



Food and Agriculture
Organization of the
United Nations



The International Treaty

ON PLANT GENETIC RESOURCES
FOR FOOD AND AGRICULTURE

The Compliance Procedures under the International Treaty and the Compliance Committee



Webinar
18 March 2019

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1. Purpose
2. Main sections

2. The Committee

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3. The Standard Reporting Format

4. Recap

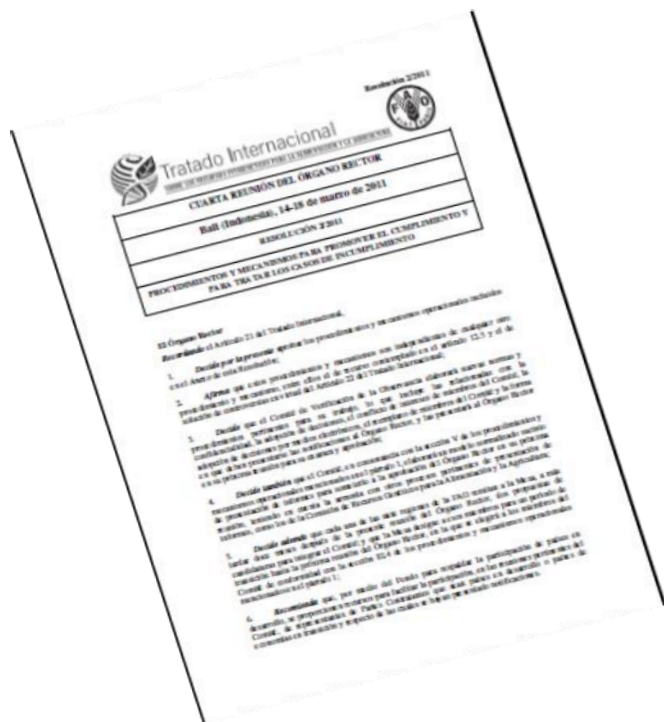


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The International Treaty
ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE

The Compliance Procedures



- **Objective:** to promote compliance with all the provisions of the Treaty and to address issues of non-compliance.
- **Article 21 of the Treaty**
- **Including:** the submission national of reports from Contracting Parties (CPs).

Inputs for the Compliance Committee, other Committees and for the Governing Body:

- What is happening with the implementation at national level?
- What are the major difficulties that CPs are facing? Is legal assistance or specific funding needed in a certain area? -How are CPs cooperating?
- What are the areas that would benefit from more policy attention?

National Reports on Implementation - ITPGRFA

Resolución 9/2013 Rev.1

ANEXO 2

MODELO NORMALIZADO DE PRESENTACIÓN DE INFORMES CONFORME A LA SECCIÓN V.1 DE LOS PROCEDIMIENTOS Y MECANISMOS PARA PROMOVER EL CUMPLIMIENTO Y PARA TRATAR LOS CASOS DE INCUMPLIMIENTO

Tratado Internacional sobre los Recursos Fitogenéticos para la Alimentación y la Agricultura

Proyecto

Modelo normalizado voluntario de presentación de informes

User Test | Cerrar sesión



Tratado Internacional sobre los Recursos
Fitogenéticos para la Alimentación y la Agricultura
Sistema de Informes en Línea



Panel de presentación

Editar usuario Gestionar delegados

Primer informe sobre
Cumplimiento del TIRFAA

Guardar todas

Crear cuestionario

Minimizar



Delegar apartado

Introducción

Información adicional sobre
la presentación de informes

Artículo 4

Artículo 5

Artículo 6

Artículo 7

Artículo 8

Artículo 9

Artículo 4: Obligaciones generales

1. ¿Existen leyes, reglamentos, procedimientos o políticas en vigor en su país destinados a aplicar el Tratado?

☐ Sí

☐ No

[Borrar respuesta](#)

Answered by: You (2016-09-23 08:46:13 UTC)



To facilitate the submission of reports, the Governing Body adopted:

- Standard Reporting Format (available in 6 languages);
- Online Reporting System available since February 2016

Compliance Procedures – 1st reporting period

Each Contracting Party to report to the GB:

- Measures adopted to implement the Treaty
 - ✓ 1st report: October 2016
 - ✓ Subsequent reports: every 5 years
- Reports reviewed by the Committee (Feb 2017)
- Committee reported to the Governing Body
- Few reports received on time
- GB7: Extension to 1st October 2018
- CC: Extension to 1st May 2019

Res. 8/2017 of the ITPGRFA Governing Body

- ***Urges*** CPs that have not yet done so, to submit, their report no later than 1 October 2018
- ***Invites*** other CPs to update their report as needed and at least once every 5 years
- ***Requests*** the Committee to **review** the Standard Reporting Format, considering harmonization with the reporting to FAO in the context of the Second Global Plan of Action for PGRFA, and **make recommendation** for its improvement, based on suggestions from Contracting Parties and experiences in its use;



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The Compliance Committee



REGION	Expiring on 31 December 2019	Expiring on 31 December 2021
AFRICA	Mr Koffi KOMBATE	Ms Angeline MUNZARA
ASIA	Mr Sadar Uddin SIDDIQUI	Mr Anil Kumar ACHARYA
EUROPE	Ms Kim VAN SEETERS	Ms Susanna PAAKKOLA
LATIN AMERICA AND THE CARIBBEAN	Ms Elizabeth SANTACREO	Mr Mahendra PERSAND
NEAR EAST	Mr Hodjat KHADEMI	Ms Nasab AL RAWASHDESH
NORTH AMERICA	Ms Indra THIND	Ms Neha Sheth LUGO
SOUTH WEST PACIFIC	Vacant	Ms Anna WILLOCK

*One meeting on 31 January 1 February 2019

Mandate and Functions

- Established through Resolution 3/2006.
- Functions :
 - a) Consider information submitted to it regarding matters relating to compliance and issues of non-compliance;
 - b) Offer advice and/or facilitate assistance, as appropriate, to any Contracting Party, on matters relating to compliance with a view to assisting it to comply with its obligations under the International Treaty;

- c) Assist the Governing Body in its monitoring of the implementation by Contracting Parties of their obligations under the International Treaty **on the basis of reports** of the Contracting Parties in accordance with Section V of the Procedures;
- d) Address issues of non-compliance and identify the specific circumstances of the issue referred to it, in accordance with Sections VI to VIII of the Procedures;

- e) Promote compliance by addressing statements and questions concerning the implementation of obligations under the International Treaty, in accordance with Section IX of the Procedures;
- f) Carry out any other functions as may be assigned to it by the Governing Body pursuant to Article 21 of the International Treaty;

- g) Submit a report to each regular session of the Governing Body reflecting:
- i) the work that the Committee has undertaken;
- ii) the conclusions and recommendations of the Committee; and
- iii) the future programme of work of the Committee.



Meetings

- Third Meeting of the Compliance Committee [31/01/2019 - 01/02/2019]
- Second Meeting of the Compliance Committee [21/02/2017 - 22/04/2017]
- First Meeting of the Compliance Committee [20/04/2013 – 22/04/2013]

**Regional Workshop on
The Preparation of National Reports on
the Implementation of the International Treaty**

11-13 December 2018, New Delhi





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The Standard Reporting Format

The Standard Reporting Format

- The Reporting format displays 40 questions in sections and it follows the structure and order of the articles of the International Treaty
- It allows for a binomial distribution of the replies (yes/no) and allows reporting countries to provide additional comments and remarks.
- You can add links and attach files as needed

Example: questions related to funding and cooperation

There are questions and information on bilateral and multilateral cooperation. Here a few examples:

Article 5: Conservation, Exploration, Collection, Characterisation, Evaluation and Documentation of Plant Genetic Resources for Food and Agriculture

- **13.** Has your country cooperated with other Contracting Parties, through bilateral or regional channels, in the conservation, exploration, collection, characterization, evaluation or documentation of PGRFA?
 - 13A. If your answer is 'yes', please indicate the other Contracting Parties with whom the cooperation was undertaken (where additional to cooperation through the Governing Body or Treaty mechanisms) and, where possible, details of any relevant projects:

Article 7: National Commitments and international Cooperation

- **16.** Has your country cooperated with other Contracting Parties, through bilateral or regional channels, in the conservation and sustainable use of PGRFA.
 - 16A. If your answer is 'yes', please indicate whether the aim of such cooperation is to
 - 16B. If, in addition to cooperation through the Governing Body or other Treaty mechanisms, your country has cooperated with other Contracting Parties directly or through FAO and other relevant international organizations, please indicate such other Contracting Parties and, where possible, details of any relevant projects:

Article 18: Financial Resources

- **35.** Has your country provided and/or received financial resources for the implementation of the Treaty through bilateral, regional or multilateral channels?
 - **35A.** If your answer is 'yes', where possible, **please provide details of such channels and the amount of the financial resources involved:**
- **36.** Has your country provided financial resources for national activities for the conservation and sustainable use of PGRFA?
 - **36A.** If your answer is 'yes', **please provide details of such national activities and the amount of the financial resources involved:**

Article 13: Benefit-sharing in the Multilateral System

28. Has your country made any **information available** regarding Annex I PGRFA?

29. Has your country provided or facilitated **access to technologies** for the conservation, characterisation, evaluation and use of Annex I PGRFA?

28A. If your answer is 'yes', please provide details of any information made available regarding Annex 1 PGRFA (e.g. catalogues and inventories, information on technologies, results of scientific and socio-economic research, including characterisation, evaluation and utilisation):

29A. If your answer is 'yes', please indicate whether your country:

29B. If access to technologies was provided, please provide details of the access provided:

30. Has your country provided for and/or **benefitted from capacity building** measures in respect of Annex 1 PGRFA?

30A. If your answer is 'yes', please indicate whether such measures were related to:

Analyzing the Data

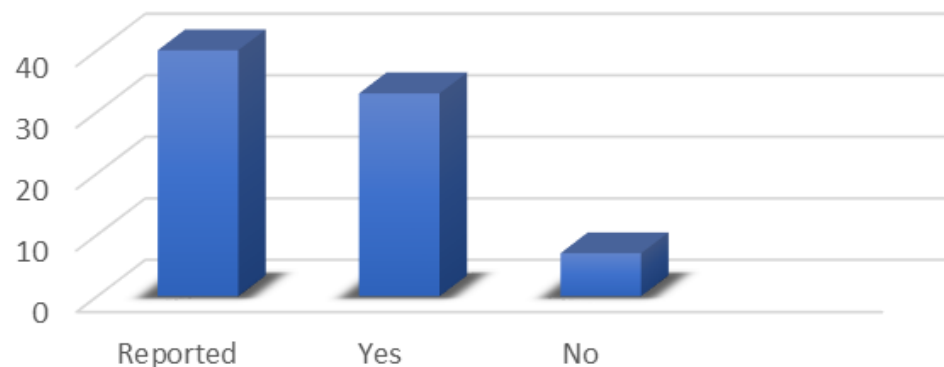
Response Analyzer

			Article 4: General Obligations			Article 5: Conservation, Exploration, Collection, Characterisation, Evaluation and Documentation of Plant Genetic Resources for Food and Agriculture										Article 6: Sustain PGREF
Reference area name	Lang	Reported	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Australia	en	1	1	1	0	1	1	0	1	1	1	1	1	1	1	1
Bangladesh	en	1	1	1	1	1	1	1	1	0	0	1	1	1	1	1
Bhutan	en	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Canada	en	1	1	1	0	1	1	1	1	1	1	1	1	1	1	1
Cuba	es	1	0	1	1	1	1	1	1	1	1	1	1	1	1	1
Denmark	en	1	1	1	0	1	1	1	1	1	1	1	1	1	1	1

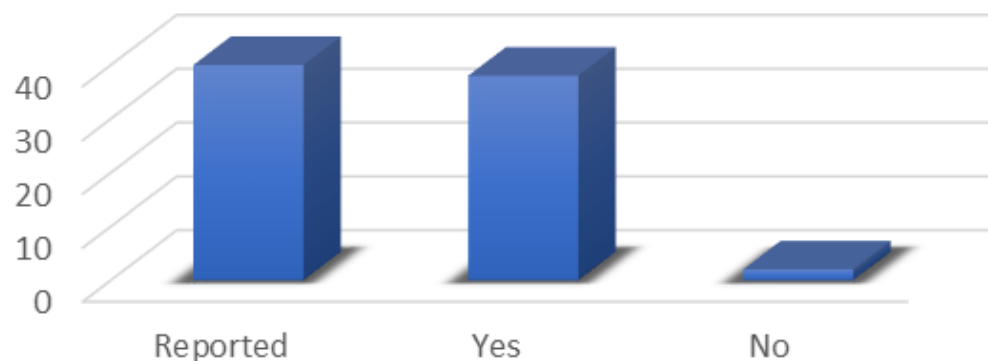
Individual reports

NAMIBIA		NAM	05/12/2016
Article 4: General Obligations			
1		YES	NO
1. Are there any laws, regulations procedures or policies in place in your country that implement the Treaty?		YES	
If your answer is 'yes', please provide details of such laws, regulations, procedures or policies:		<ul style="list-style-type: none"> Namibia National Strategic Action Plan for International Treaty on Plant Genetic Resources for Food and Agriculture (2016). Namibia's Second National Biodiversity Strategy and Action Plan 2013-2022 Access and Benefit Sharing Bill (Namibia is implementing the SMTA, MTA, Mutually Agreed Terms (MAT), Prior Informed Consent procedures (PIC)). Seed and Seed Variety Bill (2009). The Plant Breeders and Farmers Right Bill (2009). National Agricultural Policy (MAWF, 1995) National Drought Policy and Strategy (MAWF, 1997) supports sustainable land management Green Scheme Policy (MAWF, 2008) National Rangeland Management Policy and Strategy (MAWF, in compilation) recognizes the strong connection between productivity and stability of rangelands and biodiversity Community forest legislation Namibian Agricultural Marketing and Trade Policy and Strategy (2011) Plant quarantine Act. No. 7 of 2008; Agricultural Remedies Farm Feeds and Fertilizer Act No.36 of 1947; Environmental Act No. of 2007. Agricultural (Commercial) Land Reform Act (1995). 	
2		YES	NO
2. Are there any other laws, regulations, procedures or policies in place in your country that apply to plant genetic resources?		YES	
If your answer is 'yes', please provide details of such laws, regulations, procedures or policies:		<ul style="list-style-type: none"> Development Forestry Policy of Namibia (2001); National Water Policy White Paper (MAWF, 2000) Water Supply and Sanitation Policy (MAWF, 1993 and revised in 2008) Forestry Development Policy (MAWF, 2001) Forest Act (2001) Wildlife Management. Utilization and Tourism In Communal Areas Policv (MET. 1995) 	

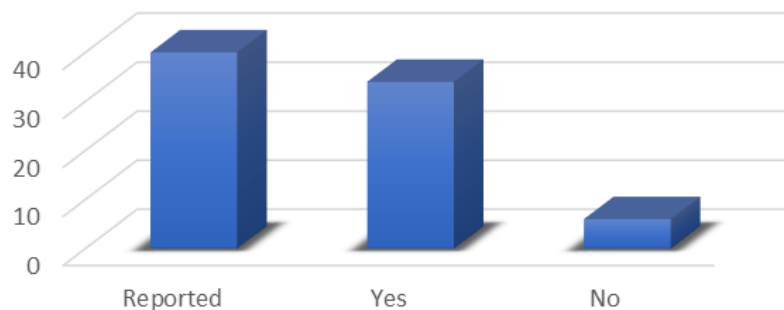
Q1. Are there any laws, regulations procedures or policies in place in your country that implement the Treaty?



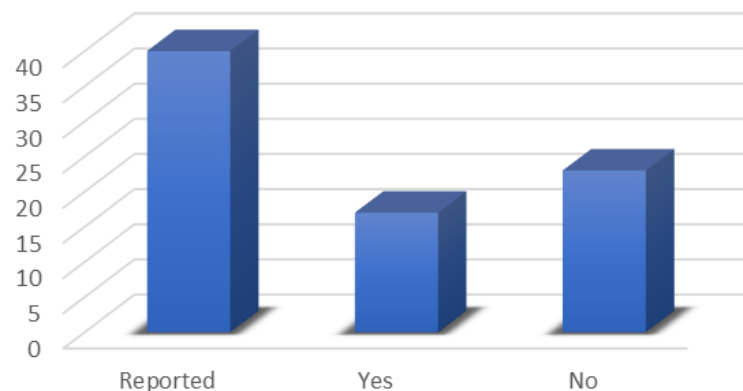
Q2. Are there any other laws, regulations, procedures or policies in place in your country that apply to plant genetic resources?



Q13. Has your country cooperated with other Contracting Parties, through bilateral or regional channels, in the conservation, exploration, collection, characterization, evaluation or documentation of PGRFA?

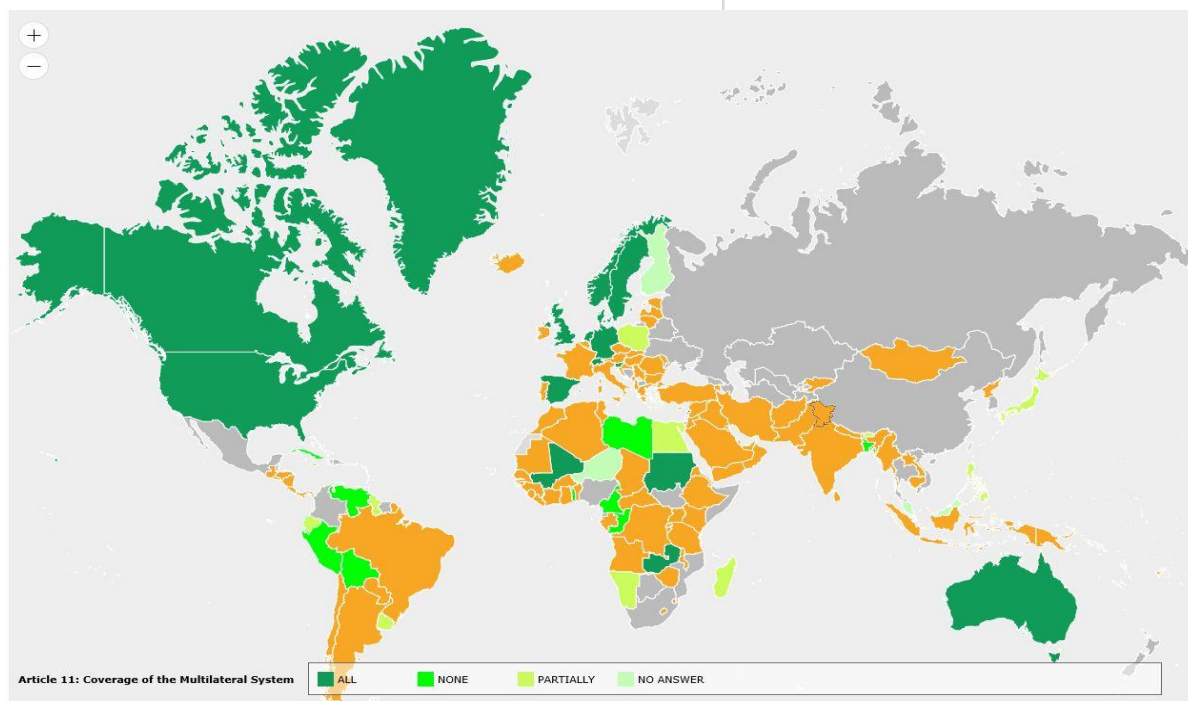
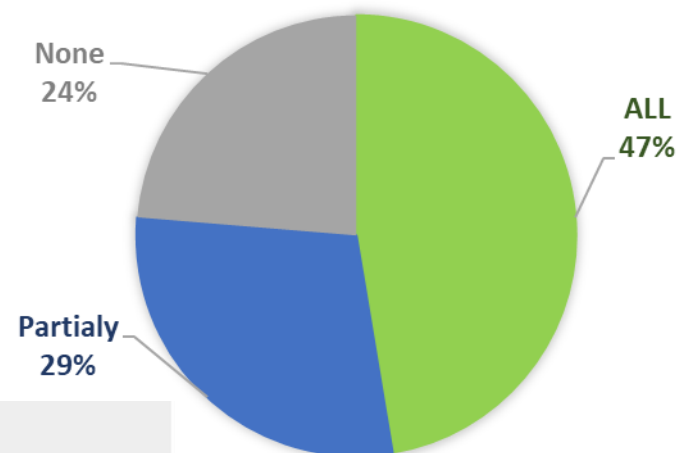


Q18. Has your country received technical assistance with the objective of facilitating the implementation of the Treaty?



Showing, in the form of percentages, the replies to question 20 related to the notification of material in the Multilateral System by the Contracting Parties.

Q20. Has your country included in the MLS all PGRFA in Annex I?



Map showing the geographic distribution of the replies to question 20 regarding the notification of material available in the Multilateral System.

Recap on the reporting obligations

Recap: How to Report under Section V of the Compliance Procedures

- 1- Download and review the Standard Reporting format: <http://www.fao.org/3/a-mn566e.pdf>
- 2- Fill in or get the necessary inputs from the relevant national authorities. You can see other reports received at: <http://www.fao.org/plant-treaty/areas-of-work/compliance/compliance-reports/en/>
- 3- Get from the Treaty Secretariat your username and password for the Online Reporting System : <http://faoitpgrfa.ort-production.linode.unep-wcmc.org/>
- 4- Upload the data in the different sections and submit it through the system. There is an online [help section](#). The System will generate a PDF report.
- 5- Send a message to PGRFA-Treaty@fao.org attaching the Report in PDF format.

Please contact the Treaty Secretariat at PGRFA-Treaty@fao.org in case you have any issues or if you need additional information.



The International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA)

Online Reporting System

ONLINE
REPORTING
SYSTEM



Submission dashboard

[Edit User](#) [Manage Delegates](#)



First Report on Compliance of ITPGRFA



Save all

Submit questionnaire

Online Reporting System on Compliance of the International Treaty on Plant Genetic Resources for Food and Agriculture

Pursuant to Article 21 of the Treaty, the Governing Body approved, at its Fourth Session, the Compliance Procedures that include, among others, provisions on monitoring and reporting: [Resolution 2/2011, Rev 1](#).

According to the Compliance Procedures, each Contracting Party is to submit to the Compliance Committee, through the Secretary, a report on the measures it has taken to implement its obligations under the Treaty. This Online Reporting Systems facilitates the submission of such information in electronic format.

Should you need any additional information regarding the reporting on compliance or the use of the online system, please visit the [Treaty's Website](#) or contact the Secretariat at PGRFA-Treaty@fao.org.

Questionnaire Information

Default Language: English
Available Languages: English, Español, Français
Year of creation: 2016

Questionnaire displayed in: **English**

Submission Guidance

After filling the questionnaire you can submit it by clicking this link. You will then receive an e-mail confirming the submission.

After submitting the questionnaire you will still be able to

www.fao.org/plant-treaty

[Introduction](#)

[Additional Reporting Information](#)

[Article 4](#)

[Article 5](#)

[Article 6](#)

[Article 7](#)

[Article 8](#)

[Article 9](#)

[Article 11](#)





Online Reporting System – support

- There are examples of other countries that have already reported on the Treaty Website
- There is a manual for the ORS
- The Secretariat is also available to support national focal points and concerned staff

Recap: importance of national reports

- Treaty implementation at national level?
- Major difficulties that CPs are facing?
- Is legal assistance or specific funding needed in a certain area?
- How are CPs cooperating?
- Areas that would benefit from more policy attention?
- Information of value beyond Treaty

Thank you