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Food and Agriculture  
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Продовольственная и  
сельскохозяйственная организация  
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Organización de las  
Naciones Unidas para la  
Alimentación y la Agricultura

منظمة  
الغذية والزراعة  
للأمم المتحدة

# COMMISSION ON GENETIC RESOURCES FOR FOOD AND AGRICULTURE

## Item 7 of the Provisional Agenda

### INTERGOVERNMENTAL TECHNICAL WORKING GROUP ON ANIMAL GENETIC RESOURCES FOR FOOD AND AGRICULTURE

#### Twelfth Session

Rome, 18 – 20 January 2023

### CLIMATE CHANGE AND GENETIC RESOURCES FOR FOOD AND AGRICULTURE

#### TABLE OF CONTENTS

	Paragraphs
I. Introduction.....	1–4
II. Background.....	5–11
III. FAO activities on climate change.....	12
IV. Review and revision of the draft questionnaire .....	13
V. Revision of the Voluntary Guidelines to support the Integration of Genetic Diversity into National Climate Change Adaptation Planning .....	14–21
VI. Guidance sought .....	22–24

*Appendix I. Draft questionnaire on genetic resources for food and agriculture and climate change*

## I. INTRODUCTION

1. The Commission, at its Eighteenth Regular Session, noted the potential genetic resources for food and agriculture (GRFA) offer for climate change adaptation and mitigation, including the improvement of related pest and disease resistance, and stressed the need for their conservation and sustainable use to fully explore and exploit this potential. It requested FAO to fully consider GRFA in its work on climate change.<sup>1</sup>
2. The Commission also requested the intergovernmental technical working groups (Working Groups) to review and revise, as appropriate, the *Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning*,<sup>2</sup> (Voluntary Guidelines) taking into account the need to address the vulnerabilities of GRFA to climate change, in line with relevant international agreements, for consideration by the Commission at its next session.<sup>3</sup>
3. Furthermore, the Commission requested FAO to review and revise, as appropriate, the draft questions on climate change and GRFA,<sup>4</sup> as presented to the Commission at its last session, with a view to shortening, simplifying and testing them, and streamlining the reporting process, for consideration by the Working Groups. The questions, as revised by the Working Groups, should also be reflected in future reporting formats through which countries report on the implementation of Global Plans of Action.<sup>5</sup>
4. The present document summarizes developments in, and FAO actions on, climate change and provides a revised version of the draft questionnaire, as requested by the Commission at its last session. In addition, it outlines potential changes to the Voluntary Guidelines and proposes a process for their revision. More detailed information on FAO's work on climate change is provided in the document *FAO's work on climate change*.<sup>6</sup>

## II. BACKGROUND

5. Food security and climate change are among the greatest challenges the world faces. Climate change, along with other drivers, is already undermining the recent progress made in the fight against hunger and malnutrition. FAO estimates that in 2021 between 702 and 828 million people faced hunger worldwide.<sup>7</sup> Extreme weather events are increasing in intensity – 52 percent of countries were exposed to three or four types of climate extremes (heat spell, drought, flood, or storm) in 2015–2020, compared with 11 percent in 2000–2004.<sup>8</sup> Climate variability and the increasing intensity and frequency of climate extremes as part of climate change poses multiple challenges: these affect all dimensions of food security and add pressure on already fragile agrifood systems.
6. The need to maintain food production in the face of climate change is a fundamental objective of the United Nations Framework Convention on Climate Change (UNFCCC).<sup>9</sup> The 2015 Paris Agreement additionally recognizes “the fundamental priority of safeguarding food security and ending

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<sup>1</sup> CGRFA-18/21/Report, paragraph 16.

<sup>2</sup> FAO. 2015. *Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning*. Rome. <https://www.fao.org/3/a-i4940e.pdf>

<sup>3</sup> CGRFA-18/21/Report, paragraph 20.

<sup>4</sup> CGRFA-18/21/3, *Appendix II*.

<sup>5</sup> CGRFA-18/21/Report, paragraph 19., see <https://www.fao.org/cgrfa/policies/global-instruments/gpa/en/>

<sup>6</sup> CGRFA/WG-AnGR-12/23/7 Inf.1.

<sup>7</sup> FAO, IFAD, UNICEF, WFP and WHO. 2022. *The State of Food Security and Nutrition in the World 2022. Repurposing food and agricultural policies to make healthy diets more affordable*. Rome, FAO. <https://doi.org/10.4060/cc0639en>

<sup>8</sup> FAO, IFAD, UNICEF, WFP & WHO. 2021. *The State of Food Security and Nutrition in the World 2021. Transforming food systems for food security, improved nutrition and affordable healthy diets for all*. Rome, FAO. <http://www.fao.org/3/cb4474en/cb4474en.pdf>

<sup>9</sup> UNFCCC, Article. 2.

hunger, and the particular vulnerabilities of food production systems to the adverse effects of climate change”.<sup>10</sup>

7. The need to address the effects of climate change on agrifood systems<sup>11</sup> has never been clearer. In the face of increasing extreme weather events around the globe, including high precipitation, heatwaves and droughts, the Intergovernmental Panel on Climate Change (IPCC) warns of unequivocal, unprecedented, irreversible trends that increasingly put the planet and humanity in peril.<sup>12</sup> Urgent mitigation action is required now by all nations if the increase in the global average temperature shall be held well below 2 °C above pre-industrial levels and efforts be pursued to limit the temperature increase to 1.5 °C above pre-industrial levels, as envisaged by the Paris Agreement. Agrifood systems need to adapt and be made resilient to the climate changes that are already unavoidable. Modifications at global, regional, national and local levels in agrifood systems, including for crops, livestock, forests, fisheries, aquaculture and related value chains, and associated biodiversity are fundamental components of climate action because agrifood systems are heavily affected by climate change and, at the same time, contribute considerably to global greenhouse gas (GHG) emissions.<sup>13</sup>

8. Impacts of the climate crisis, ranging from heatwaves and heavy precipitation to droughts and tropical cyclones, are projected to intensify.<sup>14</sup> This requires urgent resilience building, including through preparatory actions, adaptation and mitigation in agrifood systems. Unless there are rapid and large-scale reductions in GHG emissions including in agrifood systems, limiting warming to close to 2 °C or 1.5 °C will be beyond reach. This is further highlighted in the IPCC 2022 report on *Climate Change 2022: Mitigation of Climate Change*.<sup>15</sup> The 2022 report on *The State of Food Security and Nutrition in the World* noted that, while small-scale producers bear the devastating consequences of changing climate, degraded soils, food insecurity and irregular migration, only about 1.7 percent of the money so far invested globally in climate finance is reaching small-scale producers, and it is mostly going to mitigation objectives compared to adaptation.<sup>16</sup>

9. GRFA are the basis for sustainable agriculture and food security. However, the impact of climate change on GRFA is still not receiving the attention it deserves notwithstanding its global scale and significance for enhancing climate action including adaptation and resilience. A better understanding of genetic resources, their role in agriculture and food production, and their sustainable management, is a prerequisite for developing solid climate change adaptation and mitigation strategies. Genetic resources diversity plays a key role in carbon sequestration and climate change mitigation in aquatic ecosystems, natural and planted forests, annual and perennial crops and grasslands, and soils. The diversity of GRFA, which provides a vast reservoir of options for adapting agricultural production to the impacts of climate change, thus needs to be conserved and used for the

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<sup>10</sup> [https://unfccc.int/files/meetings/paris\\_nov\\_2015/application/pdf/paris\\_agreement\\_english\\_.pdf](https://unfccc.int/files/meetings/paris_nov_2015/application/pdf/paris_agreement_english_.pdf)

<sup>11</sup> The “agrifood system” covers the journey of food (for example, cereals, vegetables, fish, fruits and livestock) from farm to table – including when it is grown, harvested, processed, packaged, transported, distributed, traded, bought, prepared, eaten and disposed of. It also encompasses non-food products such as forestry, animal rearing, use of feedstock, biomass to produce biofuels and fibres, it constitutes all of the activities, investments and choices made, and it impacts on the livelihoods of all the people that play a part in getting us these agri-food products. (C 2021/28, <https://www.fao.org/3/nf649en/nf649en.pdf>)

<sup>12</sup> IPCC. 2021. *Climate Change 2021: The Physical Science Basis*. Contribution of Working Group I to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC\\_AR6\\_WGI\\_Full\\_Report.pdf](https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC_AR6_WGI_Full_Report.pdf)

<sup>13</sup> IPCC. 2020. *Climate Change Land: an IPCC Special Report on Climate Change, Desertification, Land Degradation, Sustainable Land Management, Food Security, Greenhouse Gas Fluxes in Terrestrial Ecosystems*, [https://www.ipcc.ch/site/assets/uploads/sites/4/2020/06/IPCCJ7230-Land\\_SM5\\_200226.pdf](https://www.ipcc.ch/site/assets/uploads/sites/4/2020/06/IPCCJ7230-Land_SM5_200226.pdf)

<sup>14</sup> IPCC. 2021. *Climate Change 2021: The Physical Science Basis*.

[https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC\\_AR6\\_WGI\\_Full\\_Report.pdf](https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC_AR6_WGI_Full_Report.pdf)

<sup>15</sup> IPCC. 2022. *Climate Change 2022 - Mitigation of Climate Change*. Working Group III contribution to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change. <https://www.ipcc.ch/report/ar6/wg3/>

<sup>16</sup> FAO, IFAD, UNICEF, WFP and WHO. 2022. *The State of Food Security and Nutrition in the World 2022. Repurposing food and agricultural policies to make healthy diets more affordable*. Rome, FAO. <https://doi.org/10.4060/cc0639en>

well-being of present and future generations. Many GRFA are conserved in genebanks (*ex situ*) while others need to be conserved in agricultural production systems or in natural or semi-natural habitats (on farm and *in situ*). However, a combined approach involving complementary *in situ* and *ex situ* conservation measures is recommended. Access to genetic resources with relevant traits for climate change adaptation and mitigation is crucial as many individual countries rely, for a significant part, on genetic resources originating from other countries.

10. Adaptation and mitigation should not be considered alternative or conflicting approaches. Rather, they both represent complementary aspects of a comprehensive strategy to tackle all the impacts of climate change. While mitigation operates on a longer time scale (up to 70 years) and requires a worldwide coordinated approach to reduce gradually and eventually curb GHG emissions at planetary level, adaptation acts also on shorter time horizons and mainly at local level (from national downwards) and can be modulated according to the different local situations (i.e. local impacts, vulnerabilities and resilience capacities). Moreover, agrifood systems offer considerable opportunities for synergies between adaptation and mitigation measures. Lack or inappropriateness of mitigation measures can increase the need for and therefore the costs of adaptation measures.

11. FAO supports countries in transforming their agrifood systems to achieve the Sustainable Development Goals, including eradication of hunger and loss of genetic diversity. It is evident that adaptation to and mitigation of climate change in the agricultural sectors require bold actions within the next decade. Climate change adaptation and mitigation policies and programmes should clearly reflect the need to conserve and sustainably use genetic resources to achieve sustainable development.

### **III. FAO ACTIVITIES ON CLIMATE CHANGE**

12. The Commission, at its Eighteenth Regular Session, requested FAO to increase capacity-building and training programmes on climate change adaptation and mitigation in collaboration with existing intergovernmental and international bodies.<sup>17</sup> It further stressed the importance of sufficient funding and capacity to support relevant research and development in the fields of GRFA and biodiversity for food and agriculture (BFA), especially in developing countries.<sup>18</sup> More information on FAO's work is contained in the document *FAO's work on climate change*.<sup>19</sup> The document shows that many projects address certain aspects of GRFA, and much guidance is already available on climate change for both adaptation and mitigation. However, there is an urgent need to mainstream sustainable use and conservation of BFA and GRFA across climate change adaptation and mitigation programmes, projects and policies and into national climate change adaptation and mitigation planning.

### **IV. REVIEW AND REVISION OF THE DRAFT QUESTIONNAIRE**

13. Following the Commission's request, FAO revised and shortened the draft questionnaire<sup>20</sup> for inclusion in future reporting formats through which countries report on the implementation of Global Plans of Action.<sup>21</sup> The revised draft questionnaire is included in *Appendix I*, for review by the Working Group.

### **V. REVISION OF THE VOLUNTARY GUIDELINES TO SUPPORT THE INTEGRATION OF GENETIC DIVERSITY INTO NATIONAL CLIMATE CHANGE ADAPTATION PLANNING**

14. The Commission, at its Eighteenth Regular Session, requested the Working Groups to consider reviewing and revising, as appropriate, the Voluntary Guidelines taking into account the need

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<sup>17</sup> CGRFA-18/21/Report, paragraph 21.

<sup>18</sup> CGRFA-18/21/Report, paragraph 16.

<sup>19</sup> CGRFA/WG-AnGR-12/23/7 Inf.1.

<sup>20</sup> CGRFA-18/21/Report, paragraph 19.

<sup>21</sup> CGRFA-18/21/3, *Appendix II*.

to address the vulnerabilities of GRFA to climate change, in line with relevant international agreements.<sup>22</sup>

15. The Voluntary Guidelines, developed under the aegis of the Commission and approved by the FAO Conference in 2015,<sup>23</sup> currently aim to:

- i. promote the use of GRFA in climate change adaptation and support their integration into national climate change adaptation planning;
- ii. support genetic resources experts and those involved in climate change adaptation to identify and address the challenges and opportunities of GRFA in adaptation; and
- iii. promote the involvement of genetic resources stakeholders in the national climate change adaptation planning process.

16. Thus, the Voluntary Guidelines very much focus on adaptation. However, climate change is an ongoing and accelerating process and GRFA will have to be conserved and continuously mobilized to meet new challenges as conditions change over the coming decades. Since the approval of the Voluntary Guidelines, important developments occurred, including the adoption of the Koronivia Joint Work on Agriculture in 2017,<sup>24</sup> and of the new FAO Strategy on Climate Change 2022-2031<sup>25</sup>, adopted by the 170th Session of the Council,<sup>26</sup> which both emphasize the role of the agriculture sectors in both climate change adaptation and mitigation. The Commission's recent global assessment reports on aquatic GRFA,<sup>27</sup> and on BFA,<sup>28</sup> both make reference to climate change adaptation and mitigation, as do the resulting policy responses – the *Framework for Action on Biodiversity for Food and Agriculture*<sup>29</sup> and the *Global Plan of Action for the Conservation, Sustainable Use and Development of Aquatic Genetic Resources for Food and Agriculture*.<sup>30</sup>

17. The study *The role of genetic resources for food and agriculture in adaptation to and mitigation of climate change*,<sup>31</sup> published in 2022 following a request by the Commission,<sup>32</sup> concludes that the contributions of the characterization, conservation, breeding and sustainable use of GRFA to climate change adaptation and mitigation should continue to be strengthened in all sectors, as the potential to use GRFA in climate change adaptation and mitigation remains largely untapped. It further shows that little information on the impact of climate change on GRFA, their related vulnerability and knowledge of adaptation and mitigation at the genetic level, is available for the sectors. Furthermore, the study identifies differences between the knowledge on adaptation and

<sup>22</sup> CGRFA-18/21/Report, paragraph 20.

<sup>23</sup> C 2015/REP, paragraph 52(b).

<sup>24</sup> COP decision 4/CP.23; Drieux, E., St-Louis, M., Schlickerrieder, J. & Bernoux, M. 2019. *State of the Koronivia Joint Work on Agriculture - Boosting Koronivia*. Rome, FAO.  
<https://www.fao.org/3/ca6910en/CA6910EN.pdf>

<sup>25</sup> <https://www.fao.org/3/cc2274en/cc2274en.pdf>

<sup>26</sup> CL 170/REP.

<sup>27</sup> FAO. 2019. *The State of the World's Aquatic Genetic Resources for Food and Agriculture*. FAO Commission on Genetic Resources for Food and Agriculture assessments. Rome.  
<https://www.fao.org/3/CA5256EN/CA5256EN.pdf>

<sup>28</sup> FAO. 2019. *The State of the World's Biodiversity for Food and Agriculture*, J. Bélanger & D. Pilling, eds. FAO Commission on Genetic Resources for Food and Agriculture Assessments. Rome. 572 pp.  
<http://www.fao.org/3/CA3129EN/CA3129EN.pdf>

<sup>29</sup> FAO. 2022. *Framework for Action on Biodiversity for Food and Agriculture*. FAO Commission on Genetic Resources for Food and Agriculture. Rome. <https://doi.org/10.4060/cb8338en>

<sup>30</sup> FAO. 2022. *Global Plan of Action for the Conservation, Sustainable Use and Development of Aquatic Genetic Resources for Food and Agriculture*. Commission on Genetic Resources for Food and Agriculture. Rome.  
<https://doi.org/10.4060/cb9905en>

<sup>31</sup> FAO. 2022. *The role of genetic resources for food and agriculture in adaptation to and mitigation of climate change*. FAO Commission on Genetic Resources for Food and Agriculture. Rome.  
<https://doi.org/10.4060/cb9570en>

<sup>32</sup> CGRFA-18/21/Report, paragraph 17.

mitigation across the different sectors. It is apparent that many sectors focus more on adaptation, such as the animal and plant sector, whereas others also focus on mitigation, such as the forest sector.

18. The study highlights that there are still a number of knowledge gaps related to the use of GRFA in climate change adaptation and mitigation. It is evident that there is a need for awareness raising and capacity development for both producers and policymakers.

19. Given the similarities and differences across the different sectors of GRFA, a broad approach might be needed to reap climate change solutions, including supporting developing country growth priorities with a sustainability lens, enabling cutting-edge technology to address climate issues, and promoting collaboration and sharing of knowledge and good practices. The revision of the Voluntary Guidelines, which currently focus on the genetic resources dimension of adaptation, could further broaden their scope to take into account both adaptation and mitigation in a balanced way. This could include, in particular, a revision of *Chapter 4: Elements and Steps*.

20. Furthermore, an update of the Annexes to the Voluntary Guidelines could reflect the revisions to *Chapter 4* and the recently adopted *Global Plan of Action for the Conservation, Sustainable Use and Development of Aquatic Genetic Resources for Food and Agriculture*<sup>33</sup> and the *Framework for Action on Biodiversity for Food and Agriculture*.<sup>34</sup>

21. With regard to the process, the Working Group could recommend that a global multi-stakeholder workshop be convened to provide an opportunity for an initial discussion of possible changes to the Voluntary Guidelines. The workshop could also provide a forum for stakeholders, in particular farmers and Indigenous Peoples and local communities, to exchange information and experiences and share views and priorities. The Voluntary Guidelines, as revised in the light of the outcomes of the workshop and taking into account the responses received to the questionnaire, could be considered in regional consultations and, subsequently by the Working Groups and the Commission at their next sessions.

## VI. GUIDANCE SOUGHT

22. The Working Group may wish to review the draft questionnaire, as contained in *Appendix I* and provide guidance in its area of expertise with regard to the finalization of the questionnaire, as appropriate.

23. The Working Group may wish to recommend that the Commission request the Secretariat to:

- i. circulate the finalized questionnaire for completion by National Focal Points/National Coordinators with a view to establishing a baseline of national responses;
- ii. prepare a summary of responses to the questionnaire for consideration by the Working Groups and the Commission at their next sessions.
- iii. convene a global multi-stakeholder workshop on climate change and GRFA to exchange information and experiences, share views and priorities, and discuss possible changes to the *Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning*;
- iv. revise the Voluntary Guidelines in light of the outcome of the workshop and taking into account the responses received to the questionnaire, for consideration in regional consultations and subsequently by the Working Groups and the Commission at their next sessions; and
- v. continue to increase capacity-building and training programmes on climate change adaptation and mitigation in collaboration with existing intergovernmental and international bodies.

<sup>33</sup> FAO. 2022. *Global Plan of Action for the Conservation, Sustainable Use and Development of Aquatic Genetic Resources for Food and Agriculture*. Commission on Genetic Resources for Food and Agriculture. Rome. <https://doi.org/10.4060/cb9905en>

<sup>34</sup> FAO. 2022. *Framework for Action on Biodiversity for Food and Agriculture*. FAO Commission on Genetic Resources for Food and Agriculture. Rome. <https://doi.org/10.4060/cb8338en>

24. The Working Group may further wish to recommend that the Commission invite Members to make use of the FAO tools and guidance on climate change adaptation and mitigation when developing or updating their National Adaptation Plans (NAPs) and Nationally Determined Contributions (NDCs).

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**APPENDIX I**

**DRAFT QUESTIONNAIRE ON GENETIC RESOURCES FOR FOOD AND AGRICULTURE  
AND CLIMATE CHANGE**

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Climate change poses new challenges to the management of the world's genetic resources for food and agriculture (GRFA), but it also underlines their importance. The study *The role of genetic resources for food and agriculture in climate change adaptation and mitigation*<sup>35</sup> noted that the specifics of climate change adaptation and mitigation differ by sector. The present questionnaire, directed at national governments, aims to gather information at country level on activities related to the role of GRFA in climate change adaptation and mitigation. The information required may have to be gathered through consultations with relevant national stakeholders.

Country:	
Prepared by (name, agency/institution, function):	
Date:	

**Climatic impacts on GRFA**

Q1: Has your country, in the last five years, analysed current climate and future climate change scenarios (e.g. national communication to the United Nations Framework Convention on Climate Change (UNFCCC),<sup>36</sup> Nationally Determined Contributions (NDCs),<sup>37</sup> National Adaptation Plans (NAPs),<sup>38</sup> and comparable documents, etc)?

If yes, please indicate the year and provide a reference to the report.

Q2: Has your country, in the last five years, undertaken a climate risk and vulnerability assessment of GRFA, taking into account the concerns of different genetic resource sectors and socio-economic implications? If yes, please indicate who took the action – government, research, non-governmental organization/civil society organization (NGO/CSO).

Sectors of GRFA	Yes	If yes, who?	If yes, please provide further	No

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<sup>35</sup> FAO. 2022. *The role of genetic resources for food and agriculture in adaptation to and mitigation of climate change*. FAO Commission on Genetic Resources for Food and Agriculture. Rome. <https://doi.org/10.4060/cb9570en>

<sup>36</sup> The UNFCCC is an international environmental treaty to combat dangerous human interference with the climate system, in part by stabilizing greenhouse gas concentrations in the atmosphere. It was signed by 154 states at the United Nations Conference on Environment and Development (UNCED), informally known as the Earth Summit, held in Rio de Janeiro from 3 to 14 June 1992. It then fully entered into force on 21 March 1994.

<sup>37</sup> NDCs embody efforts by each country to reduce national emissions and adapt to the impacts of climate change. NDCs are national climate plans highlighting climate actions, including climate related targets, policies and measures governments aim to implement in response to climate change and as a contribution to global climate action. They are at the heart of the Paris Agreement and the achievement of its long-term goals.

<sup>38</sup> The NAP process was established under the Cancun Adaptation Framework. It enables Parties to formulate and implement NAPs as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs.

			information and the reference to the report(s)	
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				
Other (please provide details)				

Q3: Has your country, in the last five years, conducted a stock taking/inventory of the current state of conservation and use of GRFA, and did this include an analysis of the strengths, weaknesses, opportunities and threats (SWOT) in the context of climate change adaptation and mitigation, taking account of national development goals in relevant areas? Please indicate who took the action – government, research, non-governmental organization (NGO)/civil society organization (CSO) – and when?

Sectors of GRFA	Yes, stocktaking and SWOT	Yes, stocktaking only	If yes, who and when?	No, but a stocktaking is planned	No
Animal genetic resources for food and agriculture					
Aquatic genetic resources for food and agriculture					
Forest genetic resources					
Micro-organism and invertebrate genetic resources for food and agriculture					
Plant genetic resources for food and agriculture					
Other (please provide details)					

If the answer to Q3 is yes, please provide further information.

### Integration of GRFA into the climate change planning processes

Q4: Does your country have a national adaptation plan (NAP) or a comparable document?

- Yes, finalized
- In preparation

- No
- Unknown

Q4.1: If answer to Q4 is “yes, finalized”, does the NAP include GRFA sustainable use and conservation for one or more sectors (please tick as appropriate)?

Sectors of GRFA	Yes	No	If yes, please provide the title of the document, a description of it and a link
Animal genetic resources for food and agriculture			
Aquatic genetic resources for food and agriculture			
Forest genetic resources			
Micro-organism and invertebrate genetic resources for food and agriculture			
Plant genetic resources for food and agriculture			

Q4.2: If the answer to Q4 is “in preparation”, at which step of the process is your country?

- Deciding on the frame and scope of the adaptation plan
- Undertaking the required vulnerability and risk assessments
- Identifying expected impact of climate change
- Identifying the expected impacts of other changes
- Identifying and assessing adaptation options
- Establishing iterative processes for monitoring and managing GRFA related risks

Q5: If your country has not developed a specific NAP for GRFA, has it included GRFA conservation and sustainable use for one or more sectors in wider sectoral or cross-sectoral adaptation plans?

Sectors of GRFA	Yes	Specify the sectoral or cross-sectoral plan	No
Animal genetic resources for food and agriculture			
Aquatic genetic resources for food and agriculture			
Forest genetic resources			
Micro-organism and invertebrate genetic resources for food and agriculture			
Plant genetic resources for food and agriculture			
Other (please provide details)			

Q6: Does your country have a NDC?

- Yes

- No

Q6.1: If the answer to Q6 is “yes”, does the NDC include GRFA conservation and sustainable use for one or more sectors?

Sectors of GRFA	Yes	No	If yes, please provide the title of the document, a description of it and a link
Animal genetic resources for food and agriculture			
Aquatic genetic resources for food and agriculture			
Forest genetic resources			
Micro-organism and invertebrate genetic resources for food and agriculture			
Plant genetic resources for food and agriculture			

Q6.2: If the answer to Q6 is “no”, does your country intend to integrate GRFA conservation and sustainable use into its next NDC submission?

- Yes
- No

Q7: Which of the following GRFA-related areas has your country considered in its sectoral or cross-sectoral NAP, NDC and/or other climate-related national plans/strategies?

- Improving *in situ* and *ex situ* conservation of GRFA
- Improving characterization and evaluation of GRFA, including of conserved materials
- Improving methods for identifying and making available appropriate local GRFA for particular circumstances
- Introducing new species, populations, varieties and breeds likely to be better adapted to changed/changing conditions
- Strengthening production systems’ adaptability and resilience through diversification
- Improving the quality of supporting and regulating ecosystem services such as pollination, pests and diseases regulation and water-quality regulation
- Developing improved methods of breeding better adapted crop, animal, forest and fish varieties, breeds and populations
- Developing ways of capacity building, extension and information dissemination
- Increasing awareness of the value of GRFA

- Other: please specify

Q8: Which areas of GRFA management are highlighted as important for adaptation to and mitigation of climate change in your country's NAP, NDC and/or other climate-related national plans/strategies?

	GRFA Sector	Adaptation: yes/no	Adaptation: if yes, please specify	Mitigation: yes/no	Mitigation: if yes, please specify
Characterization					
Sustainable use and selection					
Conservation					
Access and benefit-sharing					

Q9: Has your country established the institutional arrangements, for example a country-level coordination mechanism, needed to strengthen the role of GRFA in national climate change adaptation and mitigation planning?

	Adaptation: yes/no	Adaptation: if yes, please specify	Mitigation: yes/no	Mitigation: if yes, please specify
Institutional arrangements for GRFA in general				
Institutional arrangements for the following specific sectoral components of GRFA:				
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				
Institutional arrangements for the following components of GRFA as part of institutional arrangements set out in wider strategies/plans for the respective sector:				
Animal genetic resources for food and agriculture (as part of a livestock or agriculture sector plan, or similar)				
Aquatic genetic resources for food and agriculture (as part of an aquaculture or fisheries plan, or similar)				

Forest genetic resources (as part of a forestry or agroforestry plan, or similar)				
Micro-organism and invertebrate genetic resources for food and agriculture (as part of a wider plan addressing micro-organisms and invertebrates in the food and agriculture sector)				
Plant genetic resources for food and agriculture (as part of an agriculture, crop production or horticulture plan, or similar)				
Several sectors of GRFA (as part of an integrated plan covering several agriculture sectors)				
Several sectors of GRFA (as part of a land-use or rural development plan, or similar)				

Q10: Has your country prepared policy-relevant materials intended to increase policymakers' awareness of the value of GRFA?

- Yes
- No

If the answer to Q10 is yes, please provide further information.

Q11: Has your country identified, and included in an official national policy document, possible synergies, conflicts or trade-offs between national adaptation/mitigation policies/plans for one or more sectors of GRFA and other aspects of national adaptation/mitigation planning?

Sectors of GRFA	No	Yes.	If yes, indicate the document (link, reference)	If yes, specify the policies/plans	If yes, what are the synergies, conflicts or trade-offs in question?
Animal genetic resources for food and agriculture					
Aquatic genetic resources for food and agriculture					
Forest genetic resources					
Micro-organism and invertebrate genetic resources for food and agriculture					
Plant genetic resources for food and agriculture					

Q12: In national planning related to the contributions of GRFA to climate change adaptation and mitigation, or in the preparation of policies or national plans on this subject, have the following been taken into account? Please indicate yes or no, and provide additional information where requested.

	Adaptation	Mitigation
The existing global plans of action for animal, aquatic, forest and plant genetic resources and the Framework for Action on Biodiversity for Food and Agriculture (add new global plans of action when adopted) (please specify)		
The significance of an integrated approach across the different sectors of GRFA		
Other relevant instruments (please specify)		
The different institutions involved in the characterization, conservation and use of GRFA (please specify the sector)		
The collaborative arrangements developed to prepare country reports for <i>The State of the World</i> reports on plant, animal, forest and aquatic genetic resources (please specify the sector)		
The various institutional arrangements that link GRFA sectors to national agricultural, environmental, health and planning entities or agencies (please specify)		

### Implementation of climate change policies, programmes and projects

Q13: Has your country implemented the following types of projects related to GRFA and climate change adaptation and mitigation? Please include all projects, including those undertaken by the private sector or NGOs with a brief explanation or link.

	PGR	FGR	AnGR	AqGR	MIGR
Capacity-development programmes for GRFA and climate change stakeholders					
Public-awareness campaigns					
Targeted selection and breeding					
Community-based testing programmes for new materials					
Research activities					
Conservation of GRFA					
Other					
No projects have been implemented					

If the answer to Q13 is yes, please specify.

Q14: Has your country/stakeholders in your country addressed the following GRFA management areas in the context of climate change adaptation and mitigation, including NAP and NDC implementation?

		Adaptation: yes/no	Adaptation: if yes, please specify	Mitigation: yes/no	Mitigation: if yes, please specify
Characterization	Animal genetic resources for food and agriculture				
	Aquatic genetic resources for food and agriculture				
	Forest genetic resources				
	Micro-organism and invertebrate genetic resources for food and agriculture				
	Plant genetic resources for food and agriculture				
Sustainable use and selection	Animal genetic resources for food and agriculture				
	Aquatic genetic resources for food and agriculture				
	Forest genetic resources				
	Micro-organism and invertebrate genetic resources for food and agriculture				
	Plant genetic resources for food and agriculture				
Conservation	Animal genetic resources for food and agriculture				
	Aquatic genetic resources for food and agriculture				
	Forest genetic resources				
	Micro-organism and invertebrate genetic resources for food and agriculture				
	Plant genetic resources for food and agriculture				
Access and benefit-sharing	Animal genetic resources for food and agriculture				
	Aquatic genetic resources for food and agriculture				
	Forest genetic resources				
	Micro-organism and invertebrate genetic				

	resources for food and agriculture				
	Plant genetic resources for food and agriculture				

Q15: Has your country identified gaps and weaknesses in its institutional or technical capacity to undertake the various actions associated with the conservation and sustainable use of GRFA in the context of climate change adaptation and mitigation? If yes, which gaps and weaknesses were identified?

Gap assessment	Institutional gaps: yes/no	if yes, please specify and provide details of gaps	Technical gaps: yes/no	if yes, please specify and provide details of gaps
GRFA in general				
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

### Impact of implementation on GRFA, adaptation and mitigation

Q16: Has your country assessed the impact of management practices on the conservation and sustainable use of GRFA and on the ecosystem services they deliver, and identified and validated those practices that are found to be most conducive to climate change adaptation and mitigation?

Sectors of GRFA	Yes, comprehensive for the whole sector	Yes, for certain management practices in certain circumstances	Specify practices that are conducive	No
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

If the answer to Q16 is yes, please provide further information on practices that are conducive.

Q17: Has your country undertaken monitoring and impact assessment of the implementation of policies, projects or programmes mentioned in the questions above?

Yes

No

If the answer to Q17 is yes, please describe how the impact of the policies, projects or programmes was monitored and the results.