



Our Ref.: NCP GB4 Call for Proposals

28 June 2010

NOTIFICATION
Call for Proposals 2010: Benefit-sharing Fund

Dear Madam/Sir,

I am pleased to announce the decision of the Bureau of the Governing Body of the International Treaty to open the Call for Proposals 2010. The Call relates to the Treaty's Benefit-sharing Fund for the biennium 2010-2011 which will invest more than USD10 million in projects globally.

The thematic focus of the Call for Proposals 2010, within the three agreed priorities of the Benefit-sharing Fund, is helping to ensure sustainable food security by assisting farmers to adapt to climate change through a targeted set of high impact activities on the conservation and sustainable use of plant genetic resources for food and agriculture.

Any governmental or non-governmental organization, including genebanks and research institutions, farmers and farmers' organizations and regional and international organizations, based in countries that are eligible Contracting Parties to the International Treaty may apply for grants until 8 September 2010, 24:00 Rome time. The text of the Call for Proposals and the Submission Forms are attached to this notification.

The Call comprises two windows, one will provide financial support for the development of strategic action plans to adapt plant genetic resources for food and agriculture to climate change. The second window will provide financial support for the implementation of immediate action projects. Applications must be submitted through the national authorities of a country that is a Contracting Party of the Treaty, i.e. through the National Focal Point or Permanent Representative to FAO.

At the opening of the Call, 28th June 2010, I would like to express my deep gratitude to the Governments of Australia, Italy, Norway and Spain and to UNDP who have each made significant financial investments in support of this Call. Details of further investors will be published on our website as and when received.

I remain at your disposal for any further information you may require.

Please accept Madam/Sir, the assurance of my highest consideration.

Shakeel Bhatti
Secretary
International Treaty on Plant Genetic Resources
for Food and Agriculture





CALL FOR PROPOSALS 2010

1. Introduction

The *International Treaty on Plant Genetic Resources for Food and Agriculture* came into force in 2004. The Treaty's objectives are the conservation and sustainable use of plant genetic resources for food and agriculture and the fair and equitable sharing of the benefits arising out of their use, in harmony with the Convention on Biological Diversity, for sustainable agriculture and food security. The Treaty currently has 123 Contracting Parties.

The Contracting Parties of the Treaty agree that priority will be given to the implementation of agreed plans and programmes for farmers in developing countries, especially in least developed countries and in countries with economies in transition, who conserve and sustainably utilize plant genetic resources for food and agriculture.

The Treaty provides for a Funding Strategy, which aims to enhance the availability, transparency, efficiency and effectiveness of the provision of financial resources for the implementation of the Treaty. It includes a Benefit-sharing Fund which holds the financial resources under the direct control of the Governing Body of the Treaty.

The Governing Body uses the funds in the Benefit-sharing Fund strategically, to play a catalytic role in international cooperation in the area of plant genetic resources for food and agriculture. The Governing Body at its Second Meeting adopted three priorities for the Benefit-sharing Fund, based on the Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources for Food and Agriculture. The Governing Body focuses on achieving benefits, in a staged process, in pressing priority areas, identified for a limited period of time.

The three current priority areas of the Benefit-sharing Fund are:

1. Information exchange, technology transfer and capacity-building (reflecting Global Plan of Action priority activities 15 and 19):
 - a. *Building strong national programmes is essential for capacity-building in developing countries and furthering the implementation of the Treaty. It is a prerequisite for sustainability of efforts to strengthen and develop national capacities in the conservation and utilization of plant genetic resources for food and agriculture.*
 - b. *Expanding and improving education and training in developing countries is a sine qua non, in order to build capacity. Education and training is a long-lasting investment in the sustainable management of the diversity of plant genetic resources for food and agriculture in developing countries.*
2. Managing and conserving plant genetic resources on farm (reflecting Global Plan of Action priority activity 2);

a. *Supporting on-farm management and conservation of plant genetic resources for food and agriculture is the most direct way of reaching farmers, indigenous and local communities in developing countries to whom benefits should flow. It forms a strong contribution to the maintenance of on-farm diversity of plant genetic resources for food and agriculture. Only by strengthening these efforts can on-farm management of diversity complement ex situ conservation.*

3. The sustainable use of plant genetic resources (reflecting Global Plan of Action priority activities 9, 10, and 11);

a. *Expanding the characterization and evaluation of collections is necessary to promote and facilitate their use. More complete characterization and evaluation will increase the relevance of germplasm held ex situ and on farm for breeding.*

b. *Diversification of crop production, genetic enhancement and broadening the genetic base of crops will directly contribute to increasing the sustainability of agricultural production. This will lessen dependence on external inputs, increase productivity, and respond to the challenge of climate change.*

Eligibility, selection criteria for projects to be funded by the Benefit-sharing Fund under the Call for Proposals 2010, as well as other additional requirements can be found in Annex 1.

2. Background on the Call for Proposals 2010

2.1 Thematic Focus

The main focus and scope of projects to be funded by the Benefit-sharing Fund through the Call for Proposals 2010 will be to help ensure sustainable food security by assisting farmers to adapt to climate change through a targeted set of high impact activities on the conservation and sustainable use of plant genetic resources for food and agriculture.

This focus responds to the widespread agreement that one of the areas of greatest concern currently confronting agriculture is how to ensure sustainable food security in the face of climate change. The Declaration of the 2009 *World Summit on Food Security* states:

*"Climate change poses additional severe risks to food security and the agriculture sector. Its expected impact is particularly fraught with danger for smallholder farmers in developing countries, notably the Least Developed Countries (LDCs), and for already vulnerable populations. Any recipe for confronting the challenges of climate change must allow for mitigation options and a firm commitment to the adaptation of agriculture, including through **conservation and sustainable use of genetic resources for food and agriculture.**"*¹

2.2 Priorities

In order to achieve a meaningful and significant impact through the Benefit-sharing Fund, the Call for Proposals 2010 will concentrate on the first priority area adopted by the Governing Body (information exchange, technology transfer and capacity-building) and on three inter-related activities to address the other two priority areas adopted by the Governing Body: on-farm conservation and management of

¹ <ftp://ftp.fao.org/docrep/fao/Meeting/018/k6050e.pdf>

plant genetic resources for food and agriculture; participatory plant breeding; and, the distribution of appropriate seed and planting materials.

Information Exchange, Technology Transfer and Capacity Building

The Call for Proposals 2010 of the Benefit-sharing Fund will support activities to strengthen the information exchange, technology transfer and capacity building for the conservation and use of plant genetic resources for food and agriculture to help farmers achieve food security in the face of climate change. Thus support in this area would not necessarily be limited only to the priority areas discussed below. However, such activities would in general be included within larger projects having an overall focus on the priority areas discussed below, and would link, as appropriate to other activities within the project concerned.

A critical area for support is the strengthening of the institutional environment. It is essential that national programmes have the capacity and appropriate supporting policies to enable them to assess their future germplasm needs with respect to climate change, and are able to access, develop or disseminate the required plant genetic materials.

Priority will be given to activities aimed at making sure appropriate capacity is in place and that institutions are able to operate within a favourable policy framework. The latter might include, for example, helping to ensure that issues relating to plant genetic resources for food and agriculture are included within relevant national programmes and strategies for climate change adaptation.

Awareness by policy makers and the general public of the importance of climate change and its predicted impact on agriculture and food security is lacking in many countries. Much greater efforts are needed to provide information on the issues involved and what needs to be done to enable agriculture to adapt. Efforts will be supported to provide such information, particularly regarding the threat posed to crop diversity and its critical importance in helping agriculture to adapt to climate change.

Other areas that might be considered could include, for example:

- improved information systems to ensure that timely and accurate information, including local and indigenous knowledge, is readily available on the genetic resources themselves, the traits they possess and how to access and use them;
- building institutional capacity to monitor, analyze and predict the effects of climate change on agricultural systems, and to provide local communities, national governments and others with appropriate technical and policy advice on genetic resources management;
- building capacity and associated technology transfer with respect to *in situ* conservation and the use of crop wild relatives;
- building capacity and associated technology transfer in plant breeding, especially in collaboration with the Global Partnership Initiative for Plant Breeding Capacity Building.²

² The Global Partnership Initiative for Plant Breeding Capacity Building (GIPB) is an initiative of FAO that aims to enhance the capacity of developing countries to improve crops for food security and sustainable development, through better plant breeding and delivery systems. <http://km.fao.org/gipb/>

Managing and Conserving Plant Genetic Resources on-farm

Strengthening systems for the on-farm conservation and management of genetic diversity is one measure to reduce farmers' vulnerability to climate change. In this respect, farmers, as managers of genetic diversity, have much to offer both their own communities and the world more generally as a result of their efforts to conserve plant genetic resources for food and agriculture, improve it through breeding and selection and through making it available for use by others.

A range of activities in this area will be supported including, *inter alia*: the on-farm evaluation, selection and management of local and introduced genetic diversity (including new, improved varieties bred by professional plant breeders); farmer breeding and selection activities; ensuring the conservation of any genetic diversity that might otherwise be lost as a result of introduction and breeding efforts, (e.g. through conservation in national or international genebanks or the development of local and community genebanks); conserving and making available local and indigenous knowledge; developing outlets for local crops and varieties; adding value to local crops and varieties; and linking farmers with communities elsewhere nationally, regionally and internationally to promote the sharing of material and information relating to climate change.

Sustainable Use of Plant Genetic Resources

The joint efforts of professional breeders and farmers in improving crops that are important to resource-poor farmers, or have the potential to become important under future climate scenarios, is an activity that accords well both the second and third priorities of the Governing Body. Such joint efforts, commonly referred to as participatory plant breeding, should focus on the breeding and selection of varieties with traits that are particularly important for helping farmers adapt to the negative impact of the climate change, e.g. resistance to, or tolerance of heat, drought, water-logging, salinity, or predicted new pests and diseases. While the focus of any such effort would be on-farm, it is critical that a) there be adequate scientific and technical back-up and support, including for such activities as pre-breeding and broadening the genetic base; b) that efficient and effective systems be in place for accessing and disseminating materials nationally and internationally under the International Treaty; and c) that future environmental conditions be predicted as accurately as possible.³

Improved varieties, however bred, are of no value unless they can be widely disseminated and are actually grown by farmers. Such dissemination may occur through formal and informal channels and both will become more important over time as the effects of climate change cause the geographical location of ecosystems to shift. Varieties that are adapted to one location are likely to find themselves adapted to quite another geographic area a few years hence. Strengthening the production and delivery systems for seed and other planting material will be required by farmers in order to adapt their farming systems to climate change. This might be achieved through, *inter alia*, supporting the efforts of public or private seed companies, as well as other, often less formal, arrangements for disseminating varieties directly among farmers themselves. In supporting such systems, it will be important to ensure that appropriate measures are in place for conserving any material that might be replaced by the new

³ While recognizing that all climate prediction is subject to a large degree of uncertainty, especially at smaller scales, predictions could be made, for example by including within projects the downscaling of global climate change models onto the targeted regions and crops. This could help the Benefit-sharing Fund to focus its interventions on regions of likely high-impact and important and potentially important crops. It would also help in the identification and linking of homologous agro-ecological zones, i.e. linking target areas with other areas that today have a climate and other environmental conditions that are similar to those predicted for the target areas themselves in the future.

varieties. In order to achieve focus, any support for the dissemination of seed and planting material should ideally be linked to plant improvement or on-farm activities that are funded by the Benefit-sharing Fund.

2.3 Expected funds

The expected funding available for the Call for proposals 2010 is at least US\$10 million.

2.4 Applicants

Any governmental or non-governmental organization, including farmers and farmers' organizations, genebanks and research institutions and regional and international organizations, based in countries that are Contracting Parties to the International Treaty, may apply for grants under the Benefit-sharing Fund.

The list of Contracting Parties eligible to apply for support is included in Annex 2.

2.5 Submissions

All applications to the two windows of the call must be submitted through the national authorities of an eligible Contracting Party of the International, i.e. through the National Focal Points or through the Permanent Representatives to FAO. The list of National Focal Points and Permanent Representatives to FAO of eligible Contracting Parties is included in Annex 3.

Applicants must fill in the Submission Form for pre-proposals in Annex 4.

Applications must be sent by the national authorities of eligible Contracting Parties to the Secretariat of the Treaty at Treaty-Fund@fao.org or by fax (39) 0657056347.

2.6 Deadline

The Governing Body award grants on a biennial cycle. Applicants seeking grants for any of the windows of this call can submit their pre-proposals by **8 September 2010, 24h00 (Rome time)**.

2.7 Overview of project cycle

Information on the procedure following the submission of pre-proposals and relevant datelines are included in Annex 5.

3 Windows of the Call for Proposals 2010

3.1 Window 1: Development of Strategic Action Plans

Rationale

Plant genetic diversity is the single most important resource in adapting crops to rapidly changing agro-ecological conditions, and changing pest and disease patterns. Managing and sustainably deploying this resource must be a key part of any response to climate change in food and agriculture.

Climate change risks undermining the food security of many of the world's poorest farming communities. Increased understanding of the substantial threat that climate change poses to developing country farmers, and to global food security, has not been met with an equally increased understanding of how they can adapt to these changes, especially through the conservation and use of plant genetic resources for food and agriculture.

The role of plant genetic resources in adapting to climate change needs to be addressed strategically, and through advanced planning that articulates and structures practical and effective action plans and policy responses. This will require the development of a consensus on priorities within a wide stakeholder community, and the progressive build-up of skills, knowledge and information bases and technologies.

Priorities established and agreed by representative groups, through strategic planning, can help direct the investments of the Benefit-sharing Fund most effectively, and can attract national and international resources to practical actions, both immediate and longer term, to ensure that climate change does not overwhelm farmers' ability to adapt.

On the basis of identified key needs and priorities, farmers and the plant genetic resources community will be able to contribute to, and benefit from, programmes for adaptation to climate change. In this way, national and international resources can be mobilised to effectively and sustainably support the progressive development and implementation of effective adaptation measures in developing country agriculture.

Scope

The Call for Proposals 2010 will support the development of strategic action plans for climate change adaptation for plant genetic resources for food and agriculture. This first phase of strategy development should support a variety of approaches to the management of plant genetic resources in adapting to the effects of climate change. Strategic action plans should set out clear priorities and action plans on a regional, sub-regional, eco-regional, or other basis.

For example, strategic action plans could focus on agro-ecological zones, such as the marginal dry lands of the sub-Saharan Africa region, Southern Africa, small island developing states, tropical mountain areas, or Asian flood plains and coastal sealine areas all areas where the Fourth Assessment Report of the Intergovernmental Panel on Climate Change has predicted major disruptions will occur due to climate change.

Strategic action plans might also be formulated on the basis of specific crops or crop group (cereals, food legumes, vegetables, etc). In some cases, strategic action plans might lead to an integrated package of inputs provided by the International Treaty for climate change adaptation: climate-ready seeds, adaptation technology and know-how, and focused adaptation financing for farmers and other agricultural stakeholders.

The strategic action plans should aim to identify information exchange, technology transfer and capacity building activities to cover all areas relating to the conservation and use of plant genetic resources for food and agriculture in the face of climate change. They should make clear how the proposed areas of activity would contribute to assisting farmers in developing countries to adapt to climate change and achieve sustainable food security. The action plans should establish clear

priorities, targets and where possible milestones and they should cover a long enough period for these targets to be realistically met, ideally 6 to 10 years.

The strategic action plans should therefore not only include on-farm conservation and management, participatory plant breeding and the dissemination of seed and other planting material, but also include plant breeding, including base broadening, pre-breeding, the introgression of genes from wild species, etc; collecting and *ex situ* conservation, especially by reference to the Strategic Plans sponsored by the Global Crop Diversity Trust;⁴ the *in situ* conservation of wild relatives, etc. This comprehensive approach is in line with the first adopted priority of the Benefit-sharing Fund.

Strategic action plans should be developed and implemented through existing national, regional and international institutions, including effective networks, or through the creation of new consortia or other multi-stakeholder groupings.

Ideally the strategic action plans would be integrated or coordinated with broader global, regional or national strategies and action plans for food security and climate change and be implemented as part of a broader policy framework, to leverage synergies in both action and financial resources.

The strategic action plans should play an important and catalytic role in guiding funding priorities of the Benefit-sharing Fund, beyond just the next two funding cycles, and, of other multilateral funds, bodies and mechanisms.

Expected outputs

Individual strategies might cover, but not be limited to, the following areas relating to plant genetic resources for food and agriculture and climate change:

- analyses of likely future climate scenarios for particular agro-ecological zones, including, through the downscaling of climate change models, an identification of those areas where food and nutritional security are most fragile;
- analyses of the probable consequences of climate change for the farming systems, food security and plant genetic resources of different target groups in these zones, especially the most vulnerable communities. Other ecosystem services provided by agriculture and that are related to plant genetic resources for food and agriculture should also be taken into account;
- the setting out of existing baseline data and identifying areas in which additional baseline data will need to be collected through project activities to implement the strategy;
- the identification of analogues – regions experiencing similar conditions at present to those expected to occur in the target region in future. The possibility and desirability of developing partnership arrangements with institutions in such analogous agroecological zones should be explored;
- studies of existing national, regional and international development plans and activities relevant to the conservation and use of plant genetic resources for food and agriculture to assist farmers to adapt to climate change;
- identification of the main crops on which to focus;
- strategies for the conservation of local crop varieties and crop wild relatives, especially taking

⁴ For more information on the regional and crop conservation strategies sponsored by the Global Crop Diversity Trust see: <http://www.croptrust.org/main/identifyingneed.php?itemid=514>

- into account the replacement of existing diversity through the actions of the project itself;⁵
- strategies for the genetic improvement of the key crops identified, including through participatory breeding methods, to develop varieties that are adapted to the new environments that are expected to result from climate change;
- strategies for the dissemination of seed and other planting material of improved varieties;
- evaluation of the need for new policies, regulations, *etc.* and strategies for developing and implementing appropriate policy and regulatory changes relating to plant genetic resources for food and agriculture;
- the identification of key local, national regional and international institutions to be involved in implementing the strategy, and their needs for strengthening or creating new institutional capacity, partnerships and coordination mechanisms; and
- mechanisms for keeping the strategies under review and for updating them as needed.

Expected size of projects

The Call for Proposals 2010 will devote sufficient resources to a major planning exercise to lay the foundation for the rational and effective allocation of resources in the following and subsequent funding cycles. Preferably at least half of the available funding will be dedicated to this window.

In order to achieve this desired planning base, it is expected that individual strategic action plan projects would not exceed US\$ 0.5 million, with an average project size being between US\$ 200,000 and US\$ 400,000.

Duration of projects

Strategic action plan projects shall run for a maximum of one year in order for the strategies developed through them to be available to guide the following call for proposals.

Criteria for the screening of pre-proposals

Only full completed Pre-proposal Forms will be screened. In addition to the eligibility criteria adopted by the Governing Body (see Annex 1), pre-proposals will be screened according to the following criteria:

- **Geographic extension**
 - address the adverse impacts of, and risks posed by, climate change to particularly vulnerable farming communities, ideally in more than one Contracting Party country;
 - support the integration of plant genetic resources components into sub-regional, eco-regional, national or regional action plans for adapting to climate change.
- **Project relevance**
 - identify the problem, the information that needs to be gathered or generated, and the methodologies that will be used to identify and prioritize adaptation responses⁶;
 - inclusion of clear priorities, targets and milestones to assist farmers in developing countries to adapt to climate change and achieve sustainable food security.

⁵ Elements within the strategies that are concerned with conservation strategies should focus primarily on *in situ* and on-farm conservation, and showing how they link to and complement the *ex situ* conservation strategies supported by the Global Crop Diversity Trust (<http://www.croptrust.org/main/identifyingneed.php>)

⁶ Reference may be made, for example, to information in the *Fourth IPCC Assessment Report* and the *Second Report on the State of the World's Plant Genetic Resources for Food and Agriculture*.

- **Collaboration and partnerships**
 - identify a coherent and representative group of institutions (a 'consortium') that will implement the project, with clearly defined roles and responsibilities;
 - identify actual and potential additional funds from other funding sources.
- **Beneficiaries**
 - specify, whenever possible, the farmers or farming communities that are expected to benefit from the proposed project in their food security and their adaptation to climate change. Target beneficiaries may be defined, for example, by specific climate threat, specific farming system or agro-ecosystem, or a coherent regional or sub-regional grouping. The more the number of farmers or farming communities that benefit from the proposed project can be specified, the better.

In the screening of pre-proposals, due attention would be given to address food security and climate change in all regions with developing countries that are Contracting Parties of the Treaty.

3.2 Window 2: Immediate action projects

Rationale

It is important to demonstrate the value that plant genetic resources for food and agriculture have for farmers to meet food security and face climate change. Climate change is already a serious challenge and it is likely to happen more quickly than was ever expected. With it comes a decrease in food security in many countries, less predictable crop harvests, and more adverse agroecological conditions for farming. Climate change is undermining rural development and increasing the burdens on most vulnerable farming communities, who are often hardest hit by extreme weather events, such as floods or droughts, and by changes in timing and duration of growing seasons.

Managing and sustainably deploying plant genetic diversity plays a key role in adapting farming to climate change. Increased attention and support is needed to ensure food security by supporting farmers to adapt to climate change through the management of plant genetic resources for food and agriculture. Such support needs to be consistent with national strategies and priorities and rely on existing scientific and policy back-up.

Scope

The Call for Proposals 2010 will help ensure sustainable food security by assisting farmers to adapt to climate change through a portfolio of immediate action projects for managing and conserving plant genetic resources on-farm and for the sustainable use of plant genetic resources, as described in section 2.2. The proposals are expected to focus on plant genetic resources for food and agriculture listed in *Annex I* of the International Treaty. Overall support to activities for information exchange, technology transfer and capacity building will in general be included within large and integrated projects focusing on the priorities above mentioned.

Expected size of projects

The cost of immediate action projects would not exceed US\$300,000.

Duration

Immediate action projects shall run for a maximum of two years.

Criteria for the screening of pre-proposals

Only full completed Pre-proposal Forms will be screened. In addition to the eligibility criteria adopted by the Governing Body (see Annex 1), pre-proposals will be screened according to the following criteria:

- immediate impact
 - projects must have the potential for a short-term impact;
 - have an adequate size to have a significant impact;
- projects must respond to a clear priority need, preferably as expressed through already existing strategies or plans;
- projects must be country driven and demonstrate a clear engagement with, and participation by relevant stakeholders;
- projects must identify actual and potential additional funds from other funding sources, whether national or international, that share similar or complementary objectives.

In the screening of pre-proposals, due attention would be given to address food security and climate change in all regions with developing countries that are Contracting Parties of the Treaty.

ANNEX 1

ELIGIBILITY, SELECTION CRITERIA AND ADDITIONAL REQUIREMENTS

ELIGIBILITY CRITERIA⁷

Projects financed by the Benefit-sharing Fund must:

1. Meet the objectives of the International Treaty;.
2. Fall within the priorities that will, from time to time, be established by the Governing Body.
3. Benefit Contracting Parties that are developing countries.
4. Be presented through the Contracting Party or Parties concerned.

SELECTION CRITERIA⁸

1. Project relevance
 - a. Are the priorities of the Funding Strategy and the strategic principles and priorities established by the Governing Body for the allocation of funds at its disposal clearly incorporated and represented in the proposed goals and expected outputs of the proposal?
 - b. Is the project contributing to a rational global conservation system? Is the project contributing to the Millennium Development Goals, in particular goals 1 and 7?
 - c. What is the relevance of the project to a country's or region's priorities in its plans and programmes for PGRFA? What information is available on such priorities?
 - d. Does the project duplicate activities already carried out or underway? Does the project complement activities already carried out or underway?
2. Feasibility
 - a. Is the proposed activity feasible in terms of resources and timing? In particular, is the budget adequate to fully cover the proposed activities and produce the expected results?
3. Effectiveness and efficiency
 - a. Are the anticipated project costs warranted against the expected project results and benefits?
 - b. Are the types of activities most effective towards achieving the project's net results, its outcome and impact?
4. Benefits and beneficiaries
 - a. Who are the immediate beneficiaries?

⁷ IT/GB-2/07/Report, Appendix D Funding Strategy, Appendix D.2, Second Session of the Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture, Rome, Italy, 29 October-2 November 2007.

⁸ IT/GB-2/07/Report, Appendix D Funding Strategy, Appendix D.3, Second Session of the Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture, Rome, Italy, 29 October-2 November 2007.

- b. Will the results of the proposed project, directly or indirectly, reach the proposed beneficiaries?
- c. What is the potential contribution of the project to economic development?
- 5. Team composition and capacity
 - a. Can the capacity of the team be considered sufficient? Does the team include partners with different disciplines?
 - b. Does the project proposal foresee use of available local expertise?
- 6. Collaboration
 - a. What is the extent of collaboration promoted by the project proposal?
 - b. How does this collaboration contribute to the effectiveness and efficiency of the project?
 - c. Is there counterpart funding or input in kind by the applicant?
- 7. Planning and Monitoring
 - a. Have proper milestones and indicators been incorporated in the project proposal?
 - b. Does the proposal indicate how project progress will be monitored and its impact assessed?
 - c. To what extent is the expected positive impact measurable?
- 8. Sustainability
 - a. How sustainable are the activities and beneficial changes introduced by the project?
 - b. Is technology transfer and capacity building realized?
 - c. Has a training component been incorporated?
- 9. Geographic extension
 - a. How wide is the geographic scope and impact of the proposed project?
 - b. What is the global and/or regional importance of the project in achieving the objectives of the Treaty?
- 10. Crop relevance
 - a. What is the contribution of the crop or crops, for which activities are proposed, to global or regional food security and sustainable utilization?
 - b. What is the relevance of the crop or crops, for which activities are proposed, to the quality and diversity of the human diet or animal feed?
 - c. What is the contribution of the proposed project to conservation and utilization of the crop's or crops' gene pool?
 - d. Is the project addressing the conservation and/or utilization of a crop in one of its centres of diversity?
 - e. To what extent is the gene pool covered by the proposed project activities threatened at a national, regional or global level

ADDITIONAL REQUIREMENTS⁹

At its Third Session, the Governing Body of the International Treaty decided the following:

1. plant genetic resources for food and agriculture listed in Annex 1 of the International Treaty resulting from projects funded by the Benefit-sharing Fund shall be made available according to the terms and conditions of the Multilateral System; and,
2. information generated by projects funded through the Benefit-sharing Fund shall be made publicly available within 1 year of the completion of the project.

⁹ IT/GB-3/09/Report, Appendix A.3 Resolution 3/2009 Implementation of the Funding Strategy of the Treaty, Third Session of the Governing Body of the International Treaty on Plant Genetic Resources for Food and AgricultureTunis, Tunisia, 1-5 June 2009.

ANNEX 2

LIST OF CONTRACTING PARTIES OF THE INTERNATIONAL TREATY ELIGIBLE TO APPLY TO THE CALL FOR PROPOSALS 2010¹⁰

Afghanistan
Algeria
Angola
Armenia
Bangladesh
Benin
Bhutan
Brazil
Bulgaria
Burkina Faso
Burundi
Cambodia
Cameroon
Central African Republic
Chad
Congo, Rep
Democratic Republic of Congo
Cook Islands
Costa Rica
Cote d'ivore
Cuba
Democratic People's Republic of Korea
Djibouti
Ecuador
Egypt
El Salvador
Eritrea
Ethiopia

¹⁰ The list has been elaborated, based on a complete list of developing countries derived from the most recent World Bank's classification of economies dated April 2010, as requested by the Third Session of the Governing Body of the International Treaty on Plant Genetic Resources, IT/GB-3/09/Report, Appendix A.3, Resolution 3/2009 Implementation of the Funding Strategy of the Treaty, Tunis, Tunisia, 1-5 June 2009.

Fiji
Gabon
Ghana
Guatemala
Guinea
Guinea Bissau
Honduras
India
Indonesia
Iran, Islamic Republic of
Jamaica
Jordan
Kenya
Kiribati
Kyrgyzstan
Lao People's Democratic Republic
Latvia
Lebanon
Lesotho
Liberia
Libyan Arab Jamahiriya
Lithuania
Madagascar
Malawi
Malaysia
Maldives
Mali
Mauritania
Mauritius
Morocco
Myanmar
Namibia
Nicaragua
Niger
Nepal
Pakistan

Palau
Panama
Paraguay
Peru
Philippines
Poland
Romania
Samoa
Sao Tome and Principe
Senegal
Seychelles
Sierra Leone
Saint Lucia
Sudan
Syrian Arab Republic
United Republic of Tanzania
Togo
Tunisia
Turkey
Uganda
Uruguay
Venezuela
Yemen
Zambia
Zimbabwe

ANNEX 3

LIST OF NATIONAL FOCAL POINTS AND PERMANENT REPRESENTATIVES TO FAO OF ELIGIBLE CONTRACTING PARTIES¹¹

CONTRACTING PARTY	PERMANENT REPRESENTATIVES ACCREDITED TO FAO ¹²	NATIONAL FOCAL POINT
AFGHANISTAN	Embassy of the Islamic Republic of Afghanistan Via Nomentana 120 00161 Rome Tel: 06 8611009 Fax: 06 86322939 E-Mail: info@afghanistanembassyitaly.com arayazi@hotmail.com	Mr Mohammad Aziz OSMANZAI Director Agriculture Research Institute of Afghanistan (ARIA) Ministry of Agriculture, Irrigation and Livestock Karta-e Sakhi Kabul, Afghanistan Tel: +93 20 700207045 E-Mail: aosmanzai@yahoo.com
ALGERIA	Ambassade de la République algérienne démocratique et populaire Via Bartolomeo Eustachio, 12 00161 Rome Tel: 06 44202533/2546/ 44236843 Fax: 06 44292744	Mr Salah CHOUAKI Chargé de recherche Chargé des activités scientifiques Institut National de la Recherche Agronomique d'Algérie (INRAA) 02, Rue des frères Ouaked, BP 200 Hacene Badi, Belfort, El Harrach, Alger Tel: +213 021.52.12 81

¹¹ The following table includes the contact details of the National Focal Points of the Treaty, the Permanent Representatives to FAO or other official FAO channels of communication with the Contracting Parties eligible to apply for support under the Benefit-sharing Fund for the biennium 2010-2011. Pre-proposals applications for purposes of the Call for Proposals 2010 must be submitted through these national authorities. This table has been compiled from existing lists and communications from Contracting Parties for purposes of the Call for Proposals 2010 with status as of 26/06/2010 and is provided merely for reference purposes to potential applicants. The International Treaty and its Secretariat do not take any responsibility for the updating or functioning of email addresses, websites, fax or telephone numbers provided in the reference table.

¹² This list contains the Official Channels used by FAO for communicating with FAO members for policy matters requiring Government decision or commitment; invitations to meetings and training activities; contributions; statistical matters; technical assistance and technical assistance reports; and technical operational matters.

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ANNEX 4
PRE-PROPOSAL SUBMISSION FORMS
WINDOWS 1 & 2

WINDOW 1: STRATEGIC ACTION PLANS
PRE-PROPOSAL SUBMISSION FORM

Deadline for submissions: 8 September 2010 24h00 (Rome time)

Pre-proposals must be submitted through the national authorities of a country that is a Contracting Party to the Treaty, i.e. through the National Focal Points, the Permanent Representatives to FAO or other official channels included in Annex 3 of the Call for Proposals: 2010. Please therefore calculate additional time before 8 September 2010, for your application to reach the Treaty Secretariat through the national authorities.

Project proposals favourably appraised but not funded during the first project cycle were brought to the attention of relevant international mechanisms, funds and bodies, both bilateral and multilateral, in accordance with paragraph 6.c of the Operational Procedures, and those proposals will not automatically form part of the second round of the project cycle.

For any further questions regarding this Call for Proposals, please contact the Helpdesk function by e-mail at Treaty-Fund@fao.org or by phone + 39 06 570 53554.

I. CONTACT APPLICANT INFORMATION

Organization name:

Organization nature: (Please inform whether it is a governmental, non-governmental, farmer or farmers' organization, genebank, research institution, regional or international organization)

Contact person:

Position:

City/ Country in which the Organization is based:

Address:

P.O. Box:

Telephone:

Fax:

E-mail:

Webpage:

II. PROJECT SUMMARY

Project Title:

Estimated duration:¹³ (months)

Resources requested from the Benefit-sharing Fund (in US\$):¹⁴

Countries or country targeted:

Objective of the Strategic Action Plan:

III. DESCRIPTION OF PRE-PROPOSAL¹⁵

¹³ Strategic action plan projects shall run for a maximum of one year.

¹⁴ Strategic action plan projects could be of any value but would not exceed US\$ 400,000.

¹⁵ The total number of words of this section shall be of 2000 words. The approximate number of words for each section would be: Justification (1000 words); Information needs and methodologies to be used (200 words); Expected outputs (300 words); Institutions involved and partnerships (300 words); Indicative co-financing (200 words).

JUSTIFICATION

Identify the food security and climate change adaptation challenges that need to be addressed in the targeted countries or country and make clear how the conservation and sustainable use of plant genetic resources for food and agriculture would contribute to meet these challenges. Describe the scope of the Strategic Action Plan (regional, subregional, eco-regional, agro-ecological zones, crop or other basis) and identify the plant genetic resources for food and agriculture on which the plan will focus. Indicate the main beneficiaries of the Strategic Action Plan.

INFORMATION NEEDS AND METHODOLOGIES TO BE USED

Indicate the information that needs to be gathered or generated to be able to develop the Strategic Action Plan. Identify the methodologies to be used to identify the priorities, targets and milestones of the Strategic Action Plan.

EXPECTED OUTPUTS

Identify the expected outputs of the Strategic Action Plan and their indicative individual cost.

INSTITUTIONS INVOLVED AND PARTNERSHIPS

Describe parties to implement the project. Build a coherent and representative group of institutions (a consortium), and define roles and responsibilities.

INDICATIVE CO-FINANCING

Identify actual and potential additional funds from other funding sources.

WINDOW 2: IMMEDIATE ACTION PROJECTS

PRE-PROPOSAL SUBMISSION FORM

Deadline for submissions: 8 September 2010, at 24:00 hrs (Rome time)

Pre-proposals must be submitted through the national authorities of a country that is a Contracting Party to the Treaty, i.e. through the National Focal Points, the Permanent Representatives to FAO or other official channels included in Annex 3 of the Call for Proposals: 2010. Please therefore calculate additional time before 8 September 2010, for your application to reach the Treaty Secretariat through the national authorities.

Project proposals favourably appraised but not funded during the first project cycle were brought to the attention of relevant international mechanisms, funds and bodies, both bilateral and multilateral, in accordance with paragraph 6.c of the Operational Procedures, and those proposals will not automatically form part of the second round of the project cycle.

For any further questions regarding this Call for Proposals, please contact the Helpdesk function by e-mail at Treaty-Fund@fao.org or by phone + 39 06 570 53554.

I. CONTACT APPLICANT INFORMATION

Organization name:

Organization nature: (Please inform whether it is a governmental, non-governmental, farmer or farmers' organization, genebank, research institution, regional or international organization)

Contact person:

Position:

City/ Country in which the Organization is based:

Address:

P.O. Box:

Telephone:

Fax:

E-mail:

Webpage:

II. PROJECT SUMMARY

Project Title:

Estimated duration¹⁶ (months):

Resources requested from the Benefit-sharing Fund (in US\$):¹⁷

Country or countries targeted:

Plant Genetic Resource(s) on which the project will focus on:¹⁸

Please, select one or more funding priorities:

¹⁶ Immediate action projects shall run for a maximum of two years.

¹⁷ The cost of immediate action projects could be of any value but would not exceed US\$ 300,000.

¹⁸ Proposals are expected to focus on plant genetic resources for food and agriculture listed in Annex 1 of the International Treaty.

- 1) Information exchange, technology transfer and capacity-building
- 2) Managing and conserving plant genetic resources on-farm
- 3) The sustainable use of plant genetic resources, through participatory plant breeding and the distribution of appropriate seed and planting materials.

Project summary table¹⁹

Project objective:			
Project Outcomes	Expected Outputs ²⁰	Key activities	Total (US\$)
1.			
2.			
...			

III. DESCRIPTION OF PRE-PROPOSAL²¹

JUSTIFICATION

Identify the food security and climate change adaptation challenges that need to be addressed in the targeted countries or country and the potential for a short-term impact of the project. Indicate the main beneficiaries of the project. Justify the project costs in relation to the foreseen impact.

CONSISTENCY WITH RELEVANT PRIORITIES AND PLANS

¹⁹ The total number of words of this table shall not exceed 500 words

²⁰ As far as possible please quantify the outputs of the project, such as, for example, “XXX farmers trained in participatory plant breeding.”

²¹ The total number of words of this section shall be of 1500 words. The approximate number of words for each section would be: Justification (600 words); Consistency with relevant priorities and plans (300words); Institutions and stakeholders involved (400 words); Indicative co-financing (200 words).

Describe the consistency of the project with already existing global, regional or national policies, plans and strategies related to climate change, food security, rural development or plant genetic resources for food and agriculture.

INSTITUTIONS AND STAKEHOLDERS INVOLVED

Identify the institutions engaged in the in the project and describe the participation by relevant stakeholders.

INDICATIVE CO-FINANCING

Identify actual and potential additional funds from other funding sources, whether national or international, that share similar or complementary objectives.

ANNEX 5
**INFORMATION ON THE PROCEDURES FOLLOWING THE SUBMISSION OF PRE-
PROPOSALS**

Project Cycle	Deadline
Submission of pre-proposals through National Focal Points, Permanent Representatives to FAO or official channels to the Secretariat of the International Treaty	8 September 2010
Screening of pre-proposals	4-8 October 2010
Response to applicant and invitations to submit full project proposals	29 October 2010
Submission of project proposals through National Focal Points, Permanent Representatives to FAO or official channels to the Secretariat of the International Treaty	27 January 2011
Appraisal of project proposals by panel of experts	28 February 2011
Approval of projects to be funded by the Bureau of the Governing Body of the International Treaty	6 March 2011
Announcement of projects to be funded during the Fourth Governing Body of the International Treaty	7-11 March, 2011