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Food and Agriculture  
Organization of the  
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**The International Treaty**  
ON PLANT GENETIC RESOURCES  
FOR FOOD AND AGRICULTURE

**INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES  
FOR FOOD AND AGRICULTURE**

**FIFTH MEETING OF THE STANDING COMMITTEE ON THE  
FUNDING STRATEGY AND RESOURCE MOBILIZATION**

**15 – 17 February 2022**

**FURTHER UPDATED DRAFT OF THE IMPLEMENTATION,  
MONITORING AND REVIEW PLAN: FOOD PROCESSING  
INDUSTRY ENGAGEMENT STRATEGY (INCEPTION PHASE)**

This document contains a further updated draft of the *Implementation, Monitoring and Review Plan: Food Processing Industry Engagement Strategy (Inception Phase)*. It shows the updates in tracked changes mode for the Committee's review and finalisation.

Process to date

The first draft of this document was made available to the members of the Funding Committee via a virtual checkpoint for the Committee's consideration and comments over a seven week period between 22 July and 7 September 2021. The inputs received from the members of the Funding Committee were compiled and made available to the Committee at its fourth meeting (SFC-4), through the document IT/GB-9/SFC-4/21/Inf.3, *SFC inputs received electronically on draft Food Processing Industry Engagement Strategy and Implementation, Monitoring and Review Plan*.

Based upon the inputs received, a second draft was made available to the Committee at its fourth meeting, through the document IT/GB-9/SFC-4/21/4, *Second draft of the Implementation, Monitoring and Review plan: Food Processing Industry Engagement Strategy*. It contained text changes proposed by members of the Committee via the electronic checkpoint and updates made by the Secretariat in accordance with the comments received, shown in revision mode.

To move the process forward, the Co-Chairs provided a subsequent version of the document, the *Co-Chairs' updated draft of the Implementation, monitoring and review plan* to the Committee by email during SFC-4, as is contained in Appendix 2 of the SFC-4 proceedings.

The Co-Chairs noted that their updated draft contained the accepted text proposals shown in the working document, IT/GB-9/SFC-4/21/4, which were based upon the inputs received via the electronic check-point, and the Committee discussed the document.

The Committee agreed that the *Implementation, monitoring and review plan* should be a living document that may be incorporated into the Operational Plan of the Funding Strategy 2020-2025, should the Committee decide it is relevant to do so, and continue to be updated. It further agreed that it should be provided as a separate information document to the Ninth Session of the Governing Body and that, once finalised, a link to it would be included in the *Draft Food Processing Industry Engagement Strategy*.

The Committee requested the Secretariat to further develop the draft *Implementation, monitoring and review plan* for finalisation at its fifth meeting.

~~Co-Chairs' updated draft of the implementation, monitoring and review plan~~

**INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES  
FOR FOOD AND AGRICULTURE**

**DRAFT IMPLEMENTATION, MONITORING AND REVIEW PLAN: FOOD PROCESSING  
INDUSTRY ENGAGEMENT STRATEGY (INCEPTION PHASE)**

1. In developing the draft strategy to mobilize voluntary funds from food processing industries (the Food Processing Industry Engagement Strategy), the Standing Committee on the Funding Strategy and Resource Mobilization (the Funding Committee) noted that it should include an implementation, monitoring and evaluation plan, which ~~sh~~ould be provided as a separate information document to the Governing Body at its Ninth Session, ~~and that a link to it would be included in the *Draft Food Processing Industry Engagement Strategy*~~. This document sets out such a plan.

~~1.2.~~ The Funding Committee agreed that it should be a living document and continue to be updated.

~~2.3.~~ [In order to streamline and integrate the Food Processing Industry Engagement Strategy with the broader work of the Treaty's Funding Strategy, the Committee may wish to consider incorporating this *Implementation, monitoring and evaluation plan* into its existing *Operational Plan for the Funding Strategy 2020-2025*.

~~3.4.~~ The structure of the plan set out in this document follows that of the Operational Plan to allow for it to be easily incorporated in the Focus Area on resource mobilization of the Operational Plan of the Funding Strategy 2020-2025, should the Committee decide it is relevant to do so.

**FOCUS AREA: FOOD PROCESSING INDUSTRY ENGAGEMENT STRATEGY ]**

~~4.5.~~ The Governing Body, through Resolution 3/2019, called on the Funding Committee to develop a strategy to mobilize funds from food processing industries, as called for in Article 13.6 of the Treaty.

~~5.6.~~ An iterative or "step-wise" approach is envisaged for the Food Processing Industry Engagement Strategy through two key phases: an **inception phase**, followed by an **implementation phase**. This allows the development, testing and refining of concepts, approaches and tools to inform a targeted and effective set of actions for the Treaty's engagement with the food processing industry, as relevant and appropriate.

~~6.7.~~ Given this approach, this *Implementation, monitoring & review plan* considers only the major milestones and outputs for the inception phase, which is anticipated would take one year.

The key actions of the inception phase fall into ~~three-four~~ main thematic areas:

- i. Defining the Treaty's value proposition, communication tools and relevant entry points for engagement;
- ii. Testing and refining tools to establish areas of common interest;
- ~~iii.~~ Identifying models of engagement to pilot;
- ~~iii-iv.~~ Reviewing and updating of Plan-

~~7.8.~~ The Funding Committee will consider an implementation phase following a review of the inception phase. Major milestones and outputs for the implementation phase will be informed by the analysis and learnings arising from the inception phase, as appropriate.

### Major milestones and outputs by work-stream (inception phase)

#### i. Defining the Treaty's value proposition, communication tools and relevant entry points for engagement

**Milestone:** by 2022, the Treaty's value proposition, communication tools and relevant entry points for engagement with the food processing industry are defined by the Secretariat, under the guidance of the Committee, in consultation with the food processing industry.

- By 2022, a food processing industry sounding board has been identified.
- By 2022, an approach paper is developed by the Secretariat that defines the Treaty's value proposition, talking points, discussion hooks and entry points for engagement with the food processing industry.

#### ii. Testing and refining tools to establish areas of common interest

**Milestone:** By 2022, tools and approaches to establish areas of common interest are developed, tested and refined by the Secretariat, under the guidance of the Committee, in consultation with the food processing industry.

- By 2022, the food processing industry sounding board has been consulted on tools and approaches developed.
- By 2022, tools and approaches are refined based on the consultations

#### iii. Identifying potential models of engagement to pilot

**Milestone:** By 2022, potential models of engagement with food processing industry have been identified by the Committee.

- By 2022, potential models of engagement are identified taking into account experiences of other international instruments and organizations through interviews with relevant actors
- By 2022, with guidance from the Funding Committee, a dialogue has been organized with the food processing industry sounding board on models of engagement
- By 2022, a selection has been made of models of engagement to test after a review of the inception phase.

#### iv. Reviewing and updating of Plan

**Milestone:** By 2023, a review of the inception phase is undertaken and the implementation, monitoring & review plan is updated by the Committee.

- By 2023, a review of activities undertaken during the inception phase is undertaken and the implementation, monitoring & review plan is updated by the Committee based upon the learnings and information arising from the inception phase and the decisions made by the Ninth Session of the Governing Body in relation to the Food Processing Industry Engagement Strategy.

**Related Funding Strategy provisions:** *Funding Strategy 2020-2025, Annex 1 to Resolution 3/2019, paragraph 29 h; Operations Manual: Benefit-sharing Fund, Annex 2 of Annex 1 to Resolution 3/2019, Section II, paragraph 24.*

Table 1: Summary of major milestones and outputs by work-stream and biennium  
Area: Food Processing Industry Engagement Strategy

Focus

|   | Biennium 2022/23  | Biennium 2024/25 |
|---|---|------------------|
| <b>Focus Area: Food processing industry engagement strategy</b>                                       |   |                  |
| Defining the Treaty's value proposition, communication tools and relevant entry points for engagement | <p>By 2022, a food processing industry sounding board has been identified by the Secretariat, under the guidance of the Committee, in consultation with the food processing industry</p> <p>By 2022, an approach paper is developed by the Secretariat that defines the Treaty's value proposition, talking points, discussion hooks and entry points for engagement</p> <p>Milestone achieved</p>  | N/A              |
| Testing and refining tools to establish areas of common interest                                      | <p>By 2022, the food processing industry sounding board has been consulted</p> <p>By 2022, tools and approaches are refined based on the consultation by the Secretariat, under the guidance of the Committee, in consultation with the food processing industry</p> <p>Milestone achieved</p>  | N/A              |
| Identifying potential models of engagement to pilot   | <p>By 2022, potential models of engagement are identified by the Committee taking into account experiences of other international instruments and organizations through interviews with relevant actors</p> <p>By 2022, with guidance from the Funding Committee, a dialogue has been organized with the food processing industry sounding board on models of engagement</p> <p>By 2022, a selection has been made by the Committee of models of engagement to test after a review of the inception phase</p> <p>Milestone achieved</p> | N/A              |
| <u>Reviewing and updating of Plan</u>   | <p><u>By 2023, a review of activities undertaken during the inception phase is undertaken and the implementation, monitoring &amp; review plan is updated by the Committee based upon the learnings and information arising from the inception phase and the decisions made by the Ninth Session of the Governing Body in relation to the Food Processing Industry Engagement Strategy.</u></p> <p><u>Milestone achieved</u></p>  | <u>N/A</u>       |