



RESILIENCE INDEX MEASUREMENT AND ANALYSIS SHORT QUESTIONNAIRE

What is the Resilience Index Measurement and Analysis (RIMA)?

RIMA estimates household resilience to food insecurity with a quantitative approach to establish a cause-effect relationship between resilience and its critical determinants.¹ RIMA is context- and shocks-specific; it can be adopted for impact evaluation, reflecting the Theory of Change (ToC) and Logframe of interventions. Within a Monitoring Evaluation and Learning (MEAL) framework, this tool can be used for tracking progress during the project cycle and adapt interventions; to explore food security and resilience changes over time; and to improve programme design and to inform policy decisions.

Why a short RIMA questionnaire?

The RIMA analysis is based on **household-level data**. Collecting large volumes of household-level data is time- and resource-consuming, and it is not always feasible in countries affected by fragility and conflict. For this reason, the size of the RIMA questionnaire has been reduced to take these aspects in consideration.

A short RIMA questionnaire allows collecting the minimum information needed for estimating the household resilience capacity through short interviews; to increase efficiency, it can be collected using mobile devices, such as tablets and smart phones. It can be complemented with additional modules relevant to the project/programme to serve as an overall baseline for the intervention.

The short RIMA questionnaire has three components, the first two are mandatory, and the third is tailored according to context and project/programme requirements:

- 1. Modules required to establish the resilience capacity index (RCI):**
 - Access to Basic Services (ABS)
 - Assets (AST)
 - Social Safety Nets (SSN)
 - Adaptive Capacity (AC)
 - Food security
 - Shocks
- 2. Household demographic characteristics that factor into indicator tabulation and which can support targeting.**
- 3. Optional modules that can be included based on context and project needs:**
 - Subjective resilience
 - Conflict
 - Other modules that are specific to the ToC and interventions of the project (e.g., Farming Systems Outcome Monitoring module)

The list of 41 questions used in the short RIMA questionnaire is based on experience implementing the full RIMA which isolated the critical variables and questions, literature review (Reference list by questions), and technical consultations among RIMA experts.

¹ More information on the RIMA methodology can be found in FAO. 2016. *RIMA-II: Resilience Index Measurement and Analysis II*. Rome. (also available at: www.fao.org/3/a-i5665e.pdf)

Objectives of the short RIMA questionnaire:

- Reducing the time for collecting household data for resilience analysis.
- Reaching households living in areas with limited access for field activities (mobile interviews).
- Reducing the time for conducting resilience analysis (reduced data cleaning / data preparation).
- Facilitating the calculation of the RIMA-Resilience Capacity Index using the Excel tool² in order to provide timely policy and programming implications.
- Collecting high-frequency data – for monitoring interventions or critical contextual factors.
- Providing a benchmark to assess whether already existing monitoring and evaluation frameworks are suitable for resilience analysis.

Contextualization before use:

- Questions need to be contextualized in order to capture characteristics of the implementation context, for example by modifying the list of items included in the questions marked with "*".
- When the questionnaire is being used for project/programme monitoring and evaluation ensure that the questions required to measure the log-frame indicators are included, as well as the questions related to the types of assistance being provided.

MODULES

Access to Basic Services (ABS)

1. Is the main source of drinking water for household a piped connection to the household, public taps or standpipes, tube wells or boreholes, protected dug wells, protected springs or rainwater collection? ³	[1 = yes 0 = no]
2. Is the main type of toilet facility used by household members a flush/pour flush (to piped sewer system, septic tank, or pit latrine), a ventilated improved pit (VIP) latrine, a pit latrine with slab, or a composting toilet? ⁴	[1 = yes 0 = no]
3. Is electricity the main source of energy used in the household for cooking or lighting?	[1 = yes 0 = no]
4. How far (one way) is the household dwelling from the closest accessible/ functioning [SERVICE] in minutes (walking distance)?* ⁵	[minutes]
• Water source	
• Primary school	
• Public hospital / health facility	
• Livestock market	
• Agricultural/crops market	
• Public means of transport	

Assets (AST)

5. How many [DURABLES/ASSETS] do the household members own?*	[number]
• Car	
• Bicycle	

² More information can be found here: <https://www.youtube.com/watch?v=BksgzboPa2Q>

³ List of improved water sources available here: <https://data.worldbank.org/indicator/SH.H2O.SAFE.ZS>

⁴ List of improved toilet facilities here: www.who.int/water_sanitation_health/monitoring/jmp2012/key_terms/en

⁵ Additional question can be added to capture the quality of the basic services.

• Gas/electric cooker	
• Mobile	
6. How many [DURABLES/ASSETS] do the household members own?*	[number]
• Ox-plough	
• Machete	
• Tractor	
7. Do the household members use [INPUTS]?*	[1 = yes 0 = no]
• Purchased seeds (traditional/local)	
• Pesticides/herbicides	
• Fertilizers	
• Livestock feed	
8. What is the total area in hectares of agricultural land (owned, leased or used) that the household uses?	[hectares]
9. How many [LIVESTOCK] does the household currently own?*	[number]
• Cows/calves	
• Sheep, goat	
• Chicken	
• Camels	

Social Safety Nets (SSN)

10. a. What is the total amount of formal cash transfers ⁶ received in the last 12 months by the household members?	[monetary value in local currency]
b. How often have you or other members of the household received formal cash transfers in the last 12 months? [Please ask this question only if question 10a is greater than 0. In case of multiple cash transfers, please refer to the most frequent one].	[1 = daily; 2 = weekly; 3 = biweekly; 4 = monthly; 5 = bimonthly; 6 = quarterly; 7 = twice a year; 8 = only once/ lump-sum]
c. Have the cash transfers been received regularly in the last 12 months? [Please ask this question only if question 10a is greater than 0 and 10b different from 8. In case of multiple cash transfers, please refer to the most frequent one].	[1 = yes 0 = no]
11. a. What is the total amount of formal in-kind transfers ⁷ received in the last 12 months by the household members?	[monetary value in local currency]

⁶ These amounts include for instance unconditional cash transfers, cash for work, pensions. Please include transfers from the Government, international organizations such as the WFP, FAO, UNICEF, etc., institutions, or non-governmental organizations, like Save the Children, Care International, Mercy Corps, etc.

⁷ These transfers include for instance relief food, food vouchers, input subsidies, fuel subsidies, asset transfers, etc. Please include transfers from the Government, international organizations such as the WFP, FAO, UNICEF, etc., institutions, or non-governmental organizations, like Save the Children, Care International, Mercy Corps, etc. and convert the amount into an equivalent monetary value, i.e. the amount you would have spent in case you had to buy the in-kind transfer.

<p>b. How often have you or other members of the household received formal in-kind transfers in the last 12 months? [Please ask this question only if question 11a is greater than 0. In case of multiple in-kind transfers, please refer to the most frequent one].</p>	<p>[1 = daily; 2 = weekly; 3 = biweekly; 4 = monthly; 5 = bimonthly; 6 = quarterly; 7 = twice a year; 8 = only once/ lump-sum]</p>
<p>c. Have the in-kind transfers been received regularly in the last 12 months? [Please ask this question only if question 11a is greater than 0 and 11b different from 8. In case of multiple in-kind transfers, please refer to the most frequent one].</p>	<p>[1 = yes 0 = no]</p>
<p>12. How many meals have the children living in the household received during the last month they attended school? [Please ask this question only if children are living in the household].</p>	<p>[number of school meals]</p>
<p>13. What is the total amount of informal transfers⁸ received in the last 12 months by the household members?</p>	<p>[monetary value in local currency]</p>
<p>14. Are members of this household formally participating in a local group/association, such as farmers groups, women support groups, youth groups, business associations, unions, etc.? If so, how many of these associations can provide support in case of need?⁹</p>	<p>[number of associations]</p>
<p>15. How many relatives/friends/family members can the household members rely on in case of need?</p>	<p>[number]</p>

Adaptive Capacity (AC)

<p>16. Can the head of the household read and write (in any language / alphabet)?</p>	<p>[1 = yes 0 = no]</p>
<p>17. a. How many years has the household head attended formal school? b. How many years has the household head attended non-formal (e.g. Koranic school)?*</p>	<p>[number]</p>
<p>18. a. How many years has the household member with the highest level of education attended formal school? b. How many years has the household member with the highest level of education attended non-formal (e.g. Koranic) school?*</p>	<p>[number]</p>
<p>19. On average, how many years have the household members of working age (>14 and <65 years old) attended formal school?</p>	<p>[number]</p>
<p>20. In the past 12 months, what percentage of the household's overall income was generated by [SOURCE]?*</p>	<p>[%]</p>
<ul style="list-style-type: none"> • Agriculture, animal breeding, fishing 	
<ul style="list-style-type: none"> • Family business (other than agriculture) 	
<ul style="list-style-type: none"> • Government wage and salary 	
<ul style="list-style-type: none"> • Private sector wage and salary 	
<ul style="list-style-type: none"> • Transfers and social assistance 	
<ul style="list-style-type: none"> • Other 	
<p>21. Over the past 12 months, what is the total value of loan(s) received by household members?</p>	<p>[monetary value in local currency]</p>

⁸ Please include cash from remittances of relatives or friends, the monetary value of in-kind transfers such as free food/grains/inputs.

⁹ Please mark 0 if nobody from this household is formally participating in these associations or if they are not available in the locality where the household lives.

22. How many different crops have the household members grown during the last season?	[number]
23. Have the household members used improved quality seeds during the last season? [Please refer to both rainy and off-season culture].	[1 = yes 0 = no]
24. a. Have the household members received any training in the last 12 months? (if "Yes", go to question 24b)	[1 = yes 0 = no]
b. if "Yes", which type of training?*	[1 = good agricultural practices; 2 = livestock management; 3 = agribusiness and value addition; 4 = vocational training; 5 = other]
25. Have the livestock owned by the household received any vaccination in the last 12 months?	[1 = yes 0 = no]

Food security

FOOD INSECURITY EXPERIENCE SCALE (FIES)	
Now I would like to ask you some questions about food. During the last 12 months, was there a time when:	
26. You or others in your household worried about not having enough food to eat because of a lack of money or other resources?	[1 = yes 0 = no 98 = don't know 99 = refused]
27. Still thinking about the last 12 months, was there a time when you or others in your household were unable to eat healthy and nutritious food because of a lack of money or other resources?	[1 = yes 0 = no 98 = don't know 99 = refused]
28. Was there a time when you or others in your household ate only a few kinds of foods because of a lack of money or other resources?	[1 = yes 0 = no 98 = don't know 99 = refused]
29. Was there a time when you or others in your household had to skip a meal because there was not enough money or other resources to get food?	[1 = yes 0 = no 98 = don't know 99 = refused]
30. Still thinking about the last 12 months, was there a time when you or others in your household ate less than you thought you should because of a lack of money or other resources?	[1 = yes 0 = no 98 = don't know 99 = refused]
31. Was there a time when your household ran out of food because of a lack of money or other resources? (if "Yes", go to question 31a). a. Did it happen in the past 4 weeks (30 days)? (if "Yes", go to question 31b).	[1 = yes 0 = no 98 = don't know 99 = refused]

b. How often did this happen in the past 4 weeks (30 days)?	[2 = rarely (1 or 2 times) 3 = sometimes (3-10 times) 4 = often (more than 10 times) 98 = don't know 99 = refused]
32. Was there a time when you or others in your household were hungry but did not eat because there was not enough money or other resources for food? (if "Yes", go to question 32a and 32b). a. Did it happen in the past 4 weeks (30 days)? (if "Yes", go to question 32b).	[1 = yes 0 = no 98 = don't know 99 = refused]
b. How often did this happen in the past 4 weeks (30 days)?	[2 = rarely (1 or 2 times) 3 = sometimes (3-10 times) 4 = often (more than 10 times) 98 = don't know 99 = refused]
33. Was there a time when you or others in your household went without eating for a whole day because of a lack of money or other resources? (if "Yes", go to question 33a and 33b). a. Did it happen in the past 4 weeks (30 days)? (if "Yes", go to question 33b).	[1 = yes 0 = no 98 = don't know 99 = refused]
b. How often did this happen in the past 4 weeks (30 days)?	[2 = rarely (1 or 2 times) 3 = sometimes (3-10 times) 4 = often (more than 10 times) 98 = don't know 99 = refused]
FOOD EXPENDITURE AND CONSUMPTION	
34. What is the amount of money spent on the food consumed by the household members during the past 7 days?	[monetary value in local currency]
35. What percentage of your income is used for buying food?	[%]
36. Can you quantify how much your household consumed in the past 7 days using credit (because of inability to cover the cost)?	[monetary value in local currency]
37. Can you quantify how much your household consumed in the past 7 days from its own production?	[monetary value in local currency]
38. Can you quantify how much your household consumed in the past 7 days from assistance/gifts?	[monetary value in local currency]
39. Over the past 7 days, ¹⁰ how many days have the household members consumed [FOOD GROUP]?	[number of days]
• Cereals	
• White tubers and roots	
• Vitamin A rich vegetables and tubers	
• Dark green leafy vegetables	
• Other vegetables	
• Vitamin A rich fruits	

¹⁰ The preferred recall period is 24 hours for the Household Dietary Diversity Score.

• Other fruits	
• Organ meat	
• Flesh meat	
• Eggs	
• Fish and seafood	
• Legumes, nuts and seeds	
• Milk and milk products	
• Oils, fats	
• Sweets	
• Spices, condiments, beverages	

Shocks

40. In the last 12 months, what are the most severe shocks faced by the household?	[open answer]
41. What did the household members do to cope with the shocks?	[open answer]

Household demographic characteristics

42. Gender of household head.	[1 = male 2 = female]
43. Total number of members of the household (adults, >14 years old and children, < 15 years old).	[number]
44. Total number of household members of working age (>14 and <65 years old).	[number]
45. Region.	[open answer]
46. Livelihood.	[open answer]

Reference list by questions

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TO KNOW MORE

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