USE AND IMPACT OF CODEX STANDARDS IN THE REGION

I. Introduction

1. The Codex Secretariat, in collaboration with FAO and WHO evaluation offices, started work in 2021 on developing a mechanism to measure the use and impact of Codex standards. A monitoring and evaluation (M&E) framework to provide data about the use and impact of Codex texts was developed with a view to obtaining information that can help Members and Observers better understand the impact, or potential for impact, of Codex texts.

2. CCEXEC81 and CAC44 endorsed the proposed approach to building the mechanism, and one recommendation was to undertake a pilot of a revamped Member survey in 2022 with the preliminary results being reported to CCEXEC83 and CAC45.

II. Codex surveys 2022 and 2023

3. The purpose of the Codex Survey 2022 was to examine the reach, usefulness, and use of a sample of Codex texts as estimates for their impact. The survey targeted Codex Members and its scope included a selection of Codex texts adopted (including revisions or amendments) by CAC from 2015 to 2020.

4. The Codex Member survey on the use and impact of Codex texts has been developed with the intent to implement it on an annual basis. The survey is comprised of questions related to Codex texts in general, and questions related to specific Codex texts to facilitate a more in-depth analysis and identification of trends over time. The envisaged three year cycle of standards to be surveyed will allow the use and impact of the same Codex texts to be measured over time.

5. The Codex texts selected in 2022 were:
   - General Standard for Contaminants and Toxins in Food and Feed (CX5 193-1995);
   - General Principles of Food Hygiene (CXC 1-1969);
   - General Standard for the Labelling of Pre-packaged Foods (CX5 1-1985); and
   - General Principles for the Addition of Essential Nutrients to Foods (CXG 9-1987).

6. The Codex Secretariat informed CCEXEC84 that a detailed report of the pilot survey on the use and impact of Codex texts, that had been undertaken in 2022 in line with Strategic Goal 3, was now available.

7. CCEXEC84 noted the report of the pilot survey on the use and impact of Codex texts and its recommendations to the Codex Secretariat; Codex Members; and FAO and WHO; and confirmed that the survey approach, piloted in 2022, should be used as part of the mechanism to monitor the use and impact of Codex texts, considering the lessons learnt to date.

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1 CX/EXEC 22/82/8
2 REP23/EXEC1, paragraph 103
3 9 EXEC84/INF1
4 REP23/EXEC1, paragraph 115(iii, iv)
8. In 2023, the four Codex texts selected were:
   - General Standard for Food Additives (CXS 192-1995);
   - Guidelines on Nutrition Labelling (CXG 2-1985);
   - Recommended Methods of Analysis and Sampling (CXS 234-1999); and

9. At the time of preparation of this document, detailed results were available for the 2022 survey and preliminary results were available for the 2023 survey.

10. The 2024 survey will include the following four Codex texts:
   - CXG 82-2013: Principles and Guidelines for National Food Control Systems;
   - CXM 2: Maximum Residue Limits (MRLs) and Risk Management Recommendations (RMRs) for Residues of Veterinary Drugs in Foods; and

11. For additional information on the survey methodology, approach and definitions please refer to the 2022 report.

III. Responses to the Codex surveys 2022 and 2023 from Members in the European region

12. Of the 52 CCEURO Members, 34 (65%) responded to the 2022 survey and 37 (71%) to the 2023 survey. Of the 2023 CCEURO respondents, nine are LMICs. The EU replied on behalf of its Member states, so one reply counted for 23 (2022) or 24 (2023) Member States, excluding those EU Member states that decided to reply directly to the survey.

13. Based on an analysis of Codex Survey 2022, it is evident that Codex texts play a significant role in ensuring food safety and quality worldwide. The survey results show that the large majority of Codex Members (that replied to the survey) are satisfied with the reach, usefulness, and use of Codex texts. There were no significant regional differences related to satisfaction.

14. It is important to note that the majority of answers came from EU Member States (HICs) in both the 2022 and 2023 surveys. Throughout the survey, they responded less relevance and use of the Codex texts surveyed, compared to other regions. However, comments provided show that these texts were used more extensively in the past as a basis for legislation, policies, and guidelines.

15. The survey also indicates that in LMICs, Codex texts have a greater use and impact, and are used more frequently to support legislation, policies, national food control systems (NFCS), university curricula, training, awareness raising, compared to HICs. In contrast, HICs generally have more established food control systems, greater resources, and advanced technical expertise. They often have the capacity to develop and enforce their own food safety regulations, tailored to their specific contexts. While they may still reference international standards, HICs have more autonomy and flexibility in designing and implementing their NFCS.

16. The survey also sought to quantify the extent that 9 pre-selected barriers are impeding the use of Codex texts in each country. Below is a summary of identified barriers in CCEURO.

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17. In 2022, responses from Members in the European region largely indicated barriers to be ‘somewhat’ or ‘to a minor extent’ affecting the use of Codex texts. Respondents strongly identified irrelevance of Codex texts for the national context/reality (‘to a minor extent’ and ‘not at all’ total 100%), lack of basic national food safety laws (84%), duration of national implementation process (84%), language issues (90%), challenges to catch up with changes in Codex texts (81%) to be not at all, or to a minor extent, a barrier to Codex use in the region. As the majority of replies came from EU Members, this may be partially due, at least for language issues, to the few Russian-speaking countries that responded to the survey as anecdotal evidence shows that language is a prominent barrier for several European countries.

18. Compared to the 2022 Survey, in 2023 lack of awareness of Codex provisions by national stakeholders and lack of resources decreased substantially as reported barriers impeding the use of Codex texts. On the other hand, lack of local implementation capacity was identified as the main barrier, with challenges to catch up with changes in Codex texts and lack of awareness of codex provisions by national stakeholders coming second and third, respectively.

19. The substantial changes between 2022 and 2023 may be due to several factors, including increased familiarity and understanding of the survey, and increased number of replies. General conclusions can only be drawn after a few years the survey is administered, so that trends can be identified. At the same time, insights on the difficulties faced by Members in the region in uptaking Codex texts can be gained.

IV. Survey recommendations

20. In light of the 2022 survey findings, the below recommendations were proposed. The 2023 report is still to be published, however the 2022 recommendations remain valid for the barriers identified in 2023 as well.

- **Increase efforts to raise awareness of Codex texts by National Stakeholders**: Lack of awareness of Codex provisions by national stakeholders is the first barrier to the use of Codex texts identified at global level, by LMIC, LAC, NASWL, NE and the second for AFRICA. Members, with the support of the Codex Secretariat, FAO and WHO, should increase efforts to raise awareness of Codex texts by national stakeholders and advocate for their use. This would improve the use and impact of Codex texts at the national level. This can be done through the provision of capacity development opportunities, communication activities, improved dissemination of Codex texts. This is also in line with Goal 3 of the Codex Strategic Plan (Increase impact through the recognition and use of Codex texts). FAO/WHO Coordinating Committees are a key venue in this regard and should reflect these efforts in the regional work plans.

- **Prioritize the provision of capacity building and training**: Members are encouraged to request
FAO and WHO to provide training and capacity building programs to assist Codex Members, particularly LMICs, in the implementation and utilization of Codex texts, with support of the Codex Secretariat. This should include mobilizing more resources, including financial, technical, and human resources, to support the development of national Codex structures through mechanisms such as the FAO/WHO Codex Trust Fund, and rolling out capacity development programmes aiming at the implementation and enforcement of Codex texts at national levels.

- **Improve dissemination of Codex texts**: The Secretariat should continue its efforts in improving the dissemination of Codex texts, in particular through the digitalization project currently underway.

- **Continue communication and collaboration**: The Codex Secretariat should continue to facilitate communication and collaboration among Codex Members and other stakeholders to ensure that Codex texts are being utilized effectively and efficiently. This could include the development of news stories, videos, lessons learned and case studies for Codex Members to share experiences and best practices. WHO, FAO and the Codex Secretariat should continue to prioritize awareness-raising initiatives about Codex and Codex texts to relevant stakeholders at national and regional levels.

- **Increase resources**: Members should increase resources either at national level or at the international level to support FAO and WHO to provide timely scientific advice through the appropriate joint expert committees in order to decrease the response time; and Codex to address barriers such as language issues, lack of resources, and duration of Codex text development.

V. **RECOMMENDATIONS**

21. CCEURO33 is invited to:
   
   i. Note the results of the survey on the use and impact of Codex text, in particular those relevant to the region;

   ii. Comment on the recommendations made and possible actions that could be undertaken to address them; and

   iii. Invite the region to share some additional information on barriers to the use of standards.