APPENDIX A

Agenda

- 1. Opening of the session
- 2. Adoption of the agenda and arrangements for the session
- 3. Report on the intersessional activities 2008
 - Report of the Scientific Advisory Committee (SAC)
 - Report of the Committee on Aquaculture (CAQ)
 - Report of the FAO Regional projects

4. Administrative and financial issues

- Report of the Secretariat
 - > Activities and functionning of the Secretariat
 - ➤ Headquarters of the Commission
 - > Status of ratification of the Amendments to the GFCM Agreement
 - Member contributions to the autonomous budget
 - ➤ 2008 Financial status: autonomous budget, arrears and trust funds
- Establishment of the Committee on Administration and Finance (CAF)

5. Management of Mediterranean fisheries and aquaculture

- Fisheries management advice emanating from the SAC
- Aquaculture management advice emanating from the CAQ
- Draft Recommendation (revised) concerning minimum standards for the establishment of a VMS system in the GFCM Area
- Proposal to amend the Recommendation GFCM/2006/4 on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated fishing in the GFCM Area
- Recommendations from the International Commission for the Conservation of Atlantic Tunas (ICCAT) of relevance for the Mediterranean
- 6. Conclusions and recommendations of the Compliance Committee (COC)
- 7. Programme of work for the intersessional period 2009
 - Programme of work of SAC
 - Programme of work of CAO
- 8. Performance review of the GFCM
- 9. GFCM budget and Member contributions for 2009-2010
- 10. Any other matters
- 11. Date and place of the thirty-fourth session
- 12. Adoption of the Report

APPENDIX B

List of participants

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APPENDIX C

List of documents

GFCM:XXXIII/2009/1	Provisional Agenda and Timetable
GFCM:XXXIII/2009/2	Intersessional activities 2008
GFCM:XXXIII/2009/3	Report of the Secretariat on administrative and financial issues
GFCM:XXXIII/2009/4	Management of Mediterranean fisheries and aquaculture
GFCM:XXXIII/2009/5	Report of the GFCM Compliance Committee
GFCM:XXXIII/2009/6	Programme of work for the intersessional period 2009
GFCM:XXXIII/2009/7	GFCM budget and Members contribution for 2009–2010
GFCM:XXXIII/2009/Inf.1	Provisional List of Documents
GFCM:XXXIII/2009/Inf.2	Provisional List of Participants
GFCM:XXXIII/2009/Inf.3	Agreement for the Establishment of the General Fisheries Commission for the Mediterranean, Financial Rules and Rules of Procedures
GFCM:XXXIII/2009/Inf.4	Report of the thirty-second session of GFCM (Rome, Italy, 25–29 February 2008)
GFCM:XXXIII/2009/Inf.5	Report of the eleventh session of the Scientific Advisory Committee (SAC) (Marrakech, Morocco, 1–5 December 2008)
GFCM:XXXIII/2009/Inf.6	Report of the sixth session of the Committee on Aquaculture (CAQ) (Tirana, Albania, 17–19 December 2008)
GFCM:XXXIII/2009/Inf.7	Statement of Competence and Voting Rights by the European Community and its member States
GFCM:XXXIII/2009/Inf.8	ICCAT Recommendations of relevance to the Mediterranean
GFCM:XXXIII/2009/Inf.9	Major activities of the FAO Regional Projects in 2008
GFCM:XXXIII/2009/Inf.10	Draft Recommendation (revised) concerning minimum standards for the establishment of a VMS system in the GFCM area
GFCM:XXXIII/2009/Inf.11	Proposal to amend the Recommendation GFCM/2006/4 on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated fishing in the GFCM area ¹
GFCM:XXXIII/2009/Inf.12	Draft Terms of Reference for the Committee on Administration and Finance (CAF)
GFCM:XXXIII/2009/Inf.13	Draft Guidelines for the GFCM Performance Review
GFCM:XXXIII/2009/Dma.1	Regional study on the small tuna fisheries in the Mediterranean and the Black Sea. GFCM Studies and Reviews No. 85. Rome, FAO. 2008. (Available only in English)
GFCM:XXXIII/2009/Dma.2	Impact of selected fishing gears on the environment in the Mediterranean. GFCM publication "Studies and Reviews N. 84" (by Jacques Sacchi) (Available only in French).
GFCM:XXXIII/2009/Dma.3	Fisheries laws and regulations in the Mediterranean: a comparative study (Arabic version). GFCM publication "Studies and Reviews No. 75" (by Philippe Cacaud)
GFCM:XXXIII/2009/Dma.4	Task 1 Statistical Bulletin.

¹ Refer to the paragraph 95 of the report of the thirty-second session of GFCM

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Terms of reference of the GFCM Committee on Administration and Finance

The General Fisheries Commission for the Mediterranean (GFCM),

ESTABLISHES, in accordance with Article VII (1) of the Agreement creating the GFCM, a Committee of Administration and Finance (CAF).

The functions of the Committee on Administration and Finance shall be to:

- a) review administrative matters relating to the Executive Secretary and his staff and make appropriate recommendations to the Commission;
- b) review compliance with the rules of procedures and financial rules;
- c) review the implementation of the budget adopted at the previous session of the Commission and analyse and make recommendations on the draft budget to be adopted at the current session of the Commission; and
- d) perform such other administration and financial matters as may be referred to it by the Commission.

The Committee of Administration and Finance shall meet before the annual Commission session.

RESOLUTION GFCM/33/2009/1 ON THE MANAGEMENT OF DEMERSAL FISHERIES IN THE GFCM AREA

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the objectives of the Agreement establishing the General Fisheries Commission for the Mediterranean (GFCM) are to promote the development, conservation, rational management and best utilization of living marine resources;

RECALLING the Declaration of the Ministerial Conference for the Sustainable Development of the Fisheries in the Mediterranean held between 25 and 26 November 2003 in Venice;

RECALLING that effective management measures aim to curb the decline in fish stocks, identified in the scientific advice, and to ensure conserve fisheries resources;

CONSIDERING that the Scientific Advisory Committee suggested that fishing mortality should be reduced significantly, on the basis of the scientific evidence that some of the demersal stocks may be approaching a critical state;

RECALLING Recommendation GFCM/2002/1 which urges the control of fishing effort and the improvement of the exploitation pattern of demersal fisheries;

RECALLING Recommendation GFCM/2006/1 which calls for a management programme in relation to fishing effort control in demersal and small pelagic fisheries;

RE-AFFIRMING the principles of the FAO Code of Conduct for Responsible Fisheries and recalling the precautionary and ecosystem approach to fisheries, in particular, in relation to the development of new fisheries;

RESOLVES that:

- 1. Unless proven unnecessary by sound scientific advice, a reduction of a minimum of 10 percent of bottom trawling fishing effort shall be applied in all GFCM areas.
- 2. SAC should continue to monitor the fishing effort of other demersal fisheries together with their impact on demersal resources, with a view to include such fisheries under the provisions of paragraph 1, if necessary.
- 3. This measure does not affect the number of fishing vessels.
- 4. This Resolution should be implemented without prejudice to fleet modernization plans which may be in place in some Member countries.

APPENDIX F

RESOLUTION GFCM/33/2009/2 ON THE ESTABLISHMENT OF GEOGRAPHICAL SUB-AREAS IN THE GFCM AREA AMENDING THE RESOLUTION GFCM/31/2007/2

The General Fisheries Commission for the Mediterranean (GFCM),

RECOGNIZING the need to compile data, monitor fisheries and assess fisheries resources in a georeferenced manner;

RECALLING the efforts made by Scientific Advisory Committee (SAC) and its Sub-Committees to identify *appropriate* boundaries for sub-areas in the GFCM area (FAO area 37);

CONSIDERING the decision made by the Commission at its twenty-sixth session (2001) to establish geographical sub-areas (GSAs) in the GFCM area;

CONSIDERING the advice emanating from the ninth and eleventh session of SAC;

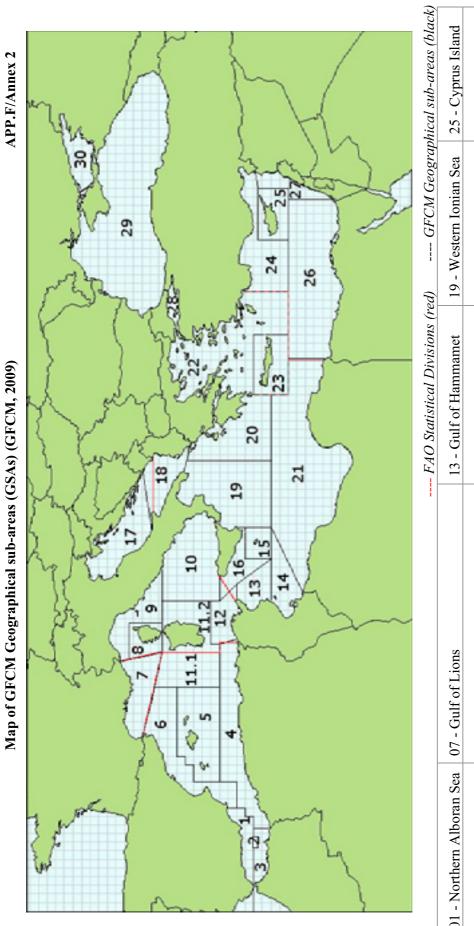
ESTABLISHES:

1. Geographical sub-areas in the GFCM area as shown in Annexes 1, 2 and 3.

APP.F/Annex 1

Table of GFCM geographical sub-areas (GSAs)

FAO SUB- AREA	FA	O STATISTICAL DIVISIONS		GSAs
		•	1	Northern Alboran Sea
			2	Alboran Island
			3	Southern Alboran Sea
	1.1	BALEARIC	4	Algeria
			5	Balearic Island
			6	Northern Spain
WESTERN			11.1	Sardinia (west)
	1.2	GULF OF LIONS	7	Gulf of Lions
			8	Corsica Island
			9	Ligurian and North Tyrrhenian Sea
	1.3	SARDINIA	10	South Tyrrhenian Sea
			11.2	Sardinia (east)
			12	Northern Tunisia
		. A DRIATIC	17	Northern Adriatic
	2.1	ADRIATIC	18	Southern Adriatic Sea (part)
			13	Gulf of Hammamet
			14	Gulf of Gabes
GD3700 4.5			15	Malta Island
CENTRAL			16	South of Sicily
	2.2	IONIAN	18	Southern Adriatic Sea (part)
			19	Western Ionian Sea
			20	Eastern Ionian Sea
			21	Southern Ionian Sea
		AECEAN	22	Aegean Sea
	3.1 AEGEAN		23	Crete Island
			24	North Levant
EASTERN		I EMANGE	25	Cyprus Island
	3.2	LEVANT	26	South Levant
			27	Levant
	4.1	MARMARA	28	Marmara Sea
BLACK SEA	4.2	BLACK SEA	29	Black Sea
	4.3	AZOV SEA	30	Azov Sea



				or our good applications along chacky
01 - Northern Alboran Sea 07 - Gulf of Lions	07 - Gulf of Lions	13 - Gulf of Hammamet	19 - Western Ionian Sea 25 - Cyprus Island	25 - Cyprus Island
02 - Alboran Island	08 - Corsica Island	14 - Gulf of Gabes	20 - Eastern Ionian Sea	26 - South Levant
03 - Southern Alboran Sea	03 - Southern Alboran Sea 09 - Ligurian and North Tyrrhenian Sea	15 - Malta Island	21 - Southern Ionian Sea 27 - Levant	27 - Levant
04 - Algeria	10 - South and Central Tyrrhenian Sea	16 - South of Sicily	22 - Aegean Sea	28 - Marmara Sea
05 - Balearic Island	11.1 - Sardinia (west) 11.2 - Sardinia (east)	- Sardinia (east) 17 - Northern Adriatic	23 - Crete Island	29 - Black Sea
06 - Northern Spain	12 - Northern Tunisia	18 - Southern Adriatic Sea 24 - North Levant	24 - North Levant	30 - Azov Sea

Geographical coordinates for GFCM Geographical sub-areas (GSAs) (GFCM, 2009)

GSAs	LIMITS	GSAs	LIMITS	GSAs	LIMITS	GSAs	LIMITS
-	Coast Line 36° N 5° 36° W 36° N 3° 20° W 36° 05° N 2° 40° W 36° 05° N 2° 40° W 36° N 1° 30° W 36° N 1° 30° W 36° 30° N 1° 30° W	4	Coast Line 36° N 2° 13' W 36° N 1° 30' W 36° 30' N 1° 30' W 36° 30' N 1° W 37° N 1° W 37° N 0° 30' E 38° N 0° 30' E Algeria-Tunisia border Morocco-Algeria border	۲	Coast line 42° 26' N 3° 09' E 41° 20' N 8° E France-Italy border	10	Coast line (including North Sicily) 41° 18° N 13° E 41° 18° N 11° E 38° N 12° 30° E 38° N 12° 30° E
2	36° 05' N 3° 20' W 36° 05' N 2° 40' W 35° 45' N 3° 20' W 35° 45' N 2° 40' W	5	38° N 0° 30' E 39° 30' N 0° 30' E 39° 30' N 1° 30' W 40° N 1° 30' E 40° N 2° E 40° 30' N 2° E 40° 30' N 6° E 38° N 6° E	ω	43° 15' N 7° 38' E 43° 15' N 9° 45' E 41° 18' N 9° 45' E 41° 20' N 8° E 41° 18' N 8° E	11	41° 47' N 6° E 41° 18' N 6° E 41° 18' N 11° E 38° 30' N 11° E 38° 30' N 8° 30' E 38° N 8° 30' E 38° N 6° E
ю	Coast Line 36° N 5° 36° W 35° 49° N 5° 36° W 36° N 3° 20° W 35° 45° N 2° 40° W 36° N 2° 40° W 36° N 1° 13° W Morocco-Algeria border	9	Coast line 37° 36′ N 1° W 37° N 1° W 37° N 0° 30′ E 39° 30′ N 1° 30′ W 40° N 1° 30′ W 40° N 2° E 40° 30′ N 2° E 40° 30′ N 2° E 41° 47′ N 6° E 42° 26′ N 3° 09′ E	თ	Coast line France-Italy border 43° 15' N 7° 38' E 43° 15' N 9° 45' E 41° 18' N 13° E 41° 18' N 13° E	12	Coast line Algeria-Tunisia border 38° N 8° 30' E 38° 30' N 11° E 38° N 11° E 37° N 12° E 37° N 11° 04'E

O O O	SHWII	GS Ac	STIMIT	GS Ac	SLIMIT
13	Coast line 37° N 11° 04'E 37° N 12° E 35° N 13° 30' E 35° N 11° E	19	(inclu 18° 29 18' E 18' E 10' E 19° 10	25	35° 47' N 32° E 34° N 32° E 34° N 35° E 35° 47' N 35° E
14	Coast line 35° N 11° E 35° N 15° 18′ E Tunisia-Libya border	20	Coast line Albania-Greece border 39° 58' N 19° 10' E 35° N 23° E 36° 30' N 23° E	26	Coast line Libya-Egypt border 34° N 25° 09' E 34° N 34° 13' E Egypt-Gaza Strip border
15	36° 30' N 13° 30' E 35° N 13° 30'E 35° N 15° 18' E 36° 30' N 15° 18' E	27	Coast line Tunisia-Libya border 35° N 15° 18' E 35° N 23° E 34° N 23° E 34° N 25° 09' E	27	Coast line Egypt-Gaza Strip border 34° N 34° 13' E 34° N 35° E 35° 47' N 35° E Turkey-Syria border
16	Coast line 38° N 12° 30' E 38° N 11° E 37° N 12° E 35° N 13° 30' E 36° 30' N 15° 18' E 37° N 15° 18' E	22	Coast line 36° 30' N 23° E 36° N 23° E 36° N 26° 30' E 34° N 26° 30' E 34° N 29° E 36° 43' N 29° E	28	
17	Coast line 41° 55' N 15° 08' E Croatia-Montenegro border	23	36° N 23° E 36° N 26° 30' E 34° N 26° 30' E 34° N 23° E	29	
18	Coast lines (both sides) 41° 55' N 15° 08' E 40° 04' N 18° 29' E Croatia-Montenegro border Albania-Greece border	24	Coast line 36° 43' N 29° E 34° N 29° E 34° N 32° E 35° 47' N 32° E 35° 47' N 35° E Turkey-Syria border	30	

RECOMMENDATION GFCM/33/2009/1 ON THE ESTABLISHMENT OF A FISHERIES RESTRICTED AREA IN THE GULF OF LIONS TO PROTECT SPAWNING AGGREGATIONS AND DEEP SEA SENSITIVE HABITATS

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the objective of the Agreement establishing the General Fisheries Commission for the Mediterranean is to promote the development, conservation, rational management and best utilization of living marine resources;

RECALLING Recommendation GFCM/29/2005/1 on the management of certain fisheries exploiting demersal and deepwater species and, notably, Article 1 therein;

CONSIDERING that the Scientific Advisory Committee (SAC) assesses that several stocks are overexploited, some with a high risk of collapse, and that sustainable management requires that measures aimed at limiting the capture of juveniles are implemented;

REAFFIRMING its commitment to further improving the gear selectivity of demersal trawl fisheries beyond what can be achieved by a minimum 40-mm square mesh size with a view to ensure better protection of juveniles of several species as well as to reduce discarding practices in a multispecies context;

CONSIDERING that selectivity of some fishing gears cannot go beyond certain level in Mediterranean mixed fisheries and that, in addition to the overall control and limitation of the fishing effort and fleet capacity, it is fundamental to limit the fishing effort in areas in which adults of important stocks aggregate in order to allow these stocks to deliver the necessary recruitment, thus allowing for their sustainable exploitation;

NOTING that the SAC advises to ban the use of towed and fixed gears and longlines for demersal resources in an area on the continental shelf and slope of the Eastern Gulf of Lions;

CONSIDERING that more scientific information is needed with a view to understand the relevance of other adjacent areas on the continental shelf and slope for the protection of spawners and sensitive habitats as well as to better known the level and spatial distribution of the fishing effort exerted;

PENDING the delivery of this additional information by the SAC;

ADOPTS in conformity with the provision of paragraph 1 (b) and (h) of Article III and Article V of GFCM Agreement that:

1. The fishing effort for demersal stocks of vessels using towed nets, bottom and mid-water longlines, bottom-set nets shall not exceed the level of fishing effort applied in 2008 in the fisheries restricted area of the eastern Gulf of Lions as bounded by lines joining the following geographic coordinates:

42°40'N, 4°20'E;

42°40'N, 5°00'E;

43°00'N, 4°20'E;

43°00'N, 5°00'E.

- 2. Members and cooperating non-Members of GFCM shall communicate to the GFCM Executive Secretary not later than June 2009 the list of vessels that have used towed nets, bottom and mid-water longlines, bottom-set nets in the area referred to in paragraph 1 in the year 2008.
- 3. The list shall contain the following information for each vessel:
 - Name of vessel
 - Register number
 - GFCM unique identifier (country ISO 3-alpha code + 9 digits, e.g. xxx000000001)
 - Previous name (if any)
 - Previous flag (if any)
 - Previous details of deletion from other registries (if any)
 - International radio call sign (if any)
 - Type of vessel, length overall and gross tonnage (GT) and/or gross registered tonnage (GRT)
 - Name and address of owner(s) and operator(s)
 - Main gear(s) used to fish in the fishery restricted area
 - Seasonal period authorized for fishing in the fishery restricted area
 - Number of fishing days exerted by each vessel in the year 2008 and number of fishing days exerted in the fishery restricted area
- 4. Members and cooperating non-Members of GFCM shall establish a register of the fishing vessels authorized to fish in the area which ensure that the vessels not having records of fishing in the area prior 31 December 2008 are not authorized to start fishing therein.
- 5. Members and cooperating non-Members of GFCM shall communicate to the GFCM Executive Secretary not later than September 2009 the legal conditions, as in force at 31 December 2008, as for the maximum time of daily fishing activity, the maximum number of days a vessel can stay at sea as well as the compulsory timing between the exit and return to the registered port of their fishing vessels.
- 6. Members and cooperating non-Members of GFCM shall ensure that fishing vessels operating in the area respect their obligation as in force at 31 December 2008 as for the maximum time of daily fishing activity, the maximum number of days a vessel can stay at sea as well as the legally compulsory timing to exit and return to the registered port.
- 7. For the fisheries restricted area referred to in paragraph 1, Members and Cooperating non-Members of GFCM shall call the attention of the appropriate national and international authorities in order to protect this area from the impact of any other human activity jeopardizing the conservation of the features that characterize this particular habitat as an area of spawners' aggregation.
- 8. Boundaries of the area and conditions to fish therein as referred to in previous paragraphs may change on the basis of SAC advice.

Request for SAC to undertake studies on the protection of spawning aggregations on the continental shelf edge and slope of the northern western Mediterranean

- 1. SAC is requested to continue its scientific work with a view to completing its advice concerning the establishment of a fisheries restricted area in the Gulf of Lions by:
 - examining and analysing the data on spatial and seasonal distribution of the fishing activities targeting demersal resources in depths between 200 and 700 m, including fishing activities of vessels under 15 m Length Over A11;
 - extending the scope of advise to the entire canyons system in the GSA 7 and GSA 6 and carry out a compilation and analysis of the available information, both commercial and from scientific surveys, on distribution of spawning aggregations and nurseries areas of the main demersal stocks on the shelf edge and continental slope;
 - evaluate the biological effects in terms of yields and spawning stock biomass of the main demersal stocks as a consequence of the establishment of one or more fishery restricted areas in the canyons system of the GSA 7 and GSA 6;
 - evaluate the fishing effort displacement and possible socio-economic effects, if any, as a consequence of the establishment of one or more fisheries restricted in the canyons system in the GSA 7 and GSA 6.
- 2. SAC is requested to establish a multi-annual work programme for the extension of the scope of its advice to other canyons in the Mediterranean.

RECOMMENDATION GFCM/33/2009/2 ON THE MINIMUM MESH SIZE IN THE CODEND OF DEMERSAL TRAWLNETS

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the objective of the Agreement establishing the General Fisheries Commission for the Mediterranean are to promote the development, conservation, rational management and best utilization of living marine resources;

RECALLING Recommendation GFCM/29/2005/1 on the management of certain fisheries exploiting demersal and deepwater species and, notably, Article 1 therein;

CONSIDERING that the Scientific Advisory Committee (SAC) considers that several stocks are overexploited, some with a high risk of collapse, and that sustainable management requires that measures aimed at limiting the capture of juveniles are implemented;

REAFFIRMING its commitment to further improving the gear selectivity of demersal trawl fisheries beyond that deliver by the 40-mm diamond mesh size with the view to ensure a better protection of juveniles of several species as well as to reduce discarding practices in a multispecies context;

RECALLING resolution GFCM/31/2007/3 on the voluntary implementation of 40-mm square mesh size in codend of trawlnets exploiting demersal resources;

CONSIDERING the recurrent advice on implementing a minimum 40-mm square mesh in the codend of demersal trawl fisheries exploiting several demersal stocks as also given at the eleventh session of the Scientific Advisory Committee (SAC);

NOTING that the stock assessment conducted by the SAC only concern specific Geographical subareas corresponding to the data supplied by certain Members and that the assessed stocks may be shared with adjacent GFCM geographical sub-areas (GSAs);

CONSIDERING that in the absence of any scientific information on the status of fisheries and of the exploited resources a more cautious approach is needed and that suitable information coming from adjacent areas could be used for proper and precautionary management of fisheries;

NOTING that the SAC advises to apply the precautionary principle and calls for the immediate implementation of a minimum 40-mm square mesh in demersal trawl fishing for vessels operating outside territorial waters as from 2009;

RECALLING recommendation GFCM/31/2007/1 authorizing derogation to use codend mesh size smaller than 40-mm to operate in certain local and seasonal demersal trawl fisheries exploiting not-shared demersal stocks;

RECOGNIZING that from a social and economic point of view, and unless otherwise requested by conservation needs, it is necessary to ensure gradual changes in the exploitation pattern of fisheries;

ADOPTS in conformity with the provision of paragraph 1 (b) and (h) of Article III and Article V of GFCM Agreement that:

1. The Members and Cooperating entities of GFCM shall adopt and implement, at latest by 31 January 2012, a minimum 40-mm square mesh codend or a diamond mesh size of at least 50 mm,

of acknowledged equivalent or higher size selectivity, for all trawling activities exploiting demersal stocks when operating in the GFCM area.

- 2. The provision referred to in Article 1 above is without prejudice to the operation of certain local and seasonal trawl fisheries operating in derogation to a minimum 40-mm codend mesh size until 31 May 2010 as authorized in line with GFCM Recommendation 31/2007/1.
- 3. The Members and Cooperating entities of GFCM shall communicate to GFCM Secretariat every three months, starting from 1 October 2009, the list of fishing vessels, and their percentage out of the whole national demersal trawl fleet, equipped with a trawl cod-end mesh size as stipulated in Article 1 above.
- 4. The list of vessels referred to in Article 3 above shall contain the following information for each vessel:
 - Name of vessel
 - Register number
 - GFCM unique identifier (country ISO-3 code + 9 digits, e.g. xxx000000001)
 - Previous name (if any)
 - Previous flag (if any)
 - Previous details of deletion from other registries (if any)
 - International radio call sign (if any)
 - Type of vessel, length and gross tonnage (GT) and/or gross registered tonnage (GRT)
 - Name and address of owner(s) and operator(s)
 - Main gears used
 - Time period authorized for fishing with demersal trawl gear
- 5. Each Members and Cooperating non-Members of GFCM shall promptly notify, as from 31 January 2012, the GFCM Secretariat of any addition to, any deletion from and/or any modification of the demersal trawl fleet referred to in Article 3 above at any time such changes occur.
- 6. The GFCM Secretariat shall maintain such a list of demersal trawl fishing vessels and take any measure to ensure publicity of the record including through electronic means and placing it on the GFCM website, in a manner consistent with confidentiality requirements noted by Members.
- 7. On the basis of the outcome of selectivity experimental trials which will be carried out on regional or national level in the GFCM area, in line with the Resolution GFCM/XXXI/2007/3, and on the basis of SAC advice the measure referred to in paragraph 1 will be implemented accordingly for the fisheries concerned.

RECOMMENDATION GFCM/33/2009/3 ON THE IMPLEMENTATION OF THE GFCM TASK 1 STATISTICAL MATRIX (REPEALING RESOLUTION GFCM/31/2007/1)

The General Fisheries Commission for the Mediterranean (GFCM),

CONSIDERING that rational management for sustainable fisheries is dependent on the scientific utilization of relevant data on fishing fleet capacity, on fishing activities carried out, on the status of exploited biological resources and on the social and economic situation of fisheries;

CONSIDERING the GFCM strategy to manage the fisheries through, inter alia, effort control by Operational Units;

NOTING the importance of multidisciplinary data and information required to monitor and assess fisheries and fisheries resources and to ensure their sustainable exploitation;

RECOGNIZING the need to develop a GFCM database, on the basis of the information received from Members according to a standardized format;

RECALLING Recommendation GFCM/2006/1 on the management of fishing effort for particular fisheries and the identification of related Operational Units and the appropriate parameters to measure fishing effort;

CONSIDERING that Resolution GFCM/31/2007/1 was amended to take into consideration the changes in the fleet segmentation and allocation criteria;

CONSIDERING that timely and complete submission of data and analysis of the status of fisheries and exploited resources is of paramount importance for the effectiveness and credibility of GFCM management measures;

TAKING into account the importance to use technical tools set up by GFCM for specific data collection such as fleet segmentation (Annex 1), the table on nominal fishing effort measurement (Annex 2) as well as the achievements in the field of Operational Units (Annex 3);

ADOPTS in accordance with paragraph 1 of Article III and Article V of the GFCM Agreement that:

- 1. The GFCM Task 1 statistical matrix (Annex 3) is an adequate tool to communicate in a standardized format relevant information for fisheries management to the GFCM Secretary with a view to develop the GFCM database.
- 2. Members and Cooperating non-members shall submit to the GFCM Secretary the complete Task 1.1, Task 1.2 and Task 1.4 of the statistical matrix referred to in paragraph 1 for the first time by February 2010 at the latest, and subsequently update the relevant data by transmissions to the GFCM Secretariat not later than May each calendar year, and in accordance with appropriate data submission standards and protocols to be set by the Secretariat.
- 3. Members and Cooperating non-members shall submit Task 1.3. and Task 1.5, by January 2011 at the latest, in coherence with the SAC assessment forms, and subsequently update the relevant data by transmissions to the GFCM Secretariat not later than May each calendar year, and in accordance with appropriate data submission standards and protocols to be set by the Secretariat.
- 4. Resolution GFCM/31/2007/1 is hereby repealed.

GFCM/SAC fleet segmentation

Groups	<6 metres	6-12 metres	12-24 metres	More than 24 metres
1. Polyvalent small-scale vessels without engine		<u> </u>		
2. Polyvalent small-scale vessels with engine	В	C		
3. Trawlers		D	E	F
4. Purse seiners		G	F	I
5. Longliners			I	
6. Pelagic trawlers			J	
7. Tuna seiners			ŀ	ζ
8. Dredgers]	L	
9. Polyvalent vessels			N	1

Description of segments

- A- <u>Polyvalent small-scale vessels without engine</u>. All vessels less than 12 metres in length (LOA) without an engine (wind or propulsion).
- B- <u>Polyvalent small-scale vessels with engine less than 6 m</u>. All vessels under 6 metres in length (LOA) with engine.
- C- <u>Polyvalent small-scale vessels with engine between 6 and 12 metres</u>. All vessels between 6 and 12 metres in length (LOA) with engine, that use different gears during the year without clear predominance of one of them or that use a gear not considered in this classification.
- D- <u>Trawlers less than 12 m</u>. All vessels less than 12 metres in length (LOA) allocating more than 50 percent of their effort operating with a demersal trawl.
- E- <u>Trawlers between 12 and 24 m</u>. All vessels, between 12 and 24 metres in length (LOA) allocating more than 50 percent of their effort operating with a demersal trawl.
- F- <u>Trawlers longer than 24 m.</u> All vessels over 24 metres in length (LOA), allocating more than 50 percent of their effort operating with a demersal trawl.
- G- <u>Purse seiners between 6 and 12 m</u>. All vessels between 6 and 12 m in length (LOA), allocating more than 50 percent of their effort operating with a purse seine.
- H- <u>Purse seiners longer than 12 m</u>. All vessels over 12 m in length (LOA), allocating more than 50 percent of their effort operating with a purse seine, excluding those using a tuna seine during any time of the year.
- I- <u>Longliners longer than 6 m</u>. All vessels over 6 m in length (LOA), allocating more than 50 percent of their effort operating with a longline.
- J- <u>Pelagic trawlers longer than 6 m</u>. All vessels over 6 m in length (LOA), allocating more than 50 percent of their effort operating with a pelagic trawl.
- K- <u>Tuna seiners</u>. All vessels operating with a Tuna Seine for any length of time during the year.
- L- <u>Dredgers longer than 6 m.</u> All vessels over 6 m in length (LOA), allocating more than 50 percent of their effort operating with a dredge.
- M- <u>Polyvalent vessels longer than 12 m</u>. All vessels over 12 metres in length (LOA), that use different gears during the year without clear predominance of one of them or that use a gear not considered in this classification.

<u>Note</u>: All the cells are open for collecting information. The cells left blank in the above table are considered as unlikely to have a significant population. However, if necessary, it is advisable to merge the information of a "blank cell" with the most appropriate neighbouring "blue cell"

Table on fishing effort² measurement

Gear	Number and dimension	Capacity	Activity	Nominal Effort
Dredge (for molluscs)	Open mouth Width of mouth	GT	Time fishing	Dredged bottom surface ³
Trawl (including dredges for flatfishes)	Type of trawl (pelagic, bottom) GT and/or GRT Engine power Mesh size Size of the net (width of mouth) Speed	GT	Time Fishing	GT*days GT*hours KW*days
Purse seine	Length and drop of the net GT Light power Number of small boats	GT Length and drop of the net	Search time Set	GT * Fishing sets ⁴ Length of the net * fishing sets
Nets	Type of net (e.g.trammel net, gillnets, etc.) Net length (used in regulations) GT Net surface Mesh size	Net length and drop	Time fishing	Net length * days Surface*days
Longlines	Number of hooks GT Number of longline Characteristics of hooks Bait	Number of hooks Number of longline unit	Time fishing	Number of hooks * hours Number of hooks * days Number of longline units * days/hours
Traps	GT	Number of traps	Time fishing	Number of traps * days
Purse seine/FADs	Number of FADs		Number of trips	Number of FADs * Number of trips

² It refers to nominal effort

³ The effort measures that do not include a time activity should be referred to a period of time (i.e. by year)

⁴ Should be referred to a particular area (indicating the surface) to estimate fishing intensity (effort km⁻²) and to relate the effort to exploited communities

Fishing Soor Cleases Angth range of captured species angth Average Provisional histogical Task 1.5 Gear Ned History or Net 8 z GFCM Task 1 - Operational Units (REVISED FLEET SEGMENT NAMES) Ser. Z sept n Client excludibles CAUNTLINGS ESpermenture CPUE/LPUE Discool Took 1.4 2 8 ****** Ħ Main resource and arrivity ti Agach
Fathing pear
Tapic type des
Tapic type des
Fighing peopl
Prace (1888) fash 1.2 2 60 3 8 ä SUMP PROFES Vessel value of futer field.
Fishing boundary per vessel.
Cost of fishing/day per vessel.
Yearly food costs per vessel. 5 Took 1.3 Gross Toologe Hoose Pywer Employment States Share No. Landing wenger Landing select 8 A. Polyvativni small scale versals suthest engine <12.
 Disputient small scale versals with engine <-5.
 C. Polyvativni small scale versals with engine 6-12.
 Di Trowters <-12.
 E. Trawters <-12. 12:24 2 2 m 4 4 4 GFCM Task 1: GSA or other (specify): Thest and ones variables Fleet Segment Total 1.3 L. Dredgers II Popyament vessers J. Pelagic Transfers. G Purse Seiners H Purse Seiners K. Turns Sement Longinera # Trawlers Casach

RECOMMENDATION GFCM/33/2009/4 ON REPORTING OF AQUACULTURE DATA AND INFORMATION

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING the FAO Code of Conduct for Responsible Fisheries which calls for sustainable development and monitoring of responsible aquaculture practices;

RECALLING the GFCM's role in promoting the sustainable development of aquaculture in the Mediterranean, Black Sea and connecting waters;

RECALLING the Rule X(e) of the GFCM agreement in which Members States have an obligation to provide information on production and other data relevant to the function of the CAQ;

CONSIDERING the development of the network to promote aquaculture in the Mediterranean (SIPAM) over the years;

RECOGNIZING the need of the Committee on Aquaculture to have reliable data available to undertake its work effectively;

RECALLING that standards in aquaculture data collection and statistics should be established in line with guidelines set by the FAO Coordinating Working Party on Aquaculture Statistics;

NOTING the proposal made by the sixth Session of CAQ to establish a regional data collection scheme for aquaculture;

NOTING that the 31st Session recommended that the responsabilities of the SIPAM National Coordinators be formally endowed with a national institution;

ADOPTS the following in conformity with the provision of paragraph 1 (b) and (h) of Article III and Article V of the GFCM Agreement.

- 1. Members shall submit the data for all the parameters listed below on an annual basis to the GFCM Secretariat through the SIPAM Information System:
 - Culture environment (brackish, marine and freshwater)
 - Cultured species (scientific and common name)
 - System of culture (extensive, semi-intensive, extensive,)
 - Type of culture (cages, ponds, raceways, hatchery, etc.)
 - Type of product (ongrowing, eggs, fingerlings, etc.)
 - Quantity (tonnes / units)
 - Value (currency)
 - Production centres.
- 2. The deadline for submissions is 30 June, with the reference year for the data submitted being the preceding year.
- 3. Members shall nominate a national focal point responsible for the submission of aquaculture data.

RECOMMENDATION GFCM/33/2009/5 ON THE ESTABLISHMENT OF THE GFCM REGIONAL FLEET REGISTER

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING the FAO Compliance Agreement and the International Plan of Action on IUU fishing which call upon States to maintain a record of fishing vessels entitled to fly its flag and authorized to be used for fishing on the high seas, and to exchange related information, including through FAO and other appropriate global, regional and subregional fisheries organizations;

RECALLING the International Plan of Action on the management of fishing capacity elaborated within the framework of the FAO Code of Conduct for Responsible Fisheries which calls for States to cooperate, where appropriate, through regional fisheries organizations or arrangements and other forms of cooperation, with a view to ensuring the effective management of fishing capacity;

CONSIDERING that a regional fleet register is an effective and comprehensive tool for the management at regional level of the capacity of fishing fleets and their activity;

RECOGNIZING that the GFCM record of vessels authorized to fish in the GFCM area established under Recommendation GFCM/2005/2 is a subset of the regional fleet register;

NOTING the request made by the Commission during its thirty-second session to address fleet capacity monitoring and management issues;

ADOPTS, in accordance with paragraph 1 (h) of Article III and Article V of the GFCM Agreement that:

- 1. The GFCM shall establish by 30 June 2010 a Regional Fleet Register (RFR) to contain information on all vessels, boats, ships, or other crafts that are equipped and used for commercial fishing activity in the GFCM area.
- 2. As from 2011 Contracting Parties shall submit a full dataset at least at the beginning of each calendar year followed by updates as appropriate. The updating of the GFCM RFR lies within the responsibility of the Contracting Parties and should fully reflect the situation of their fleets at any time.
- 3. The list of data fields and related definitions, reference codes (tables 1-7) and confidentiality status are included in Annex 1.
- 4. Contracting Parties who are not able to submit a full data set as from 2011 shall do so as soon as possible according to the state of their preparation.
- 5. The GFCM Secretariat shall establish appropriate data submission standards and protocols to be followed by Contracting Parties in transmitting data and shall maintain a Regional Fleet Register database which should be published through an information system on the GFCM website, in a manner consistent with the GFCM confidentiality policy and procedures established under Recommendation GFCM/2006/7.
- 6. The RFR information system shall include relevant fleet capacity monitoring tools, such as dynamic charts on fleet capacity in terms of tonnage (GT) and power (kW), and other data browsing facilities.

APP. L/Annex 1

Fleet register field descriptions

	Fields	Description	Optional/ mandatory	Public/ restricted
1	Country (ISO-3)	Flag under which the vessel is operating	M	P
2	Registration authority	Authority having issued the registration	M	P
3	Vessel name (if any)	Name of vessel	M	Р
4	Vessel register number	Code assigned by Members	M	P
5	GFCM registration number	Registration number assigned to the fishing vessel by the National Authorities (ISO 3 Country code + 9 digits.	M	Р
6	IMO registration number	Code IMO given by Lloyds company	О	P
7	Previous vessel name (if any)	Previous name of vessel (if any)	О	P
8	Previous flag State (if any)	Previous flag of vessel (if any)	О	P
9	Previous details of deletion from other registries (if any)	Details of deletion from other registries (if any)	О	P
10	International radio call sign (if any)	International radio call sign (if any) Mandatory >= 24 m LOA	О	P
11	Vessel type	Type of vessel according to the International Standard Classification of Fishery Vessels by Vessel Types. (The International Standard Statistical Classification of Fishery Vessels by Vessel Types [ISSCFV], based on the type of gear used by the vessels, approved by the CWP in 1984).	М	P
12	Operational status Active Indicator	Active/Inactive. Permanent status until receiving allowance to return into activity Indicator Y/N	M	P
13	Port of registration	Full name of the port	M	P
14	Year of entry into fishing activity	Year of entry into fishing activity	M	P
15	Events codes	Code identifying the type of event reported	M	P
16	Event date	Event date (Format:. yyyymmdd)	M	P

	Fields	Description	Optional/ mandatory	Public/ restricted
16.1	Year	Event date: year	M	P
16.2	Month	Event date: month (numerical)	M	P
16.3	Day	Event date: day (numerical)	M	P
17	Authorization to fish Licence indicator	Any authorization to fish, e.g. licence, permit or any other official denomination Indicator Y/N	M	P
18	Period authorized for fishing and/or transshipping	Time period authorized for fishing and/or transshipping.	О	P
18.1	Starting date	Starting date (Format)	О	P
18.1.1	Year	Starting date: year	О	P
18.1.2	Month	Starting date: month (numerical)	О	P
18.1.3	Day	Starting date: day (numerical)	О	P
18.2	Ending date	Ending date (Format yyyymmdd)	О	P
18.2.1	Year	Ending date: year	О	P
18.2.2	Month	Ending date: month (numerical)	О	P
18.2.3	Day	Ending date: day (numerical)	О	P
19	Main fishing statistical area	GSA where the vessel is authorized to fish and operates the majority of the year.	0	P
20	Secondary fishing statistical area	GSA where the vessel is authorized to fish and operates occasionally	О	P
21	Tertiary fishing statistical area	GSA where the vessel is authorized to fish and operates occasionally	О	P
22	Fishing gear used	Main gear according to the fishing licence of the vessel or the owner/operator, using the International Standard Statistical Classification of Fishing Gear (the International Standard Statistical Classification of Fishing Gear [ISSCFG] was adopted during the tenth Session of the CWP [Madrid, 22–29 July 1980]).	М	Р
23	Secondary fishing gear	Secondary gear according to the fishing licence of the vessel or the owner/operator, using the same International Standard Statistical Classification of Fishing Gear as "Fishing gear used"	М	Р

	Fields	Description	Optional/ mandatory	Public/ restricted
24	Length overall (LOA)	Length overall (LOA, in metres). The principle longitudinal dimension of the hull of the vessel. Accuracy of 2 digits	М	P
25	Gross registered tonnage (GRT)	Gross Registered Tonnage according to the Oslo Convention (1947) (in use until 1995). (GRT represented the total measured cubic content of the permanently enclosed spaces of a vessel, with some allowances or deductions for exempt spaces such as living quarters [1 gross register ton = 100 cubic feet = 2.83 cubic metres])	О	P
26	Gross tonnage (GT)	Gross tonnage according to the International Convention on Tonnage Measurement of Ships, London, 1969 (in use since 1996) for vessels >= 15 m	M	P
27	Construction year		M	P
28	Hull material	Code	M	P
29	Powered/motorized	Power of the main engine > 0 Indicator Y/N	M	P
30	Power of the main engine(s)	Total maximum continuous rated output power in kW of all the vessel's main propulsion machinery which appears on the vessel's certificate or registry or other official document (STCW-F convention)	M	P
31	Power of auxiliary engine(s) (if any)	Includes all installed engine power not included under the heading "Power of the main engine(s)"	M	Р
32	Owner	Owner		R
32.1	Name	Name of owner(s)	M	R
32.2	Address	Address of owner(s)	M	R
33	Operator (if different from owner)	Operator		R
33.1	Name	Name of operator(s)	M	R
33.2	Address	Address of operator(s)	M	R
34	Min number of the crew	Minimum number for conducting fishing operation	О	R
35	Max number of the crew	Number of the crew for conducting specific fishing operation if superior to "Min number of the crew"	О	R

	Fields	Description	Optional/ mandatory	Public/ restricted
36	VMS	Indicator Y/N mandatory > 15m LOA	M	P
37	Navigation equipment	Codification table	О	P
38	Communication equipment	Codification table	О	P
39	Fish finder	Codification table	О	P
40	Deck machinery to operate fishing gear	Codification table	О	P
41	Fish hold capacity	Tons	О	P
42	Refrigeration equipment		О	P
43	Fish processing equipment		О	P
44	Lights for fishing	In case of use of a fishing operation requiring light	О	P
45	Safety equipment		О	P

Table 1 - Classification of Fishery Vessels by Vessel Types

"International Standard Statistical Classification of Fishery Vessels by Vessel Types" (ISSCFV), based on the type of gear used by the vessels, approved by the CWP in 1984 (ftp://ftp.fao.org/FI/DOCUMENT/cwp/handbook/annex/annexLII.pdf)

ISSCFV	Vessel type	Standard abbreviation
0100	Trawlers	TO
0200	Purse seiners	SP
0300	Dredgers	DO
0400	Lift netters	NO
0500	Gillnetters	GO
0600	Trap setters	WO
0700	Longliners	LL
0710	Other liners	LOX
0900	Multipurpose vessels	MO
4900	Fishing vessels not specified	RO
1100	Motherships	НО
1200	Fish carriers	FO
1400	Protection and survey vessels	ВО
1500	Fishery research vessels	ZO
1600	Fishery training vessels	CO
9900	Non-fishing vessels	VOX

Table 2 – Code for type of events

	Type of event	Standard abbreviation
Entry to fleet	Census	CEN
	New construction	CST
	Change of activity	CHA
	Intra-Mediterranean import, transfer	IMP
Within fleet	Modification	MOD
Exit from fleet	Break-up, shipwreck	DES
	Change of activity	RET
	Intra-Mediterranean export, transfer	EXP

Table 3 – Code for hull material

Hull material	Code
Wood	1
Metal	2
Fibreglass/plastic	3
Other	4
Unknown	5

Table 4 – Code for navigation equipment

Navigation equipment	Code
No navigation equipment	1
Loran C	2
Loran A	3
Omega	4
Decca	5
GPS (satellite navigation)	6
Radar	7
Direction finder	8
Automatic pilot	9
Meteorological map receiver	10
Gyrocompass	11
Other	98
Unknown	99

Table 5 – Code for communication equipment

Communication equipment	Code
Radio VHF	1
Radio telephone	2
Cellular phone	3
Fax	4
Satellite radio	5
Telegraph	6
Other	8
Unknown	9

Table 6 – Code for fish finder equipment

Fish finder equipment	Code
Echo sounder	1
Sonar	2
Net sond	3
Other	8
Unknown	9

Table 7 – Code for deck machinery to operate fishing gear

Fish finder equipment	Code
Line winch	1
Net winch	2
Trammel winch	3
Power block	4
Other	8
Unknown	9

RECOMMENDATION GFCM/33/2009/6 CONCERNING THE ESTABLISHMENT OF A GFCM RECORD OF VESSELS OVER 15 METRES AUTHORIZED TO OPERATE IN THE GFCM AREA AMENDING THE RECOMMENDATION GFCM/2005/2

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the objectives of the Agreement establishing the General Fisheries Commission for the Mediterranean are to promote the development, conservation, rational management and best utilization of living marines resources;

RECALLING GFCM Resolution 95/2 concerning the agreement to set a minimum length of 15 metres for the application of the Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels in the High Seas; GFCM Resolution 95/4 concerning the preparation of a list of fishing boats in operation from national ports in the Mediterranean and exchange of information on vessels; and GFCM Resolution 97/2 on activities of non-Contracting Parties, and the decision adopted by GFCM at its Twenty-seventh session to establish a fleet segmentation for vessels operating in the Mediterranean;

RECALLING that the FAO Council adopted on 23 June, 2001 an International Plan of Action (IPOA) aiming to prevent, to deter and to eliminate illegal, unreported and unregulated (IUU) fishing, which stipulates that the regional fisheries management organization should take action to strengthen and develop innovative ways, in conformity with international law, to prevent, deter and eliminate IUU fishing and in particular to establish record of vessels authorized to operate and records of vessels engaged in IUU fishing;

CONSIDERING the conclusions of the Third Ministerial Conference on the Sustainable Development of Fisheries in the Mediterranean;

ADOPTS, in accordance with paragraph 1 (h) of Article III and Article V of the GFCM Agreement that:

- 1. The Commission shall establish a GFCM record of fishing vessels larger than 15 metres in length overall authorized to fish in the GFCM area. For the purpose of this Recommendation, the vessels larger than 15 metres in length overall not entered into the record are deemed not to be authorized to fish for, retain on board, transship or land species covered by the Commission.
- 2. Each Contracting Party shall submit electronically to the GFCM Executive Secretary before 31 December of each calendar year , the list of its vessels that are authorized to operate in the GFCM area. This list shall include the following information:
 - Name of vessel
 - Vessel Register number
 - GFCM unique identifier (composed of ISO-3 code + 9 digits, e.g. xxx000000001)
 - Previous name (if any)
 - Previous flag (if any)
 - Previous details of deletion from other registries (if any)
 - International radio call sign (if any)
 - Type of vessels, length and gross tonnage and/or gross registered tonnage (GT and/or GRT)
 - Name and address of owner(s) and operator(s)
 - Gear used
 - Time period authorized for fishing and/or transshipping.

- 3. Each Contracting Party shall promptly notify the GFCM Executive Secretary of any addition to, any deletion from and/or any modification of the GFCM record at any time such changes occur.
- 4. The GFCM Executive Secretary shall maintain the GFCM record, and take any measure to ensure publicity of the record including through electronic means and placing it on the GFCM website, in a manner consistent with confidentiality requirements noted by Members.
- 5. The flag Contracting Party of the vessels on the record shall:
 - a) authorize their vessels to operate in the GFCM area only if they are able to fulfil in respect of these vessels the requirements and responsibilities under the Agreement and its conservation and management measures;
 - b) take necessary measures to ensure that their vessels comply with all the relevant GFCM conservation and management measures;
 - c) take necessary measures to ensure that their vessels on the GFCM record keep on board valid certificates of vessel registration and valid authorization to fish and/or transship;
 - d) ensure that their vessels on the GFCM record have no history of IUU fishing activities or that, if those vessels have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in, or control over those vessels, or that having taken into account all relevant facts, their vessels are not engaged in, or associated with, IUU fishing;
 - e) ensure, to the extent possible under domestic law, that the owners and operators of their vessels on the GFCM record are not engaged in, or associated with, fishing activities conducted by vessels not entered into the GFCM record in the GFCM area;
 - f) take necessary measures to ensure, to the extent possible under domestic law, that the owners of the vessels on the GFCM record are citizens or legal entities within the flag Contracting Party so that any control or punitive actions can be effectively taken against them; and
 - g) keep consistency between the GFCM record and ICCAT record of vessels.
- 6. The Contracting Parties shall review their own internal actions and measures taken pursuant to paragraph 5, including punitive and sanction actions and in a manner consistent with domestic law as regards disclosure, report the results of the review to the Commission at its 2007 meeting and annually thereafter. In consideration of the results of such review, the Commission shall, if appropriate, request the flag Contracting Party of vessels on the GFCM record to take further action to enhance compliance by those vessels to GFCM conservation and management measures.
- 7. The Contracting Parties shall take measures, under their applicable legislation, to prohibit the fishing for, the retaining on board, the transshipment and landing of species in the GFCM area by the vessels larger than 15 metres in length overall which are not entered into the GFCM record.
- 8. Each Contracting Party shall notify the GFCM Executive Secretary of any factual information showing that there are reasonable grounds for suspecting vessels not on the GFCM record to be engaged in fishing for and/or transshipment in the GFCM area.
- 9. a) If a vessel mentioned in paragraph 8 is flying the flag of a Contracting Party, the Executive Secretary shall request that the Contracting Party take measures necessary to prevent the vessel from fishing in the GFCM area. b) If the flag of a vessel mentioned in paragraph 8 cannot be determined or is of a non-Contracting Party, the Executive Secretary shall compile such information for future consideration by the Commission.
- 10. The Commission and the Contracting Parties concerned shall communicate with each other, and make the best effort with FAO and other relevant regional fishery management bodies to develop and implement appropriate measures, where feasible, including the establishment of records of a similar nature in a timely manner so as to avoid adverse effects upon fisheries resources in other oceans. Such adverse effects might consist of excessive fishing pressure resulting from a shift of the IUU vessels from the Mediterranean to other seas or oceans.

RECOMMENDATION GFCM/33/2009/7 CONCERNING MINIMUM STANDARDS FOR THE ESTABLISHMENT OF A VESSEL MONITORING SYSTEM (VMS) IN THE GFCM AREA

The General Fisheries Commission for the Mediterranean (GFCM),

IN ACCORDANCE WITH the General Guidelines for a GFCM Control and Enforcement Scheme elaborated in 2005 to ensure, inter alia, effective monitoring measures;

RECALLING the Rome Declaration on Illegal, Unreported and Unregulated Fishing (IUU Fishing), adopted by the FAO Ministerial Meeting on Fisheries in 2005 to ensure, *inter alia*, that all large-scale fishing vessels operating on the high seas be required by their flag State to be fitted with vessel monitoring systems (VMS) no later than December 2008, or earlier if so decided by their flag State or any relevant regional fisheries management organizations (RFMOs);

RECOGNIZING the developments in satellite-based vessel monitoring systems (VMS) and their importance in ensuring the long-term conservation and management of living marine resources in the GFCM area as part of effective monitoring, control and surveillance (MCS);

FURTHER RECOGNIZING the need for laying down agreed standards for the establishment of VMS in the GFCM area;

NOTING that the establishment of such systems has been discussed in recent sessions of the Commission and has been considered by the GFCM ad hoc Working Group of the Compliance Committee on VMS as a MCS tool;

AWARE that many Parties, as a well as several RFMOs, have established VMS;

ADOPTS, in conformity with the provisions of paragraph 1(b) and (h) of Article III and Article V of GFCM Agreement:

Objective

1. The objective of this Recommendation is to contribute to the long-term conservation and management of living marine resources in the GFCM area through the establishment of VMS.

Application

- 2. This Recommendation only applies to fishing vessels which are operating within the GFCM area and in particular those fishing vessels which are included in the GFCM Authorized Vessels List established by Recommendation GFCM/2005/2.
- Each flag Party and Cooperating non-Contracting Party (CPnC) shall implement no later than 31 December 2012, a satellite-based VMS for its commercial fishing vessels exceeding 15 metres length overall, in conformity with the requirements laid down in this recommendation, without prejudice to stricter obligations that Parties and Cooperating non-Contracting Parties may have engaged in.

Satellite tracking devices requirements

4. While specific operational details of Parties'/CPnCs' VMS may vary and include hybrid systems they shall ensure that the satellite tracking devices fitted onboard fishing vessels shall enable the fishing vessel to continuously collect and transmit automatically the following data, at least every

two hours while out of its base port, to the Fisheries Monitoring Center (FMC), or an equivalent authority, in the Flag State:

- the vessel's GFCM Unique Identifier as recorded in the GFCM Fishing Fleet register and Authorized Vessels List;
- ii) the geographical position of the vessel (longitude, latitude) with minimum resolution of 500 metres, with a confidence interval of 99 percent;
- iii) the date and time of the fixing of the said position of the vessel;
- iv) the speed and course of the vessel.

When a fishing vessel is in its base port, the satellite tracking device may be switched off, subject to prior notification to the FMC of the flag State, or equivalent authority.

5. Each Party/CPnC shall:

- require its fishing vessels to be equipped with an autonomous system able to automatically transmit a message to the land-based FMC in the Flag State, or an equivalent authority, allowing a continuous tracking of the position of a fishing vessel by the Party/CPnC of that fishing vessel. If the satellite tracking device is turned off, either deliberately or due to malfunction, the system must be capable of sending an alarm signal to the FMC, or an equivalent authority, so to improve safety conditions for the crewmembers;
- ii) take all the necessary measures to ensure that the FMC, or an equivalent authority, receives through the onboard satellite tracking device the data in paragraph 4) in electronic format and, to this end, that FMC, or an equivalent authority, are equipped with computer hardware and software enabling automatic data processing and electronic data transmission;
- iii) provide for back-up and recovery procedures in case of system failures;
- iv) ensure, as much as possible, that the satellite tracking device(s) on board its fishing vessels are tamper proof and that are not susceptible to manual input of position data. To this end, the onboard satellite tracking device(s) must be located within a sealed unit and be protected by official seals of a type that reveal whether the unit has been accessed or tampered with; in the event that a Party/CPnC has evidence, following an inspection, that the onboard satellite tracking device(s) does not meet the requirements mentioned above, or has been tampered with, it shall immediately notify the vessel's Flag State.

Duties of the masters and owners/licencees of fishing vessels subject to VMS

- 6. Masters and/or owners/licencees of fishing vessels subject to VMS shall ensure that the satellite tracking device(s) on board their vessels are permanently operational and that the information identified in paragraph 4 is collected at least every two hours. Masters and/or owners/licencees of fishing vessels subject to VMS shall in particular ensure that:
 - i) VMS reports and messages are not altered in any way;
 - ii) the antennas connected to the satellite monitoring devices are not obstructed in any way;
 - iii) the power supply of the satellite tracking devices are not interrupted in any way;
 - iv) the satellite tracking device(s) is not removed from the vessel.
- 7. In the event of a technical failure or non-operation of the satellite tracking device(s) fitted on board a fishing vessel, the masters and owners/licencees of fishing vessels subject to VMS, or their representative, shall communicate to the Flag State every four hours, starting at the time that the technical failure or the non-operation of VMS were detected, the up-to-date geographical position of the vessel by any available means (telephone text-message, email, facsimile, radio).
- 8. Fishing vessels with defective on board satellite tracking device(s) shall take immediate steps to have the device(s) repaired or replaced as soon as possible and, in any event, as soon as the fishing vessels enter a port. Fishing vessels shall not be allowed by the Flag State/the Port State to commence a further fishing trip in the GFCM area without having defective device(s) repaired or replaced, unless authorized to leave by the competent Flag State/the Port State authority.

- 9. Until 31st December 2010 masters and owners/licencees of fishing vessels referred to in paragraph 3 which are not equipped with VMS shall report to the FMC, or equivalent authority, at least every four hours by any available means (telephone text-message, email, facsimile, radio). Such reports must include, *inter alia*, information on the official numbers (radio call sign and GFCM Unique Identifier), the name of the fishing vessel, the date, the time (UTC) and the geographical position (latitude and longitude) when transmitting the report, to their competent authorities, as well as:
 - i) the geographical position at the beginning of the fishing operation;
 - ii) the geographical position at the end of the fishing operation;
 - iii) additional information on the geographical position while fishing operations occur.

Role of the Parties/CPnCs

- 10. When Parties/CPnCs did not receive data transmission, or have reasons to doubt the correctness of the data transmission they have received, they shall notify the masters and owners/licencees of fishing vessels subject to VMS, or their representative, as soon as possible. Where appropriate, Parties/CPnCs shall investigate the matter in order to establish whether the equipment has been tampered with. The outcome of this investigation, including any action taken by the Flag State (e.g. fines, withdrawal of fishing licence, legal proceedings, etc), shall be forwarded to GFCM Secretariat that will report the matter to the Commission for consideration/action (e.g. inclusion of the vessel in the GFCM list of vessels presumed to having carried out IUU fishing activities in the GFCM area).
- 11. When Parties/CPnCs have reasons to doubt the correctness of the data transmission they have received and suspect that the fishing vessel concerned will seek access to the ports of a third Country within the GFCM area, they shall notify the port State. The port State shall ensure either that the fishing vessel is refused access to its ports or that the fishing vessel is subject to inspection, consistent with the provisions in recommendation GFCM/2008/1 on a regional scheme on port State measures to combat IUU fishing in the GFCM area.
- 12. Each Party/CPnC shall provide annually to the GFCM Secretariat a progress report on its VMS, consistent with this recommendation.
- 13. Each Party/CPnC shall notify the name, address, email, telephone and facsimile numbers of the relevant authorities of their FMC, or equivalent authority, to the GFCM Secretariat by 31st December 2009; each Party/CPnC shall also notify without delay to the GFCM Secretariat any changes in these contacts. The GFCM Secretariat will draw and maintain a list of contacts based on the information it receives by Parties/CPnCs.
- 14. Parties/CPnCs are encouraged to make VMS data available to the Scientific Advisory Committee of the GFCM in summary form to its meetings, including is Sub-Committees, to estimate fishing effort and for any other scientific purpose it deems important for its work.

Role of the Secretariat

- 15. Based on national reports received according to paragraph 13, the GFCM Secretariat shall report to Members at the session of the Compliance Committee on the implementation of and compliance with this recommendation.
- 16. By 1 January 2011 the GFCM Secretariat will establish and maintain a database for VMS data.

Confidentiality/Data Security

17. The Executive Secretary of the GFCM shall ensure that any information provided to the GFCM Secretariat pursuant to this recommendation will be maintained in strict accordance with the provisions of recommendation GFCM/2006/7 on data confidentiality policy and procedures.

RECOMMENDATION GFCM/33/2009/8 ON THE ESTABLISHMENT OF A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT IUU FISHING IN THE GFCM AREA AMENDING THE RECOMMENDATION GFCM/2006/4

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the FAO Council adopted on 23 June 2001 an International Plan of Action to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing (IPOA–IUU), which stipulates that the identification of the vessels carrying out IUU fishing activities should follow agreed procedures and be made in a fair, transparent and non-discriminatory manner;

CONCERNED by the fact that IUU fishing activities in the GFCM area continue, and that these activities diminish the effectiveness of the GFCM conservation and management measures;

FURTHER CONCERNED that there is evidence of a high number of vessel owners engaged in such fishing activities which have re-flagged their vessels to avoid compliance with GFCM conservation and management measures;

DETERMINED to address the challenge of an increase in IUU fishing activities by way of countermeasures to be applied in respect of vessels without prejudice to further measures adopted in respect of flag States under the relevant GFCM instruments;

CONSIDERING the Declaration of the Third Ministerial Conference on the Sustainable Development of Fisheries in the Mediterranean which was held in Venice from 25 to 26 November 2003; CONSCIOUS of the need to address, as a matter of priority, the issue of large-scale fishing vessels conducting IUU fishing activities;

NOTING that the IUU fishing activities must be addressed in the light of all relevant international fisheries instruments and in accordance with the relevant rights and obligations established in the World Trade Organization (WTO) Agreement;

ADOPTS in accordance with paragraph 1 (h) of Article III and Article V of the GFCM Agreement that:

Application

- 1. For the purposes of this Recommendation the fishing vessels flying the flag of a non-Contracting Party, Contracting Party or Cooperating non-Contracting Party are presumed to have carried out illegal, unreported and unregulated fishing activities in the GFCM area, *inter alia*, when a Contracting Party or Cooperating non-Contracting Party, presents evidence that such vessels have engaged in one or more of the following activities:
 - a) undertake any of the following activities in contravention of GFCM conservation and management measures:
 - i) harvest fish in the GFCM area;
 - ii) fail to report the catches or make false or misleading reports;
 - iii) take or land undersized fish;
 - iv) fish during closed fishing periods or in closed areas;
 - v) use prohibited fishing gear; or
 - vi) engage in fishing activities contrary to any other GFCM conservation and management measure.

- b) transship or participate in joint operations such as re-supply or refuelling with vessels included in the GFCM IUU Vessel List;
- c) harvest fish in maritime waters under the national jurisdiction of a coastal State in the GFCM area, without the permission of that State or in contravention of its laws and regulations; and
- d) being without nationality, harvest fish in the GFCM area.
- 2. The Commission may review and, as appropriate, revise this Recommendation with a view to its extension to other types of IUU fishing activities.

Information on presumed IUU fishing activities

3. Contracting Parties and Cooperating non-Contracting Parties shall transmit every year to the Executive Secretary, at least 120 days before the annual GFCM Session, information on vessels flying the flag of a non-Contracting Party, and vessels flying the flag of a Contracting Party or Cooperating non-Contracting Party, presumed to be carrying out IUU fishing activities as defined in paragraph 1 in the GFCM area during the previous year, accompanied by evidence reported by Contracting Parties and Cooperating non-Contracting Parties supporting the presumption of IUU fishing activity.

Draft IUU Vessel List

- 4. On the basis of the information received pursuant to paragraph 3, the Executive Secretary shall draw up a Draft IUU Vessel List which shall include information required in Annex I. The Executive Secretary shall transmit it, together with the evidence supporting the presumption of IUU fishing activity provided in accordance with paragraph 3, together with the current IUU Vessel List, to Contracting Parties and Cooperating non-Contracting Parties, as well as to non-Contracting Parties whose vessels are included on either list at least 90 days before the GFCM annual Session. Contracting Parties and Cooperating non-Contracting Parties and relevant non-Contracting Party flag States may transmit their comments to the GFCM Secretariat as appropriate, including evidence showing that the listed vessels have not fished in contravention to GFCM conservation and management measures or had the possibility of fishing in the GFCM area, at least 30 days before the annual Session.
- 5. Upon receipt of the Draft IUU Vessel List, Contracting Parties and Cooperating non-Contracting Parties shall closely monitor the vessels included in the draft IUU Vessel List in order to determine their activities and possible changes of name, flag and/or registered owner.
- 6. Where a vessel appears on a Draft IUU Vessel List drawn up in accordance with paragraph 4, the flag State shall notify the owner of the vessel flying its flag of its inclusion in the Draft IUU Vessel List and of the consequences that may result from being confirmed in the IUU Vessel List to be adopted by the Commission.

Consideration and adoption of the Provisional IUU Vessel List

- 7. On the basis of the information received pursuant to paragraph 4, the Executive Secretary shall draw up a Provisional IUU Vessel List which shall include information required in Annex I, and transmit it two weeks in advance of the GFCM annual Session to Contracting Parties and Cooperating non-Contracting Parties, together with all the evidence provided.
- 8. Contracting Parties and Cooperating non-Contracting Parties may at any time submit to the Executive Secretary any additional information which might be relevant for the establishment of the IUU Vessel List. The GFCM Executive Secretary shall circulate the information at the latest before the GFCM annual Session to the Contracting Parties and Cooperating non-Contracting Parties, and to the non-Contracting Parties concerned, together with all the evidence provided.

- 9. The GFCM Compliance Committee shall examine the Provisional IUU Vessel List each year, as well as the information referred to in paragraphs 3 and 4. The Committee shall remove a vessel from the Provisional List if the evidence provided clearly demonstrates that:
 - a) the vessel did not take part in any IUU fishing activity described in paragraph 1; or
 - b) effective action has been taken in response to the IUU fishing activity or activities in question, including, *inter alia*, prosecution and imposition of sanctions of adequate severity.
- 10. Following the examination referred to in paragraph 9, the Compliance Committee shall:
 - a) review and assess the Provisional IUU Vessel List together with information and evidence circulated under paragraphs 3 and 4;
 - b) review and propose the removal of vessels from the IUU Vessel List adopted by the Commission at its previous annual Session, following consideration of such List together with the information and evidence circulated under paragraphs 3 and 4, and any information provided pursuant to paragraph 16; and
 - c) submit the Provisional IUU Vessel List to the Commission, with recommendations for its adoption and for removal of any vessels from the current IUU Vessel List. .

IUU Vessel List

- 11. The Commission shall consider for approval the Provisional IUU Vessel List and the removal of vessels from the current IUU Vessel List recommended by the Compliance Committee.
- 12. On adoption of an IUU Vessel List by the Commission, the Secretariat shall request flag States whose vessels appear on the IUU Vessel List to:
 - a) notify the owner of the vessel identified on the IUU Vessel List of its inclusion on the List and the consequences which result from being included on the List, as described in paragraph 11; and
 - b) take all necessary measures to eliminate the relevant IUU fishing activities including, as appropriate, the cancellation of the registration and/or the fishing licence(s) of these vessels, and inform the Secretariat of the measures taken.
- 13. Contracting Parties and Cooperating non-Contracting Parties shall take all necessary measures to:
 - ensure that the fishing vessels, support vessels, refuelling vessels, mother ships and cargo vessels flying their flag do not engage in fishing activities, fish processing operations or participate in any transshipment or joint fishing operations with vessels included on the IUU Vessel List, or assist in any way, except in case of *force majeure*;
 - b) prohibit the chartering of a vessel included on the IUU Vessel List; and
 - c) encourage the importers, transporters and other sectors concerned, to refrain from transactions and transshipment of any fish caught by vessels included on the IUU Vessel List.
- 14. Contracting Parties and Cooperating non-Contracting Parties shall take all necessary measures in respect of vessels that do not fly their flag to:
 - a) ensure that IUU vessels are not authorized to land, refuel, re-supply or engage in other commercial transactions;
 - b) prohibit the entry into their ports of vessels included on the IUU Vessel list, except in case of *force majeure*;
 - refuse to grant their flag to vessels included in the IUU Vessel List, except if the vessel has changed owner and/or operator; and the sufficient evidence has been

- provided that demonstrates that the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel, or having taken into account all relevant facts, the flag Contracting Party or Cooperating non-Contracting Party, determines that granting the vessel its flag will not result in IUU fishing activities; and
- d) prohibit the imports, or landing and/or transshipment, of any fish from vessels included in the IUU Vessel List.
- 15. The Executive Secretary shall ensure publication of the IUU Vessel List in a manner consistent with any applicable confidentiality requirements, including through electronic means by placing it on the GFCM website. The Executive Secretary shall transmit the IUU Vessel List to other regional fishery bodies as appropriate for the purposes of enhanced cooperation between GFCM and these organizations in order to prevent, deter and eliminate illegal, unreported and unregulated fishing.
- 16. Upon receipt of an IUU vessel list adopted by another RFMO and any information regarding such list, the Executive Secretary shall circulate this information to the Contracting Parties and ensure it appears on the GFCM website. Vessels that have been added or deleted from such lists shall be incorporated into or deleted from the GFCM IUU Vessel List as appropriate, unless any Contracting Party objects within 30 days of the date of transmittal by the Executive Secretary on the ground(s) that:
 - a) there is satisfactory information to establish that the vessel did not engage in any IUU fishing activities or that effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution and imposition of penalties or sanctions of adequate severity;
 - b) there is satisfactory information to establish that none of the requirements mentioned in a) above has been met with regard to a vessel deleted from the respective lists; or
 - c) there is insufficient information to make a determination pursuant to sub-paragraph a) or b), above, within 30 days. In the event of an objection to a vessel listed by another RFMO being incorporated into or deleted from the GFCM IUU Vessel List, such vessel shall be placed on the Provisional IUU Vessel List.
- 17. Without prejudice to the rights of flag Contracting Parties or Cooperating non-Contracting Parties, and coastal States to take action consistent with international law, Contracting Parties and Cooperating non-Contracting Parties shall not take any unilateral trade measures or other sanctions against vessels provisionally included in the Draft IUU Vessel List pursuant to paragraph 4, or which have been already removed from the Provisional IUU Vessel List, pursuant to paragraph 9, on the ground that such vessels are involved in IUU fishing activities.

Deletion from the IUU Vessel List

- 18. A flag State whose vessel appears on the IUU Vessel List may request the removal of the vessel from the list during the intersessional period by providing the following information:
 - a) the actions or measures it has taken to ensure that the vessel complies with GFCM conservation and management measures;
 - b) the actions or measures it has taken to effectively discharge its responsibilities with respect to the vessel, including the monitoring and control of the vessel's fishing activities in the GFCM area;
 - c) the actions it has taken against the vessel in response to the relevant IUU fishing activities, including the prosecution and imposition of sanctions of adequate severity, if relevant; and, as appropriate
 - d) the vessel has changed ownership and the new owner can establish the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it and the new owner has not participated in IUU fishing, if relevant.

Intersessional modification of the IUU Vessel List

- 19. A flag State may send a request for the removal of its vessel from the IUU Vessel List to the Executive Secretary, accompanied by the supporting information referred to in paragraph 18.
- 20. The GFCM Executive Secretary will transmit the removal request received in accordance with paragraph 19, with all the supporting information, to the Contracting Parties and the COC Bureau within 15 days following the notification of the request.
- 21. The Contracting Parties shall review the removal request and notify their opinion on whether the vessel should be removed from, or maintained on the IUU Vessel List by electronic means to to the Executive Secretary within 30 days following the notification by the Executive Secretary. The Executive Secretary, in close coordination with the COC Bureau, shall review the responses within 30 days following the last day for receipt of the responses. If a Contracting Party does not respond within the given period,, it will be considered as an abstention from the vote and part of the quorum for the decision-making.
- 22. The Executive Secretary shall communicate the outcome of the review of the removal request at the end of the 30 day period following the date of the notification referred to in paragraph 20 to all Contracting Parties.
- 23. If the result indicates that there is a two-thirds majority of the Contracting Parties in favour of removal of the vessel from the IUU Vessel List, the Chairperson of GFCM shall communicate the result to all Contracting Parties and to the non-Contracting Party or flag State which requested the removal of its vessel from the IUU Vessel List. In the absence of a two-thirds majority, the vessel will be maintained on the IUU Vessel List and the Executive Secretary shall inform the non-Contracting Party accordingly.
- 24. The Executive Secretary shall remove the vessel referred to in paragraph 23 from the IUU Vessel List, as published on the GFCM website. Moreover, the Executive Secretary shall forward the decision to remove the vessel to other regional fishery organizations.

Final provision

25. Recommendation GFCM/2006/4 on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated fishing activities in the GFCM area is replaced by this Recommendation.

Information to be included in all IUU Vessel Lists

The Draft IUU Vessel List, Provisional IUU Vessel List and Adopted IUU Vessel List shall contain the following details, where available:

- 1. Name of vessel and previous name(s), if any
- 2. Flag of vessel and previous flag(s), if any
- 3. Name and address of owner(s) of vessel and previous owner(s), including beneficial owner(s), and owner's place of registration
- 4. Operator of vessel and previous operator(s)
- 5. Call sign of vessel and previous call sign, if any
- 6. Lloyds/IMO number
- 7. Photographs of the vessel
- 8. Date vessel was first included on the IUU Vessel List
- 9. Summary of activities which justify inclusion of the vessel on the IUU Vessel List, together with references to all relevant evidence

RECOMMENDATION GFCM/33/2009/9 CONCERNING SELECTED ICCAT RECOMMENDATIONS

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the objectives of the Agreement establishing the General Fisheries Commission for the Mediterranean are to promote the development, conservation, rational management and best utilization of living marine resources,

ADOPTS, in conformity with the provision of paragraph 1 (h) of Article III and Article V of the GFCM Agreement the following Recommendation of the International Commission for the Conservation of Atlantic Tunas (ICCAT):

GFCM/33/2009/9 (A) RECOMMENDATION 08-03 BY ICCAT ON MEDITERRANEAN SWORDFISH

RECOGNIZING that the Commission's Standing Committee on Research and Statistics (SCRS) indicated in its 2007 stock assessment that the fishing mortality needs to be reduced to move the stock toward the Convention objective of biomass levels which could support MSY, and that seasonal closures are considered to be beneficial in moving the stock condition closer to the Convention objective;

NOTING that the SCRS in its assessment in 2007 estimated that fish less than three years old usually represent 50-70 percent of the total yearly catches in terms of numbers and 20-35 percent in terms of weight and indicates that a reduction in the volume of juvenile catches would improve yield per recruit and spawning biomass per recruit levels;

RECALLING the Recommendation by ICCAT Relating to Mediterranean Swordfish [Rec. 03-04], which encourages CPCs to take measures to reduce juvenile Mediterranean swordfish catches;

TAKING INTO ACCOUNT the SCRS advice given in 2008 advocating seasonal closures and pending the adoption of a more comprehensive management plan for Mediterranean swordfish;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

- 1. Fishing for Mediterranean swordfish shall be prohibited in the Mediterranean during the period from 1 October to 30 November.
- 2. CPCs shall monitor the effectiveness of this closure and submit relevant information to the SCRS.
- 3. CPCs shall ensure the maintenance or development of adequate scientific information in the formats requested by ICCAT and in smallest time-area possible on the size distributions of the catches.
- 4. This Recommendation replaces the *Recommendation by ICCAT on Mediterranean Swordfish* [Rec. 07-01].

GFCM/33/2009/9 (B)

RECOMMENDATION 08-05 AMENDING THE RECOMMENDATION BY ICCAT TO ESTABLISH A MULTIANNUAL RECOVERY PLAN FOR BLUEFIN TUNA IN THE EASTERN ATLANTIC AND MEDITERRANEAN

TAKING INTO ACCOUNT the discussions in the ICCAT Compliance Committee in 2008 concerning the implementation of the recovery plan adopted in 2006,

TAKING INTO ACCOUNT the stock recovery scenario developed by SCRS based on the stock assessment carried out in 2008,

DESIRING to achieve a stock level consistent with the objective of the Convention within 15 years,

CONVINCED that to achieve this objective, it is necessary to strengthen the recovery plan for that stock adopted in 2006. The objective is to recover the stock through a combination of management measures which will protect the spawning stock biomass and reduce juvenile catches,

RECOGNIZING that the success of the recovery plan involves the strengthening of the control system, which should include a set of effective control measures to ensure the respect of the management measures and to ensure the traceability of all the catches,

CONSIDERING the necessity to improve the responsibility of the industry, flag States, port States, farm States and market States to ensure compliance with the present recommendation,

GIVEN the need to address the overcapacity of the fleet and the farming capacity;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

Part I General provisions

1. The Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (hereinafter referred to as CPCs), whose vessels have been actively fishing for bluefin tuna in the East Atlantic and Mediterranean shall implement a 15 year Recovery Plan for bluefin tuna in the East Atlantic and Mediterranean starting in 2007 and continuing through 2022, with the goal of achieving Bmsy, with greater than 50 percent probability.

Definitions

- 2. For the purposes of this Plan:
 - a) "Fishing vessel" means any vessel used or intended for use for the purposes of the commercial exploitation of bluefin tuna resources, including catching vessels, fish processing vessels, support ships, tug and towing vessels, vessels engaged in transshipment and transport vessels equipped for the transportation of tuna products and auxiliary vessels, except container vessels:
 - b) "Catching vessel" means a vessel used for the purposes of the commercial capture of bluefin tuna resources;

- c) "Processing vessel" means a vessel on board of which fisheries products are subject to one or more of the following operations, prior to their packaging: filleting or slicing, freezing and/or processing;
- d) "Auxiliary vessel" means any vessel used to transport dead bluefin tuna (not processed) from a cage to a designated port.
- e) "Fishing actively" means, for any catching vessel, the fact that it targets bluefin tuna during a given fishing season;
- f) "Joint fishing operation" means any operation between two or more catching vessels flying the flag of different flag States CPCs where the catch of one catching vessel is attributed to one or more other catching vessels in accordance with an allocation key;
- g) "Transfer activities" means:
 - any transfer of live bluefin tuna from the catching vessel net to the transport cage;
 - any transfer of live bluefin tuna from the transport cage to another transport cage;
 - any transfer of dead bluefin tuna from the transport cage to an auxiliary vessel.
 - any transfer from a bluefin tuna farm or a tuna trap to a processing vessel, transport vessel or to land.
- h) "Tuna trap" means fixed gear anchored to the bottom usually containing a guide net that leads fish into an enclosure.
- i) "Caging" means the transfer of bluefin tuna from the transport cage to the fattening and farming cages.
- j) "Fattening" means caging of bluefin tuna for a short period (usually 2-6 months) aiming mostly at increasing the fat content of the fish.
- k) "Farming" means caging of bluefin tuna for a period longer than one year, aiming to increase the total biomass.
- 1) "Transshipment" means the unloading of all or any of the fish on board a fishing vessel to another fishing vessel at port.
- m) "Sport fishery" means a non-commercial fishery whose members adhere to a national sport organization or are issued with a national sport licence.
- n) "Recreational fishery" means a non-commercial fishery whose members do not adhere to a national sport organization or are not issued with a national sport licence.

Length of vessels

3. All lengths of vessels referred to in this Recommendation shall be understood as length overall.

Part II Management measures

TAC and quotas

4. The total allowable catches (TACs) are fixed:

2007: 29,500 tonnes 2008: 28,500 tonnes 2009: 22,000 tonnes 2010: 19,950 tonnes⁵ 2011: 18,500 tonnes

- 5. The SCRS shall monitor and review the progress of the Plan and submit an assessment to the Commission in 2010.
- 6. The TAC for 2011 onwards may be adjusted following the SCRS advice. The relative shares shall be decided by the Commission in 2010.
- 7. The allocation scheme for 2007-2010 is set in **Annex 4** to this Recommendation.

Associated conditions to TAC and quotas

- 8. Each CPC shall take the necessary measures to ensure that the fishing effort of its catching vessels and its traps are commensurate with the fishing opportunities on bluefin tuna available to that CPC in the Eastern Atlantic and Mediterranean Sea, including by establishing individual quotas for its catching vessels over 24 m included in the list referred to in paragraph 54 a).
- 9. Each CPC shall draw up an annual fishing plan for the catching vessels and traps fishing bluefin tuna in the Eastern Atlantic and Mediterranean Sea. The annual fishing plan shall identify, *inter alia*, the catching vessels over 24 metres included in the list referred to in paragraph 54 a) and the individual quota allocated to them and the method used to allocate quota as well as the measure to ensure the respect of the individual quota.
- 10. Each CPC shall also allocate a specific quota for the purpose of recreational and sport fisheries as defined in paragraph 2 m) and n).
- 11. No later than 1 March each year, the annual fishing plan shall be transmitted by each CPC to the ICCAT Executive Secretariat. Any subsequent modification to the annual fishing plan or to the specific method used to manage their quota shall be transmitted to the ICCAT Executive Secretariat at least 10 days before the exercise of the activity corresponding to that modification.
- 12. No later than 15 October, each CPC shall report to the ICCAT Executive Secretariat on the implementation of their annual fishing plans for that year. Those reports shall include:
 - a) the number of catching vessels actually engaged in active fishing activities involving bluefin tuna in the Eastern Atlantic and Mediterranean;
 - b) the catches of each catching vessel; and
 - c) the total number of days each catching vessel fished in the Eastern Atlantic and Mediterranean.
- 13. The flag CPC may require the catching vessel to proceed immediately to a port designated by it when the individual quota is deemed to be exhausted.
- 14. a) No carry-over of any under-harvests shall be made under this Plan.
 - b) By derogation to paragraph 4 of the 2002 Recommendation by ICCAT Concerning a Multiyear Conservation and Management Plan for Bluefin Tuna in the East Atlantic and Mediterranean [Rec. 02-08], no more than 50 percent carry-over of any under-harvests arising from 2005 and/or 2006 may be made under this Plan. Paragraph 2 of the 1996 Recommendation by ICCAT Regarding Compliance in the Bluefin Tuna and North Atlantic Swordfish Fisheries [Rec. 96-14] shall not apply for the overages in 2005 and 2006.

⁵ This TAC may be adjusted at 2009 annual meeting of the Commission in case of substantial overharvest of TAC identified in 2009 and/or new relevant scientific findings and/or relevant international developments.

c) The underages of the Libyan Arab Jamahiriya, Morocco and Tunisia in 2005 and 2006 may be carried over to 2009 and 2010 as follows (in tonnes):

CPCs	2009	2010
Libyan Arab Jamahiriya	145	145
Morocco	327	327
Tunisia	202	202

- d) Any overage of a CPC shall be deducted from the next year's quotas of that CPC. Notwithstanding this provision, the payback of the European Community for its overage in 2007 shall be spread over 2009-2012 (500 tonnes in 2009 and 2010, 1,510 tonnes in 2011 and 2012). This payback shall be reviewed in the light of a general transparency and incentive provision on overages to be adopted by ICCAT at the latest in 2010.
- 15. CPCs shall be encouraged to voluntarily reduce their catches of bluefin tuna in Eastern Atlantic and Mediterranean in 2009. Notwithstanding paragraph 14 a), the voluntary reduced portion of the CPC's allocation may be carried over to 2011 on condition that such voluntary reduced portion is notified to the ICCAT Secretariat before March 1, 2009.
- 16. Private trade arrangements and or transfer of quotas/catch limits between CPCs shall be done only under authorization by the CPCs concerned and the Commission.
- 17. To comply with paragraph 1 of 2002 *Recommendation by ICCAT on Vessel Chartering* [Rec. 02-21], the percentage of a CPC's bluefin tuna quota/catch limit that may be used for chartering shall not exceed 60 percent, 40 percent and 20 percent of the total quota in 2007, 2008, 2009, respectively. No chartering operation for the bluefin tuna fishery is permitted in 2010.

By derogation to paragraph 3 of the 2002 *Recommendation by ICCAT on Vessel Chartering* [Rec. 02-21], only bluefin tuna catching vessels flying the flag of a CPC can be chartered.

The number of bluefin tuna catching vessels chartered and the duration of the charter shall be commensurate with the quota allocated to the charter nation.

18. Any joint fishing operation for bluefin tuna shall only be authorized with the consent of the flag States if the vessel is equipped to fish bluefin tuna and has an individual quota, and in accordance with the following requirements.

At the moment of the application for the authorization, following the format set in **Annex 6**, each flag State shall take the necessary measures to obtain from its fishing vessel(s) participating in the joint fishing operation the following information:

- duration,
- identity of the operators involved,
- individual vessels' quotas,
- the allocation key between the vessels for the catches involved, and
- the information on the fattening or farming farms of destination.

Each flag State authorizing its vessels to participate shall transmit all this information to the other participating flag State. The CPCs involved in the joint fishing operation shall transmit all this information to the ICCAT Secretariat at least ten days before the start of the operation.

The Commission shall establish and maintain an ICCAT record of all joint fishing operations authorized by the flag States CPCs in the eastern Atlantic and Mediterranean Sea.

Closed fishing seasons

- 19. Bluefin tuna fishing shall be prohibited in the East Atlantic and Mediterranean by large-scale pelagic longline catching vessels over 24 m during the period from 1 June to 31 December with the exception of the area delimited by West of 10°W and North of 42°N, where such fishing shall be prohibited from 1 February to 31 July.
- 20. Purse seine fishing for bluefin tuna shall be prohibited in the East Atlantic and Mediterranean during the period from 15 June to 15 April.
- 21. If a CPC can demonstrate that due to bad weather (more than 7 knots) certain of its purse seine catching vessels have been unable to utilize the fishing days referred to in paragraph 20, the CPC may carry over a maximum of 5 days lost until 20 June. This CPC shall notify by 15 June to the ICCAT Secretariat the information on the additional fishing days granted, with evidence of bad weather. The ICCAT Secretariat shall forward without delay this information to other CPCs.
- 22. Bluefin tuna fishing by baitboats and trolling boats shall be prohibited in the East Atlantic and Mediterranean during the period from 15 October to 15 June.
- 23. Bluefin tuna fishing by pelagic trawlers shall be prohibited in the East Atlantic during the period from 15 October to 15 June.
- 24. Bluefin tuna recreational and sport fishing shall be prohibited in the eastern Atlantic and Mediterranean from 15 October to 15 June.

Spawning grounds

25. For the annual meeting of the Commission in 2010, the SCRS shall identify as precisely as possible spawning grounds in the Mediterranean in view of the creation of sanctuaries.

Use of aircraft

26. CPCs shall take necessary measures to prohibit the use of airplanes or helicopters for searching for bluefin tuna in the Convention area.

Minimum size

- 27. CPCs shall take the necessary measures to prohibit catching, retaining on board, transshipping, transferring, landing, transporting, storing, selling, displaying or offering for sale bluefin tuna (*Thunnus thynnus thynnus*) weighing less than 30 kg.
- 28. By derogation of paragraph 27, a minimum size for bluefin tuna (*Thunnus thynnus thynnus*) of 8 kg shall apply to the following situations in accordance with the procedures set out in **Annex 1.**
 - a) Bluefin tuna caught by baitboats and trolling boats in the eastern Atlantic.
 - b) Bluefin tuna caught in the Adriatic Sea for farming purposes.
 - c) Bluefin tuna caught in the Mediterranean Sea by the coastal artisanal fishery for fresh fish by baitboats, longliners and handliners.
- 29. For catching vessels fishing actively for bluefin tuna, an incidental catch of maximum 5 percent of bluefin tuna weighing between 10 and 30 kg may be authorized. This percentage is calculated on the total incidental catches in number of fish retained on board this vessel, or their equivalent in percentage in weight. Incidental catches must be deducted from the quota of the flag State CPC. The procedures referred to in paragraphs 61, 62, 63, 64, 66, 67 and 68 shall apply to the incidental catch.

Bycatch

30. Catching vessels not fishing actively for bluefin tuna are not authorized to retain on board bluefin tuna exceeding more than 5 percent of the total catch on board by weight or/and number of pieces. Bycatches must be deducted from the quota of the flag state CPC.

The procedures referred to in paragraphs 61, 62, 63, 64, 66, 67 and 68 shall apply to the bycatch.

Recreational fisheries

- 31. Recreational fisheries on bluefin tuna shall be subject to the authorization for each vessel issued by the flag State CPC.
- 32. CPCs shall take the necessary measures to prohibit the catch and retention on board, transshipment or landing of more than one bluefin tuna in each sea trip.
- 33. The marketing of bluefin tuna caught in recreational fishing shall be prohibited except for charitable purposes.
- 34. Each CPC shall take measures to record catch data from recreational fishing and transmit them to the SCRS. Catches of recreational fisheries shall be counted against the quota allocated to the CPC in accordance with paragraph 10.
- 35. Each CPC shall take the necessary measures to ensure, to the greatest extent possible, the release of bluefin tuna caught alive, especially juveniles, in the framework of recreational fishing.

Sport fisheries

- 36. CPCs shall take the necessary measures to regulate sport fishing, notably by fishing authorizations.
- 37. The marketing of bluefin tuna caught in sport fishing competitions shall be prohibited prohibited except for charitable purposes.
- 38. Each CPC shall take measures to record catch data from sport fishing and transmit them to the SCRS. Catches of sport fishing shall be counted against the quota allocated to the CPC in accordance with paragraph 10.
- 39. Each CPC shall take the necessary measures to ensure, to the greatest extent possible, the release of the bluefin tuna caught alive, especially juveniles, in the framework of sport fishing.

Part III Capacity measures

Adjustment of fishing capacity

- 40. Each CPC shall ensure that its fishing capacity is commensurate with its allocated quota.
- 41. To that purpose each CPC shall establish a management plan over 2010-2013. Such plan shall be submitted to the Commission by 15 September 2009 for discussion and approval by the Commission at its annual meeting in 2009, and shall be reviewed at its annual meeting in 2010. Such plan shall include the information referred to in paragraphs 42 to 48.

Freezing of fishing capacity

- 42. CPCs shall limit the number, and the corresponding gross registered tonnage, of their fishing vessels to the number and tonnage of their vessels that fished for, retained on board, transshipped, transported, or landed bluefin tuna during the period 1 January 2007 to 1 July 2008. This limit shall be applied by gear type for catching vessels and by vessel type for other fishing vessels.
- 43. Paragraph 42 shall not be interpreted to affect the measures contained in **Annex 1** paragraphs 1 and 2 of this Recommendation.
- 44. CPCs shall limit the number of their traps engaged in the eastern Atlantic and Mediterranean bluefin tuna fishery to the number authorized by each CPC by 1 July 2008.
- 45. This freezing may not apply to certain CPCs, in particular developing States that demonstrate that they need to develop their fishing capacity so as to fully use their quota. Such CPCs shall indicate in their management plans the programming of the introduction of additional fishing capacity into the fishery.

Reduction of fishing capacity

- 46. Without prejudice to paragraph 45, each CPC shall reduce its fishing capacity referred to in paragraphs 42, 43 and 44 so as to ensure for 2010 that at least 25 percent of the discrepancy between its fishing capacity and its fishing capacity commensurate with its allocated quota in 2010 is achieved.
- 47. To calculate its fishing capacity reduction, each CPC shall take into account *inter alia*, the estimated yearly catch rates per vessel and gear.
- 48. This reduction may not apply to certain CPCs that demonstrate that their fishing capacity is commensurate with their allocated quotas.

Adjustment of farming capacity

- 49. Each farming or fattening CPC shall establish a management plan over 2010-2013. Such plan shall be submitted to the Commission by 15 September 2009 for discussion and approval by the Commission at its annual meeting in 2009, and shall be reviewed at its annual meeting in 2010. Such plan shall include the information referred in paragraphs 50 to 53.
- 50. Each CPC shall limit its tuna farming capacity to the farming capacity of the farms that were registered in the ICCAT list or authorized and declared to ICCAT as of 1 July 2008.
- 51. Each CPC shall establish for 2010 a maximum input of wild caught bluefin tuna into its farms at the level of the input quantities registered with ICCAT by its farms in 2005, 2006, 2007 or 2008.
- 52. Within the maximum input quantity of wild caught bluefin tuna referred to in paragraph 51, each CPC shall allocate inputs to its farms.
- 53. Further adjustment of farming capacity shall be decided by the Commission at its annual meeting in 2010, depending on the level of the TAC after 2010.

Part IV Control measures

ICCAT bluefin tuna records of vessels

- 54. a) The Commission shall establish and maintain an ICCAT record of all catching vessels authorized to fish actively for bluefin tuna in the eastern Atlantic and Mediterranean Sea.
 - b) The Commission shall establish and maintain an ICCAT record of all other fishing vessels (i.e. catching vessels excluded) authorized to operate for bluefin tuna in the eastern Atlantic and Mediterranean Sea.

During a calendar year, a fishing vessel shall be registered in only one of the ICCAT records referred to paragraphs a) and b). Without prejudice to paragraph 30, for the purposes of this recommendation, fishing vessels not entered into one of the ICCAT records referred to in paragraph a) and b) are deemed not to be authorized to fish for, retain on board, transship, transport, transfer, process or land bluefin tuna in the eastern Atlantic and Mediterranean Sea.

55. Each flag CPC shall submit electronically each year to the ICCAT Executive Secretary, at the latest one month before the beginning of the fishing seasons referred to in paragraphs 19 to 23, when applicable, and otherwise by 1 March, the list of its catching vessels authorized to fish actively for bluefin tuna and the list of its other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea referred to in paragraph 54 a) and b), in accordance with the format set in the Guidelines for submitting data and information required by ICCAT.

Any subsequent changes shall not be accepted unless a notified fishing vessel is prevented from participation due to legitimate operational reasons or *force majeure*. In such circumstances, the CPC concerned shall immediately inform the ICCAT Executive Secretariat, providing:

- a) full details of the intended replacement fishing vessel(s) referred to in paragraph 54;
- b) a comprehensive account of the reasons justifying the replacement and any relevant supporting evidence or references.
- 56. Conditions and procedures referred in the 2002 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Metres Authorized to Operate in the Convention Area [Rec. 02-22] (except paragraph 3) shall apply mutatis mutandis.

ICCAT record of tuna traps authorized to fish for bluefin tuna

- 57. The Commission shall establish and maintain an ICCAT Record of all tuna traps authorized to fish for bluefin tuna in the Eastern Atlantic and Mediterranean Sea. For the purposes of this recommendation, tuna traps not entered into the record are deemed not to be authorized to be used to fish for, retain, transfer or land bluefin tuna.
- 58. Each CPC shall submit electronically to the ICCAT Executive Secretary, by 1 March each year, the list (including the name of the traps, register number) of its authorized tuna traps referred to in paragraph 57. Conditions and procedures referred in the 2002 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Metres Authorized to Operate in the Convention Area [Rec. 02-22] (except paragraph 3) shall apply mutatis mutandis.

Information on fishing activities

59. By 1 March each year, each CPC shall notify the ICCAT Secretariat the list of the catching vessels included in the ICCAT record referred to in paragraph 54 a) that have fished for bluefin tuna in the eastern Atlantic and Mediterranean in the preceding fishing year.

60. Each CPC shall notify the ICCAT Secretariat of any information concerning vessels not covered in paragraph 59 but known or presumed to have fished for bluefin tuna in the eastern Atlantic and Mediterranean. The ICCAT Secretariat shall forward such information to the flag State for action as appropriate, with a copy to other CPCs for information.

Transshipment

- 61. Transshipment at sea operations of bluefin tuna in the East Atlantic and Mediterranean Sea shall be prohibited.
- 62. Fishing vessels shall only transship bluefin tuna catches in designated ports of CPCs. To this end, each CPC shall designate ports in which transshipping of bluefin tuna is authorized and communicate a list of these ports to the ICCAT Secretariat by 1 March each year.

For a port to be determined as designated port, the port State shall specify permitted transshipping times and places.

The Port State shall ensure full inspection coverage during all transshipping times and at all transshipping places.

On the basis of this information the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website.

- 63. Prior to entry into any port, the receiving fishing vessel or its representative, shall provide the relevant authorities of the Port State at least 48 h before the estimated time of arrival, with the following:
 - a) estimated time of arrival,
 - b) estimated quantity of bluefin tuna retained on board, and information on the geographic area where it was taken,
 - c) the name of the transshipping fishing vessel and its number in the ICCAT record of catching vessels authorized to fish actively for bluefin tuna or in the ICCAT record of other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea,
 - d) the name of the receiving fishing vessel, its number in the ICCAT record of catching vessels authorized to fish actively for bluefin tuna or in the ICCAT record of other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea,
 - e) the tonnage and the geographic area of the catch of bluefin tuna to be transshipped.

Any transshipment requires the prior authorization from the flag State of the transshipping fishing vessel concerned.

The master of the transshipping fishing vessel shall, at the time of the transshipment, inform its Flag State of the following:

- a) the quantities of bluefin tuna involved,
- b) the date and port of the transshipment,
- c) the name, registration number and flag of the receiving fishing vessel and its number in the ICCAT record of catching vessels authorized to fish actively for bluefin tuna or in the ICCAT record of other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea,
- d) the geographical area of the catch of bluefin tuna.

The relevant authority of the port State shall inspect the receiving vessel on arrival and check the cargo and documentation related to the transshipment operation.

The relevant authority of the port State shall send a record of the transshipment to the flag State authority of the transshipping fishing vessel, within 48 hours after the transshipment has ended.

Recording requirements

- 64. The masters of catching vessels shall keep a bound or electronic logbook of their operations, indicating particularly the quantities of bluefin tuna caught and kept on board, whether the catches are weighed or estimated, the date and location of such catches and the type of gear used in accordance with the requirements set out in **Annex 2**.
- 65. The masters of the catching vessels involved in a joint fishing operation shall record in their logbook:
 - a) as regards the catching vessel transferring the fish into cages:
 - its name and international radio call sign;
 - the date and the time of the catch and of the transfer,
 - the location of the catch and of the transfer (longitude/latitude),
 - amount of catches taken on board, and amount of catches transferred into cages,
 - amount of catches counted against its individual quota,
 - the name of the tug boat and its ICCAT number.
 - b) as regards the other catching vessels not involved in the transfer of the fish:
 - their names and international radio call signs;
 - the date and the time of the catch and of the transfer,
 - the location of the catch and of the transfer (longitude/latitude),
 - that no catches have been taken on board or transferred into cages,
 - amount of catches counted against their individual quotas,
 - the name and the ICCAT number of the catching vessel referred to in (a),
 - the name of the tug boat and its ICCAT number.
- 66. Fishing vessels shall only land bluefin tuna catches in designated ports of CPCs. To this end, each CPC shall designate ports in which landing of bluefin tuna is authorized and communicate a list of these ports to the ICCAT Secretariat by 1 March each year.

For a port to be determined as designated port, the port State shall specify permitted landing times and places. The port State shall ensure full inspection coverage during all landing times and at all landing places.

On the basis of this information the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website.

- 67. Prior to entry into any port, the fishing vessels or their representative, shall provide the relevant authorities of the port, at least 4 hours before the estimated time of arrival, with the following:
 - a) estimated time of arrival,
 - b) estimate of quantity of bluefin tuna retained on board,
 - c) the information on the geographic area where the catch was taken;

Port State authorities shall keep a record of all prior notices for the current year.

Each landing or caging shall be subject to an inspection by the relevant authorities of the port.

The relevant authority shall send a record of the landing to the flag State authority of the fishing vessel, within 48 hours after the landing has ended.

After each trip and within 48 hours of landing, the masters of catching vessels shall submit a landing declaration to the competent authorities of the CPC where the landing takes place and to its flag State. The master of the authorized catching vessel shall be responsible for the accuracy of the declaration, which shall indicate, as a minimum, the quantities of bluefin tuna landed and the area where they were caught. All landed catches shall be weighed and not only estimated.

68. The masters of fishing vessels shall complete and transmit to their flag State the ICCAT transshipment declaration no later than 48 hours after the date of transshipment in port in accordance with the format set out in **Annex 3**.

Communication of catches

- 69. a) Each CPC shall ensure that its catching vessels fishing actively for bluefin tuna shall communicate by electronic or other means, to their competent authorities, a weekly catch report, with, as a minimum, information on the catch amount, including nil catch returns, the date and the location (latitude and longitude) of the catches. This report shall be transmitted by the latest Monday noon with the catches taken in the Plan Area during the preceding week ending Sunday midnight GMT. This report shall include information on the number of days in the Plan Area since the beginning of the fishing or since the last weekly report.
 - b) Each CPC shall ensure that its purse seine catching vessels and its other catching vessels over 24 m fishing actively for bluefin tuna shall communicate, except in case of nil catch returns, by electronic or other means, to their competent authorities, a daily catch report, with, as a minimum, information on the catch amount, the date and the location (latitude and longitude) of the catches. If a CPC requires such daily reports even in case of nil catch returns, the weekly reports referred to in a) shall not be required.
 - c) On the basis of the information referred to in (a) and (b), each CPC shall transmit without delay weekly catch reports for all vessels to the ICCAT Secretariat in accordance with the format set out in **Annex 5.**

Reporting of catches

- 70. Each CPC shall report its provisional monthly catches of bluefin tuna. This report shall be sent to the ICCAT Secretariat within 30 days of the end of the calendar month in which the catches were made.
- 71. The ICCAT Secretariat shall within 10 days following the monthly deadlines for receipt of the provisional catch statistics collect the information received and circulate it to CPCs together with aggregated catch statistics.
- 72. The Executive Secretary shall notify without delay all CPCs of the date on which the accumulative reported catch taken by catching vessels of the CPCs is estimated to equal 85 percent of the concerned CPC quota for this stock. The CPC shall take the necessary measures to close its bluefin tuna fisheries before its quota is exhausted and notify this closure without delay to the ICCAT Secretariat which will circulate this information to all CPCs.

Cross check

73. CPCs shall verify, including by using inspection reports and observer reports, VMS data, the submission of logbooks and relevant information recorded in the logbooks of their fishing vessels, in the transfer/transshipment document and in the catch documents.

The competent authorities shall carry out cross checks on all landings, all transshipment or caging between the quantities by species recorded in the fishing vessel logbook or quantities by species recorded in the transshipment declaration and the quantities recorded in the landing declaration or caging declaration, and any other relevant document, such as invoice and/or sales notes.

Transfer operations

74. Before any transfer operation into towed cages, the master of the catching vessel shall send to its flag State CPC authorities before the transfer, a prior transfer notification indicating:

- name of the catching vessel and ICCAT number record,
- estimated time of transfer,
- estimate of quantity of bluefin tuna to be transferred,
- information on the position (latitude/longitude) where the transfer will take place,
- name of the tug vessel, number of cages towed and ICCAT number record.
- 75. The transfer operation shall not begin without the prior authorization of the catching vessel flag State. If the flag State of the catching vessel considers on receipt of the prior transfer notification that:
 - a) the catching vessel declared to have caught the fish had not sufficient quota for bluefin tuna put into the cage,
 - b) the quantity of fish has not been duly reported and not taken into account for the consumption of the quota that may be applicable,
 - c) the catching vessel declared to have caught the fish is not authorized to fish for bluefin tuna, or
 - d) the tug vessel declared to receive the transfer of fish is not registered in the ICCAT record of all other fishing vessels referred to in paragraph 54 b) or is not equipped with a Vessel Monitoring System,

it shall inform the master of the catching vessel that the transfer is not authorized and to proceed to the release of the fish into the sea.

- 76. The masters of catching vessels shall complete and transmit to their flag State the ICCAT transfer declaration at the end of the transfer operation to the tug vessel, in accordance with the format set out in **Annex 3**.
- 77. The transfer declaration shall accompany the transfer of fish during transport to the farm or a designated port.
- 78. The authorization for transfer by the flag State does not prejudge the authorization of the caging operation.
- 79. The master of the caging vessel shall ensure that the transfer activities shall be monitored by video camera in the water.
- 80. The ICCAT Regional Observer on board the catching vessel, as referred to in the ICCAT Regional Observer Programme (**Annex 7**), shall record and report upon the transfer activities carried out, verify the position of the catching vessel when engaged in transfer operation, observe and estimate catches transferred and verify entries made in the prior transfer operation as referred to in paragraph 75 and in the ICCAT transfer declaration as referred to in paragraph 76.
- 81. The ICCAT Regional Observer shall countersign the prior transfer notification and the ICCAT transfer declaration. He shall verify that the ICCAT transfer declaration is properly filled and transmitted to the master of the tug vessel.

The tuna trap operator shall complete and transmit to its State the ICCAT transfer declaration at the end of the transfer operation to the fishing vessel, in accordance with the format set out in **Annex 3**.

Caging Operations

82. The CPC under whose jurisdiction the farm for bluefin tuna is located shall submit within one week a caging report, validated by an observer, to the CPC whose flag vessels has fished the tuna and to the ICCAT Secretariat. This report shall contain the information referred to in the caging declaration as set out in the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07].

When the farming facilities authorized to operate for farming of bluefin tuna caught in the Convention area (hereafter referred to as FFBs) are located beyond waters under jurisdiction of CPCs, the provisions of the previous paragraph shall apply, *mutatis mutandis*, to CPCs where the natural or legal persons responsible for FFBs are located.

- 83. Before any transfer operation into a farm, the flag CPC of the catching vessel shall be informed by the competent authority of the farm State of the transfer into cage of quantities caught by catching vessels flying its flag. If the flag CPC of the catching vessel considers on receipt of this information that:
 - a) the catching vessel declared to have caught the fish had not sufficient quota for bluefin tuna put into the cage,
 - b) the quantity of fish has not been duly reported and not taken into account for the calculation of any quota that may be applicable, or
 - c) the catching vessel declared to have caught the fish is not authorized to fish for bluefin tuna, it shall inform the competent authority of the farm State to proceed to the seizure of the catches and the release of the fish into the sea.

The transfer operation shall not begin without the prior authorization of the catching vessel flag CPC.

- 84. The CPC under whose jurisdiction the farm for bluefin tuna is located shall take the necessary measures to prohibit placing in cages for farming or fattening bluefin tuna that are not accompanied by accurate, complete and validated documentation required by ICCAT.
- 85. The CPC under whose jurisdiction the farm is located shall ensure that transfer activities from cages to the farm shall be monitored by video camera in the water. This requirement shall not apply where the cages are directly fixed to the mooring system.

Trap activities

86. CPCs shall take the necessary measures to ensure the record of the catches after the end of every fishing operation and the transmission of these data simultaneously by electronic means or other means within 48 hours after the end of every fishing operation to the competent authority, which shall transmit these data without delay to the ICCAT Secretariat.

VMS

87. Without prejudice to paragraph 1 d) of Recommendation [06-07], CPCs shall implement a vessels monitoring system for their fishing vessels over 24 m, in accordance with the 2003 *Recommendation* by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System in the ICCAT Convention Area [Rec. 03-14].

Without prejudice to paragraph 1d) of Recommendation [06-07], with effect from 1 January 2010 this measure shall be applied for their fishing vessels over 15 m.

No later than 31 January 2008, each CPC shall communicate without delay messages pursuant to this paragraph to the ICCAT Secretariat, in accordance with the data exchange formats and protocols adopted by the Commission in 2007.

The ICCAT Executive Secretariat shall make available as soon as possible the information received under this paragraph to CPCs with an active inspection presence in the Plan Area and to SCRS, at its request.

On request from CPCs engaged in inspection at sea operations in the Convention area in accordance with the ICCAT scheme of joint international inspection referred to in paragraphs 97 and 98 of this

Recommendation, the ICCAT Secretariat shall make available the messages received under paragraph 3 of Recommendation [07-08] to all fishing vessels.

CPC observer programme

- 88. Each CPC shall ensure observer coverage on its catching vessels actively fishing for bluefin tuna over 15 m in overall length of at least:
 - 20 percent of its active purse seine vessels between 15 m and 24 m in overall length;
 - 20 percent of its active pelagic trawlers,
 - 20 percent of its active longline vessels,
 - 20 percent of its active baitboats,
 - 100 percent during the harvesting process for tuna traps.

The observer tasks shall be, in particular, to:

- a) monitor a catching vessel compliance with the present recommendation,
- b) record and report upon the fishing activity, which shall include, inter alia, the following:
 - amount of catch (including bycatch), that also includes species disposition, such as retained on board or discarded dead or alive.
 - area of catch by latitude and longitude,
 - measure of effort (e.g., number of sets, number of hooks, etc.), as defined in the ICCAT Field Manual for different gears.
 - date of catch.
- c) observe and estimate catches and verify entries made in the logbook,
- d) sight and record vessels that may be fishing contrary to ICCAT conservation measures.

In addition, the observer shall carry out scientific work, such as collecting Task II data, when required by the Commission, based on the instructions from the SCRS.

In implementing this observer requirement, CPCs shall:

- a) ensure representative temporal and spatial coverage to ensure that the Commission receives adequate and appropriate data and information on catch, effort, and other scientific and management aspects, taking into account characteristics of the fleets and fisheries;
- b) ensure robust data collection protocols;
- c) ensure observers are properly trained and approved before deployment;
- d) ensure, to the extent practicable, minimal disruption to the operations of vessels fishing in the Convention area.

Data and information collected under each CPCs observer programme shall be provided to the SCRS and the Commission, as appropriate, in accordance with requirements and procedures to be developed by the Commission by 2009 taking into account CPC confidentiality requirements.

For the scientific aspects of the programme, the SCRS shall report on the coverage level achieved by each CPC and provide a summary of the data collected and any relevant findings associated with that data. SCRS shall also provide any recommendations to improve the effectiveness of CPC observer programmes.

ICCAT regional observer programme

- 89. An ICCAT regional observer programme shall be established to ensure an observer coverage of 100 percent:
 - of purse seine vessels over 24 m during all the annual fishing season (Annex 7);
 - of all purse seiners involved in joint fishing operations, irrespective of the length of the vessels.
 In this respect, an observer shall be present during the fishing operation;
 - during all transfer of bluefin tuna to the cages and all harvest of fish from the cage.

Such purse seine vessels without an ICCAT regional observer shall not be authorized to fish or to operate in the bluefin tuna fishery.

90. An ICCAT Regional Observer Programme shall ensure an observer presence during all transfer of bluefin tuna to the cages and all harvest of fish from the cage.

The observer tasks shall be, in particular, to:

- observe and monitor farming operation compliance with the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07],
- validate the caging report referred to in paragraph 82,
- carry out such scientific work, for example collecting samples, as required by the Commission based on the directions from the SCRS.

Enforcement

91. CPCs shall take enforcement measures with respect to a fishing vessel, where it has been established, in accordance with its law that the fishing vessel flying its flag does not comply with the provisions of paragraphs 19 to 24, 27 to 29 and 64 to 68 (closed seasons, minimum size and recording requirements).

The measures may include in particular depending on the gravity of the offence and in accordance with the pertinent provisions of national law:

- fines.
- seizure of illegal fishing gear and catches,
- sequestration of the vessel,
- suspension or withdrawal of authorization to fish,
- reduction or withdrawal of the fishing quota, if applicable.
- 92. The CPC under whose jurisdiction the farm for bluefin tuna is located shall take enforcement measures with respect to a farm, where it has been established, in accordance with its law that this farm does not comply with the provisions of paragraphs 82 to 85 and 90 (caging operations and observers) and with the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07].

The measures may include in particular depending on the gravity of the offence and in accordance with the pertinent provisions of national law:

- fines,
- suspension or withdrawal of the record of FFBs,
- prohibition to put into cages or market quantities of bluefin tuna.

Access to video records

93. Each CPC shall take the necessary measures to ensure that the video records of its fishing vessels and of its farms are made available to the ICCAT inspectors and ICCAT observers.

The CPC under whose jurisdiction the farm for bluefin tuna is located shall take the necessary measures to ensure that the video records of its fishing vessels and of its farms are made available to its inspectors and its observers.

Market measures

- 94. Consistent with their rights and obligations under international law, exporting and importing CPCs shall take the necessary measures:
 - to prohibit domestic trade, landing, imports, exports, placing in cages for farming, re-exports and transshipments of eastern Atlantic and Mediterranean bluefin tuna species that are not

- accompanied by accurate, complete, and validated documentation required by this Recommendation and Recommendation [08-12] on a bluefin tuna catch documentation programme.
- to prohibit domestic trade, imports, landings, placing in cages for farming, processing, exports, re-exports and the transshipment within their jurisdiction, of eastern and Mediterranean bluefin tuna species caught by fishing vessels whose flag State either does not have a quota, catch limit or allocation of fishing effort for that species, under the terms of ICCAT management and conservation measures, or when the flag State fishing possibilities are exhausted, or when the individual quotas of catching vessels referred to in paragraph 9 are exhausted:
- to prohibit domestic trade, imports, landings, processing, exports from farms that do not comply with the Recommendation by ICCAT on Bluefin Tuna Farming [Rec. 06-07].

Conversion factors

95. The conversion factors adopted by SCRS shall apply to calculate the equivalent round weight of the processed bluefin tuna.

Growth factors

96. Each CPC shall define growth factors to be applied to bluefin tuna farmed in its cages. It shall notify to ICCAT Secretariat and to the SCRS the factors and methodology used. The SCRS shall review this information at its annual meetings in 2009 and 2010 and shall report to the Commission. The SCRS shall further study the estimated growth factors and provide advice to the Commission for its annual meeting in 2010.

Part V ICCAT Scheme of Joint International Inspection

- 97. In the framework of the multi-annual management plan for bluefin tuna, each CPC agrees, in accordance with Article 9, paragraph 3, of the ICCAT Convention, to apply the ICCAT Scheme of Joint International Inspection adopted during its Fourth Regular Meeting, held in November 1975 in Madrid⁶, as modified in **Annex 8**.
- 98. The Scheme referred to in paragraph 97 shall apply until ICCAT adopts a monitoring, control and surveillance scheme which will include an ICCAT scheme for joint international inspection, based on the results of the Integrated Monitoring Measures Working Group, established by Resolution 00-20.

Part VI Final provisions

99. Availability of data to the SCRS

The ICCAT Secretariat shall make available to the SCRS all data received in accordance with the present Recommendation.

All data shall be treated in a confidential manner.

⁶ Note from the Secretariat: See Appendix II to Annex 7 in Report for Biennial Period, 1974-75, Part II (1975).

100. Evaluation

All the CPCs shall submit each year to the Secretariat regulations and other related documents adopted by them to implement this Recommendation. In order to have greater transparency in implementing this Recommendation, all the CPCs involved in the bluefin tuna chain shall submit each year, no later than 15 October, a detailed report on their implementation of this Recommendation.

101. Cooperation

All the CPCs involved in the bluefin tuna chain are encouraged to enter into bilateral arrangements in order to improve the compliance with the provisions of this Recommendation. These arrangements could notably cover exchanges of inspectors, joint inspections and data sharing.

102. Repeals

This Recommendation repeals paragraph 10 of Recommendation [06-07]; Recommendation [07-04] and paragraph 6 of Recommendation [07-08].

This Recommendation replaces Recommendation [06-05]. Paragraphs 50 and 51 of Recommendation [06-05] shall remain in force until the ICCAT Regional Observer Programme referred to in paragraphs 89 and 90 is implemented.

Specific conditions applying to the catching vessels referred to in Paragraph 28

1. CPCs shall limit:

- The maximum number of its baitboats and trolling boats authorized to fish actively bluefin tuna to the number of the vessels participating in directed fishery for bluefin tuna in 2006.
- The maximum number of its artisanal fleet authorized to fish actively bluefin tuna in Mediterranean to the number of the vessel participating in the fishery for bluefin tuna in 2008.
- The maximum number of its catching vessel authorized to fish actively bluefin tuna in Adriatic to the number of the vessel participating in the fishery for bluefin tuna in 2008. Each CPC shall allocate individual quotas to the concerned vessels.
- 2. By 30 January each year, CPCs shall submit to ICCAT Secretariat, the number of catching vessels established pursuant to paragraph 1 of this Annex.
- 3. CPCs shall issue specific authorizations to the catching vessel referred to in paragraph 1 and shall transmit the list of such catching vessels to ICCAT Secretariat.
- 4. Any subsequent changes shall not be accepted unless a notified catching vessel is prevented from participation due to legitimate operational reasons or force majeure. In such circumstances CPC concerned shall immediately inform the ICCAT Executive Secretariat, providing:
 - a) full details of the intended replacement of the catching vessel referred to in paragraph 3 of this Annex;
 - b) a comprehensive account of the reasons justifying the replacement and any relevant supporting evidence or references.
- 5. Each CPC shall allocate no more than 7 percent of its quota for bluefin tuna among its baitboats and trolling boats, with up to a maximum of 100 t of bluefin tuna weighing no less than 6.4kg caught by baitboat vessels of an overall length of less than 17 m by derogation to paragraph 28 of this Recommendation.
- 6. Each CPC may allocate no more than 2 percent of its quota for bluefin tuna among its coastal artisanal fishery for fresh fish in the Mediterranean.
 - Each CPC may allocate no more than 90 percent of its quota for bluefin tuna among its catching vessel in Adriatic for farming purposes.
- 7. Authorized catching vessels pursuant to paragraph 1 of this Annex shall only land bluefin tuna catches in designated ports. To this end, each CPC shall designate ports in which landing of bluefin tuna is authorized and communicate a list of these ports to the ICCAT Secretariat by 1 March each year.

For a port to be determined as designated port, the port State shall specify permitted landing times and places. The port State shall ensure full inspection coverage during all landing times and at all landing places.

On the basis of this information the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website for these fisheries.

8. Prior to entry into any designated port, authorized catching vessels in accordance with paragraph 4 of this Annex or their representative, shall provide the competent port authorities at least 4 hours before the estimated time of arrival with the following:

- a) estimated time of arrival,
- b) estimate of quantity of bluefin tuna retained on board,
- c) information on the zone where the catches were taken;

Each landing shall be subjected to an inspection in port.

Port state authorities shall keep a record of all prior notice for the current year.

- 9. CPCs shall implement a catch reporting regime that ensures that an effective monitoring of the utilization of each vessels quota.
- 10. Bluefin tuna catches may not be offered for retail sale to the final consumer, irrespective of the marketing method, unless appropriate marking or labeling indicates:
 - a) the species, fishing gear used,
 - b) the catch area and date.
- 11. Beginning 1 July 2007, CPCs whose baitboats, longliners, handliners and trolling boats are authorized to fish for bluefin tuna in the East Atlantic and Mediterranean shall institute tail tag requirements as follows:
 - a) Tail tags must be affixed on each bluefin tuna immediately upon offloading.
 - b) Each tail tag shall have a unique identification number and be included on bluefin tuna catch documents and written on the outside of any package containing tuna.
- 12. The master of the catching vessel shall ensure that any quantity of bluefin tuna landed in designated port shall be weighed before first sale or before being transported elsewhere from the port of landing.

Minimum specification for logbooks:

- 1. The logbook must be numbered by sheets.
- 2. The logbook must be filled in every day (midnight) or before port arrival
- 3. The logbook must be completed in case of at sea inspections
- 4. One copy of the sheets must remain attached to the logbook
- 5. Logbooks must be kept on board to cover a period of one-year operation.

Minimum standard information for logbooks:

- 1. Master name and address.
- 2. Dates and ports of departure, Dates and ports of arrival.
- 3. Vessel name, register number, ICCAT number and IMO number (if available). In case of joint fishing operations, vessel names, register numbers, ICCAT numbers and IMO numbers (if available) of all the vessels involved in the operation.
- 4. Fishing gear:
 - a) Type FAO code
 - b) Dimension (length, mesh size, number of hooks ...)
- 5. Operations at sea with one line (minimum) per day of trip, providing:
 - a) Activity (fishing, steaming...),
 - b) Position: Exact daily positions (in degree and minutes), recorded for each fishing operation or at noon when no fishing has been conducted during this day,
 - c) Record of catches:
- 6. Species identification:
 - a) by FAO code,
 - b) round (RWT) weight in kg per day,
 - c) number of pieces per day.
- 7. Master signature.
- 8. Observer signature (if applicable).
- 9. Means of weight measure: estimation, weighing on board and counting.
- 10. The logbook is kept in equivalent live weight of fish and mentions the conversion factors used in the evaluation.

Minimum information in case of landing, transshipment/transfer:

- 1. Dates and port of landing /transshipment/transfer
- 2. Products
 - a) presentation,
 - b) number of fish or boxes and quantity in kg.
- 3. Signature of the Master or Vessel Agent.

Document No	ICCAT TRANSFER/TRAN	ICCAT TRANSFER/TRANSSHIPMENT DECLARATION	
Tu /Carrier vessel	Fishin Vessel	Farm of destination	Trap
Name of vessel and radio call sign:	Name of the vessel and radio call sign,		
Flag:	Flag:	Name	Name
Flag State authorization No.	Flag State authorization No.	ICCAT Register number	ICCAT Register number
National Register No.	National register No.		
ICCAT Register No.	ICCAT Register No.		
IMO No.	External identification:		
	Fishing logbook sheet No.		
Day Month Hour Year	2_ 0_ _ _ F.V Master's/trap operator name:	Tug/Carrier Master's name:	LOCATION OF TRANSSHIPMENT
Departure from	from		
Return to	to Signature:	Signature:	
Tranfer/Transh. _ _]		
For transshipment, indicate the weight in kilograms or the unit used	ı kilograms or the unit used (e.g. box, basket) and the landed w	(e.g. box, basket) and the landed weight in kilograms of this unit: [kilograms.	ms.
In case of transfer of live fish indicate number of unit and live weight	ve weight		

further transfer / transshipments	Date: Place/Position:	Authorization CP No.	Transfer vessel Master signature:	Name of receiver vessel:	Flag	ICCAT Register No.	IMO N	Master's signature	Date: Place/Position:	Authorization C.F. No.	i ransier vessei iviasier s signature:	N	Infalle of receiver vessel.	ICCAT Register No.	IMO No.	Master's signature	
Type of	Product																
Type of	Product Filleted																
Type of	Product Head off																
Type of	Product Gutted																
Type of	Product Whole																
Type of	Product Live																
Number	or unit of fishes																
Species																	
	Long.																
Sea	Lat.																
Port																	

ICCAT Observer signature (if applicable).

Obligations in case of transfer/transshipment:

1. The original of the transfer/transshipment declaration must be kept by the correspondent catching vessel or trap.

2. The copy of the transfer/transshipment declaration must be kept by the correspondent catching vessel or trap.

3. Further transfers or transshipping operations shall be authorized by the recipient vessel which holds the fish, up to the farm or the landing place.

4. The original of the transfer/transshipment declaration has to be kept by the recipient vessel which holds the fish, up to the farm or the landing place.

5. The transfer or transshipping operation shall be recorded in the logbook of any vessel involved in the operation.

Allocation scheme for

Recovery plan for a four-year period (Unit tonnes)

	-	-	-	Ī
	2007	2008	2009	2010
Albania			50.00	20.00
Algeria	1,511.27	1,460.04	1,117.42	1,012.13
China	65.78	63.55	61.32	56.86
Croatia	862.31	833.08	641.45	581.51
Egypt			50.00	50.00
European Community	16,779.55	16,210.75	12,406.62	11,237.59
Iceland	53.34	51.53	49.72	46.11
Japan	2,515.82	2,430.54	1,871.44	1,696.57
Democratic People's Republic of Korea	177.80	171.77	132.26	119.90
Libyan Arab Jamahiriya	1,280.14	1,236.74	946.52	857.33
Morocco	2,824.30	2,728.56	2,088.26	1,891.49
Norway	53.34	51.53	49.72	46.11
Syrian Arab Republic	53.34	51.53	50.00	50.00
Tunisia	2,333.58	2,254.48	1,735.87	1,573.67
Turkey	918.32	887.19	683.11	619.28
Chinese Taipei	71.12	68.71	66.30	61.48

Fishing possibilities for EC-Malta and EC-Cyprus as follows: 2007: 355.59 tonnes and 154.68 tonnes , respectively, 2008: 343.54 tonnes and

149.44 tonnes, respectively.

Catch Report Form

ICCAT weekly catch report		Attributed weight in case JFO (kg)								
		Average weight (kg)								
	Caught									
		Weight (kg)								
		Catch date								
	Report duration (d)									
		Report end date								
		Report start date								
		Vessel name								
		ICCAT								
		Flag								

Joint fishin operation	Fattening and farming farm destination	ICCAT No.							
	Fattening a	CPC							
	Allocation key per vessel								
	Vessels individual quota								
	Identity of the	operators							
	Duration of the operation								
	ICCAT	ICCAT No.							
	$losso\Lambda$	Vessel							
		Flag State							

Validation of the flag State

ICCAT Regional Observer Programme

- 1. Each CPC shall require its farms, its purse seine vessels over 24 m and its purse seine vessels involved in joint fishing operations to carry an ICCAT observer during all the fishing and harvesting period in the Convention area.
- 2. By 1 February each year, CPCs shall notify to the ICCAT Executive Secretariat a list of its observers.
- 3. The Secretariat of the Commission shall appoint the observers before 1 March each year, and shall place them into farms and on board the purse seine vessels flying the flag of Contracting Parties and of non-Contracting Cooperating Parties, Entities or Fishing Entities that implement the ICCAT observer programme. An ICCAT observer card shall be issued for each observer.
- 4. The Secretariat shall issue a contract listing the rights and duties of the observer and the master of the vessel or farm operator. This contract shall be signed by both parties involved.
- 5. The Secretariat shall establish an ICCAT observer programme manual.

Designation of the observers

- 6. The designated observers shall have the following qualifications to accomplish their tasks:
 - sufficient experience to identify species and fishing gear;
 - satisfactory knowledge of the ICCAT conservation and management measures assessed by a certificate provided by the CPCs and based on ICCAT training guidelines;
 - the ability to observe and record accurately;
 - a satisfactory knowledge of the language of the flag of the vessel or farm observed.

Obligations of the observer

- 7. Observers shall:
 - a) have completed the technical training required by the guidelines established by ICCAT;
 - b) be nationals of one of the CPCs and, to the extent possible, not of the farm State or flag State of the purse seine vessel;
 - c) be capable of performing the duties set forth in point 8 below;
 - d) be included in the list of observers maintained by the Secretariat of the Commission;
 - e) not have current financial or beneficial interests in the bluefin tuna fishery.
- 8. The observer tasks shall be in particular:
 - a) As regards observers on purse-seine vessels, to monitor the purse seine vessels' compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
 - i) record and report upon the fishing activities carried out;
 - ii) observe and estimate catches and verify entries made in the logbook;
 - iii) issue a daily report of the purse seiner vessels' transfer activities;
 - iv) sight and record vessels which may be fishing in contravention to ICCAT conservation and management measures;
 - v) record and report upon the transfer activities carried out;
 - vi) verify the position of the vessel when engaged in transfer;
 - vii) observe and estimate products transferred, including through the review of video recordings;
 - viii) verify and record the name of the fishing vessel concerned and its ICCAT number;
 - ix) carry out scientific work such as collecting task II data when required by the Commission, based on the directives from the SCRS.
 - b) As regards observers in the farms, to monitor the farms' compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:

- i) verify the data contained in the transfer declaration and caging declaration, including through the review of video records;
- ii) certify the data contained in the transfer declaration and caging declaration;
- iii) issue a daily report of the farms' transfer activities;
- iv) countersign the transfer declaration and caging declaration;
- v) carry out such scientific work, for example collecting samples, as required by the Commission, based on the directives from the SCRS.
- c) Establish general reports compiling the information collected in accordance with this paragraph and provide the master and farm operator the opportunity to include therein any relevant information.
- d) Submit to the Secretariat the aforementioned general report within 20 days from the end of the period of observation.
- e) Exercise any other functions as defined by the Commission.
- 9. Observers shall treat as confidential all information with respect to the fishing and transfer operations of the purse seiners and of the farms and accept this requirement in writing as a condition of appointment as an observer;
- 10. Observers shall comply with requirements established in the laws and regulations of the flag or farm State which exercises jurisdiction over the vessel or farm to which the observer is assigned.
- 11. Observers shall respect the hierarchy and general rules of behavior which apply to all vessel and farm personnel, provided such rules do not interfere with the duties of the observer under this programme, and with the obligations of vessel and farm personnel set forth in paragraph 12 of this programme.

Obligations of the flag States of purse seine vessels and farm States

- 12. The responsibilities regarding observers of the flag States of the purse seine vessels and their masters shall include the following, notably:
 - a) Observers shall be allowed to access to the vessel and farm personnel and to the gear, cages and equipment;
 - b) Upon request, observers shall also be allowed access to the following equipment, if present on the vessels to which they are assigned, in order to facilitate the carrying out of their duties set forth in paragraph 8:
 - i) satellite navigation equipment;
 - ii) radar display viewing screens when in use;
 - iii) electronic means of communication;
 - c) Observers shall be provided accommodations, including lodging, food and adequate sanitary facilities, equal to those of officers;
 - d) Observers shall be provided with adequate space on the bridge or pilot house for clerical work, as well as space on deck adequate for carrying out observer duties; and
 - e) The flag States shall ensure that masters, crew, farm and vessel owners do not obstruct, intimidate, interfere with, influence, bribe or attempt to bribe an observer in the performance of his/her duties.

The Secretariat, in a manner consistent with any applicable confidentiality requirements, is requested to provide to the farm State or flag State of the purse seine vessel, copies of all raw data, summaries, and reports pertaining to the trip. The Secretariat shall submit the observer reports to the Compliance Committee and to the SCRS.

Observer fees

- a) The costs of implementing this programme shall be financed by the farm operators and purse seiner's owners. The fee shall be calculated on the basis of the total costs of the programme.
 This fee shall be paid into a special account of the ICCAT Secretariat and the ICCAT Secretariat shall manage the account for implementing the programme;
- b) No observer shall be assigned to a vessel or farm for which the fees, as required under subparagraph a), have not been paid.

ICCAT Scheme of Joint International Inspection

Pursuant to paragraph 3 of Article IX of the Convention, the ICCAT Commission recommends the establishment of the following arrangements for international control outside the waters under national jurisdiction for the purpose of ensuring the application of the Convention and the measures in force thereunder:

I. Serious violations

- 1. For the purposes of these procedures, a serious violation means the following violations of the provisions of the ICCAT conservation and management measures adopted by the Commission:
 - a. fishing without a licence, permit or authorization issued by the flag CPC,
 - b. failure to maintain sufficient records of catch and catch-related data in accordance with the Commission's reporting requirements or significant misreporting of such catch and/or catch-related data:
 - c. fishing in a closed area;
 - d. fishing during a closed season;
 - e. intentional taking or retention of species in contravention of any applicable conservation and management measure adopted by the ICCAT;
 - f. significant violation of catch limits or quotas in force pursuant to the ICCAT rules;
 - g. using prohibited fishing gear;
 - h. falsifying or intentionally concealing the markings, identity or registration of a fishing vessel;
 - i. concealing, tampering with or disposing of evidence relating to investigation of a violation;
 - j. multiple violations which taken together constitute a serious disregard of measures in force pursuant to the ICCAT;
 - k. assault, resist, intimidate, sexually harass, interfere with, or unduly obstruct or delay an authorized inspector or observer;
 - 1 intentionally tampering with or disabling the vessel monitoring system;
 - m. such other violations as may be determined by the ICCAT, once these are included and circulated in a revised version of these procedures;
 - n. fishing with assistance of spotter planes;
 - o. interference with the satellite monitoring system and/or operates without VMS system;
 - p. transfer activity without transfer declaration.
- 2. In the case of any boarding and inspection of a fishing vessel during which the authorized inspectors observe an activity or condition that would constitute a serious violation, as defined in paragraph 1, the authorities of the inspection vessels shall immediately notify the authorities of the fishing vessel, directly as well as through the ICCAT Secretariat.
- 3. The flag State CPC shall ensure that, following the inspection referred to in paragraph 2 of this Annex, the fishing vessel concerned ceases all fishing activities. The flag State CPC shall require the fishing vessel to proceed immediately to a port designated by it, and where an investigation shall be initiated.

If the vessel is not called to port; the CPC must provide due justification in a timely manner to the Executive Secretary, who shall made it available on request to other Contracting parties

II. Conduct of inspections

4. Inspection shall be carried out by inspectors of the fishery control services of Contracting Governments. The names of the inspectors appointed for that purpose by their respective governments shall be notified to the ICCAT Commission.

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- 5. Ships carrying inspectors shall fly a special flag or pennant approved by the ICCAT Commission to indicate that the inspector is carrying out international inspection duties. The names of the ships so used for the time being, which may be either special inspection vessels or fishing vessels, shall be notified to the ICCAT Commission, as soon as may be practical.
- 6. Each inspector shall carry an identity document supplied by the authorities of the flag State in the form shown in paragraph 17 of this Annex and giving him an appointment stating that he has authority to act under arrangements approved by the ICCAT Commission. This identity document shall be valid for a minimum of five years.
- 7. Subject to the arrangements agreed under paragraph 12 of this Annex, a vessel employed for the time being in fishing for tuna or tuna-like fishes in the Convention area outside the waters within its national jurisdiction shall stop when given the appropriate signal in the International Code of Signals by a ship carrying an inspector unless it is actually carrying out fishing operations, in which case it shall stop immediately once it has finished such operations. The master⁷ of the vessel shall permit the inspector, who may be accompanied by a witness, to board it. The master shall enable the inspector to make such examination of catch or gear and any relevant documents as the inspector deems necessary to verify the observance of the ICCAT Commission's recommendations in force in relation to the flag State of the vessel concerned and the inspector may ask for any explanations that he deems necessary.
- 8. On boarding the vessel an inspector shall produce the document described in paragraph 6 of this Annex. Inspections shall be made so that the vessel suffers the minimum interference and inconvenience and the quality of the fish does not deteriorate. An inspector shall limit his enquiries to the ascertainment of the observance of the ICCAT Commission's recommendations in force in relation to the flag State of the vessel concerned. In making his examination an inspector may ask the master for any assistance he may require. He shall draw up a report of his inspection in a form approved by the ICCAT Commission. He shall sign the report in the presence of the master of the vessel who shall be entitled to add or have added to the report any observations which he may think suitable and must sign such observations. Copies of the report shall be given to the master of the vessel and to the inspector's government, which shall transmit copies to the appropriate authorities of the flag State of the vessel and to the ICCAT Commission. Where any infringement of the recommendations is discovered the inspector should, where possible, also inform the competent authorities of the flag State, as notified to the ICCAT Commission, and any inspection ship of the flag State known to be in the vicinity.
- 9. Resistance to an inspector or failure to comply with his directions shall be treated by the flag State of the vessel in a manner similar to resistance to any inspector of that State or a failure to comply with his directions.
- 10. Inspector shall carry out their duties under these arrangements in accordance with the rules set out in this recommendation but they shall remain under the operational control of their national authorities and shall be responsible to them.
- 11. Contracting Governments shall consider and act on reports of foreign inspectors under these arrangements on a similar basis in accordance with their national legislation to the reports of national inspectors. The provisions of this paragraph shall not impose any obligation on a Contracting Government to give the report of a foreign inspector a higher evidential value than it would possess in the inspector's own country. Contracting Governments shall collaborate in order to facilitate judicial or other proceedings arising from a report of an inspector under these arrangements.

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⁷ Master refers to the individual in charge of the vessel.

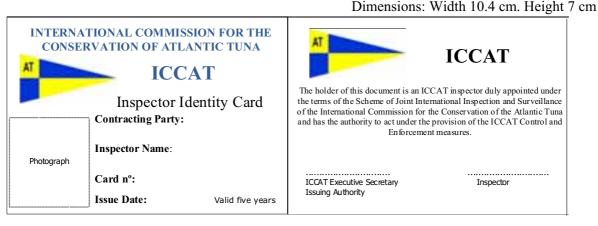
- 12. a) Contracting Governments shall inform the ICCAT Commission by 1 March each year of their provisional plans for participation in these arrangements in the following year and the Commission may make suggestions to Contracting Governments for the coordination of national operations in this field including the number of inspectors and ships carrying inspectors;
 - b) the arrangements set out in this recommendation and the plans for participation shall apply between Contracting Governments unless otherwise agreed between them, and such agreement shall be notified to the ICCAT Commission.

Provided however, that implementation of the scheme shall be suspended between any two Contracting Governments if either of them has notified the ICCAT Commission to that effect, pending completion of an agreement;

- 13. a) the fishing gear shall be inspected in accordance with the regulations in force for the sub-area in which the inspection takes place. The inspector will state the nature of this violation in this report;b) inspectors shall have the authority to inspect all fishing gear in use or that fishing gear on deck ready for use.
- 14. The inspector shall affix an identification mark approved by the ICCAT Commission to any fishing gear inspected which appears to be in contravention of the ICCAT Commission's recommendations in force in relation to the flag State of the vessel concerned and shall record this fact in his report.
- 15. The inspector may photograph the gear in such a way as to reveal those features which in his opinion are not in conformity with the regulation in force, in which case the subjects photographed should be listed in the report and copies of the photographs should be attached to the copy of the report to the flag State.
- 16. The inspector shall have authority, subject to any limitations imposed by the ICCAT Commission, to examine the characteristics of catches, to establish whether the ICCAT Commission's recommendations are being complied with.

He shall report his findings to the authorities of the flag State of the inspected vessel as soon as possible. (*Report for Biennial Period, 1974-75, Part II*).

17. New proposed model Identity Card for inspectors.



GFCM/33/2009/9 (C) RECOMMENDATION 08-12 BY ICCAT AMENDING RECOMMENDATION 07-10 ON AN ICCAT BLUEFIN TUNA CATCH DOCUMENTATION PROGRAMME

RECOGNIZING the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

TAKING INTO ACCOUNT the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

RECOGNIZING the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the completion and the validation of the bluefin tuna catch document,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

PART I GENERAL PROVISIONS

- 1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
- 2. For the purpose of this Programme:
 - a) "Domestic trade" means:
 - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
 - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
 - trade between the Member States of the European Community of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.
 - b) "Export" means:
 - Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Contracting Party.
 - c) "Import" means:
 - Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.
 - d) "Re-export" means:
 - Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.
 - e) "flag State" means the State where the fishing vessel is flagged; "trap State" means the State where the trap is established; and "farm State" means the State where the farm is established.

- 3. CPCs shall require a completed Bluefin Tuna Catch Document (BCD) for each bluefin tuna:
 - a) landed at its ports,
 - b) delivered to its farms, and
 - c) harvested from its farms.

Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 9(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Re-export Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.

- 4. In order to support an effective BCD, CPCs shall:
 - a) not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record,
 - c) not place bluefin tuna from different years or CPCs in the same cages unless effective measures are in place to determine the CPC of origin and catch year when the bluefin tuna are ultimately harvested from the farm.
- 5. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as bycatch. Such forms are not transferable. Each BCD form shall have a unique document identification number. Document numbers shall be specific to the flag or trap State and assigned to the catching vessel or trap.
- 6. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

PART II VALIDATION OF BCDs

- 7. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap State, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 9 for a BCD for catch landed, transferred to cages, harvested, transshipped, domestically traded or exported on each occasion that it lands, transfers, harvests, transships, domestically trades or exports bluefin tuna.
- 8. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from eatch to market, the needed information section of the BCD may be expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
- 9. a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag State of the catching vessel, the State of the seller/exporter, or the trap or farm State that caught, harvested, domestically traded or exported the bluefin tuna. If the catching vessel is operating under a charter arrangement, the BCD must be validated by an authorized governmental official or institution of the chartering entity's CPC.
 - b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.
 - c) Validation under 9(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag State of the catching vessel or the trap State that fished the bluefin tuna.

d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

PART III VALIDATION OF BFTRCs

- 10. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
- 11. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be reexported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
- 12. The BFTRC shall be validated by an authorized government official or authority.
- 13. The CPC shall validate the BFTRC for all bluefin tuna product only when:
 - a) all the information contained in the BFTRC has been established to be accurate,
 - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
 - c) the products to be re-exported are wholly or partly the same products on the validated BCD(s).
 - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
- 14. The validated BFTRC shall include the information identified in **Annex 3** and **Annex 4** attached.

PART IV VERIFICATION AND COMMUNICATION

- 15. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 9(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
 - a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
 - b) the ICCAT Secretariat.
- 16. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 15 above the information marked with an asterisk (*) in **Annex 1** or **Annex 3** and enter this information in a database on a password protected section of its website, as soon as practicable.
 - At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

PART V TAGGING

17. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging programme shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

PART VI VERIFICATION

- 18. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.
- 19. If, as a result of examinations or verifications carried out pursuant to paragraph 18 above, a doubt arises regarding the information contained in a BCD, the final importing State and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
- 20. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting State and, where known, the flag State.
- 21. Pending the examinations or verifications under paragraph 18 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.
- 22. Where a CPC, as a result of examination or verifications under paragraph 18 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
- 23. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Programme and to provide to the Commission data obtained from such implementation.

PART VII NOTIFICATION AND COMMUNICATION

- 24. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 9(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation programme shall be communicated with the initial notification, including procedures to authorize non-governmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.
- 25. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
- 26. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.

- 27. Copies of validated BCDs and notification pursuant to paragraphs 24, 25 and 26 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
- 28. The Commission shall consider the introduction of an electronic system as informed by results reported to the Commission from the electronic statistical document pilot programmes conducted by CPCs in accordance with *Recommendation by ICCAT on an Electronic Statistical Document Pilot Programme* [Rec. 06-16]. Those CPCs which implement an electronic system in advance of the Commission shall ensure the electronic system meets the requirements of this measure and has the ability to produce paper copies upon request of national authorities from the exporting and importing Parties.
- 29. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
- 30. CPCs shall keep copies of documents issued or received for at least two years.
- 31. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 5**.
 - The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.
 - At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.
- 32. The *Recommendation by ICCAT on an ICCAT Bluefin Tuna Catch Document Programme* [Rec. 07-10] is repealed and replaced by this Recommendation.

Data to be Included in Bluefin Tuna Catch Document (BCD)

1. ICCAT Bluefin tuna catch document number*

2. Catch information

Vessel or trap name*

Flag State*

ICCAT Record No.

Date, area of catch and gear used*

Number of fish, total weight, and average weight*8

Tag No. (if applicable)

Government validation

Name of authority and signatory, title, address, signature, seal and date

3. Trade information for live fish trade

Product description

Exporter/Seller information

Transportation description

Government validation

Name of authority and signatory, title, address, signature, seal and date

Importer/buyer

4. Transfer information

Towing vessel description

Vessel name, flag

ICCAT Record No. and towing cage number (if applicable)

5. Transshipment information

Carrier vessel description

Name

Flag State

ICCAT Record No.

Date

Port (name and country or position)

Product description

(F/FR; RD/GG/DR/FL/OT)

Total weight (NET)

Government validation

Name of authority and signatory, title, address, signature, seal and date

6. Farming information

Farming facility description

Name, flag of farm*, ICCAT FFB No.* and location of farm

Participation in national sampling programme (yes or no)

Cage description

Date of caging, cage number

⁸Weight shall be reported by round weight where available. If round weight is not used, specify the type of product (e.g. GG) in the "Total Weight" and "Average Weight" section of the form.

Fish description

Estimates of number of fish, total weight, and average weight*

Estimated size composition (<8 kg, 8-30 kg, >30 kg)

Government validation

Name of authority and signatory, title, address, signature, seal and date

7. Harvest from farms information

Harvest description

Date of harvest*

Number of fish, total (round) weight, and average weight*

Tag numbers (if applicable)

Government validation

Name of authority and signatory, title, address, signature, seal and date

8. Trade information

Product description

(F/FR; RD/GG/DR/FL/OT)9

Total weight (NET)

Exporter/Seller information

Point of export or departure*

Export company name, address, signature and date

State of destination*

Description of transportation (relevant documentation to be attached)

Government validation

Name of authority and signatory, title, address, signature, seal and date

Importer/buyer information

Point of import or destination*

Import company name, address, signature and date¹⁰

⁹ When different types of products are recorded in this section, the weight shall be recorded by each product type.

¹⁰ DATE to be filled by IMPORTER/BUYER in this section is the date of signature.

Bluefin Tuna Catch Document Form

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Data to be Included in the Bluefin Tuna Re-export Certificate (BFTRC)

1. Document number of the BFTRC*

2. Re-export section

Re-exporting CPC/Entity/Fishing Entity Point of re-export*

3. Description of imported bluefin tuna

Product type F/FR RD/GG/DR/FL/OT¹¹

Net weight (kg)

BCD number(s) and date(s) of importation*

Flag(s) of fishing vessel(s) or state of establishment of the trap, where appropriate

4. Description of bluefin tuna to be re-exported

Product type F/FR RD/GG/DR/FL/OT*1

Net weight (kg)*

Corresponding BCD number(s) from section 3

State of destination

5. Statement of re-exporter

Name

Address

Signature

Date

6. Validation by governmental authorities

Name and address of the authority

Name and position of the official

Signature

Date

Government seal

7. Import section

Statement by the importer in the CPC of import of the bluefin tuna consignment

Name and address of the importer

Name and signature of the importer's representative and date

Point of import: City and CPC*

Note: Copies of the BCD(s) and Transport document(s) shall be attached.

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¹¹When different types of products are recorded in this section, the weight shall be recorded by each product type.

1. DOCUMENT NUMBER		ICCAT BLU	EFIN TUNA RE-	EXPORT CE	ERTIFICAT	ΓE		
2. RE-EXPORT SECTION: RE-EXPORTING COUNT	ΓRY/I	ENTITY/FISHING E	NTITY					
POINT OF RE-EXPORT								
3. DESCRIPTION OF IMPO	RTE	D BLUEFIN TUNA						
Product Type F/FR RD/GG/DR/FL/OT		Net Weight (kg)	Flag CPC	Date o	f import	BCD No.		
4. DESCRIPTION OF BLUE	EFIN '	TUNA FOR RE-EXP	ORT					
Product Type		Net Weight						
F/FR RD/GG/DR/FL/OT		(kg)	Corres	sponding BCI) number			
F=Fresh, FR=Frozen, RD=Rou OT=Others (Describe the type STATE OF DESTINATION:	of pro)					
5. RE-EXPORTER STATEMENT: I certify that the above information is complete, true and correct to the best of my knowledge and belief.								
Name		Address	Signature	Date				
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7. IMPORT SECTION IMPORTER STATEMEN	т.							
I certify that the above information		s complete, true and co	orrect to the best of	my knowledg	ge and belief			
Importer Certification								
Name		Address		Signature	Da	ite		
Final Point of Import: City		State/Provin	ce CPC					

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

Annex 5

Report on the Implementation of the ICCAT Bluefin Tuna Catch Documentation Programme

Reporting CPC:

Period of reference: 1 July [2XXX] to 30 June [2XXX]

- 1. Information extracted from BCDs
 - number of BCDs validated:
 - number of validated BCDs received:
 - total amount of bluefin tuna products traded domestically, with breakdown by fishing areas and fishing gears,
 - total amount of bluefin tuna products imported, exported, transferred to farms, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears,
 - number of verifications of BCDs requested to other CPCs and summary results:
 - number of requests for verifications of BCDs received from other CPCs and summary results:
 - total amount of bluefin tuna consignments subject to a prohibition decision with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination.
- 2. Information on cases under Part VI paragraph 18.
 - number of cases
 - total amount of bluefin tuna with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), CPCS or other countries referred to in Part VI paragraph 18 above.

Report of the third session of the Compliance Committee Tunis, 23 and 25 March 2009

OPENING AND ARRANGEMENTS FOR THE SESSION

- 1. The third session of the Compliance Committee of the General Fisheries Commission for the Mediterranean (GFCM) was held in Tunis, Tunisia, on 23 and 25 March 2009.
- 2. The session was attended by all Delegates present at the plenary session of the Commission.
- 3. The meeting was called to order by the Acting Executive Secretary, Mr Abdellah Srour. The documents which were before the Committee are listed in Appendix B.

ELECTION OF THE BUREAU

- 4. Mr Srour informed the Committee that according to Rule 7 of the GFCM Rules of Procedure it would be necessary to elect a new Chairperson and two Vice-Chairpersons. The Committee acclaimed Mr Boudjelida Khatir, (Algeria), to serve as Chairperson, Mr Alexander Joksimovic (Montenegro) as First Vice-Chairperson and Mr Haydar Fersoy (Turkey) as Second Vice-Chairperson.
- 5. Taking the Chair, Mr Boudjelida Khatir stated that Algeria was honoured to have been given the responsibility, and congratulated the First and Second Vice-Chairpersons. He expressed confidence that this Bureau will do its utmost to ensure the implementation of the Recommendations of the GFCM. The Agenda shown in Appendix A was adopted.

STATUS OF IMPLEMENTATION OF GFCM DECISIONS BY MEMBERS

- 6. Mr Matthew Camilleri, GFCM Bio-Statistician, introduced this item on the basis of document COC:III/2009/2, "Status of Implementation of GFCM Decisions by the Members", and summarized national information reported in a standardized format by Members to the Secretariat. It was noted that very few reports had been received. Members were reminded of the value of such reports as a basis for discussion and encouraged to provide them in future.
- 7. Mr Camilleri referred to Resolution GFCM/31/2007/1 and presented document GFCM/33/2009/Dma4, the GFCM Task 1 Statistical Bulletin. This document provides a synopsis of qualitative and quantitative information by Fleet Segment and Operational Unit for each Geographical sub-area (GSA) on the basis of data received by Members. He highlighted the significant progress made in Task 1 data submission since the last Session and briefly demonstrated the use of this data in the scientific and management processes. He added that work is underway on the development of new TASK 1 software which will be made available to countries to store and process data related to TASK 1 as well as to automatically generate a data communication file according to the GFCM standards and formats. An XML schema, reference tables and business rules will also be made available for those countries wishing to include electronic routines in their own national database system in order to generate the TASK 1 data communication file compatible with the GFCM database system.
- 8. Mr Federico de Rossi, GFCM Consultant in data management, gave further details on the development of the Task 1 software and the steps for entering data into the system. It was currently in a testing phase and would be made available to Members in the coming months; national officials would also be trained in its use. The Committee was reminded that this is a tool to help countries implement their obligations to report data in a standardized manner as detailed in the Resolution.

MANAGEMENT OF THE LIST OF VESSELS AUTHORIZED TO OPERATE IN THE GFCM AREA

- 9. Mr Camilleri introduced this item on the basis of document COC:III/2009/3, Management of the List of Vessels authorized to operate in the GFCM area and issues related to data confidentiality, and reported that Appendix 1 of showed status of data submission by the Contracting Parties.
- 10. He drew the attention of the Committee to the recently-developed Web-based application to retrieve information related to the Authorized Vessels List (AVL). He explained that the AVL database is available to the public except for accessing confidential data. Special authorization, through the use of a username and password, has been granted to the heads of delegation and control authorities (or equivalent) of each Contracting Party to access confidential data and classified reports.
- 11. Although the AVL was expanding, a major concern was the failure by some Members to update the data, including on licence renewal. This has resulted in about half of the vessels presently in the Authorized Vessel List being placed on a dormant list in accordance with the decision taken during the Committee's second Session.
- 12. Mr Camilleri also drew the attention of the Committee to Article 1 of Recommendation GFCM/2005/2 which states that "vessels larger than 15 metres in length overall not entered into the record are deemed not to be authorized to fish for, retain on board, transship or land species covered by the Commission".
- 13. In discussion, some Members reported that their licensing process included a three month "grace period" between the time the licence expired and a notice of renewal was given, and vessels should not automatically be de-listed. It was agreed that a flexible approach could be taken to address this, for example through Members informing the Secretariat of such arrangements.

CONCLUSIONS AND RECOMMENDATIONS OF THE AD HOC WORKING GROUP ON VMS AS AN MCS TOOL

- 14. Ms Judith Swan, FAO Consultant, introduced the conclusions and recommendations of the ad hoc Working Group on vessel monitoring systems (VMS) as a tool of monitoring, control and surveillance (MCS), on the basis of documents COC:III/2009/5, "Draft Recommendation (revised) concerning minimum standards for the establishment of a VMS system in the GFCM area" and COC:III/2009/Inf.5, "Report of the ad hoc Working Group on VMS as a MCS tool (Rome, Italy, 23 September 2008)".
- 15. She noted that the recommended revisions to the draft Recommendation were extensive, and that they rested on considerations including existing GFCM compliance tools, MCS in the GFCM area (including operation of VMS by Members) and measures of other RFMOs relating to VMS that could be adapted to conditions in the Mediterranean.
- 16. She reported that the Working Group had reached a number of conclusions, including identification of constraints and progress to date in the use of VMS in the region. It suggested future activities such as arranging an exchange of views on VMS and technologies during the implementation phase and consideration by COC III of the possibility of a regional or subregional pilot VMS project to assist with setting up the institutional framework and national capacity.
- 17. The revisions to the revised draft Recommendation were explained, noting that new areas had been added including provisions on the objective, role of the parties and cooperating non-contracting parties, role of the Secretariat and confidentiality of data security. The substantial amendments to the other areas were described, including application, satellite tracking devices requirements and duties of the masters and owners/licencees of fishing vessels subject to VMS.

18. In discussion, the Committee noted potential constraints in relation to timing and financial commitments, but were mindful that the revised draft Recommendation would be considered in full at the thirty-third Session of GFCM.

FOLLOW UP TO THE COMPENDIUM OF GFCM DECISIONS

- 19. Mr Srour introduced this item on the basis of COC:III/2009/4, "Status of the Compendium of GFCM Decisions". He noted that all Recommendations adopted since 1976 had been included, that there had been some reclassification between Recommendations and Resolutions, and obsolete decisions that had been superseded had been excluded. Furthermore, an assessment had been carried out to ensure there were no contradictions.
- 20. Mr Srour invited the Committee to review and comment on the structure and substance of the draft Compendium and to agree on the nature of the document, bearing in mind its legal scope.
- 21. In discussion, many Members welcomed the draft Compendium and expressed gratitude to the Secretariat for its major efforts in its production. The Committee supported use of the Compendium as the basic reference document for monitoring compliance with GFCM decisions.
- 22. In view of the widespread support for the Compendium to be posted on the GFCM website, the Secretariat explained that although it is currently available online, arrangements would be made to put it on an active database which would facilitate searches on specific issues. Several Members called for the Compendium to be translated into French and Arabic. The Secretariat undertook to provide a French version and some Members undertook to investigate support for translation into Arabic, noting the benefits of making it available to fishers.

ANY OTHER MATTERS

- 23. It was suggested that the tables summarizing the national reports prepared by the Secretariat, while already comprehensive, would benefit from including a reference to legislation, which was not always provided by Members.
- 24. The Committee commended the progress made by the Secretariat in the development of the AVL and Task 1 databases and related electronic applications, as well as in the management of data and information submitted.

DATE AND VENUE OF THE FOURTH SESSION

25. It was agreed that the date and venue of the Fourth Session of the Compliance Committee would depend on the date and venue of the thirty fourth session of the GFCM.

ADOPTION OF THE REPORT

26. This report was adopted on 26 March 2009.

Agenda

- 1. Opening and arrangements for the session
- 2. Election of the bureau
- 3. Status of implementation of GFCM decisions by Members:
 - Recommendation GFCM/2005/2 concerning the establishment of GFCM record of vessels over 15 metres authorized to operate in the GFCM area;
 - Recommendation GFCM/2006/2 concerning the establishment of a closed season for the Dolphin fish fisheries using fish aggregation devices (FADs);
 - Recommendation GFCM/2006/3 on the establishment of fisheries restricted areas in order to protect the deep sea sensitive habitats;
 - Recommendation GFCM/2006/4 on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated fishing activities in the GFCM area;
 - Recommendation GFCM/2007/1 on the mesh size of trawlnets exploiting demersal resources;
 - Resolution GFCM/2007/1 on the implementation of the GFCM Task 1 statistical matrix;
 - Recommendation GFCM/2008/1 on a regional scheme on port state measures to combat illegal, unreported and unregulated fishing in the GFCM area.
- 4. Management of the list of vessels authorized to operate in the GFCM area
 - Status of the GFCM database
- 5. Conclusions and recommendations of the ad hoc Working Group on VMS as a MCS tool
- 6. Follow up of the Compendium of GFCM decisions
- 7. Any others matters
- 8. Date and venue of the fourth session
- 9. Adoption of the report

APP. Q/Annex B

List of documents

COC:III/2009/1	Provisional Agenda
COC:III/2009/2	Status of implementation of GFCM decisions by Members
COC:III/2009/3	Management of the List of vessels authorized to operate in the GFCM area and issues related to data confidentiality
COC:III/2009/4	Status of the Compendium of GFCM decisions
COC:III/2009/5	Draft recommendation on VMS in GFCM area
COC:III/2009/6	Draft Recommendation amending the Recommendation GFCM/2006/4 on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated fishing in the GFCM area
COC:III/2009/Inf.1	List of Documents
COC:III/2009/Inf.2	Terms of reference of the Compliance Committee
COC:III/2009/Inf.3	Draft Compendium of GFCM decisions
COC:III/2009/Inf.4	Report of the second session of the Compliance Committee
COC:III/2009/Inf.5	Report of the ad hoc Working Group on VMS as a MCS tool

(Rome, Italy, 23 September 2008)

Summary ta le on implementation of GFCM decisions y the Mem ers

Reference of GFCM measure	Country	Implementing policy, legal or institutional framework	Reference of national law (if applicable)	Progress on operational implementation	Remarks (including constraints)
Recommendation	Algeria			-	-
GFCM/2005/1 on the management of certain fisheries exploiting demersal and deepwater species.	Croatia	- MAFRD	- National regulations on Commercial Fishing	minimum mesh size 40-mm in outer fishing waters minimum mesh size 48 mm in internal fishing waters derogations until 31/05/10 for certain fisheries	
	European Community	- Community measures under the CFP	- Council Regulation	 Trawling banned below 1 000 m full implementation of 40-mm square mesh by 31/05/10 other gear provisions being evaluated 	- limited derogation to the 40mm mesh size possible until 31/05/10
	Morocco			-	-
	Spain	- European Community Common Fisheries Policy - National law	- Various EC and national regulations	- Full implementation	
	Tunisia	-	-	- No fishing below 1 000 m	-
	Turkey	- National fisheries management regime	- Various national regulations		
Recommendation GFCM/2005/2	Algeria			- enhancement of statistical system - record of vessels submitted	- further technical assistance required
concerning the establishment of GFCM record of vessels over 15	Croatia	- MAFRD	- National Regulations on Commercial Fishing Licences	- Revision of fishing licences to be completed by the end of 2009	
metres authorized to operate in the GFCM area	European Community	- Community measures under the CFP	- Council and Commission regulations	- GFCM record updated frequently	
	Morocco	-		- record of vessels submitted	 vessels are licensed from 1 January to 31 December each year
	Spain	 European Community Common Fisheries Policy 	- Various EC regulations	 Record of vessels submitted by European Commission 	
	Tunisia	-		 Preliminary list of vessels established 	
	Turkey	 National Fisheries Information System 		- record of vessels submitted	

Reference of GFCM Measure	Country	Implementing policy, legal or institutional framework	Reference of national law	Progress on operational implementation	Remarks, (including constraints)
Recommendation	Algeria				
GFCM/2006/1	Croatia			- To be implemented	-
consorting the management of certain fisheries exploiting demersal and small pelagic species	European Community	- Community measures under the CFP - support of scientific community	- Council Regulations and Commission Decisions	Reduction in fleet capacity and gear restrictions Long term management plans Data collection programmes in place Enhanced STECF programme for the Mediterranean and Black Sea	- SAC should conduct more assessments on fish stocks and fisheries
	Morocco		!	-	
	Spain	- European Community Common Fisheries Policy - National law	- Various EC and national regulations	Several relevant management measues and monitoring schemes are in place	
	Tunisia		- National fisheries legislation	40-mm mesh size fixed for trawlers Minimum landing sizes established for several species and restrictions of certain fisheries	
	Turkey				
Recommendation	Algeria		-	- Dolphin fish fishery is not developed	-
GFCM/2006/2 concerning the	Croatia		- No licences for this fishery	-	-
establishment of a closed season for the dolphin fish fisheries using fish aggregation devices (FADs)	European Community	- Community measures under the CFP	- Council Regulations	- The closed fishing season for the dolphinfish FAD fishery fully implemented - Reporting by Member Staes will be completed in 2009	 Transposed annually in the TAC regulations but will be transposed into permanent legislation Bulgaria, Cyprus, France, Greece, Romania and Slovenia do not practice this fishery
	Morocco	-	1	- No dolphin fishery	
	Spain	- European Community Common Fisheries Policy - National law	- EC and national regulations	 Dolphin fish fishery around the Balearic Islands is regulated [using FADs?] 	
	Tunisia		-	- Fishery operates between 15 August and 31 December	1
	Turkey		- National regulation	- No specific fishery for dolphin fish	

Reference of GFCM Measure	Country	Implementing policy, legal or institutional framework	Reference of national law (if applicable)	Progress on operational implementation	Remarks, (including constraints)
Recommendation GFCM/2006/3 on the	Algeria		-	-	- technical assistance from FAO required
establishment of fisheries restricted	Croatia		- In the pipeline		
areas in order to protect the deep sea sensitive habitats	European Community	- Community measures under the CFP	- Council Regulations		- Transposed annually in the TAC regulations but will be transposed into permanent legislation
	Morocco	-	-	- Present FRAs do not fall within Morocco's jurisdiction	- no objection to the creation of FRAs
	Spain	- European Community Common Fisheries Policy	- EC regulations	-	-
	Tunisia		- National fisheries legislation	- Gulf of Tunis is closed for trawling throughout the year, with some exceptions - Trawling banned within 3 miles from the coast and depths less than 50 m	
	Turkey		-	 No deep sea FRA has been established within Turkish jurisdiction 	
Recommendation	Algeria			- No IUU vessels identified	-
GFCM/2006/4 on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated fishing	Croatia	- MAFRD	- Marine Fisheries Act - Coast Guard Act	 Administrative procedures for monitoring and sanctioning IUU vessels are developing Ratification process for the UN Straddling Stock Agreement has started 	
activities in the GFCM area	European Community	- Community measures under the CFP	- Council Regulation	 List of IUU vessels will be finalized by 2010 	- IUU list will be transmitted for the first time in 2010
	Morocco			- No IUU vessels identified	- no list available
	Spain	- European Community Common Fisheries Policy	- EC regulation	- EC regulation shall apply from 1 January 2010	- Preparing to fulfil requirements of EC regulation
	Tunisia		- National fisheries legislation	- National legislation to control and combat IUU fishing is in place	
	Turkey	-	-	- No IUU vessels identified	

Reference of GFCM Measure	Country	Implementing policy, legal or institutional framework	Reference of national law (if applicable)	Progress on operational implementation	Remarks, (including constraints)
Recommendation GFCM/2007/1 on the mesh size of trawlnets	Algeria		 National law on fisheries and aquaculture 	 Recommendation followed through national regulations 	
exploiting demersal resources	Croatia	- MAFRD	- National regulations on Commercial Fishing	 Minimum mesh size 40-mm in outer fishing waters Minimum mesh size 48mm in internal fishing waters Derogations until 31/05/10 for certain fisheries 	
	European Community	- Community measures under the CFP	- Council Regulations	 List of vessels and gear characteristics has been submitted and updated 	- Transposed annually in the TAC regulations
	Могоссо			 Logistical and financial means required to introduce the 40-mm mesh 	 This measure cannot be introduced immediately Further studies required
	Spain	 European Community Common Fisheries Policy National law 	- EC and national regulations	 Minimum of 40-mm [square or diamond?] for demersal trawl gears applied 	 No demersal trawl fishery using a mesh size less than 40-mm
	Tunisia		 National fisheries legislation 	 Use of less 40mm mesh size is banned 	
	Turkey		 National regulation 	 No derogation granted to any vessel 	
Resolution	Algeria			- Data submitted	 Further technical assistance required
implementation of the GFCM Task 1 statistical matrix	Croatia	- MAFRD	- National regulations on Commercial Fishing	 Vessel register and licensing system being updated and will provide complete data on the fleet, fishermen and gears. Logbook system is currently used to obtain data 	
	European Community	- Mixed competence between EC and EU Member States - Community measures under the CFP	- Council Regulations and Commission Decisions	- Some EU Member States have transmitted data for 2007 - Implementation of all components of Task 1 is underway through data collection regulations - Task 1.1 and 1.2 are a legal obligation for EU Member States as from 2000	

Reference of GFCM Measure	Country	Implementing policy, legal or institutional framework	Reference of national law (if applicable)	Progress on operational implementation	Remarks, (including constraints)
	Могоссо		1	- Data submitted	 Some constraints to compile data due to movements of vessels between Mediterranean and Atlantic
	Spain	- European Community Common Fisheries Policy	- EC regulations	full implementation foreseen through the EC data collection regulation	- Data for Task 1.1 and 1.2 have been submitted.
	Tunisia		- National fisheries legislation	 Fishers are obliged to send statistical and technical information to the authorities 	
	Turkey		-	- Task 1.1 submitted - Task 1.2 to be submitted soon	
Recommendation GFCM/2008/1 on a regional scheme on	Algeria		- national law on fisheries and aquaculture	VMS project in place Control scheme in place National ports have been designated	 Training is required for fishery port inspectors
port state measures to combat illegal, unreported and unregulated fishing in the GFCM area	Croatia	- MAFRD	- Marine Fisheries Act and associated national regulations	 Draft port inspection scheme by end of 2009 Amendments to regulations related to IUU are in the pipeline 	
	European Community				 Full transposition in 2009 through legislative instrument dedicated to GFCM measures
	Morocco		-	- List of trawlers sent to the Secretariat	- trawlers move between the Mediterranean and Atlantic
	Spain	- European Community Common Fisheries Policy			- Under discussion within an EU Council Working Party.
	Tunisia				
	Turkey	 A technical, infrastructural and operational framework is being developed 		- 34 port offices have been constructed - Designation of ports are included into the new national fisheries law (to be approved by Parliament) - Further arrangements required for inspection facilities	

Guidelines for the GFCM performance review

BACKGROUND

- 1. The Joint Meeting of the Tuna Regional Fisheries Management Organizations (RFMOs) held in Kobe, Japan (22–26 January 2007), agreed that the tuna RFMOs should have performance reviews, which should be conducted in accordance with a common methodology and a common set of criteria, taking into account as far as possible, the specific requirements of each Commission. At the twenty-seventh session of the FAO Committee on Fisheries (5–9 March 2007), Members emphasized the importance of conducting performance reviews and recognized that each RFMO should independently decide upon the methodology, criteria and frequency of reviews.
- 2. The purpose of this document is to present a possible guideline framework by which the GFCM Performance Review could be conducted.
- 3. The timing and deadlines for the Performance review shall be decided by the Commission. The GFCM will also decide on practical aspects related to the selection of the three external experts. Notably, and accordingly to the intended deadline for the performance review, the Commission shall establish a delay of one month for the nomination of candidates to the Executive Secretary for their inclusion in the pool of internationally recognized experts. The final list shall be circulated to Members who shall have a period of at least one month to express their preferences.

SUGGESTED APPROACH

1. Terms of reference

The evaluation of performance should be oriented towards an examination of the Commission's objectives, as stipulated in the GFCM Agreement, and the measures in place to achieve such objectives. A review of GFCM performance should include the following:

- a) Assessment of the text of the Agreement, and its ability to assimilate the requirements of international fisheries instruments:
 - Are objectives clearly stated, and are they consistent with other international fisheries instruments?
 - Does the text of the Agreement impose any restraints on the organization that prevent it from implementing relevant international instruments?
 - Are the decision-making processes adequate to reach the stated objectives?
- b) Assessment of the extent to which measures adopted achieve the Commission's objectives and the objectives of international instruments:
 - What measures are in place to achieve each objective?
 - What is the extent of compliance with such measures?
 - To what extent are the objectives being met?
- c) Recommendations on how the GFCM could be improved.

2. Criteria and standards for performance review

It is suggested that Commission make use of the common criteria adopted at the sixth round of informal consultations of States Parties to the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks (UN fish Stock the Agreement) as presented in **Annex 1.** These criteria outline "what" (at minimum) should be assessed in the performance review.

3. Selection of experts

This proposal is for a panel of three experts, who have not been involved with GFCM in the last five years, to conduct the review. This panel shall be constituted by one expert in international legal fisheries instruments, one expert in fisheries management, and one expert in fisheries science. The GFCM Secretariat should provide adequate information and other support to the experts to facilitate their work.

The three external experts should have an appropriate level of experience in their specialized field of work, and a command of written and spoken English. Knowledge of other official languages of GFCM would be an advantage. Reviewers should be selected from a pool of internationally recognized experts. The selection should be made by Contracting Parties from a list which will be compiled by the Secretariat on the basis of nominations made by the Contracting Parties of three experts.

4. Timing

The work should be carried out within a reasonable time period as specified by the Commission, and should preferably commence no later than [xxx 2009 – to be determined].

5. Dissemination and consideration of the performance review report

The performance review report will be presented to the Commission. The Commission will consider the performance review report and any proposals or recommendations. The performance review report will be distributed to Contracting Parties and will also be posted in the GFCM Web site.

BUDGETARY REQUIREMENTS

On the basis of four weeks work by each individual three experts as discussed above, a total of [...] person days would be required to carry out the review. The price per day includes all materials and communication costs. The daily rate is calculated to be [...], with a total cost of US\$ [....]. In addition, the panel of experts would be required to make one trip for a coordination meeting of experts and one to the GFCM meeting. Travel and per diem under this scenario would be paid by the Commission, but no fees. Costs could vary depending on the original location of experts and location of the meetings, and hence estimates are tentative.

Item Unit cost Number of units Total cost

Days of work

Travel costs

Contingencies

TOTAL

APP. R/Annex 1

Criteria for reviewing the performance of regional fisheries management organizations (RFMOs)

	AREA	General	Detailed criteria
		criteria	
1	Conservation	Status of living	Status of major fish stocks under the purview of the RFMO in
	and	marine resources	relation to maximum sustainable yield or other relevant biological
	management		standards.
			• Trends in the status of those stocks.
			• Status of species that belong to the same ecosystems as, or are
			associated with or dependent upon, the major target stocks
			(hereinafter "non-target species").
		Data collection	Trends in the status of those species.Extent to which the RFMO has agreed formats, specifications and
		and sharing	timeframes for data submission, taking into account the United
		and sharing	Nations Fish Stock Agreement (UNFSA) Annex I.
			• Extent to which RFMO members and cooperating non-members,
			individually or through the RFMO, collect and share complete and
			accurate fisheries data concerning target stocks and non-target
			species and other relevant data in a timely manner.
			• Extent to which fishing data and fishing vessel data are gathered by
			the RFMO and shared among members and other RFMOs.
			• Extent to which the RFMO is addressing any gaps in the collection
			and sharing of data as required.
		Quality and	 Extent to which the RFMO receives and/or produces the best
		provision of	scientific advice relevant to the fish stocks and other living marine
		scientific advice	resources under its purview, as well as to the effects of fishing on the
			marine environment.
		Adoption of	• Extent to which the RFMO has adopted conservation and
		conservation and	management measures for both target stocks and non-target species
		management	that ensures the long-term sustainability of such stocks and species and are based on the best scientific evidence available.
		measures	• Extent to which the RFMO has applied the precautionary approach
			as set forth in UNFSA Article 6 and the Code of Conduct for
			Responsible Fisheries Article 7.5, including the application of
			precautionary reference points.
			• Extent to which the RFMO has adopted and is implementing
			effective rebuilding plans for depleted or overfished stocks.
			 Extent to which the RFMO has moved toward the adoption of
			conservation and management measures for previously unregulated
			fisheries, including new and exploratory fisheries.
			• Extent to which the RFMO has taken due account of the need to
			conserve marine biological diversity and minimize harmful impacts
			of fisheries on living marine resources and marine ecosystems.
			• Extent to which the RFMO has adopted measures to minimize
			pollution, waste, discards, catch by lost or abandoned gear, catch of non-target species, both fish and non-fish species, and impacts on
			associated or dependent species, in particular endangered species,
			through measures including, to the extent practicable, the
			development and use of selective, environmentally safe and cost-
			effective fishing gear and techniques.
		Capacity	• Extent to which the RFMO has identified fishing capacity levels
		management	commensurate with long-term sustainability and optimum utilization
		_	of relevant fisheries.
			• Extent to which the RFMO has taken actions to prevent or
			eliminate excess fishing capacity and effort.

	AREA	General criteria	Detailed criteria
		Compatibility of management measures	• Extent to which measures have been adopted as reflected in UNFSA Article 7.
		Fishing allocations and opportunities	• Extent to which the RFMO agrees on the allocation of allowable catch or levels of fishing effort, including taking into account requests for participation from new members or participants as reflected in UNFSA Article 11.
2	Compliance and enforcement	Flag State duties	• Extent to which RFMO members are fulfilling their duties as flag States under the treaty establishing the RFMO, pursuant to measures adopted by the RFMO, and under other international instruments, including, <i>inter alia</i> , the 1982 Law of the Sea Convention, the UNFSA and the 1993 FAO Compliance Agreement, as applicable.
		Port State measures	 Extent to which the RFMO has adopted measures relating to the exercise of the rights and duties of its members as port States, as reflected in UNFSA Article 23 and the Code of Conduct for Responsible Fisheries Article 8.3. Extent to which these measures are effectively implemented.
		Monitoring, control and surveillance (MCS)	 Extent to which the RFMO has adopted integrated MCS measures (e.g., required use of VMS, observers, catch documentation and trade tracking schemes, restrictions on transshipment, boarding and inspection schemes). Extent to which these measures are effectively implemented.
		Follow-up on infringements	• Extent to which the RFMO, its members and cooperating non- members follow up on infringements to management measures.
		Cooperative mechanisms to detect and deter non-compliance	 Extent to which the RFMO has established adequate cooperative mechanisms to both monitor compliance and detect and deter non-compliance (e.g., compliance committees, vessel lists, sharing of information about non-compliance). Extent to which these mechanisms are being effectively utilized.
		Market-related measures	 Extent to which the RFMO has adopted measures relating to the exercise of the rights and duties of its members as market States. Extent to which these market-related measures are effectively implemented.
3	Decision- making and dispute settlement	Decision-making	• Extent to which RFMO has transparent and consistent decision-making procedures that facilitate the adoption of conservation and management measures in a timely and effective manner.
		Dispute settlement	• Extent to which the RFMO has established adequate mechanisms for resolving disputes.
4	International cooperation	Transparency	 Extent to which the RFMO is operating in a transparent manner, as reflected in UNFSA Article 12 and the Code of Conduct for Responsible Fisheries Article 7.1.9. Extent to which RFMO decisions, meeting reports, scientific advice upon which decisions are made, and other relevant materials are made publicly available in a timely fashion.
		Relationship to cooperating non-members	• Extent to which the RFMO facilitates cooperation between members and non members, including through the adoption and implementation of procedures for granting cooperating status.
		Relationship to non-cooperating non-members Cooperation with other PEMOs	 Extent of fishing activity by vessels of non-members that are not cooperating with the RFMO, as well as measures to deter such activities. Extent to which the RFMO cooperates with other RFMOs, including through the network of Penional Fighery Rody.
		other RFMOs	including through the network of Regional Fishery Body Secretariats.

	AREA	General criteria	Detailed criteria
		Special requirements of developing States	 Extent to which the RFMO recognizes the special needs of developing States and pursues forms of cooperation with developing States, including with respect to fishing allocations or opportunities, taking into account UNFSA Articles 24 and 25, and the Code of Conduct of Responsible Fisheries Article 5. Extent to which RFMO members, individually or through the RFMO, provide relevant assistance to developing States, as reflected in UNFSA Article 26.
5	Financial and administrative issues	Availability of resources for RFMO activities	• Extent to which financial and other resources are made available to achieve the aims of the RFMO and to implement the RFMO's decisions.
		Efficiency and cost-effectiveness	• Extent to which the RFMO is efficiently and effectively managing its human and financial resources, including those of the Secretariat.

Draft Recommendation on the management of fishing capacity

The General Fisheries Commission for the Mediterranean (GFCM),

RECALLING that the objectives of the Agreement establishing the General Fisheries Commission for the Mediterranean (GFCM) are to promote the development, conservation, rational management and best utilization of living marine resources;

RECALLING the Declaration of the Third Ministerial Conference on the Sustainable Development of the Fisheries in the Mediterranean held in Venice, Italy, on 25 and 26 November 2003;

RECALLING Recommendation GFCM/2002/1 which urges the control of fishing effort and the improvement of the exploitation pattern of demersal fisheries, as well as limiting catches of juveniles of small pelagic species;

CONSIDERING that in the advice for 2001, 2002, 2003, 2004, 2005, 2006, 2007 and 2008 the Scientific Advisory Committee (SAC) considered that several demersal and small pelagic stocks are overexploited, some with high risk of recruitment overfishing, and that sustainable management requires measures aimed at controlling or reducing the fishing effort from 10 percent up to 40 percent and more:

NOTING that the stock assessment conducted by the SAC only concerns specific geographical subareas corresponding to the data supplied by certain Members and that the assessed stocks may be shared with adjacent GFCM geographical sub-areas;

RECALLING Recommendation GFCM/2006/1 calling to develop a management programme of fishing effort in several geographical sub-areas as well as in adjacent sub-areas as relevant;

NOTING that the SAC has not yet been able to deliver a more operative advice on the management of fishing effort and fleet capacity;

CONSIDERING that in cases where no scientific information on the status of fisheries and of the exploited resources is available a more cautious approach is needed, in the development plans of fishing fleets and that suitable information coming from adjacent areas could be used for proper and precautionary management of fisheries until sound scientific evidence becomes available;

NOTING that the Scientific Advisory Committee (SAC) advises to apply the precautionary principle;

CONSIDERING that any possible global freezing of the fleet capacity at regional level shall not prevent or hinder transferability of fishing fleet capacity from one Member to another and from one GSA to another provided that the targeted fisheries are exploited sustainably and that the overall capacity does not increase;

RECALLING Resolution 2007/1 and noting that the SAC calls for a mandatory submission by the Members as from 2009 of several components of TASK 1 statistical matrix including in particular Tasks 1.1, 1.2, 1.3 and 1.4;

NOTING that GFCM, at its thirty-second session, requested the SAC to carry out an evaluation of consequences of a possible freezing of the fleet capacity and that SAC has planned to convene in mid 2009 a workshop on the assessment, management and monitoring of fishing fleet capacity with a view to evaluate the evolution of Members' fishing fleets together with current and future development plans in

consideration of fishing opportunities available and with a view to properly address the socio-economic impact resulting from adjusting or freezing capacity;

RECALLING Recommendation GFCM/2005/2 concerning the establishment of a GFCM record of vessels over 15 metres authorized to operate in the GFCM area;

ADOPTS, in conformity with the provisions of paragraph 1 (b) and (h) of Article III and article V of GFCM Agreement that:

- 1. Members and Cooperating entities shall limit, as from 1 June 2010, their fishing fleet capacity, calculated as the sum of the gross tonnage (GT) or, where appropriate, of the Gross Registered Tonnage (GRT) of their fishing vessels larger than 15 metres in length overall, to the fishing capacity of their vessels notified to GFCM for 2008 and 2009 in accordance with GFCM Recommendation 2005/2 concerning the establishment of GFCM record of vessels authorized to operate in the GFCM area.
- 2. Members shall see to it that the overall tonnage does not increase when vessels are replaced.
- 3. All Members and Cooperating entities shall submit to the Secretary and via the electronic tools available on the GFCM web-site an updated list of their respective vessels greater than 15 metres LOA that were entitled to fish in the GFCM area of Competence in 2008 and early 2009, by 30 August 2009 at latest.

These lists shall contain the following information for each vessel:

- Name of vessel
- Registration number
- GFCM unique identifier (composed of ISO-3 code + 9 digits, e.g. xxx000000001)
- Previous flag (if any)
- Previous details of deletion from other registries (if any)
- International radio call sign
- Vessel type, length overall, gross tonnage and gross registered tonnage and engine power expressed in Kw
- Name and address of owner, and/or charterer, and/or operator
- Main target species
- Main gears used and fleet segment allocation and Operational Unit as identified in the TASK 1 statistical matrix
- Geographical sub-area/areas (GSAs) where fishing occurred.
- 4. The limitation of fleet capacity of fishing vessels larger than 15 metres overall length as indicated in paragraph 1 above shall be without prejudice to the transferability of fleet capacity from one Member or Cooperating entities to another provided that overall fleet capacity of Members or Cooperating non-members concerned and authorized to fish in the GFCM area does not increase.
- 5. Members and cooperating non-Member shall communicate to the GFCM Executive Secretary information on the dimension and characteristics of their fishing fleetsand on the development plans, on the fleet segments and operational Units of TASK 1 concerned, and on the status and dimension of targeted resources in due time in order to make it available to the SAC workshop on the assessment, management and monitoring of fishing fleet capacity.

On the basis of the outcomes of this workshop or of a second one that may be necessary to accomplish the SAC task, the obligation expressed in paragraph I will be adapted accordingly at the following GFCM annual session.

APPENDIX T

GFCM autonomous budget for 2009

AUTONOMOLIS PUDGET US SHARE OF TOTAL						
AUTONOMOUS BUDGET	DOLLARS	(%)				
ADMINISTRATION	DOLLING	(70)				
PROFESSIONAL STAFF						
EXECUTIVE SECRETARY, D-1	249 612	16.88 %				
DEPUTY EXECUTIVE SECRETARY, P-5	217 120	14.68 %				
AQUACULTURE EXPERT, P-4	218 645	14.78 %				
BIOSTATISTICIAN, P-3	152 000	10.28 %				
DATA MANAGER - P-2 (4 MONTHS FOR 2009)	43 748	2.96 %				
SUB-TOTAL, PROFESSIONAL STAFF SALARIES	881 125	59.58 %				
ADMINISTRATIVE SUPPORT						
PROGRAMMER/SYSTEMS ANALYST G-5	92 000	6.22 %				
BILINGUAL SECRETARY G-5/6 (6 MONTHS FOR 2009)	45 190	3.06 %				
ADMINISTRATIVE ASSISTANT G-2/3 (6 MONTHS FOR 2009)	35 970	2.43 %				
OVERTIME	4 500	0.30 %				
SUB-TOTAL, GENERAL SERVICE GROSS SALARIES	177 660	12.01 %				
TOTAL STAFF	1 058 785	71.59 %				
ACTIVITIES	1 030 703	71.55 70				
CONSULTANCIES (INCLUDING 8 MONTHS FOR DATA						
MANAGEMENT)	50 000	3.38 %				
DUTY TRAVEL	100 000	6.76 %				
CHARGEBACK (INCLUDING INTERPRETATION)	120 000	8.11 %				
TRAINING	7 500	0.51 %				
EQUIPMENT	15 000	1.01 %				
OPERATING COSTS AND OVERHEADS	20 000	1.35 %				
CONTRACTS (INCLUDING PUBLICATIONS)	20 000	1.35 %				
PERFORMANCE APPRAISAL	10 000	0.68 %				
OPERATING COSTS FOR THE NEW HEADQUARTERS	0	0.00 %				
SUB-TOTAL, ACTIVITIES	342 500	23.16 %				
AUTONOMOUS BUDGET	1 401 285					
MISCELLANEOUS (1 PERCENT OF AUTONOMOUS BUDGET)	14 013					
FAO SERVICING COSTS (4.5 PERCENT)	63 688					
TOTAL AUTONOMOUS BUDGET	1 478 986					
FAO CONTRIBUTION						
FIEL (LIAISON, INCLUDING SUPPORT TO STATUTORY MEETINGS)	14 500					
FIEP (POLICY AND SOCIAL SCIENCES)	27 000					
FIMF (CAPTURE FISHERIES RESOURCES AND ENVIRONMENT)	28 500					
FIMA (AQUACULTURE)	24 000					
FIES (STATISTICS AND INFORMATION)	30 000					
FII (MARKETING AND FISHING TECHNOLOGY)	24 000					
FI (COORDINATION, INCL. LEGAL OFFICE)	9 500					
TOTAL FAO CONTRIBUTION	157 500					
GFCM GLOBAL BUDGET	1 636 486					

APPENDIX U
Contribution to the GFCM budget for 2009

Member	TOTAL		BASIC FEE	GDP COMPONENT		CATCH COMPONENT	
MEMBER	US	%	US	INDEX	US	WEIGHTED	US
	DOLLARS		DOLLARS		DOLLARS	TOTAL	DOLLARS
ALBANIA	13 655	0.92	6 430	1	4 243	16 320	2 982
ALGERIA	43 756	2.96	6 430	1	4 243	181 067	33 083
BULGARIA	10 673	0.72	6 430	1	4 243		
CROATIA	27 177	1.84	6 430	1	4 243	90 325	16 503
CYPRUS	48 860	3.30	6 430	10	42 430		
EGYPT	43 292	2.93	6 430	1	4 243	178 524	32 618
FRANCE	91 290	6.17	6 430	20	84 860		
GREECE	48 860	3.30	6 430	10	42 430		
ISRAEL							
ITALY	91 290	6.17	6 430	20	84 860		
JAPAN	91 479	6.19	6 430	20	84 860	1 032	189
LEBANON	12 406	0.84	6 430	1	4 243	9 482	1 732
LIBYAN ARAB	31 585	2.14	6 430	1	4 243	114 453	20 912
JAMAHIRIYA							
MALTA	48 860	3.30	6 430	10	42 430		
MONACO	6 432	0.43	6 430	0	0	8	1
MONTENEGRO	6 718	0.45	6 430	0	0	1 576	288
Morocco	27 532	1.86	6 430	1	4 243	92 272	16 859
ROMANIA	10 673	0.72	6 430	1	4 243		
SLOVENIA	48 860	3.30	6 430	10	42 430		
SPAIN	48 860	3.30	6 430	10	42 430		
SYRIAN ARAB REPUBLIC	12 547	0.85	6 430	1	4 243	10 254	1 874
TUNISIA	64 245	4.34	6 430	1	4 243	293 205	53 572
TURKEY	177 788	12.02	6 430	1	4 243	914 638	167 114
EC	472 145	31.92	6 430			2 548 918	465 715

US DOLLARS	1 478 986	147 899	517 645	813 442
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TOTAL BUDGET	1 478 986	US DOLLARS
	10 %	OF TOTAL
BASIC FEE	10 /0	BUDGET
	147 899	US DOLLARS
NUMBER OF MEMBERS*	23	
TOTAL BUDGET LESS BASIC FEE	1 331 088	US DOLLARS
GDP COMPONENT	35 %	OF TOTAL
	33 70	BUDGET
	517 645	US DOLLARS
CATCH COMPONENT	55 %	OF TOTAL
	33 70	BUDGET
	813 442	US DOLLARS

^{*} MEMBERS PAYING THEIR CONTRIBUTIONS TO THE AUTONOMOUS BUDGET

The thirty-third session of the General Fisheries Commission for the Mediterranean (GFCM) was attended by 21 Contracting Parties. The Commission reviewed the intersessional activities of its scientific and technical subsidiary bodies and held the third session of its Compliance Committee. The GFCM adopted binding management decisions on a reduction of 10 percent of fishing effort for demersal trawl fisheries in the competence area, the establishment of a new Fisheries Restricted Area in the Gulf of Lions and the establishment of a minimum mesh size in the codend of demersal trawlnets. The GFCM also agreed to put into operation a vessel monitoring system by the end of 2012 and to establish a fleet register by the end of 2010. The Commission amended its Recommendations on the record of fishing vessels over 15 metres authorized to operate in the GFCM area, and on the establishment of a list of vessels presumed to have carried out illegal, unreported and unregulated (IUU) fishing in the Mediterranean and the Black Sea. Regarding data reporting, the Commission adopted new binding Recommendations for aquaculture and the implementation of the GFCM Task 1 statistical matrix. The GFCM further endorsed three Recommendations of the International Commission for the Conservation of Atlantic Tunas (ICCAT) on swordfish and bluefin tuna fisheries. The GFCM decided to establish a new Committee on Administration and Finance and agreed on modalities to undertake its performance review in 2009 and 2010. It agreed to create a new professional position within the Secretariat and adopted its 2009 budget and programme of work. The Commission renewed the bureau of its Compliance Committee and acknowledged the use for the first time of Arabic as working language. The GFCM addressed the issue of its new headquarters, in particular the possibility of the Secretariat to move into it preferably before the summer of 2009.

