ray
Exponent Food and Chemicals Practice
P.O.Box 97
Mountain Lakes NJ 07046
USA
Tel.: +1 (973) 334 4652
E-Mail: gbrooksray@exponent.com

IGTC – INTERNATIONAL GLUTAMATE TECHNICAL COMMITTEE
Mrs Yoko Ogiwara
Scientific Advisor
Ajinomoto Co., Inc.
Hatchobori 3-9-5 Chuo-ku
104-0032 Tokyo
Japan
Tel.: +81 (80) 3258 1900
Fax: +81 (3) 5250 8403
E-Mail: yoko_ogiwara@ajinomoto.com

ILSI – INTERNATIONAL LIFE SCIENCES INSTITUTE
Dr Eric Hentges
Executive Director, ILSI North America
One Thomas Circle, NW, 9th Floor
20005 Washington DC
USA
Tel.: +1 (202) 659 0074
Fax: +1 (202) 659 3617
E-Mail: ehentges@ilsi.org

Ms Victoria Betteridge
Group Manager Regulatory Affairs
Tate & Lyle PLC
Sugar Quay
Lower Thames Street
London EC 3 R 6 DQ
United Kingdom
Tel.: +44 (20) 7977 6295
E-Mail: victoria.betteridge@tateandlyle.com
Proff Dr Julie Jones
ILSI
College of St Catherine
St Paul, MN
4030 Valentine Ct
55112 Arden Hills MN
USA
Tel.: +1 (651) 636 2275
Fax: +1 (651) 636 2394
E-Mail: jjmones@stkaate.edu

Ms Olive Misa
Regional Corporate Relations Director-Asia
Abbott Nutrition International
Abbott
102 EDSA corner Madison Street
Mandaluyong City
Philippines
Tel.: +63 (2) 6874236
Fax: +63 (2) 6340041
E-Mail: maolivia.misa@abbott.com

Dr Lock Pijls
Senior Scientist
ILSI Europe
Av. E. Mounier 83, Box 6
1200 Brussels
Belgium
Tel.: +32 (2) 771 0014
Fax: +32 (2) 762 0044
E-Mail: lpijls@ilsieurope.be

Dr Susan Potter
Vice President, Health and Nutrition Sciences
Tate & Lyle
2200 East Eldorado Street
Decatur, IL 62525
USA
Tel.: +1 (127) 421 2565
Fax: +1 (127) 421 2936
E-Mail: susan.poter@tateandlyle.com

Ms Julie Scott
European Regulatory Compliance Manager
National Starch Food Innovation
Greencourts Business Park
333 Styal Road
Manchester M22 5LW
United Kingdom
Tel.: +44 (161) 435 3241
Fax: +44 (161) 435 3244
E-Mail: julie.scott@nstarch.com

Prof Barry V. McCleary
Technical Director & Joint Managing Director
Megazyme International Ireland Limited
Bray Business Park
Bray, Co. Wicklow
Ireland
Tel.: +353 (1) 286 1220
Fax: +353 (1) 286 1264
E-Mail: barry@megazyme.com

Mr Kazuo Sueki
Director, Scientific Information
ILSI Japan
Kojimachi R K Bldg. 2.6.7
Kojimachi, Chiyoda-ku
102-0083 Tokyo
Japan
Tel.: +81 (3) 5215 3535
Fax: +81 (3) 5215 3537
E-Mail: ksueki@ilsijapan.org

Dr Kazuyoshi Namba
Morinaga Milk Industriy Co.Ltd
1-83-5-Chome, Higashihara
Zama-city, Kanagawa-Pref. 228-8583
Japan
Tel.: +81 (46) 252 3057
Fax: +81 (46) 252 3077
E-Mail: k_namba@morinagamilk.co.jp

ISDI - INTERNATIONAL SPECIAL DIETARY FOODS INDUSTRIES
Mrs Tova Almlöf
Scientific and Regulatory Affairs
International Special Dietary Foods Industries (ISDI)
194 Rue de Rivoli
75001 Paris, France
Tel.: +33 (1) 5345 8787
Fax: +33 (1) 5345 8780
E-Mail: andree.bronner@isdifederation.org

Ms Lisa Craig
Scientific and Regulatory Affairs
International Special Dietary Foods Industries (ISDI)
194 Rue de Rivoli
75001 Paris, France
Tel.: +33 (1) 5345 8787
Fax: +33 (1) 5345 8780
E-Mail: andree.bronner@isdifederation.org

Ms Marie-Odile Gailing
Scientific and Regulatory Affairs
International Special Dietary Foods Industries (ISDI)
194 Rue de Rivoli
75001 Paris, France
Tel.: +33 (1) 5345 8787
Fax: +33 (1) 5345 8780
E-Mail: andree.bronner@isdifederation.org
Mr Peter Van Dael  
Scientific and Regulatory Affairs  
International Special Dietary Foods Industries (ISDI)  
194 Rue de Rivoli  
75001 Paris, France  
Tel.: +33 (1) 5345 8787  
Fax: +33 (1) 5345 8780  
E-Mail: andree.bronner@isdifederation.org

Ms Amandine Devergies  
Scientific and Regulatory Affairs  
International Special Dietary Foods Industries (ISDI)  
194 Rue de Rivoli  
75001 Paris, France  
Tel.: +33 (1) 5345 8787  
Fax: +33 (1) 5345 8780  
E-Mail: andree@bronner@isdifederation.org

IUNS – INTERNATIONAL UNION OF NUTRITIONAL SCIENCES  
Prof Dr Ibrahim Elmadfa  
President-elect IUNS  
Institute of Nutritional Sciences (Director) University of Vienna  
Althanstraße 14  
1090 Vienna  
Austria  
Tel.: +43 (1) 4277 54911  
Fax: +43 (1) 4277 9549  
E-Mail: ibrahim.elmadfa@univie.ac.at

NHF – NATIONAL HEALTH FEDERATION  
Mr Scott C. Tips  
President & General Legal Counsel  
National Health Federation  
PO Box 688  
Monrovia, California 91017  
USA  
Tel.: +1 (626) 357 2182  
Fax: +1 (626) 303 0642  
E-Mail: scott@rivieramail.com

Mr Paul Anthony Taylor  
Chairman  
National Health Federation  
PO Box 688  
Monrovia, California 91017  
USA  
Tel.: +1 (626) 357 2182  
Fax: +1 (626) 303 0642

Dr Robert Verkerk  
National Health Federation  
PO Box 688  
Monrovia, California 91017  
USA  
Tel.: +44 (0) 1306 646551  
Fax: +44 (0) 1306 646552  
E-Mail: robert.verkerk@ntlworld.com

WGPAT- WORKING GROUP ON PROLAMIN ANALYSIS AND TOXICITY  
Dr Martin Stern  
Professor of Paediatrics  
University Children's Hospital  
Hoppe-Seyler-Strasse 1  
72076 Tübingen, Germany  
Tel.: +49 (7070) 298 3781  
Fax: +49 (7070) 295 477  
E-Mail: martin.stern@med.uni-tuebingen.de

INTERNATIONAL GOVERNMENTAL ORGANIZATIONS  
WHO - WORLD HEALTH ORGANIZATION  
Dr Chizuru Nishida  
Scientist  
Department of Nutrition for Health and Development (NHD)  
WHO  
20, Avenue Appia  
1211 Geneva 27  
Switzerland  
Tel.: +41 (22) 791 3317/3455  
Fax: +41 (22) 791 4156  
E-Mail: nishidac@who.int

Dr Lisa Rogers  
Technical Officer, Micronutrient Unit  
Department of Nutrition for Health and Development (NHD)  
WHO  
20, Avenue Appia  
1211 Geneva  
Switzerland  
Tel.: +41 (22) 791 1957  
Fax: +41 (22) 791 4156  
E-Mail: rogersl@who.int

Prof John Cummings  
WHO Temporary Adviser  
Professor of Experimental Gastroenterology, Pathology and Neuroscience  
Ninewells Hospital and Medical School  
Dundee  
DD 1 9SY  
United Kingdom  
Tel.: +44 (1) 382 632425  
Fax: +44 (1) 382 63952  
E-Mail: j.h.cummings@dundee.ac.uk
**FAO – FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED NATIONS**

Mrs Ute Ruth Charrondiere  
Nutrition Officer  
FAO  
Viale delle Terme di Caracalla  
00153 Rome  
Italy  
Tel.: +39 (6) 570 56134  
Fax: +39 (6) 570 54593  
E-Mail: ruth.charrondiere@fao.org

**GERMAN SECRETARIAT**

Mr Georg Müller  
Federal Ministry of Food, Agriculture and Consumer Protection  
Rochusstraße 1  
53123 Bonn, Germany  
Tel.: +49 (228) 99 33 87  
Fax: +49 (228) 99 49 65  
E-Mail: ccnfsdu@bmelv.bund.de

Mrs Ursula Siebert  
Federal Ministry of Food, Agriculture and Consumer Protection  
Rochusstraße 1  
53123 Bonn, Germany  
Tel.: +49 (228) 99 33 87  
Fax: +49 (228) 99 49 65  
E-Mail: ccnfsdu@bmelv.bund.de

Mrs Beate Trautmann  
Federal Ministry of Food, Agriculture and Consumer Protection  
Rochusstraße 1  
53123 Bonn, Germany  
Tel.: +49 (228) 99 33 87  
Fax: +49 (228) 99 49 65  
E-Mail: ccnfsdu@bmelv.bund.de

Mrs Sonja Braun  
Federal Ministry of Food, Agriculture and Consumer Protection  
Rochusstraße 1  
53123 Bonn, Germany  
Tel.: +49 (228) 99 33 87  
Fax: +49 (228) 99 49 65  
E-Mail: ccnfsdu@bmelv.bund.de

Mr Peter Braun  
Federal Ministry of Food, Agriculture and Consumer Protection  
Rochusstraße 1  
53123 Bonn, Germany  
Tel.: +49 (228) 99 33 87  
Fax: +49 (228) 99 49 65  
E-Mail: ccnfsdu@bmelv.bund.de

**CODEX SECRETARIAT**

Dr Jeronimas Maskeliunas  
Food Standards Officer  
Joint FAO/WHO Food Standards Programme  
Viale delle Terme di Caracalla  
00153 Rome  
Italy  
Tel.: +39 (06) 57 05 39 67  
Fax: +39 (06) 57 05 45 93  
E-Mail: jeronimas.maskeliunas@fao.org

Ms Selma Doyran  
Senior Food Standards Officer  
Joint FAO/WHO Food Standards Programme  
Viale delle Terme di Caracalla  
00153 Rome  
Italy  
Tel.: +39 (06) 57 05 58 26  
Fax: +39 (06) 57 05 45 93  
E-Mail: Selma.Doyran@fao.org

Dr Jinjing Zhang  
Volunteer  
Codex Secretariat  
Viale delle Terme di Caracalla  
00153 Rome  
Italy  
Tel.: +39 (6) 57 05 49 22  
Fax: +39 (6) 57 05 45 93  
E-Mail: jinjing.zhang@fao.org
GUIDELINES FOR THE USE OF NUTRITION CLAIMS:
DRAFT TABLE OF CONDITIONS FOR NUTRIENT CONTENTS (PART B CONTAINING
PROVISIONS ON DIETARY FIBRE)
(At Step 6 of the Procedure)

<table>
<thead>
<tr>
<th>COMPONENT</th>
<th>CLAIM</th>
<th>CONDITIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dietary Fibre</td>
<td>Source</td>
<td>3 g per 100 g or 1.5 g per 100 kcal or [10 % of recommended intake] per serving</td>
</tr>
<tr>
<td>High</td>
<td></td>
<td>[(liquid foods: 1.5 g per 100 ml)]</td>
</tr>
<tr>
<td></td>
<td></td>
<td>6 g per 100 g or 3 g per 100 kcal or [20 % of recommended intake] per serving*</td>
</tr>
<tr>
<td></td>
<td></td>
<td>[(liquid foods: 3 g per 100 ml)]</td>
</tr>
</tbody>
</table>

* Serving size [and recommended intake] to be determined at national level.

Definition and properties of dietary fibre:

**DEFINITION:**
Dietary fibre means carbohydrate polymers\(^1\) with a degree of polymerisation (DP) not lower than 3, which are neither digested nor absorbed in the small intestine. A degree of polymerisation not lower than 3 is intended to exclude mono- and disaccharides. It is not intended to reflect the average DP of a mixture. Dietary fibre consists of one or more of:

- Edible carbohydrate polymers naturally occurring in the food as consumed,
- carbohydrate polymers, which have been obtained from food raw material by physical, enzymatic or chemical means,
- synthetic carbohydrate polymers.

\(^1\) When derived from a plant origin, dietary fibre may include fractions of lignin and/or other compounds when associated with polysaccharides in the plant cell walls and if these compounds are quantified by the AOAC gravimetric analytical method for dietary fibre analysis: Fractions of lignin and the other compounds (proteic fractions, phenolic compounds, waxes, saponins, phytates, cutin, phytosterols, etc.) intimately "associated" with plant polysaccharides are often extracted with the polysaccharides in the AOAC 991.43 method. These substances are included in the definition of fibre insofar as they are actually associated with the poly- or oligo-saccharidic fraction of fibre. However, when extracted or even re-introduced into a food containing non-digestible polysaccharides, they cannot be defined as dietary fibre. When combined with polysaccharides, these associated substances may provide additional beneficial effects.
Properties:
Dietary fibre generally has properties such as:

- Decrease intestinal transit time and increase stools bulk
- Fermentable by colonic microflora
- Reduce blood total and/or LDL cholesterol levels
- Reduce post-prandial blood glucose and/or insulin levels.

With the exception of non-digestible edible carbohydrate polymers naturally occurring in foods as consumed where a declaration or claim is made with respect to dietary fibre, a physiological effect should be scientifically demonstrated by clinical studies and other studies as appropriate. The establishment of criteria to quantify physiological effects is left to national authorities.

RECOMMENDATIONS TO CODEX COMMITTEES USING THIS DEFINITION OF DIETARY FIBRES
Codex Committees, when making use of this definition, may wish to consider that:

- Food safety requirements should be met by the substances purporting to be presented as source of dietary fibres;
- The physiological effects listed in the definition may vary with the substances present in the foods and the justification for the use of the nutrition and health claims must accommodate this diversity;
- If the dietary fibre does not derive from plants, it may be appropriate to consider, when establishing labelling provisions, that consumers in many countries generally regard foods designated as sources of dietary fibre as having a plant origin.

Methods of Analysis for Dietary Fibre¹

<table>
<thead>
<tr>
<th>Name</th>
<th>Quantified compounds</th>
<th>Reference</th>
<th>Type</th>
<th>Chapter²</th>
</tr>
</thead>
<tbody>
<tr>
<td>AOAC 991.43</td>
<td>Soluble + insoluble polysaccharides (including RS 3)+ lignin</td>
<td>Lee et al</td>
<td>Enzymatic-gravimetric</td>
<td>32.1.17</td>
</tr>
<tr>
<td>AOAC 985.29</td>
<td>Soluble + insoluble polysaccharides (including RS 3)+ lignin</td>
<td>Prosky et al. 1992</td>
<td>Enzymatic-gravimetric</td>
<td>45.4.07</td>
</tr>
<tr>
<td>AOAC 994.13</td>
<td>Soluble + insoluble polysaccharides (including RS 3)+ lignin</td>
<td>Theander et al.</td>
<td>Enzymatic-chemical</td>
<td>45.4.11</td>
</tr>
<tr>
<td>AOAC 995.16</td>
<td>beta-glucans</td>
<td>McCleary &amp; Codd, 1991</td>
<td>Enzymatic</td>
<td>32</td>
</tr>
<tr>
<td>AOAC 2002.02</td>
<td>Resistant starch and algal fibre</td>
<td>McCleary &amp; Monaghan, 2002</td>
<td>Enzymatic</td>
<td>45.4.15</td>
</tr>
</tbody>
</table>

¹ As presented in CX/NFSDU 04/3-Add.1.
<table>
<thead>
<tr>
<th>Name</th>
<th>Quantified compounds</th>
<th>Reference</th>
<th>Type</th>
<th>Chapter</th>
</tr>
</thead>
<tbody>
<tr>
<td>AOAC 999.03</td>
<td>Fructans (oligofructans, inulin derivatives, fructooligosaccharides)</td>
<td>McCleary &amp; Blakeney, 1999 McCleary et al., 2000</td>
<td>Enzymatic &amp; colorimetric</td>
<td>45.4.06B</td>
</tr>
<tr>
<td>AOAC 997.08</td>
<td>Fructans (oligofructans, inulin derivatives, fructooligosaccharides)</td>
<td>Hoebregs, 1997</td>
<td>Enzymatic &amp; HPAEC</td>
<td>45.4.06A</td>
</tr>
<tr>
<td>AOAC 2001.02</td>
<td>Trans-galactooligosaccharides</td>
<td>De Slegte</td>
<td>HPAEC-PAD</td>
<td>45.4.12</td>
</tr>
<tr>
<td>AOAC 2001.03</td>
<td>Total dietary fibre in foods containing resistant maltodextrin</td>
<td></td>
<td>Enzymatic gravimetric and Liquid chromatography</td>
<td>45.4.13</td>
</tr>
<tr>
<td>AOAC 2000.11</td>
<td>Polydextrose</td>
<td>Craig et al. 2001</td>
<td>HPAEC</td>
<td>45.6.06C</td>
</tr>
</tbody>
</table>

All the above methods are approved AOAC techniques. These methods have the advantage of being used world-wide as well as being easily used in routine analysis.

The AOAC 985.29 and 991.43 are the general methods for measuring ‘total dietary fibre’ in most foods. The other methods can be used for complementary assessment of other fibre components/fractions not measured by the general methods due to their solubility in aqueous alcohol or for analysis of certain foods or raw materials for which the standard methods may be less suitable. The methods for total or soluble+insoluble dietary fibre give satisfactory results for foods that contain neither added non-digestible oligosaccharides (e.g. FOS) nor resistant starch fractions RS1 and RS2 which are not measured by these AOAC method.

The AOAC 991.43 includes part of the resistant starch fractions (retrograded starches, RS3). Therefore, in order to include total RS, it is necessary to analyse RS independently and correct for the RS in the fibre residue.

The Englyst method, which is not used world-wide, is complicated and may therefore be less suitable for routine analysis. However, this or similar methods may be necessary in some foods difficult to analyse with the routine methods, e.g. infant formula.

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3 Resistant starch (RS) is defined as the fraction of starch not absorbed in the small intestine. It consists of physically enclosed starch (RS1), certain types of raw starch granules (RS2) and retrograded amylose (RS3). Modified starches used as food additives may also be partially resistant (RS4).
APPENDIX III

DRAFT REVISED CODEX STANDARD FOR FOODS FOR SPECIAL DIETARY USE FOR PERSONS INTOLERANT TO GLUTEN

(At Step 8 of the Procedure)

1. SCOPE

1.1 This standard applies to foods for special dietary uses that have been formulated, processed or prepared to meet the special dietary needs of people intolerant to gluten.

1.2 Foods for general consumption which by their nature are suitable for use by people with gluten intolerance may indicate such suitability in accordance with the provisions of section 4.3.

2. DESCRIPTION

2.1 Definitions

The products covered by this standard are described as follows:

2.1.1 Gluten-free foods

Gluten-free foods are dietary foods

a) consisting of or made only from one or more ingredients which do not contain wheat (i.e., all *Triticum* species, such as durum wheat, spelt, and kamut), rye, barley, oats\(^1\) or their crossbred varieties, and the gluten level does not exceed 20 mg/kg in total, based on the food as sold or distributed to the consumer, and/or

b) consisting of one or more ingredients from wheat (i.e., all *Triticum* species, such as durum wheat, spelt, and kamut), rye, barley, oats\(^1\) or their crossbred varieties, which have been specially processed to remove gluten, and the gluten level does not exceed 20 mg/kg in total, based on the food as sold or distributed to the consumer.

2.1.2 Foods specially processed to reduce gluten content to a level above 20 up to 100 mg/kg

These foods consist of one or more ingredients from wheat (i.e., all *Triticum* species, such as durum wheat, spelt, and kamut), rye, barley, oats\(^1\) or their crossbred varieties, which have been specially processed to reduce the gluten content to a level above 20 up to 100 mg/kg in total, based on the food as sold or distributed to the consumer.

Decisions on the marketing of products described in this section may be determined at the national level.

2.2 Subsidiary Definitions

2.2.1 Gluten

For the purpose of this standard, "gluten" is defined as a protein fraction from wheat, rye, barley, oats\(^1\) or their crossbred varieties and derivatives thereof, to which some persons are intolerant and that is insoluble in water and 0.5M NaCl.

2.2.2 Prolamins

Prolamins are defined as the fraction from gluten that can be extracted by 40 - 70% of ethanol. The prolamin from wheat is gliadin, from rye is secalin, from barley hordein and from oats\(^1\) avenin.

It is however an established custom to speak of gluten sensitivity. The prolamin content of gluten is generally taken as 50%.

3. ESSENTIAL COMPOSITION AND QUALITY FACTORS

3.1 For products referred to in 2.1.1 a) and b), the gluten content shall not exceed 20 mg/kg in the food as sold or distributed to the consumer.

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\(^1\) Oats can be tolerated by most but not all people who are intolerant to gluten. Therefore, the allowance of oats that are not contaminated with wheat, rye or barley in foods covered by this standard may be determined at the national level.
3.2 For products referred to in 2.1.2 the gluten content shall not exceed 100 mg/kg in the food as sold or distributed to the consumer.

3.3. Products covered by this standard substituting important basic foods, should supply approximately the same amount of vitamins and minerals as the original foods they replace.

3.4 The products covered by this standard shall be prepared with special care under Good Manufacturing Practice (GMP) to avoid contamination with gluten.

4. LABELLING

In addition to the general labelling provisions contained in the General Standard for the Labelling of Prepackaged Foods (CODEX STAN 1-1985) and the General Standard for the Labelling of and Claims for Prepackaged Foods for Special Dietary Uses (CODEX STAN 146-1985), and any specific labelling provisions set out in a Codex standard applying to the particular food concerned, the following provisions for the labelling of “gluten-free foods” shall apply:

4.1 The term "gluten-free" shall be printed in the immediate proximity of the name of the product in the case of products described in section 2.1.1.

4.2 The labelling of products described in section 2.1.2 should be determined at the national level. However these products must not be called gluten-free. The labelling terms for such products should indicate the true nature of the food, and shall be printed in the immediate proximity of the name of the product.

4.3 A food which, by its nature, is suitable for use as part of a gluten-free diet, shall not be designated “special dietary”, “special dietetic” or any other equivalent term. However, such a food may bear a statement on the label that “this food is by its nature gluten-free” provided that it complies with the essential composition provisions for gluten-free as set out in section 3.1 and provided that such a statement does not mislead the consumer. More detailed rules in order to ensure that the consumer is not misled may be determined at the national level.

5. METHODS OF ANALYSIS AND SAMPLING

5.1 General outline of the methods

- The quantitative determination of gluten in foods and ingredients shall be based on an immunologic method or other method providing at least equal sensitivity and specificity.
- The antibody used should react with the cereal protein fractions that are toxic for persons intolerant to gluten and should not cross-react with other cereal proteins or other constituents of the foods or ingredients.
- Methods used for determination should be validated and calibrated against a certified reference material, if available.
- The detection limit has to be appropriate according to the state of the art and the technical standard. It should be 10 mg gluten/kg or below.
- The qualitative analysis that indicates the presence of gluten shall be based on relevant methods (e.g. ELISA-based methods, DNA methods).

5.2 Method for determination of gluten

Enzyme-linked Immunoassay (ELISA) R5 Mendez Method.
APPENDIX IV

DRAFT ADVISORY LISTS OF NUTRIENT COMPOUNDS FOR USE IN FOODS FOR SPECIAL DIETARY USES INTENDED FOR INFANTS AND YOUNG CHILDREN

(At Step 8 of the Procedure)

1. PREAMBLE

These lists include nutrient compounds, which may be used for nutritional purposes in foods for special dietary uses intended for infants and young children in accordance with 1) the criteria and conditions of use identified below and 2) other criteria for their use stipulated in the respective standards. In addition, the sources from which the nutrient compound is produced may exclude the use of specific substances where religious or other specific dietary restrictions apply. As noted in the respective standards, their use may either be essential or optional.

2. CRITERIA FOR THE INCLUSION AND DELETION OF NUTRIENT COMPOUNDS FROM THE ADVISORY LISTS

2.1 Nutrient compounds that are to be added for nutritional purposes to foods for infants and young children may be included in the Lists only if:

(a) they are shown to be safe and appropriate for the intended use as nutrient sources for infants and young children

(b) it is demonstrated by appropriate studies in animals and/or humans that the nutrients are biologically available

(c) the purity requirements of the nutrient compounds conform with the applicable Specifications of Identity and Purity recommended by the Codex Alimentarius Commission, or in the absence of such specifications, with another internationally recognised specification. If there is no internationally recognised specification, national purity requirements that have been evaluated according to or similar to a FAO/WHO process may be considered

(d) the stability of nutrient compound(s) in the food(s) in which it is (they are) to be used can be demonstrated

(e) the fulfilment of the above criteria shall be demonstrated by generally accepted scientific criteria.

2.2 Nutrient compounds may be added to the Lists based on the criteria above. Nutrient compounds shall be deleted from the Lists if they are found no longer to meet the above criteria. If a country proposes to add or delete a nutrient compound to/from a list, the country should provide information that addresses how the nutrient compound satisfies/does not satisfy the criteria in Section 2.1.

3. Optional ingredients

The Optional Ingredients sections in Codex standards for foods for infants and young children do not identify all optional ingredients that may be considered for use in foods for special dietary uses intended for infants and young children. Optional ingredients added for nutritional purposes to foods for special dietary uses intended for infants and young children should meet the criteria specified in Section 2.1. They should also meet the provisions for optional ingredients in the respective Codex standard for foods for infants and young children.
A: ADVISORY LIST OF MINERAL SALTS AND TRACE ELEMENTS FOR USE IN FOODS FOR SPECIAL DIETARY USES INTENDED FOR INFANTS AND YOUNG CHILDREN

<table>
<thead>
<tr>
<th>Nutrient Source</th>
<th>Purity Requirements by</th>
<th>Use in Codex Food Standards Applicable to Infants and Young Children</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CAC&lt;sup&gt;1&lt;/sup&gt;</td>
<td>IF&lt;sup&gt;2&lt;/sup&gt;</td>
</tr>
<tr>
<td></td>
<td>international and/or national bodies</td>
<td>Sec. A&lt;sup&gt;2&lt;/sup&gt;</td>
</tr>
<tr>
<td>1. Source of Calcium (Ca)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.1 Calcium carbonate</td>
<td>√&lt;sup&gt;1&lt;/sup&gt; (1981)</td>
<td>JECFA (1973), Ph Int, FCC, USP, NF, Ph Eur, BP, DAB</td>
</tr>
<tr>
<td>1.2 Calcium chloride</td>
<td>√&lt;sup&gt;1&lt;/sup&gt; (1979)</td>
<td>JECFA (1975), FCC, USP, Ph Eur, JP, BP, DAB</td>
</tr>
<tr>
<td>1.3 Tricalcium dicitrate (Calcium citrate)</td>
<td>√&lt;sup&gt;1&lt;/sup&gt; (1979)</td>
<td>JECFA (1975), FCC, USP, DAB</td>
</tr>
<tr>
<td>1.4 Calcium gluconate</td>
<td>√&lt;sup&gt;1&lt;/sup&gt; (1999)</td>
<td>JECFA (1998), Ph Int, FCC, USP, Ph Eur, BP, DAB</td>
</tr>
<tr>
<td>1.5 Calcium glycerophosphate</td>
<td></td>
<td>FCC, Ph Eur, Ph Franc</td>
</tr>
</tbody>
</table>

<sup>1</sup> CAC = Codex Alimentarius Commission  
<sup>2</sup> IF = Section A of the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants  
<sup>3</sup> FUF = Follow-up Formula  
<sup>5</sup> PCBF = Processed Cereal Based Food for Infants and Young Children  
<sup>6</sup> CBF = Canned Baby Food  
<sup>7</sup> FSMP = Food for Special Medical Purposes other than Infant Formula
<table>
<thead>
<tr>
<th>Number</th>
<th>Compound Description</th>
<th>Source</th>
<th>JECFA (Year), FCC, USP, Ph Eur (hydrate)</th>
<th>BP, DAB</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.6</td>
<td>Calcium L-lactate</td>
<td>√ (1978)</td>
<td>√ √ √ √ √</td>
<td>√</td>
</tr>
<tr>
<td>1.7</td>
<td>Calcium hydroxide</td>
<td>√ (1979)</td>
<td>√ √ √ √ √</td>
<td>√</td>
</tr>
<tr>
<td>1.8</td>
<td>Calcium oxide</td>
<td>√ (1979)</td>
<td>- √ - √ √</td>
<td>√</td>
</tr>
<tr>
<td>1.9</td>
<td>Calcium dihydrogen phosphate (Calcium phosphate, monobasic)</td>
<td>√ (1997)</td>
<td>√ √ √ √ √</td>
<td>√</td>
</tr>
<tr>
<td>1.10</td>
<td>Calcium hydrogen phosphate (Calcium phosphate, dibasic)</td>
<td>√ (1979)</td>
<td>√ √ √ √ √</td>
<td>√</td>
</tr>
<tr>
<td>1.11</td>
<td>Tricalcium diphosphate (Calcium phosphate, tribasic)</td>
<td>JECFA (1973), Ph Int, FCC, BP</td>
<td>√ √ √ √ √</td>
<td>√</td>
</tr>
<tr>
<td>1.12</td>
<td>Calcium sulphate</td>
<td>√ (1979)</td>
<td>- √ - - √</td>
<td>√</td>
</tr>
</tbody>
</table>

2. **Source of Iron (Fe)**

<table>
<thead>
<tr>
<th>Number</th>
<th>Compound Description</th>
<th>Source</th>
<th>JECFA (Year), FCC, USP, Ph Eur (hydrate)</th>
<th>BP, DAB</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1</td>
<td>Ferrous carbonate, stabilised with saccharose</td>
<td>DAB</td>
<td>- √ - √ √</td>
<td>√</td>
</tr>
<tr>
<td>2.2</td>
<td>Ferrous fumarate</td>
<td>Ph Int, FCC, USP, Ph Eur, BP</td>
<td>√ √ √ √ √</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td>2.3 Ferrous gluconate</td>
<td>JECFA (1999), FCC, USP, Ph Eur, DAB, BP</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td>(2001)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.4 Ferrous lactate</td>
<td>JECFA (1989), FCC, NF</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td>(1991)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.5 Ferrous sulphate</td>
<td>JECFA (1999), Ph Int, FCC, USP, Ph Eur, BP, DAB</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td>(2001)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.6 Ferric ammonium citrate</td>
<td>JECFA (1984), FCC, DAC</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td>(1987)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.7 Ferric citrate</td>
<td>FCC</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.8 Ferric diphosphate (pyrophosphate)</td>
<td>FCC</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.9 Hydrogen reduced iron</td>
<td>FCC, DAB</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.10 Electrolytic iron</td>
<td>FCC</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.11 Carbonyl iron</td>
<td>FCC</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.12 Ferric saccharate</td>
<td>Ph Helv, DAB, ÖAB</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.13 Sodium ferric diphosphate</td>
<td>FCC</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.14 Ferrous citrate</td>
<td>FCC, FSANZ</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.15 Ferrous succinate</td>
<td>MP, MI, FSANZ</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.16 Ferrous bisglycinate</td>
<td>JECFA (2003)</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td>3. Source of Magnesium (Mg)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------------------------</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.1 Magnesium hydroxide carbonate</strong></td>
<td>FCC, USP, BP, DAB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.2 Magnesium chloride</strong></td>
<td>JECFA (1979), FCC, USP, Ph Eur (-4,5-hydrate), BP, DAB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.3 Magnesium gluconate</strong></td>
<td>JECFA (1998), FCC, DAC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.4 Magnesium glycero-phosphate</strong></td>
<td>FCC, USP, Ph Eur, BP, DAB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.5 Magnesium hydroxide</strong></td>
<td>JECFA (1975), FCC, USP, Ph Eur, BP, DAB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.6 Magnesium lactate</strong></td>
<td>JECFA (1983), (Mg-DL-Lactate, Mg-L-Lactate)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.7 Magnesium oxide</strong></td>
<td>JECFA (1973), FCC, USP, Ph Eur, BP, DAB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.8 Magnesium hydrogen phosphate</strong></td>
<td>JECFA (1982), FCC, DAB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.9 Trimagnesium phosphate</strong></td>
<td>JECFA (1982), FCC</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Ferric orthophosphate** | FCC | - | - | - | √ | - | - |
3.10 Magnesium sulphate | Ph Eur (heptahydrate), FCC, USP, JP, BP, DAB, DAC | √ | √ | √ | √ | √ | √ |
3.11 Magnesium acetate | Ph Eur, DAC | - | √ | - | - | - | √ |
3.12 Magnesium salts of citric acid | USP, DAC | √ | √ | √ | √ | √ | √ |
3.13 Magnesium carbonate | JECFA (1973), FCC, USP, Ph Eur, BP, DAB | √ | √ | √ | √ | √ | √ |

4. **Source of Sodium (Na)**

4.1 Sodium carbonate | JECFA (1975), FCC, USP, NF, Ph Eur, BP, DAB | √ | √ | √ | - | - | √ |
4.2 Sodium hydrogen carbonate (Sodium bicarbonate) | JECFA (1975), Ph Int, FCC, USP, Ph Eur, BP, DAB | √ | √ | √ | - | - | √ |
4.3 Sodium chloride | Ph Int, FCC, USP, Ph Eur, JP, BP, DAB | √ | √ | √ | - | - | √ |
4.4 Trisodium citrate (Sodium citrate) | JECFA (1975), Ph Int, FCC, USP, Ph Eur, BP, DAB | √ | √ | √ | - | - | √ |
4.5 Sodium gluconate | JECFA (1998), FCC, USP, DAC | √ | √ | √ | - | - | √ |
4.6 Sodium L-lactate | JECFA (1974), FCC, USP, Ph Eur, BP, DAB | √ | √ | √ | - | - | √ |
4.7 Sodium dihydrogen phosphate (Sodium phosphate, monobasic) | √ (1995) | JECFA (1963), FCC, USP, Ph Eur (dihydrate) | √ | √ | √ | - | - | √  

4.8 Disodium hydrogen phosphate (Sodium phosphate, dibasic) | JECFA (1975), Ph Int, FCC, USP, BP | √ | √ | √ | - | - | √  

4.9 Trisodium phosphate (Sodium phosphate, tribasic) | JECFA (1975), FCC, DAC | √ | √ | √ | - | - | √  

4.10 Sodium hydroxide | JECFA (1975), Ph Int, FCC, USP, NF, Ph Eur, JP, BP, DAB | √ | √ | √ | - | - | √  

4.11 Sodium sulphate | JECFA (2000), Ph Int, FCC, USP, Ph Eur, BP, DAB, FSANZ | √ | √ | √ | - | - | √  

5. Source of Potassium (K)  

5.1 Potassium carbonate | √ (1979) | JECFA (1975), FCC, USP, Ph Eur, DAC | √ | √ | √ | - | - | √  

5.2 Potassium hydrogen carbonate (Potassium bicarbonate) | √ (1979) | JECFA (1975), FCC, USP, Ph Eur, BP, DAB | √ | √ | √ | - | - | √  

5.3 Potassium chloride | √ (1983) | JECFA (1979), Ph Int, FCC, USP, Ph Eur, BP, DAB | √ | √ | √ | √ | √ | √
| 5.4 | Tripotassium citrate (Potassium citrate) | JECFA (1975), Ph Int, FCC, USP, Ph Eur, BP, DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 5.5 | Potassium gluconate | JECFA (1998), FCC, USP, DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 5.6 | Potassium glycerophosphate | FCC | - | ✓ | - | ✓ | ✓ | ✓ |
| 5.7 | Potassium L-lactate | JECFA (1974), FCC, DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 5.8 | Potassium dihydrogen phosphate (Potassium phosphate, monobasic) | JECFA (1982), FCC, NF, Ph Eur, BP, DAB | ✓ | ✓ | ✓ | - | - | ✓ |
| 5.9 | Dipotassium hydrogen phosphate (Potassium phosphate, dibasic) | JECFA (1982), FCC, BP | ✓ | ✓ | ✓ | - | - | ✓ |
| 5.10 | Potassium phosphate, tribasic | JECFA (1982) | ✓ | ✓ | ✓ | - | - | ✓ |
| 5.11 | Potassium hydroxide | JECFA (1975), FCC, NF, Ph Eur, JP, BP, DAC | ✓ | ✓ | ✓ | - | - | ✓ |

**6. Source of Copper (Cu)**

<p>| 6.1 | Cupric gluconate (Copper gluconate) | FCC, USP | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 6.2 | Cupric sulphate (Copper sulphate) | JECFA (1973), FCC, USP, Ph Eur, DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 6.3 | Cupric carbonate | MI | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |</p>
<table>
<thead>
<tr>
<th>6.4 Cupric citrate</th>
<th>FCC, USP</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
</tr>
</thead>
</table>

### 7. Source of Iodine (I)

<table>
<thead>
<tr>
<th>7.1 Potassium iodide</th>
<th>Ph Int, FCC, USP, Ph Eur, BP, DAB</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.2 Sodium iodide</td>
<td>Ph Eur, USP, BP, DAB</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>7.3 Potassium iodate</td>
<td>JECFA (1988), FCC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>7.4 Sodium iodate</td>
<td>FCC</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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</tbody>
</table>

### 8. Source of Zinc (Zn)

<table>
<thead>
<tr>
<th>8.1 Zinc acetate</th>
<th>USP, Ph Eur (dihydrate)</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.2 Zinc chloride</td>
<td>USP, Ph Eur, JP, BP, DAB</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>8.3 Zinc gluconate</td>
<td>FCC, USP, DAC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>8.4 Zinc lactate</td>
<td>FCC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>8.5 Zinc oxide</td>
<td>Ph Int, FCC, USP, Ph Eur, BP, DAB</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>8.6 Zinc sulphate</td>
<td>FCC, USP, Ph Eur, BP</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>8.7 Zinc carbonate</td>
<td>USP, BP (hydroxide carbonate)</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>-</td>
<td>-</td>
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</tr>
</tbody>
</table>

### 9. Source of Manganese (Mn)

<table>
<thead>
<tr>
<th>9.1 Manganese(II) chloride</th>
<th>FCC</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>9.2 Manganese(II) citrate</td>
<td>FCC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>9.3 Manganese(II) glycero-phosphate</td>
<td>FCC</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>9.4 Manganese(II) sulphate</td>
<td>FCC, USP, Ph Eur (monohydrate)</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>---------------------------</td>
<td>---------------------------------</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>9.5 Manganese(II) gluconate</td>
<td>FCC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>9.6 Manganese(II) carbonate</td>
<td>MI</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
</tbody>
</table>

**10. Source of Selenium (Se)**

<table>
<thead>
<tr>
<th>10.1 Sodium selenate</th>
<th>MI</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>✓</th>
<th>-</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.2 Sodium selenite</td>
<td>Ph Eur, USP, MP, MI</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>-</td>
<td>✓</td>
</tr>
<tr>
<td>10.3 Sodium hydrogen selenite</td>
<td>DVFA</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>✓</td>
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</table>

**11. Chromium (Cr III)**

<table>
<thead>
<tr>
<th>11.1 Chromium (III) sulphate</th>
<th>USP, MI</th>
<th>-</th>
<th>✓</th>
<th>-</th>
<th>-</th>
<th>-</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>11.2 Chromium (III) chloride</td>
<td>USP, MI</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>✓</td>
</tr>
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</table>

**12. Molybdenum (Mo VI)**

<table>
<thead>
<tr>
<th>12.1 Sodium molybdate</th>
<th>Ph Eur (dihydrate), BP, DAB</th>
<th>-</th>
<th>✓</th>
<th>-</th>
<th>-</th>
<th>-</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>12.2 Ammonium molybdate</td>
<td>FCC, USP</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>✓</td>
</tr>
</tbody>
</table>

**13. Fluoride (F)**

<table>
<thead>
<tr>
<th>13.1 Sodium fluoride</th>
<th>FCC, USP, Ph Eur, BP, DAB</th>
<th>-</th>
<th>✓</th>
<th>-</th>
<th>-</th>
<th>-</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>13.2 Potassium fluoride</td>
<td>FCC, DAB</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>✓</td>
</tr>
<tr>
<td>13.3 Calcium fluoride</td>
<td>DAB</td>
<td>-</td>
<td>✓</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>✓</td>
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B: ADVISORY LIST OF VITAMIN COMPOUNDS FOR USE IN FOODS FOR SPECIAL DIETARY USES INTENDED FOR INFANTS AND YOUNG CHILDREN

<table>
<thead>
<tr>
<th>Nutrient Source</th>
<th>Purity Requirements by CAC&lt;sup&gt;1&lt;/sup&gt; international and/or national bodies</th>
<th>Use in Codex Food Standards Applicable to Infants and Young Children</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>IF Sec. A&lt;sup&gt;2&lt;/sup&gt; Sec. B&lt;sup&gt;3&lt;/sup&gt; FUF&lt;sup&gt;4&lt;/sup&gt; PCBF&lt;sup&gt;5&lt;/sup&gt; CBF&lt;sup&gt;6&lt;/sup&gt; FSMP&lt;sup&gt;7&lt;/sup&gt; for infants and young children</td>
</tr>
<tr>
<td>1. Vitamin A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.1 all trans Retinol</td>
<td>FCC (vitamin A), USP, Ph Eur (vitamin A)</td>
<td>√</td>
</tr>
<tr>
<td>1.2 Retinyl acetate</td>
<td>FCC (vitamin A), USP, Ph Eur (vitamin A), Jap Food Stan</td>
<td>√</td>
</tr>
<tr>
<td>1.3 Retinyl palmitate</td>
<td>FCC (vitamin A), USP, Ph Eur (vitamin A), Jap Food Stan</td>
<td>√</td>
</tr>
<tr>
<td>2. Provitamin A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.1 Beta-Carotene</td>
<td>√ (1991) JECFA (1987), FCC, USP, Ph Eur, Jap Food Stan</td>
<td>√</td>
</tr>
</tbody>
</table>

<sup>1</sup> CAC = Codex Alimentarius Commission
<sup>2</sup> IF Sect. A = Section A of the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants
<sup>3</sup> IF Sect. B = Section B of the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants
<sup>4</sup> FUF = Follow-up Formula
<sup>5</sup> PCBF = Processed Cereal Based Foods for Infants and Young Children
<sup>6</sup> CBF = Canned Baby Food
<sup>7</sup> FSMP = Food for Special Medical Purposes other than Infant Formula
### 3. Vitamin D

| 3.1 Vitamin D₂ = Ergocalciferol | Ph Int, FCC, USP, Ph Eur, Jap Food Stan, DAB | ✓ | ✓ | ✓ | ✓ | ✓ |
| 3.2 Vitamin D₃ = Cholecalciferol | Ph Int, FCC, USP, Jap Food Stan, BP, DAB | ✓ | ✓ | ✓ | ✓ | ✓ |

### 4. Vitamin E

| 4.2 DL-alpha-Tocopherol | ✓ (1989) | JECFA (1986), FCC, USP, NF, Ph Eur, Jap Food Stan | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.3 D-alpha-Tocopheryl acetate | FCC, USP, NF, Ph Eur | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.4 DL-alpha-Tocopheryl acetate | FCC, USP, NF, Ph Eur | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.5 D-alpha-Tocopheryl acid succinate | FCC, USP, Ph Eur | - | ✓ | - | - | - | ✓ |
| 4.6 DL-alpha-Tocopheryl acid succinate | NF, MP, MI, USP, Ph Eur | - | ✓ | - | - | - | ✓ |
| 4.7 DL-alpha-Tocopheryl polyethylene glycol 1000 succinate | FCC, USP | - | ✓ | - | - | - | ✓ |

### 5. Vitamin C

<p>| 5.1 L-Ascorbic acid | ✓ (1981) | JECFA (1973), Ph Int, FCC, USP, Ph Eur, JP, Jap Food Stan, BP, DAB | ✓ | ✓ | ✓ | ✓ | ✓ |</p>
<table>
<thead>
<tr>
<th>5.2 Calcium-L-ascorbate</th>
<th>√ (1983)</th>
<th>JECFA (1981), FCC, USP, Ph Eur</th>
<th>√</th>
<th>√</th>
<th>√</th>
<th>√</th>
<th>√</th>
<th>√</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.3 6-Palmitoyl-L-ascorbic acid (Ascorbyl palmitate)</td>
<td>JECFA (1973), FCC, USP, NF, Ph Eur, Jap Food Stan, BP, DAB</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td></td>
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<tr>
<td>5.4 Sodium-L-ascorbate</td>
<td>JECFA (1973), FCC, USP, Ph Eur, Ph Franc, Jap Food Stan, DAC</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>5.5 Potassium-L-ascorbate</td>
<td>FCC</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td></td>
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</tbody>
</table>

6. Vitamin B₁

| 6.1 Thiaminchloride hydrochloride | Ph Int, FCC, USP, Ph Eur, Jap Food Stan, DAB | √ | √ | √ | √ | √ | √ |
| 6.2 Thiamin mononitrate | Ph Int, FCC, USP, Ph Eur, Jap Food Stan, DAB | √ | √ | √ | √ | √ | √ |

7. Vitamin B₂

<p>| 7.1 Riboflavin | √ (1991) | JECFA (1987), Ph Int, FCC, USP, Ph Eur, JP, Jap Food Stan, BP, DAB | √ | √ | √ | √ | √ | √ |
| 7.2 Riboflavin-5'-phosphate sodium | √ (1991) | JECFA (1987), USP, Ph Eur, JP, Jap Food Stan, BP, DAB | √ | √ | √ | √ | √ | √ |</p>
<table>
<thead>
<tr>
<th>8. Niacin</th>
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</thead>
<tbody>
<tr>
<td>8.1 Nicotinic acid amide (Nicotinamide)</td>
<td>Ph Int, FCC, USP, Ph Eur, Jap Food Stan, BP, DAB</td>
</tr>
<tr>
<td>8.2 Nicotinic acid</td>
<td>Ph Int, FCC, USP, Ph Eur, Jap Food Stan, BP, DAB</td>
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</table>

<table>
<thead>
<tr>
<th>9. Vitamin B₆</th>
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</tr>
</thead>
<tbody>
<tr>
<td>9.1 Pyridoxine hydrochloride</td>
<td>Ph Int, FCC, USP, Ph Eur, Jap Food Stan, DAB</td>
</tr>
<tr>
<td>9.2 Pyridoxal 5-phosphate</td>
<td>MI, FCC, USP</td>
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<table>
<thead>
<tr>
<th>10. Folic acid</th>
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</thead>
<tbody>
<tr>
<td>10.1 N-Pteroyl-L-glutamic acid</td>
<td>Ph Int, FCC, USP, Ph Eur, Jap Food Stan</td>
</tr>
<tr>
<td>10.2 Calcium-L-methyl-folate</td>
<td>JECFA (2005)</td>
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</table>

<table>
<thead>
<tr>
<th>11. Pantothenic acid</th>
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</thead>
<tbody>
<tr>
<td>11.1 Calcium-D-pantothenate</td>
<td>FCC, USP, Ph Eur, Jap Food Stan, DAB</td>
</tr>
<tr>
<td>11.2 Sodium-D-pantothenate</td>
<td>Jap Food Stan, DAB</td>
</tr>
<tr>
<td>11.3 D-Panthenol/</td>
<td>FCC, USP, Ph Eur</td>
</tr>
<tr>
<td>11.4 DL-Panthenol</td>
<td>FCC, USP, Ph Eur</td>
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</table>

<table>
<thead>
<tr>
<th>12. Vitamin B₁₂</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>12.1 Cyanocobalamin</td>
<td>Ph Int, FCC, USP, Ph Eur, BP, DAB</td>
</tr>
<tr>
<td>12.2 Hydroxo-cobalamin</td>
<td>Ph Int, USP, NF, Ph Eur (hydro-chloride)</td>
</tr>
<tr>
<td>------------------------</td>
<td>----------------------------------------</td>
</tr>
<tr>
<td>13. Vitamin K₁</td>
<td></td>
</tr>
<tr>
<td>13.1 Phytomenadione</td>
<td>Ph Int, FCC (vitamin K), USP, Ph Eur BP</td>
</tr>
<tr>
<td>(2-Methyl-3-phytyl-1,4-naphthoquinone/Phytoquinone/Phytonadione)</td>
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</tr>
<tr>
<td>14. Biotin</td>
<td></td>
</tr>
<tr>
<td>14.1 D-Biotin</td>
<td>FCC, USP, Ph Eur</td>
</tr>
</tbody>
</table>
## C: ADVISORY LIST OF AMINO ACIDS AND OTHER NUTRIENTS FOR USE IN FOODS FOR SPECIAL DIETARY USES INTENDED FOR INFANTS AND YOUNG CHILDREN

<table>
<thead>
<tr>
<th>Nutrient Source</th>
<th>Purity Requirements by CAC&lt;sup&gt;1&lt;/sup&gt;</th>
<th>Use in Codex Food Standards Applicable to Infants and Young Children</th>
<th></th>
</tr>
</thead>
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<tr>
<td></td>
<td>internation al and/or national bodies</td>
<td>IF Sec. A&lt;sup&gt;2&lt;/sup&gt;</td>
<td>FUF&lt;sup&gt;3&lt;/sup&gt;</td>
</tr>
<tr>
<td>1. Amino acids&lt;sup&gt;8&lt;/sup&gt;</td>
<td></td>
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</tr>
<tr>
<td>1.1 L-Arginine</td>
<td>FCC, USP, Ph Eur, BP, DAB</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>1.2 L-Arginine hydrochloride</td>
<td>FCC, USP, Ph Eur, BP, DAB</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>1.3 L-Cystine</td>
<td>FCC, USP, Ph Eur</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>1.4 L-Cystine dihydrochloride</td>
<td>MI</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>1.5 L-Cysteine</td>
<td>DAB</td>
<td>√</td>
<td></td>
</tr>
<tr>
<td>1.6 L-Cysteine hydrochloride</td>
<td>FCC, Ph Eur</td>
<td>√</td>
<td></td>
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<tr>
<td>1.7 L- Histidine</td>
<td>FCC, USP, Ph Eur, BP</td>
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<tr>
<td>1.8 L- Histidine hydrochloride</td>
<td>FCC, Ph Eur, BP</td>
<td>√</td>
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<tr>
<td>1.9 L-Isoleucine</td>
<td>FCC, USP, Ph Eur, DAB</td>
<td>√</td>
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</tr>
<tr>
<td>1.10 L-Isoleucine hydrochloride</td>
<td>FCC, USP</td>
<td>√</td>
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</tr>
</tbody>
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<sup>1</sup> CAC = Codex Alimentarius Commission  
<sup>2</sup> IF Sect. A = Section A of the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants  
<sup>3</sup> IF Sect. B = Section B of the Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants  
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<sup>6</sup> CBF = Canned Baby Food  
<sup>7</sup> FSMP = Food for Special Medical Purposes other than Infant Formula  
<sup>8</sup> As far as applicable, also the free, hydrated and anhydrous forms of amino acids, and the hydrochloride, sodium, and potassium salts of amino acids may be used for FSMP.
<p>| 1.11 L-Leucine | FCC, USP, Ph Eur, DAB | √ |  |
| 1.12 L-Leucine hydrochloride | MI, FCC, USP | √ |  |
| 1.13 L-Lysine | USP | √ |  |
| 1.14 L-Lysine monohydrochloride | FCC, USP, Ph Eur, DAB | √ |  |
| 1.15 L-Methionine | Ph Int, FCC, USP, Ph Eur, DAB | √ |  |
| 1.16 L-Phenylalanine | FCC, USP, Ph Eur | √ |  |
| 1.17 L-Threonine | FCC, USP, Ph Eur, DAB | √ |  |
| 1.18 L-Tryptophan | FCC, USP, Ph Eur, DAB | √ |  |
| 1.19 L-Tyrosine | FCC, USP, Ph Eur, DAB | √ |  |
| 1.20 L-Valine | FCC, USP, Ph Eur, DAB | √ |  |
| 1.21 L-Alanine | FCC, USP, Ph Eur, DAB | - | √ | - | - | - |  |
| 1.22 L-Arginine L-aspartate | FP | - | √ | - | - | - |  |
| 1.23 L-Aspartic acid | FCC, USP, Ph Eur | - | √ | - | - | - |  |
| 1.24 L-Citrulline | USP, DAC | - | √ | - | - | - |  |
| 1.25 L-Glutamic acid | JECFA (1987), FCC, USP, Ph Eur | - | √ | - | - | - |  |
| 1.26 L-Glutamine | FCC, USP, DAB | - | √ | - | - | - |  |
| 1.27 Glycine | FCC, USP, Ph Eur | - | √ | - | - | - |  |</p>
<table>
<thead>
<tr>
<th>1.28 L-Ornithine</th>
<th>MI, FCC</th>
<th>-</th>
<th>√</th>
<th>-</th>
<th>-</th>
<th>-</th>
<th>√</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.29 L-Ornithine monohydrochloride</td>
<td>DAB</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.30 L-Proline</td>
<td>FCC, USP, Ph Eur, DAB</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.31 L-Serine</td>
<td>USP, Ph Eur, DAB</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.32 N-Acetyl-L-cysteine</td>
<td>USP, Ph Eur, DAB</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.33 N-Acetyl-L-methionine</td>
<td>FCC</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.34 L-Lysine acetate</td>
<td>FCC, USP, MP; Ph Eur</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.35 L-Lysine L-Aspartate</td>
<td>Jap Food Stan</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.36 L-Lysine L-glutamate dihydrate</td>
<td>Jap Food Stan</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.37 Magnesium L-aspartate</td>
<td>Ph Eur</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.38 Calcium L-glutamate</td>
<td>JECFA, FCC, FSANZ, Jap Food Stan (1991)</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
<tr>
<td>1.39 Potassium L-glutamate</td>
<td>JECFA, FCC, FSANZ, Jap Food Stan</td>
<td>-</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
</tbody>
</table>

2. Carnitine

<table>
<thead>
<tr>
<th>2.1 L-Carnitine</th>
<th>FCC, USP, Ph Eur</th>
<th>√</th>
<th>√</th>
<th>√</th>
<th>√</th>
<th>√</th>
<th>√</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.2 L-Carnitine hydrochloride</td>
<td>FCC</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
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<tr>
<td>2.3 L-Carnitine tartrate</td>
<td>FCC, Ph Eur</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>-</td>
<td>-</td>
<td>√</td>
</tr>
</tbody>
</table>

3. Taurine

| 3.1 Taurine | USP, JP | √ | √ | √ | - | - | √ |
### 4. Choline

| 4.1 Choline | FCC, USP | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.2 Choline chloride | FCC, DAC, DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.3 Choline citrate | NF | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.4 Choline hydrogen tartrate | DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 4.5 Choline bitartrate | FCC, NF, DAB | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |

### 5. Inositols

| 5.1 Myo-Inositol (=meso-Inositol) | FCC, DAC | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |

### 6. Nucleotides

| 6.1 Adenosine 5'-monophosphate (AMP) | FSANZ | ✓ | ✓ | ✓ | - | - | ✓ |
| 6.2 Cytidine 5'-monophosphate (CMP) | FSANZ, Jap Food Stan | ✓ | ✓ | ✓ | - | - | ✓ |
| 6.3 Guanosine 5'-monophosphate (GMP) | JECFA (1985) | ✓ | ✓ | ✓ | - | - | ✓ |
| 6.4 Inosine 5'-monophosphate (IMP) | JECFA (1974) | ✓ | ✓ | ✓ | - | - | ✓ |
| 6.5 Disodium Uridine 5'-monophosphate salt | FSANZ, Jap Food Stan | ✓ | ✓ | ✓ | - | - | ✓ |
| 6.6 Disodium Guanosine 5'-monophosphate salt | FCC, JECFA, FSANZ, Jap Food Stan | ✓ | ✓ | ✓ | - | - | ✓ |
| 6.7 Disodium Inosine 5'-monophosphate salt | FCC, JECFA, FSANZ, Jap Food Stan | ✓ | ✓ | ✓ | - | - | ✓ |
D: ADVISORY LIST OF FOOD ADDITIVES FOR SPECIAL NUTRIENT FORMS

For reasons of stability and safe handling, some vitamins and other nutrients have to be converted into suitable preparations, e.g. gum arabic coated products, dry rubbed preparations. For this purpose, the food additives included in the respective specific Codex standard may be used. In addition, the following food additives may be used as nutrient carriers:

<table>
<thead>
<tr>
<th>INS no.</th>
<th>Additive/ Carrier</th>
<th>Maximum Level in Ready-to-use Food for infants and young children (mg/kg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) 551</td>
<td>Silicon dioxide</td>
<td>10</td>
</tr>
<tr>
<td>(b) 421</td>
<td>Mannitol (for vitamin B₁₂ dry rubbing, 0,1% only)</td>
<td>10</td>
</tr>
<tr>
<td>(c) 1450</td>
<td>Starch sodium octenyl succinate</td>
<td>100</td>
</tr>
<tr>
<td>(d) 301</td>
<td>Sodium L-ascorbate (in coating of nutrient preparations containing polyunsaturated fatty acids)</td>
<td>75</td>
</tr>
</tbody>
</table>

Abbreviations:

BP = British Pharmacopoeia
BPC = British Pharmaceutical Codex
DAB = Deutsches Arzneibuch
DAC = Deutscher Arzneimittel-Codex
DVFA = Danish Veterinary and Food Administration
FCC = Food Chemicals Codex
FSANZ = Food Standards Australia New Zealand
FU = Farmacopoea Ufficiale della Republica Italiana
JP = The Pharmacopoeia of Japan
Jap Food Stan = Japanese Food Standard
MI = Merck Index
MP = Martindale Pharmacopoeia
ÖAB = Österreichisches Arzneibuch
Ph Eur = Pharmacopoeia Europaea
Ph Franç = Pharmacopée Française
Ph Helv = Pharmacopoeia Helvetica
Ph Int = International Pharmacopoeia
USP = The United States Pharmacopoeia
APPENDIX V

PROPOSED DRAFT ADVISORY LIST OF NUTRIENT COMPOUNDS FOR USE IN FOODS FOR SPECIAL DIETARY USES INTENDED FOR INFANTS AND YOUNG CHILDREN

(At Step 6 of the Procedure)

D: ADVISORY LIST OF FOOD ADDITIVES FOR SPECIAL NUTRIENT FORMS

<table>
<thead>
<tr>
<th>INS no.</th>
<th>Additive/ Carrier</th>
<th>Maximum Level in Ready-to-use Food for infants and young children [mg/kg]</th>
</tr>
</thead>
<tbody>
<tr>
<td>[(a)]</td>
<td>414 Gum arabic (gum acacia)</td>
<td>[10] or [100]</td>
</tr>
</tbody>
</table>
APPENDIX VI

PROPOSED DRAFT NUTRITIONAL RISK ANALYSIS PRINCIPLES AND GUIDELINES FOR APPLICATION TO THE WORK OF THE COMMITTEE ON NUTRITION AND FOODS FOR SPECIAL DIETARY USES

(At Step 5 of the Procedure)

SECTION 1 – BACKGROUND


2. The objective of the Working Principles is “to provide guidance to the Codex Alimentarius Commission and the joint FAO/WHO expert bodies and consultations so that food safety and health aspects of Codex standards and related texts are based on risk analysis”. By its reference to health aspects in addition to food safety, the objective provides clearer direction for risk analysis to apply to nutritional matters that are within the mandate of the Codex Alimentarius Commission and its subsidiary bodies.

SECTION 2 – INTRODUCTION

3. Codex nutritional risk analysis addresses nutrients and related substances and the risk to health from their inadequate and/or excessive intake. Nutritional risk analysis applies the same general approach as traditional food safety risk analysis to consideration of excessive intakes of nutrients and related substances. However, unlike many constituents of food that are the subject of traditional food safety risk analysis such as food additives, chemical (pesticide and veterinary drug) residues inherent constituents such as allergens, nutrients and related substances are inherent constituents that are biologically essential (in the case of essential nutrients) or in other ways potentially favourable to health. Nutritional risk analysis therefore adds a new dimension to traditional risk analysis by also considering risks directly posed by inadequate intakes, microbiological pathogens, contaminants and

4. The [Nutritional Risk Analysis Principles and Guidelines for Application to the Work of the Committee on Nutrition and Foods for Special Dietary Uses] presented in this document (hereafter cited as “Nutritional Risk Analysis Principles”) are subsidiary to and should be read in conjunction with the Working Principles.

These Nutritional Risk Analysis Principles are framed within the three-component structure of the Working Principles, but with an added initial step to formally recognize Problem Formulation as an important preliminary risk management activity.

5. Consistent with their important role in providing scientific advice to the Codex Alimentarius Commission and its subsidiary bodies, FAO and WHO and their joint expert consultations [and expert bodies] are acknowledged as the primary source of nutritional risk assessment advice to Codex

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1 Nutrient is defined by Codex General Principles for the Addition of Essential Nutrients to Foods (CAC/GL 09-1987) to mean:
   Any substance normally consumed as a constituent of food:
   (a) which provides energy; or
   (b) which is needed for growth and development and maintenance of healthy life; or
   (c) a deficit of which will cause characteristic biochemical or physiological changes to occur.

Essential nutrient means any substance normally consumed as a constituent of food which is needed for growth and development and the maintenance of healthy life and which cannot be synthesized in adequate amounts by the body.

2 A related substance is an inherent constituent of food (other than a nutrient) that has a [potential] nutritional or physiological effect. ]
Alimentarius. This role however, does not preclude the choice of other sources of scientific advice such as appropriate international expert groups or organizations if and when justified.

SECTION 3 – SCOPE AND APPLICATION

6. [The Nutritional Risk Analysis Principles are established to guide the Codex Alimentarius Commission and its subsidiary bodies - primarily but not exclusively the Codex Committee on Nutrition and Foods for Special Dietary Uses (CCNFSDU) - in applying nutritional risk analysis to their work. This guidance potentially extends beyond CCNFSDU since the Committee is also mandated, in accordance with its 4th term of reference, “to consider, amend if necessary, and endorse provisions on nutritional aspects” of foods including those resulting from application of nutritional risk analysis that are developed by other Codex subsidiary bodies.]

7. Nutritional risk analysis considers the risk of adverse health effects from inadequate and/or excessive intakes of nutrients and related substances, and the predicted reduction in risk from proposed management strategies. In situations that address inadequate intakes, such a reduction in risk might be referred to as [one form of] a nutritional benefit.

8. The food constituents of primary interest in nutritional risk analysis are inherent components of food and/or intentionally added to food [and are identified as:

- nutrients that may reduce the risk of inadequacy and those that may increase the risk of adverse health effects; or
- related substances\(^2\) that may increase the risk of adverse health effects at excessive intake and may also reduce the risk of other adverse health effects at lower intake;
- [nutrients that increase the risk of adverse health effects that exist in a food matrix with a nutrient(s) or related substance(s) associated with reduction of the risk of inadequacy or adverse health effects at lower intake].]

9. Where appropriate, the application of quantitative nutritional risk analysis may guide decision making on quantitative content provisions for nutrients and related substances in certain Codex texts.

10. Nutritional risk analysis should be as quantitative as possible, although a qualitative risk-based approach drawing on the principles of nutritional risk analysis could assist the development of Codex texts in such situations as:

- formulating general principles related to nutritional composition (e.g. principles for the addition of nutrients to foods);
- formulating general principles for assessing or managing risks related to foods for which a nutrition or health claim has been requested;
- managing risks by labelling advice in relation to consumption of foods of certain nutrient-related\(^3\) composition, including foods for special dietary use; and
- advising on risk-risk analysis (e.g. risk associated with a significantly reduced or entirely avoided consumption of a nutritious, staple food in response to a dietary hazard such as a contaminant present in that food.)

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\(^2\) For the purpose of these Nutritional Risk Analysis Principles, the descriptive term ‘nutrient-related’ refers to one or more nutrients and/or related substances, as the case may be.
SECTION 4 – DEFINITIONS

11. The Definitions of Risk Analysis Terms Related to Food Safety in this Procedural Manual provide suitable generic definitions of risk analysis, risk assessment, risk management, risk communication and risk assessment policy. When applied in a nutritional risk analysis context, these high-level risk analysis terms should be prefaced by “nutritional” and their existing definitions appropriately adapted by replacement of relevant existing terms and definitions with those listed below.

12. However, other Definitions of Risk Analysis Terms Related to Food Safety have been modified to reference inadequate intake as a nutritional risk factor. Some new terms also have been defined to provide further clarity. The modified or newly developed subsidiary definitions are as follows:

**Nutritional risk** – A function of the probability of an adverse health effect associated with inadequate or excessive intake of a nutrient or related substance and the severity of that effect, consequential to a nutrient-related hazard(s) in food.

**Adverse health effect** – A change in the morphology, physiology, growth, development, reproduction or life span of an organism, system, or (sub)population that results in an impairment of functional capacity, an impairment of the capacity to compensate for additional stress, or an increase in susceptibility to other influences.

**Nutrient-related hazard** – A nutrient or related substance in food that has the potential to cause an adverse health effect depending on inadequate or excessive level of intake.

**Nutrient-related hazard identification** – The identification of a nutrient-related hazard in a particular food or group of foods.

**Nutrient-related hazard characterization** – The qualitative and/or quantitative evaluation of the nature of the adverse health effects associated with a nutrient-related hazard.

**Dose response assessment** – The determination of the relationship between the magnitude of intake of (or exposure to) (i.e. dose) a nutrient or related substance and the severity and/or frequency of associated adverse health effects (i.e. response).

**Upper level of intake** – the maximum level of habitual intake from all sources of a nutrient or related substance judged to be unlikely to lead to adverse health effects in humans.

**Highest observed intake** – the highest level of intake observed or administered as reported within a stud(ies) of acceptable quality. It is derived only when no adverse health effects have been identified.

**Intake (Exposure) assessment** – The qualitative and/or quantitative evaluation of the likely intake of a nutrient or related substance from food as well as intake from other relevant sources such as food supplements.

**Nutrient-related risk characterization** – The qualitative and/or quantitative estimation, including attendant uncertainties, of the probability of occurrence and severity of known or potential adverse health effects in a given population based on nutrient-related hazard identification, nutrient-related hazard characterization and intake assessment.

**Bioavailability** – The proportion of the ingested nutrient or related substance that is absorbed and utilised through normal metabolic pathways. Bioavailability is influenced by dietary factors such as

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chemical form, interactions with other nutrients and food components, and food processing/preparation; and host–related intestinal and systemic factors.

**Homeostatic mechanism** – A mechanism effected through a system of controls activated by negative feedback that allow the maintenance of normal body functions in the presence of a variable nutrition environment.

**SECTION 5 – PRINCIPLES FOR NUTRITIONAL RISK ANALYSIS**

13. Nutritional risk analysis comprises three components: risk assessment, risk management and risk communication. Particular emphasis is given to an initial step of Problem Formulation as a key preliminary risk management activity.

**PRELIMINARY NUTRITIONAL RISK MANAGEMENT ACTIVITIES**

14. Preliminary nutritional risk management activities should have regard to the particular sections in the Working Principles titled General Aspects of Risk Analysis, and Risk Assessment Policy.

**Nutritional Problem Formulation**

15. Nutritional Problem Formulation is necessary to identify the purpose of a nutritional risk assessment and is a key component of preliminary nutritional risk management activity because it fosters interactions between risk managers and risk assessors to help ensure common understanding of the problem and the purpose of the risk assessment.

16. Such considerations should include whether a nutritional risk assessment is needed and if so:

   - the priority it should be accorded;
   - who should conduct and be involved in the nutritional risk assessment, nutritional risk management and nutritional risk communication processes;
   - the need for development of nutritional risk assessment policy;
   - how the nutritional risk assessment will provide the information necessary to support the nutritional risk management decision;
   - whether data are available to embark on an evaluation of nutritional risks;
   - what level of resources are available; and
   - the timeline for completing the assessment.

17. Specific information to be gathered for nutritional problem formulation may include:

   - a detailed inventory of prior knowledge;
   - identification of the (sub)populations to be the focus for the risk assessment, geographical areas or consumer settings to be covered;
   - relevant route(s) of exposure; and
   - the health endpoints to be considered.
NUTRITIONAL RISK ASSESSMENT

18. The risk assessment section of the Codex *Working Principles for Risk Analysis for Application in the Framework of the Codex Alimentarius* is generally applicable to nutritional risk assessment. Additional nutritional risk assessment principles to consider within the Codex framework are identified below.

**Nutrient-Related Hazard Identification and Hazard Characterization**

19. These two steps are often globally relevant because they are based on available scientific and medical literature that contribute data from diverse population groups. This global relevance for characterization of hazard does not, however, preclude the possibility of a (sub)population-specific hazard.

20. Nutritional risk assessment should take into consideration the nutrient-related hazard(s) posed by both inadequate and excessive intakes. This may include consideration of hazard(s) posed by excessive intakes of accompanying risk-increasing nutrients in the food vehicle(s) under consideration.

21. Nutrient-related hazard identification and characterization should recognize current methodological differences in assessment of nutritional risk of inadequate and excessive intakes, and scientific advances in these methodologies.

22. Nutrient-related hazard characterization should take into account homeostatic mechanisms for essential nutrients, and limitations in the capacity for homeostatic adaptations. It may also take into account bioavailability including factors affecting the bioavailability of nutrients and related substances such as different chemical forms.

23. Nutrient reference standards that may be used to characterize nutrient-related hazard(s) related to adequacy include measures of average requirement. Some globally applicable nutrient reference standards for average requirement have been published by FAO/WHO. Official regional and national nutrient reference standards are also available and have been periodically updated to reflect scientific advances. These are more likely to relate to nutrients than to related substances.

24. Nutrient reference standards that may be used to characterize nutrient-related hazard(s) related to excessive intakes include upper levels of intake. Some globally applicable reference standards of upper level of intake have been published by FAO/WHO. In addition, the establishment of international upper levels of intake and highest observed intake that build on recommendations may be considered in the future. Some periodically-updated nutrient reference standards are available from regional and national authorities. For some related substances, such standards developed from a systematic review of the evidence are available only in the peer-reviewed scientific literature.

25. The assessment of inadequate and excessive levels of intake of particular nutrients and related substances should take into account the availability of all such scientifically determined reference sources, as appropriate. When using such reference standards for nutrient and related substances in nutritional risk assessment, the basis for their derivation should be explicitly described.

**Nutrient-Related Intake Assessment and Risk Characterization**

26. These two steps are generally specific to the (sub)population(s) under consideration for risk assessment. The populations relevant to Codex consideration are populations at large in Codex member countries or particular subpopulation groups in these countries defined according to physiological parameters such as age or state of health.

27. Nutrient-related intake assessment and risk characterization should be applied within a total diet context. Where feasible, it would typically involve the evaluation of the distribution of habitual total daily intakes for the target population(s). This approach recognizes that nutrient-related risks are often
associated with total intakes from multiple dietary sources, including fortified foods, food supplements\textsuperscript{6}, and in the case of certain minerals, water. [It may also take into account the bioavailability and stability of nutrients and related substances in the foods consumed.]

**NUTRITIONAL RISK MANAGEMENT**

28. The risk management section of the Codex Working Principles for Risk Analysis for Application in the Framework of the Codex Alimentarius is generally applicable to nutritional risk management. Additional nutritional risk management principles to consider within the Codex framework are identified below.

29. Nutritional risk management can be effected through quantitative measures or qualitative guidance elaborated in Codex texts. Such risk management could involve decisions about nutrient composition, consideration of the suitability of foods containing risk-increasing nutrients for certain purposes or (sub)populations, labelling advice intended to mitigate nutritional risks to public health, and formulation of relevant general principles.

[Nutritional risk management decisions should take into account the actual, or likely, impact on consumers’ behaviour, such as dietary patterns and preparation practices, which are cultural habits, in order to anticipate possible product substitutions and to ensure an overall risk reduction.]

30. Nutritional risk assessment policy should be articulated as appropriate for the selected risk assessor prior to the conduct of the nutritional risk assessment.

**NUTRITIONAL RISK COMMUNICATION**

31. The risk communication section of the Codex Working Principles for Analysis for Application in the Framework of the Codex Alimentarius is generally applicable to nutritional risk communication.

**SECTION 6 – SELECTION OF RISK ASSESSOR BY CCNFSDU**

32. Consistent with their important role in providing scientific advice to Codex Alimentarius and its subsidiary bodies, FAO and WHO are acknowledged as the primary source of nutritional risk assessment advice to Codex Alimentarius. However, this role does not preclude the choice of other sources of advice such as appropriate international expert groups or organizations [as well as national relevant expertise,] if and when justified.

33. All requests for risk assessment advice should be accompanied by terms of reference and where appropriate risk assessment policy to provide guidance to the risk assessor. These parameters should be established by the relevant Codex subsidiary body.

**SECTION 7 – REVIEW PROCESS**

34. These Nutritional Risk Analysis Principles should be reviewed by CCNFSDU at appropriate intervals after implementation to ensure currency and consistency with [good regulatory practice] and subsequent to any future amendments to the Codex Working Principles.

\textsuperscript{6} Codex Guidelines for Vitamin and Mineral Food Supplements (CAC/GL 55 – 2005) define food supplements as sources in concentrated forms of those nutrients or related substances alone or in combinations, marketed in forms such as capsules, tablets, powders solution, etc., that are designed to be taken in measured small unit quantities but are not in a conventional food form and whose purpose is to supplement the intake of nutrients or related substances from the diet.
APPENDIX VII

PROJECT DOCUMENT OF A PROPOSAL FOR NEW WORK TO REVISE NUTRIENT REFERENCE VALUES OF VITAMINS AND MINERALS (CAC/GL 2-1985)

1. PURPOSE AND THE SCOPE OF THE PROPOSED NEW WORK

Section 3.4.4 of the Codex Guidelines for Nutrition Labelling (CAC/GL 2-1985, Rev. 1-1993) provides that numerical information on vitamins, minerals and protein should be expressed as a percentage of the reference labeling value referred to as “Nutrient Reference Value” (NRV). Since the first introduction of this guideline in 1985, Section 3.4.4 was amended once in 1993 following the Report of a Joint FAO/WHO Consultation on Recommended Allowances of Nutrients for Food Labelling Purposes (Helsinki, Finland, 12-16 September 1988). At that time, it was indicated that the definition and review of these values was an ongoing process, subject to revision according to new scientific data by the Committee of Food Labelling (CCFL). The CCFL also recognized a need for general principles to guide the choice and amendment of NRVs, and had requested the advice of the Committee on Nutrition and Foods for Special Dietary Uses in this respect (ALINORM 93/40).

Currently the list of NRVs in Codex Guidelines for Nutrition Labelling covers 9 vitamins (A, D, C, thiamin, riboflavin, niacin, B6, folic acid and B12), 5 minerals (Calcium, Magnesium, Iron, Zinc, Iodine) and protein, which were in general based on the Reference RDAs for adult men. These values are indicated as a basis for expressing nutrient content in nutrition labeling of food supplements in the Codex Guidelines for Vitamin and Mineral Food Supplements (CAC/GL 55-2005). Also the Codex Guidelines for Use of Nutrition and Health Claims (CAC/GL 23-1997, Rev. 1-2004) indicates NRVs as a basis for criteria for nutrition and health claims.

At the 25th Session of the Codex Committee on Nutrition and Foods for Special Dietary Uses (CCNFSUD) agreed that the current list of NRVs in the Codex Guidelines for Nutrition Labelling was incomplete and required additions and updates. It was also pointed out that a set of principles should be developed for the establishment of NRVs taking into account the experience of member countries in the establishment of reference values for the purpose of labelling.

The purpose of the proposed new work is to develop the science-based general principles for establishing NRVs and to revise the list of NRVs in the Codex Guidelines for Nutrition Labelling, taking full account of the prior work related to nutrient reference values.

2. ITS RELEVANCE AND TIMELINESS

WHA Resolution 57.17 endorsing the Global Strategy requested the Codex Alimentarius Commission to continue to give full consideration within the framework of its operational mandate, to measures which it might take to contribute towards the improvement of health standards of foods consistent with the aims and objectives of the Global Strategy.

Accordingly, the 28th Session of the Commission agreed to ask WHO and FAO to prepare a document...
focused on actions that could be taken by Codex including specific proposals for new work for consideration by the CCNFSDU and the CCFL. At its 29th Session of the Commission, it was agreed to complete a document containing concrete proposals for possible actions by Codex and to circulate for comments and consideration by the CCNFSDU and CCFL.

The CCNFSDU and CCFL had discussed extensively the proposals for actions and both Committees agreed for CCNFSDU to revise the NRVs of vitamins and minerals in the Guidelines for Nutrition Labelling (ALINORM 07/30/26). Therefore the proposal of this new work is timely as well as relevant.

3. THE MAIN ASPECTS TO BE COVERED

This work would involve a process to develop the general principles for establishment of vitamin and mineral NRVs for the general population as a first step.

The next step would be a process to review all available reference values and their scientific basis by the principles agreed upon and, if appropriate, update and extend the current list of vitamin and mineral NRVs in the Guidelines for the Nutrition Labelling.

Once the above is completed, the Committee would establish vitamin and mineral NRVs for labelling for individuals 6 months to 36 months of age. The Committee could then begin to work to establish principles that would apply to NRVs for this age group, using as a basis the principles identified for NRVs for the general population and modifying them as appropriate. Once those principles are developed, the NRVs for this age group would be established.

4. AN ASSESSMENT AGAINST THE CRITERIA FOR THE ESTABLISHMENT OF WORK PRIORITIES

Consumer protection from the point of view of health, food safety, ensuring fair practices in the food trade and taking into account the identified needs of developing countries: This proposed new work would provide Codex and national/regional authorities principles to be used in establishing NRVs, thus assisting in establishing appropriate level of protection for consumers. The project could particularly assist countries that have limited experience with NRVs, particularly for selecting NRVs for labelling purposes.

Diversification of national legislations and apparent resultant or potential impediments to international trade: This proposed new work would provide internationally-recognized scientific general principles that Codex and national/regional authorities may use to carry out establishing NRVs for labelling purposes. Such internationally-agreed principles can help ensure consistent approaches for establishing NRVs for labelling purposes.

Scope of work and establishment of priorities between the various sections of the work: The scope of the work relates to work previously undertaken by Codex on a high priority basis.

- Work already undertaken by other organizations in this field: This proposed new work is consistent with, complements, and builds upon work already undertaken by CCFL.

5. RELEVANCE TO THE CODEX STRATEGIC OBJECTIVES
This proposal is consistent with the following strategic goals presented in the Codex Strategic Plan 2008-2013:

Promoting Sound Regulatory Frameworks (Activity 1.3);

Promoting Widest and Consistent Application of Scientific Principles and Risk Analysis (Activities 2.3).

6. INFORMATION ON THE RELATION BETWEEN THE PROPOSAL AND OTHER EXISTING CODEX DOCUMENTS


7. IDENTIFICATION OF ANY REQUIREMENT FOR AND AVAILABILITY OF EXPERT SCIENTIFIC ADVICE.

Scientific advice from FAO/WHO could be identified at a later stage.

8. IDENTIFICATION OF ANY NEED FOR TECHNICAL INPUT TO THE STANDARD FROM EXTERNAL BODIES SO THAT THIS CAN BE PLANNED FOR

None foreseen.


<table>
<thead>
<tr>
<th>Activity</th>
<th>Step/date</th>
</tr>
</thead>
<tbody>
<tr>
<td>The CCNFSDU agrees the work to be undertaken</td>
<td>Nov, 2007</td>
</tr>
<tr>
<td>Commission approves New Work</td>
<td>July 2008</td>
</tr>
<tr>
<td>Step 5</td>
<td>2009/2010</td>
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