

May 2008

codex alimentarius commission



FOOD AND AGRICULTURE
ORGANIZATION
OF THE UNITED NATIONS

WORLD
HEALTH
ORGANIZATION



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Agenda Item 14

JOINT FAO/WHO FOOD STANDARDS PROGRAMME

CODEX ALIMENTARIUS COMMISSION

Thirty-first Session

International Conference Centre, Geneva (Switzerland), 30 June - 4 July 2008

FAO/WHO PROJECT AND TRUST FUND FOR ENHANCED PARTICIPATION IN CODEX

Prepared by the WHO Secretariat for the Trust Fund

The present document incorporates the Annual Report for 2007 (see Annex 1) and the Tenth Progress Report (see Annex 2) of the FAO/WHO Project and Trust Fund for Enhanced Participation in Codex.

ANNEX 1**FAO/WHO PROJECT AND FUND FOR
ENHANCED PARTICIPATION IN CODEX***Annual Report for 2007***A. INTRODUCTION**

This report covers 2007 – the fourth calendar year of implementation of the FAO/WHO Project and Fund for Enhanced Participation in Codex (the Codex Trust Fund) and provides a summary of key technical, financial and operational aspects of the Codex Trust Fund activities in 2007. Further detailed information on the Codex Trust Fund activities and outcomes can be found on the Codex Trust Fund website.¹

B. TECHNICAL SECTION*Background*

The main objective of the Codex Trust Fund is to help Codex members that are developing countries or those with economies in transition, to enhance their level of effective participation in the development of global food safety and quality standards by the Codex Alimentarius Commission. At the end of 2007 Codex membership totalled 176 (175 member countries plus the European Community). When the Trust Fund was launched in 2003 the Codex membership stood at 169 countries.

The Codex Trust Fund is administered by WHO under the direction of a Consultative Group for the Trust Fund (CGTF) comprised of senior WHO and FAO staff. The principles for determining the eligibility of member countries and basic criteria for applications as approved by the Codex Alimentarius Commission are outlined in the Third Progress Report (CX/EXEC 04/53/3). There were 138 Codex member countries eligible for support from the Codex Trust Fund in 2007. Annex A lists these members by the six Codex regions.

One key principle of the Codex Trust Fund is to provide more support to those Codex member countries that are less developed. To this end, three independent lists² are used annually to rank eligible countries into three groups to determine the relative levels of support. Annex B gives the country groupings established for 2007. In 2007, available resources from the Codex Trust Fund offered support to countries to attend Codex meetings on the following basis:

Group 1 countries (least developed and low income)	Three meetings
Group 2 countries (lower middle income)	Two meetings
Group 3 countries (upper middle income)	One meeting

Supported Participation

In response to the call for applications for support in 2007, 93 countries sought support to attend various Codex meetings. Table 1 gives the breakdown of countries in each of the three groupings that were eligible for support from the Codex Trust Fund, and the number of countries in each group that actually applied for support.

At the end of 2007 a total of 224 participants from 96 countries were supported to attend 16 Codex meetings. Annex C provides full details of the support actually provided in 2007.

¹ <http://www.who.int/foodsafety/codex/trustfund/en/>

² UNCTAD annual Least Developed Countries Report (for list of least developed countries)
World Bank annual World Development Report (for categorization of income status)
UNDP annual Human Development Report (for categorization of human development status)

Table 1 - Application rate by country groups

	Group 1	Group 2	Group 3
No. of countries eligible	60	43	35 ³
No. of countries applying for support	49	33	14
Group application rate	81.6%	76.7%	40%

Numbers of countries supported denote countries who actually travelled (Table 2). It should be noted that countries themselves select their region of membership and that decisions on Codex Trust Fund support are not based on regional allocation of funds.

Table 2 - 2007 supported meeting participation rate by Codex Region

Codex Region	No. of countries eligible	No. of countries supported (actually travelled)	Supported participation rate %
Africa	44	37	84%
Asia	18	12	67%
Europe	23	6	26%
Latin America & the Caribbean	32	24	75%
Near East	12	9	75%
South West Pacific	9	8	89%
Total for 2007	138	96	70%

Reports

Countries receiving support are required to provide a concise and comprehensive report to the Trust Fund. A standard report format⁴ has been developed seeking information on participant activities before, during and after the meeting. Countries are also asked for suggestions on ways that the Codex Trust Fund can be improved. In order to meet the deadline for applications of 31 October 2007, countries were requested to report on all meetings attended with support from the Codex Trust Fund from August 2006 to July 2007 (up to and including the Codex Alimentarius Commission in July 2007) as has been the practice in previous years. Support in subsequent years is not provided unless outstanding country reports have been submitted.

At 31 December 2007, reports due had been received from 73 countries (a compliance rate of 76%). Countries that do not comply with reporting obligations are deemed not eligible for support in 2008.

An analysis of reports received from participants for the period August 2005-July 2007 is currently being undertaken as part of the development of a monitoring and evaluation system for the Codex Trust Fund. Preliminary outcomes of this analysis will be made available during the 31st session of the Codex Alimentarius Commission in July 2008. The standard of reporting is quite variable with a few countries submitting high quality reports that follow the standard reporting format. However, many countries do not follow the reporting format and/or do not provide sufficient information that would allow the Codex Trust Fund to exploit this information to track progress in enhancing participation in Codex.

³ Includes potential "graduates" in 2007

⁴ Available at <http://www.who.int/foodsafety/codex/trustfund/en/index7.html>

Codex training

In January 2007 the Codex Trust Fund organized a Codex Training Course for Africa attended by 50 participants representing 36 countries in the Codex African Region. 36 participants, primarily from least developed and low income countries, were supported by the Codex Trust Fund to participate in the training course and in the Codex Coordinating Committee for Africa that took place immediately after the three day training course. This offered to participants the opportunity to immediately put into practice the skills and knowledge they had gained from the training course.

In preparation for the 25th session of the FAO/WHO Coordinating Committee for Europe (CCEURO), FAO and WHO organized a seminar on "Strengthening Knowledge of Codex Procedures and Risk Analysis" on 14 January 2007 in Vilnius, Lithuania. The Codex Trust Fund provided support for 2 participants from 2 eligible countries to attend this seminar.

FAO and WHO collaborated on the organization of a Codex training for the European region in Warsaw, Poland in October 2007. The training was attended by 27 participants from 18 countries. The Codex Trust Fund supported 20 of these participants from 14 eligible countries.

Database of participation

The United States of America Codex Office provided in-kind support to the Codex Trust Fund to develop the initial database of Codex Trust Fund participation spanning the entire period of Codex Trust Fund operation from 2004 to July 2007. The database on participation includes information that can be tracked and sorted on the name, country, originating ministry, Codex region of origin, Codex meeting(s) attended and year, gender and whether the participant is from a least developed or low income country. The database of participation has been kept up-to-date by the Codex Trust Fund since July 2007 with data on participation entered immediately following participation in Codex meetings by Trust Fund supported participants. The database of participation is now routinely used to provide up-to-date and accurate information on participation supported by the Codex Trust Fund in response to reporting needs and enquiries. It is a key information element that will be used in the monitoring and evaluation of the Trust Fund.

Codex Trust Fund assessments

Two enquiries on the Codex Trust Fund were undertaken in 2007. Both reports were made available through the Codex-L list.

A study entitled *Initiative to explore linkages between increased participation in Codex and enhanced international food trade opportunities* was undertaken for the Codex Trust Fund between February and June 2007 with support from the Department for International Development (DFID) of the United Kingdom. The preliminary findings and recommendations were made available during the 30th Session of the Codex Alimentarius Commission in July 2007. Member states had an opportunity to provide comments during the CAC agenda item on the Codex Trust Fund, as well as during an informal meeting of the Codex Trust Fund held on 6 July 2007. Comments and feedback were incorporated into the final report submitted in August 2007.

Findings:

- The Codex Trust Fund has made very good progress in widening participation in the standard setting process and good progress through ensuring the delivery of Codex training to strengthen overall participation in Codex.
- Less progress has been made in enhancing the scientific/technical preparation of countries to participate in Codex.
- At country level there is unanimous appreciation of the value of participation on Codex meetings and, at the same time, evidence of serious weaknesses in the process of knowledge transfer that would allow countries to participate more effectively in Codex activities at national level and in the international standard setting process.
- There is a clear and logical linkage between the Codex Trust Fund and the potential increase in opportunities for international food trade. However this linkage is mediated through a range of intermediate factors which would make it difficult to monitor the project's progress in the achievement of an objectively verifiable indicator in this area.

Among the preconditions for making further progress towards achieving the expected outputs of the Codex Trust Fund are:

- Sustained funding at a level sufficient to allow the Trust Fund to have a level and range of activities that can have a measurable impact on enhancing the participation of eligible countries;
- Investment, involving a number of actors over a period of years, in the personal, professional and organizational development in countries that will build up the Codex expertise base.

Recommendations of the report included the following:

- Development of a monitoring and evaluation system for the Codex Trust Fund;
- Systematic collection of information through other projects and activities to assist in the evaluation of the impact of the Codex Trust Fund
- Strengthening of the Codex Trust Fund Secretariat;
- Heightened engagement of FAO and WHO country offices in meetings related to Codex activities at national level;
- Better use of Codex meetings to further enhance participation through pre-meeting briefings, structured personal support (mentoring and peer support), Codex training sessions before or after Codex meetings;
- Tailored support to activities in countries to assist in knowledge transfer before and after participation in Codex meetings;
- Promotion of peer contact and dialogue through support to regional groupings of countries;
- Promotion of linkages between Codex Trust Fund activities and other initiatives that address related food safety and trade issues.

The full report can be found on the Codex Trust Fund website under "Codex Trust Fund Assessment" at:

<http://www.who.int/foodsafety/codex/trustfund/en/index4.html>

A second study entitled *Enquiry concerning the FAO/WHO Project and Trust Fund for Enhanced Participation in Codex ("Codex Trust Fund")* was commissioned by the Swedish International Development Cooperation Agency (SIDA) and undertaken between August and October 2007. The final report was circulated on the Codex-L list.

The report assessed how the Codex Trust Fund has functioned and progress in achieving its stated objectives and expected outputs to date. A number of the findings confirmed those of the above report. Findings in other areas assessed: use of contributions and Trust Fund policies and practices to maximize cost-effectiveness; gender breakdown of participants; meetings prioritized; impact of Trust Fund participation on support for Codex activities in countries; effectiveness of Codex training courses; and donor satisfaction. As requested by SIDA, the enquiry also assessed food safety and Codex activities in the African region.

Recommendations of the report included the following:

- Seek contributions from new donors and reopen discussions on support from foundations and similar sources;
- Develop a monitoring and evaluation system and undertake a midlife in-depth evaluation in 2009;
- Strengthen the Codex Trust Fund Secretariat with additional human resources;
- Continue to adopt policies and practices for cost-effectiveness;
- Establish close contact with the STDF Secretariat and explore possibilities for coordination and cooperation between activities;
- Ensure that primary support from the Trust Fund is given to eligible countries who would not be able to send any delegates to Codex meetings without this support;
- Seek ways to improve gender balance among Trust Fund beneficiaries;

- Further enhance efforts to provide Codex training using all opportunities and establish a clearer link between the Codex Trust Fund and the food safety and capacity-building activities of FAO and WHO.

The full report can be found on the Codex Trust Fund website under "Codex Trust Fund Assessment" at: <http://www.who.int/foodsafety/codex/trustfund/en/index4.html>

A third study was undertaken entitled "*Assessment of African countries' level of effective involvement into the Codex process and related indicators to enhance their participation to the standard setting work*". This study, available in draft, focused on the countries in the Codex African region and examined the ability of selected countries to engage in Codex discussions, prepare adequately, ensure a sound basis to national positions, and demonstrate appropriate use of Codex standards as an element of a national food safety system. Such studies are used particularly to identify, further develop and/or tailor Codex training and other capacity-building activities to strengthen participation of developing countries and countries with economies in transition in the standard setting process.

Strategic planning to enhance the impact of the Codex Trust Fund

As a follow up to the findings and recommendations in the above reports, the Codex Trust Fund Secretariat initiated a strategic planning process with the objectives of:

- defining the strategic positioning of the Codex Trust Fund for the next 4 years of project operation;
- determining how the Trust Fund can best use its leverage and work with and through its stakeholders to achieve its objectives, particularly those that coincide with broader objectives of Codex-related activities;
- identifying areas where the Trust Fund can realize greater efficiency and effectiveness in its ways of working.

The process kicked off with a planning meeting held at FAO in Rome on 7 and 8 December 2007. The meeting used strategic planning tools and techniques to profile the Codex Trust Fund, analyse its strengths and weaknesses and the opportunities and threats it faces, define its stakeholders and their expectations and identify key actions to maximize the Trust Fund's impact. The outcome of the planning meeting was used to develop a strategic action plan for the Codex Trust Fund for 2008-2009.

The report of the planning meeting and the draft strategic action plan are currently being finalized with feedback from participants at the meeting prior to being shared for input from Codex Trust Fund stakeholder groups.

C. FINANCIAL SECTION

2003-2007

To provide a complete financial picture, the following information covers the full period since the Codex Trust Fund was initiated. During the period from January 2003 to December 2007, a total of USD 5,485,396 was received as contributions from 12 Member countries and the European Community. Table 3 below shows a breakdown of contributions received 2003-2007 by donor and month of receipt.

**Table 3 - Codex Trust Fund -- Contributions Received (2003-2007)
(to December 2007)**

Donor	Amount Received (in contribution currency)	Amount Received (in USD)	Date Received
Switzerland	CHF 50,000	35,971	January 2003
Canada	CAD 50,000	34,014	April 2003
United States	USD 168,000	168,000	November 2003
Norway	USD 100,000	100,000	December 2003
Ireland	EUR 50,000	60,824	December 2003
Netherlands	USD 50,000	50,000	December 2003
Switzerland	CHF 25,000	20,000	January 2004

European Community	EUR 280,250	348,570	February 2004
Canada	CAD 200,000	150,344	February 2004
Australia	AUD 40,000	27,906	August 2004
Sweden	SEK 2,000,000	281,960	November 2004
Netherlands	USD 50,000	50,000	November 2004
Ireland	EUR 30,000	39,788	December 2004
New Zealand	NZD 50,000	35,770	December 2004
Norway	USD 100,000	100,000	December 2004
United States	USD 85,000	85,000	December 2004
Germany	USD 50,000	50,000	January 2005
European Community	EUR 280,250	366,340	February 2005
Canada	CAD 200,000	163,586	March 2005
Sweden	SEK 3,000,000	381,194	November 2005
Netherlands	USD 50,000	50,000	November 2005
United States	USD 157,893	157,893	December 2005
Norway	USD 100,000	100,000	December 2005
Finland	EUR 50,000	58,824	December 2005
Japan	USD 80,000	80,000	February 2006
European Community	EUR 190,000	229,746	April 2006
Canada	CAD 200,000	175,362	April 2006
Netherlands	USD 50,000	50,000	May 2006
Sweden	SEK 3,000,000	416,089	July 2006
New Zealand	NZD 50,000	33,040	December 2006
Norway	USD 100,000	100,000	December 2006
Germany	USD 66,250	66,250	December 2006
United States	USD 60,292	60,293	December 2006
Switzerland	CHF 200,000	163,934	January 2007
Switzerland	CHF 68,000	55,738	January 2007
Japan	USD 80,000	80,000	March 2007
Sweden	SEK 3,000,000	441,000	July 2007
Germany	EUR 30,000	41,004	August 2007
Japan	USD 50,000	50,000	September 2007
Netherlands	EUR 50,000	73,746	December 2007
Sweden	SEK 3,000,000	453,210	December 2007
Total USD			5,485,396

Of the 175 Codex members that are countries (i.e. members excluding the European Community), 41 fall within the World Bank list of High Income economies and are thus not eligible for support from the Codex Trust Fund. Up to December 2007, 12 of these 41 countries had made one or more cash or in-kind contributions to the Codex Trust Fund (as had the European Community). The remaining 29 countries could be viewed as potential new donors, and include the OPEC countries of the Gulf States.

For the first time in 2007, a Codex member with an economy classified as upper middle income announced its intention to provide the first contribution to the Codex Trust Fund by a country that has benefited from Codex Trust Fund support in the past. It is hoped that this new development will encourage other countries in a similar situation to follow suite, thus broadening the donor base further and providing an important signal on the importance accorded to participation in Codex by developing countries.

Expenditure from the Codex Trust Fund from 2003 to 2007 totalled USD 4,503,637 (including Program Support Costs) and was made up as follows:

**Table 4 - Breakdown of Codex Trust Fund total expenditure
(as at 31 December 2007)**

<i>Support to beneficiary countries</i>	
Least Developed and Low Income Countries	2,071,673
Lower and Upper Middle Income Countries	1,576,273
Training and technical support	360,291
<i>Project management and administration</i>	495,400
Total (in USD)	4,503,637

2008

The balance of funds carried forward to 2008 was USD 1,264,336 which together with the approximately USD 255,000 contributions received between January and March 2008, and the USD 898,157 donations already pledged for 2008 from the European Commission, Canada, Germany, Malaysia, and New Zealand will total approximately USD 2,417,493. Based on this projection, the provisional budget for the Codex Trust Fund for 2008 was established by the Consultative Group for the Trust Fund at \$2.2 million. It is expected that this sum will allow support of around 314 participants from 94 countries to attend 16 Codex meetings and Task Forces, as well as provide support to attend Codex training courses.

Annex A - Eligible countries by Codex Regions 2007

Africa (44 countries)		
Angola	Gabon	Namibia
Benin	Gambia	Niger
Botswana	Ghana	Nigeria
Burkina Faso	Guinea	Rwanda
Burundi	Guinea-Bissau	Senegal
Cameroon	Kenya	Seychelles
Cape Verde	Lesotho	Sierra Leone
Central African Republic	Liberia	South Africa
Chad	Madagascar	Swaziland
Congo, Republic of	Malawi	Togo
Côte d'Ivoire	Mali	Uganda
Democratic Republic of the Congo	Mauritania	United Republic of Tanzania
Equatorial Guinea	Mauritius	Zambia
Eritrea	Morocco	Zimbabwe
Ethiopia	Mozambique	

Asia (18 countries)		
Afghanistan	Indonesia	Philippines
Bangladesh	Lao People's Democratic Republic	Sri Lanka
Bhutan	Malaysia	Thailand
Cambodia	Mongolia	Vietnam
China	Myanmar	
Democratic People's Republic of Korea	Nepal	
India	Pakistan	

Europe (23 countries)		
Albania	Hungary	Russian Federation
Armenia	Kazakhstan	Serbia
Belarus	Kyrgyzstan	Slovak Republic
Bulgaria	Latvia	The Former Yugoslav Republic of Macedonia
Croatia	Lithuania	Turkey
Czech Republic	Moldova, Republic of	Ukraine
Estonia	Poland	Uzbekistan
Georgia	Romania	

Latin America and the Caribbean (32 countries)		
Antigua and Barbuda	Dominican Republic	Panama
Argentina	Ecuador	Paraguay
Barbados	El Salvador	Peru
Belize	Grenada	Saint Kitts and Nevis
Bolivia	Guatemala	Saint Lucia
Brazil	Guyana	Saint Vincent and the Grenadines
Chile	Haiti	Suriname
Colombia	Honduras	Trinidad and Tobago
Costa Rica	Jamaica	Uruguay
Cuba	Mexico	Venezuela
Dominica	Nicaragua	

Near East (12 countries)		
Algeria	Jordan	Sudan
Egypt	Lebanon	Syrian Arab Republic
Iran, Islamic Republic of	Libyan Arab Jamahiriya	Tunisia
Iraq	Oman	Yemen

South-West Pacific (9 countries)		
Cook Islands	Micronesia, Federated States of	Solomon Islands
Fiji	Papua New Guinea	Tonga
Kiribati	Samoa	Vanuatu

Annex B - Grouping of Eligible Countries in 2007

Update of Annex C of CX/EXEC 05/57/6 - 138 countries in total

Changes: Belarus added to Group 2 in August 2006, Antigua and Barbuda, Russian Federation, South Africa and Turkey moved to Group 3A, Panama moved to Group 3B, Saudi Arabia no longer eligible.

GROUP 1 (60 countries)

Group 1A – Least Developed Countries (LDC) <i>As listed by the Least Developed Countries Report 2006 (UNCTAD)</i>	Group 1B – Other Low Income Countries (LIC) <i>Listed as LIC by the World Development Report 2006 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2005 (UNDP)</i> <i>*except Democratic People's Republic of Korea which is not listed in UNDP 2005</i>
Africa Angola Benin Burkina Faso Burundi Cape Verde Central African Republic Chad Democratic Republic of the Congo Equatorial Guinea Eritrea Ethiopia Gambia Guinea Guinea-Bissau Lesotho Liberia Madagascar Malawi Mali Mauritania Mozambique Niger Rwanda Senegal Sierra Leone Togo Uganda United Republic of Tanzania Zambia	Africa Cameroon Congo, Republic of Côte d'Ivoire Ghana Kenya Nigeria Zimbabwe
Asia Bangladesh Bhutan Cambodia Lao Peoples Democratic Republic Myanmar Nepal	Asia *Democratic People's Republic of Korea India Mongolia Pakistan Viet Nam
Europe -	Europe Moldova, Republic of Uzbekistan
Latin America and the Caribbean Haiti	Latin America and the Caribbean Nicaragua
Near East Afghanistan Sudan Yemen	Near East Kyrgyzstan
South-West Pacific Kiribati Samoa Solomon Islands Vanuatu	South-West Pacific Papua New Guinea
43 countries	17 countries

GROUP 2 (43 countries)

*Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2006 (World Bank) and Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2005 (UNDP). *except Cook Islands which is unlisted in both reports and Serbia, Iraq and Federated States of Micronesia which are not listed in UNDP 2005*

<p>Africa Morocco Namibia Swaziland</p>
<p>Asia China Indonesia Philippines Sri Lanka Thailand</p>
<p>Europe Albania Armenia Belarus Bulgaria Georgia Kazakhstan Romania *Serbia The Former Yugoslav Republic of Macedonia Ukraine</p>
<p>Latin America and the Caribbean Bolivia Brazil Colombia Cuba Dominican Republic Ecuador El Salvador Guatemala Guyana Honduras Jamaica Paraguay Peru Suriname</p>
<p>Near East Algeria Egypt *Iraq Islamic Republic of Iran Jordan Syrian Arab Republic Tunisia</p>
<p>South-West Pacific *Cook Islands Fiji *Micronesia, Federated States of Tonga</p>
<p>43 countries</p>

GROUP 3 (35 countries)

Group 3A <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2006 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2005 (UNDP).</i>	Group 3B <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2006 (World Bank) and High Human Development (HHD) in the Human Development Report 2005 (UNDP).</i>
Africa Botswana Gabon Mauritius South Africa	Africa Seychelles
Asia Malaysia	Asia -
Europe Russian Federation Turkey	Europe Croatia Czech Republic Estonia Hungary Latvia Lithuania Poland Slovak Republic
Latin America and the Caribbean Antigua and Barbuda Belize Dominica Grenada Saint Lucia Saint Vincent and the Grenadines Venezuela	Latin America and the Caribbean Argentina Barbados Chile Costa Rica Mexico Panama Saint Kitts and Nevis Trinidad and Tobago Uruguay
Near East Lebanon Libyan Arab Jamahiriya Oman	Near East -
South-West Pacific -	South-West Pacific -
17 countries	18 countries

Annex C - Countries supported by the Codex Trust Fund, January-December 2007

Meeting	Country
Coordinating Committee for EUROPE <i>15 - 18 January 2007, Vilnius, Lithuania</i>	Serbia Uzbekistan
Coordinating Committee for AFRICA <i>23-26 January 2007, Rabat, Morocco</i>	Angola Benin Botswana Burkina Faso Burundi Cameroon Cap Verde Central African Republic Chad Congo Côte d'Ivoire Democratic Republic of Congo Ethiopia Gabon Gambia Ghana Guinea Guinea-Bissau Kenya Lesotho Madagascar Malawi Mali Mauritania Morocco Niger Nigeria Senegal Seychelles Sierra Leone Swaziland Togo Uganda United Republic of Tanzania Zambia Zimbabwe
Codex Committee on Fats & Oils <i>19-23 February 2007, London, United Kingdom</i>	Gambia Pakistan Syrian Arab Republic
Coordinating Committee for NEAR EAST <i>26 February - 1 March 2007, Amman, Jordan</i>	Egypt Lebanon Syrian Arab Republic
Codex Committee on Methods of Analysis & Sampling <i>5-9 March 2007, Budapest, Hungary</i>	Central African Republic Chile Kenya Kiribati Myanmar Senegal Viet Nam
Codex Committee on Contaminants in Foods <i>16-20 April 2007, Beijing, China</i>	Brazil Croatia Ghana Islamic Republic of Iran Kenya Madagascar Mongolia

Meeting	Country
	Nepal Nigeria Oman Philippines Togo Uganda United Republic of Tanzania
Codex Committee on Food Additives <i>24-28 April 2007, Beijing, China</i>	Islamic Republic of Iran Kenya Madagascar Mongolia Nepal Nigeria Oman Philippines Serbia Togo Uganda
Codex Committee on General Principles <i>2 - 6 April 2007, Paris, France</i>	Benin Central African Republic China Colombia Cuba Democratic Republic of Congo Egypt El Salvador Ethiopia Former Yugoslav Republic of Macedonia Indonesia Jamaica Mexico Morocco Niger Samoa Sudan Togo Uzbekistan
Codex Committee on Food Labelling <i>30 April - 04 May 2007 Ottawa, Canada</i>	Angola Bolivia Cook Islands Côte d'Ivoire Ecuador Gambia Grenada Guyana Haiti India Kenya Lesotho Madagascar Mali Mauritania Mongolia Nepal Nicaragua Lao People's Democratic Republic Paraguay Saint Kits and Nevis Samoa Sri Lanka

Meeting	Country
	Tunisia Uruguay Vanuatu
Codex Committee on Pesticide Residues <i>07-12 May 2007, Beijing, China</i>	Brazil Cuba Dominica Dominican Republic El Salvador Ghana Guinea India Islamic Republic of Iran Lesotho Madagascar Malawi Pakistan United Republic of Tanzania
Codex Alimentarius Commission <i>2-7 July 2007, Rome, Italy</i>	Algeria Antigua & Barbuda Armenia Benin Bhutan (2) Burundi Cameroon Central African Republic China Colombia Côte d'Ivoire Democratic Republic of Congo Ethiopia Fiji Ghana Guinea Jordan Lao People's Democratic Republic Malawi Mali Niger Nigeria Paraguay Peru Papua New Guinea Sudan Togo Uganda United Republic of Tanzania Vanuatu Viet Nam Zambia Zimbabwe
Codex Committee on Residues of Veterinary Drugs in Foods <i>03 - 07 September 2007, Colorado, USA</i>	Angola Cap Verde Cook Islands Guatemala Indonesia Malawi Vietnam Zimbabwe

Meeting	Country
Ad Hoc Codex Intergovernmental Task Force on Food Derived from Biotechnology <i>24 - 28 September 2007, Chiba, Japan</i>	Cameroon Mali
Codex Committee on Food Hygiene <i>30 Oct - 4 Nov 2007, New Delhi, India</i>	Albania Angola Bhutan Burundi Cameroon Côte d'Ivoire Dominican Republic Ecuador Fiji Guatemala Honduras Kiribati Lao People's Democratic Republic Nepal Nicaragua Peru Philippines Solomon Islands Sri Lanka Tunisia Uganda United Republic of Tanzania Zambia
Codex Committee on Nutrition and Foods for Special Dietary Uses <i>12 - 16 November 2007, Bad Neuenahr, Germany</i>	Argentina Barbados Benin Eritrea Mongolia Sudan Zimbabwe
Codex Committee on Food Import and Export Inspection and Certification Systems <i>26-30 November 2007, Queensland, Australia</i>	Bolivia Cap Verde Democratic Republic of Congo Eritrea Guyana Jamaica Lebanon Pakistan Peru Papua New Guinea Samoa Solomon Islands (2) Tonga Vanuatu

ANNEX 2

**FAO/WHO PROJECT AND FUND FOR
ENHANCED PARTICIPATION IN CODEX**

**Tenth Progress Report
(January-June 2008)**

A. INTRODUCTION

This is the Tenth Progress Report on the FAO/WHO Project and Trust Fund for Enhanced Participation in Codex. It covers activities for the first six months of 2008 and follows on from the information contained in the 2007 Annual Report.

B. TECHNICAL SECTION*2008 Applications*

The "Call for Applications" for support in 2008 was made widely available in August and September 2007 using the following channels: Codex e-mail lists; WHO and FAO regional offices and representatives in countries, WHO, FAO and Codex Secretariat websites, WHO and FAO newsletters. In addition, and in order to target eligible countries who have never applied, or who did not receive support in 2007, personal communication was initiated by the Codex Trust Fund Secretariat with the designated Codex Contact Point in the country. Applications for support were received from a total of 98 countries. Of these 14 countries have never applied before and an additional 20 countries did not benefit from support in 2007.

From among the countries submitting applications the following are no longer eligible for support:

- Antigua and Barbuda due to high income status;
- Argentina, Mexico and Uruguay who are "graduates" in 2008.⁵

Of the 96 eligible countries, the application breakdown is as follows:

- 34 countries from Group 1a;
- 12 countries from Group 1b;
- 41 countries from Group 2;
- 7 countries from Group 3a;
- 2 countries from Group 3b.

Breakdown of applications according to Codex region is as follows:

Table 1 - Breakdown of applications received for support in 2008 by Codex Region

Codex Region	No. of countries eligible	No. of applications received	% of eligible countries applying
Africa	43	37	86%
Asia	18	15	83%
Europe	23	8	35%
Latin America & the Caribbean	28	20	71%
Near East	12	8	67%
South West Pacific	9	8	89%
Total for 2008	133	96	72%

⁵ "Graduates" are in Group 3b and have benefited from Codex Trust Fund support as follows: 1st year at 100%; years 2,3 and 4 at 50%. They are expected to be fully self-supporting in 2008 according to the matched funding status table.

Given the liquidity forecast for 2008, in December 2007, the Consultative Group for the Codex Trust Fund determined the level of support to be offered in 2008 as follows:

Group 1a	4 meetings
Group 1b	4 meetings
Group 2	3 meetings
Group 3a	1 meeting (for all countries on 100% or 50% matched funding status)
Group 3b	1 meeting (for all countries on 100% or 50% matched funding status)

2008 Meeting Participation

For the period January to December 2008 it is expected that 314 delegates from 96 countries will be supported to attend 16 Codex meetings and task forces. The breakdown of participants is expected to be: 64% from Least Developed Countries (LDCs) and other lower income countries; 33% from lower middle income countries; and 3% from upper middle income countries. Annex A provides details of the meetings selected by countries for support in 2008 (as at 15 March 2008).

FAO in collaboration with WHO organized a 4 day training course on Enhancing Effective Participation in Codex in Yaoundé, Cameroon from 1-4 April 2008. The Codex Trust Fund provided support for 14 participants from 7 countries to participate in the course.⁶ This training built on and further strengthened knowledge and skills acquired by countries at the Codex training organized by the Codex Trust Fund in Rabat, Morocco in January 2007.

The Codex Trust Fund in collaboration with FAO and WHO, and with in-kind support from New Zealand, will be organizing a 3 day Codex training course in Denpasar, Indonesia from 13-15 November 2008. This training course builds on the success of regional training courses held in Costa Rica (2005) and Morocco (2007) and is aimed at all Codex Trust Fund eligible countries in the Codex Asian and Southwest Pacific regions (27 eligible countries). The training course is timed to take place immediately preceding the regional Coordinating Committee for Asia (Denpasar, Indonesia, 17-21 November 2008) thus offering an opportunity for participants to put their new knowledge and skills immediately into practice in the setting of a Codex meeting.

C. FINANCIAL SECTION

2008 Finance

A balance of funds of USD 1,264,336 was carried forward from 2007 to 2008. In February 2008 a contribution of USD 175,000 was received from the United States of America. In March 2008 a contribution of USD 80,000 was received from Japan.

To date six further contributions are expected to the Codex Trust Fund in 2008 totalling USD 898,157 and these are shown in Table 1. This will provide the Codex Trust Fund with an expected USD 2,417,493 in 2008. France has also indicated that it will make a first contribution to the Codex Trust Fund; the modalities of which are still being finalized.

**Table 2. Codex Trust Fund - Contributions expected April to December 2008
(as at 30 March 2008)**

Donor	Amount expected (in contribution currency)	Approximate amount expected (in USD)	Agreement period
European Commission	EUR 200,000	USD 303,030 ⁷	2007
Canada	CAD 125,000	USD 127,811 ⁸	2008

⁶ The report of the training course is available at http://www.fao.org/ag/agn/agns/capacity_events_en.asp

⁷ Euro/USD exchange rate as of 11 March 2008 = 0.66

⁸ CAD/USD exchange rate as at 1 March 2008 = 0.978

European Commission	EUR 225,000	USD 340,909	2008
Germany	EUR 50,000	USD 75,757	2008
Malaysia	USD 10,000	USD 10,000	2008
New Zealand	NZD 50,000	USD 40,650 ⁹	2008
Total approximate monetary amount expected		USD 898,157	
In-kind contributions			
New Zealand	In-kind support to Codex training (lead trainer for Codex training scheduled for November 2008)		2008

Total cost to the Codex Trust Fund for providing support to attend Codex Committee meetings, task forces and working groups and to participate in Codex training in 2008 is estimated to be USD 2,200,000 (including administrative and management costs).

D. OTHER ISSUES

Strategic planning monitoring and evaluation of the Codex Trust Fund

The report of the Codex Trust Fund planning meeting held at FAO in December 2007 (see 2007 Annual Report) and the draft strategic action plan were finalized with feedback from participants in March 2008. As a next step in the strategic planning process, the report and action plan will be circulated to different stakeholder groups for their input and comments.

As part of the strategic planning process, a monitoring and evaluation system is being developed for the Codex Trust Fund. It is envisaged that both the results of the stakeholder consultation, and the draft framework for the monitoring and evaluation system will be made available for discussion at an informal meeting of the Codex Trust Fund at the 31st session of the Codex Alimentarius Commission.

⁹ NZD/USD exchange rate as at 1 March 2008 = 1.23

**Annex A - Countries supported by the Codex Trust Fund, January-April 2008
(as at 31 March 2008)**

Meeting	Country
Codex Committee on Milk and Milk Products <i>4-8 February 2008, Queentown, New Zealand</i>	Indonesia Iran Mongolia Nepal
Codex Committee on Natural Mineral Waters <i>11 – 15 February 2008, Lugano, Switzerland</i>	Niger Sri Lanka
Codex Committee on Fish and Fishery Products <i>18 – 23 February 2008, Trondheim, Norway</i>	Angola Cambodia Cape Verde Kenya Mauritania Togo
Ad hoc Codex Intergovernmental Task Force on the Processing and Handling of Quick Frozen Foods <i>25 - 29 February 2008 Bangkok, Thailand</i>	Uzbekistan
Codex Committee on Methods of Analysis and Sampling <i>10 - 14 March 2008, Budapest, Hungary</i>	DPR Korea (2) Iran Mozambique Zambia Zimbabwe
Codex Committee on Contaminants in Foods <i>31 March - 4 April 2008, The Hague, Netherland</i>	China Cook Islands Eritrea Georgia Ghana Ivory Coast Kenya Niger Nigeria United Republic of Tanzania Viet Nam

Annex B - Countries to be supported by the Codex Trust Fund, April-December 2008

Meeting	Country
Codex Committee on Pesticide Residues <i>14 - 19 April 2008, Hangzhou, China</i>	Burundi Cameroon Côte d'Ivoire Cuba DPR Korea (2) Ecuador Guinea-Bissau Haiti Mali Malawi Pakistan Senegal Sierra Leone Togo Uganda United Republic of Tanzania
Codex Committee on Food Additives <i>21 April - 25 April 2008, Beijing, China</i>	Albania Algeria Bosnia and Herzegovina Dominican Republic DPR Korea (2) Gambia Indonesia The former Yugoslav Republic of Macedonia Madagascar Mali Myanmar Serbia Sierra Leone Syria Uganda Uzbekistan
Codex Committee on Food Labelling <i>28 April - 02 May 2008, Ottawa, Canada</i>	Bolivia Burundi Central African Republic Democratic Republic of the Congo Egypt Gambia Ghana Guinea Bissau Guyana Jamaica Lao People's Democratic Republic Lesotho Madagascar Mozambique Nicaragua Nigeria Philippines Samoa Sri Lanka Swaziland Tunisia Vanuatu Viet Nam Zambia
Codex Committee on Fresh Fruits and Vegetables <i>12 - 17 May 2008, Mexico City, Mexico</i>	Albania Bhutan Colombia

	Cuba Ethiopia Ghana Haiti Honduras Iran Madagascar Pakistan Peru Sierra Leone Sudan Syrian Arab Republic Tonga
Codex Alimentarius Commission <i>30 June - 05 July 2008, Geneva, Switzerland</i>	Afghanistan Angola Armenia Bhutan Bolivia Burkina Faso Burundi Cameroon Cape Verde Central African Republic China Colombia Côte d'Ivoire Cuba Democratic Republic of the Congo Egypt El Salvador Eritrea Ethiopia Georgia Guatemala Guinea India Jordan Lao People's Democratic Republic Lesotho Morocco Niger Papua New Guinea Paraguay Peru Philippines Samoa Solomon Islands Sudan Suriname Swaziland Syrian Arab Republic Togo Turkey Uganda United Republic of Tanzania Uzbekistan Vanuatu Viet Nam Zambia Zimbabwe

Codex Committee on Processed Fruits and Vegetables <i>15-19 September 2008, Washington D.C., USA</i>	Albania Bhutan Cook Islands Ecuador Fiji
FAO/WHO Coordinating Committee for Europe <i>20-24 October 2008, Warsaw, Poland</i>	A training course is organized bringing all eligible European countries
FAO/WHO Coordinating Committee for North America and South West Pacific <i>27-30 October 2008, Nuku'Alonfa, Tonga</i>	Cook Islands Micronesia (Federated States of) Papua New Guinea Samoa Solomon Islands Vanuatu
Codex Committee on Nutrition and Foods for Special Dietary Uses <i>3-7 November 2008, South Africa</i>	Barbados Benin Egypt Gambia Grenada Guatemala Guinea Guinea Bissau Kenya Malawi Mongolia Myanmar Niger Philippines Senegal Sudan
FAO/WHO Coordinating Committee for Latin America and the Caribbean <i>10-13 November 2008, Mexico City, Mexico</i>	Dominican Republic Guyana Haiti Jamaica Suriname
FAO/WHO Coordinating Committee for Asia <i>17- 21 November 2008, Denpasar, Indonesia</i>	A training course is organized bringing all eligible Asian countries
Codex Committee on Food Import and Export Inspection and Certification Systems <i>24-28 November 2008, Venue to be announced</i>	Albania Algeria Armenia Belize Bhutan Burundi Cameroon Cape Verde Colombia Democratic Republic of the Congo Ecuador Eritrea Ethiopia Gambia Guatemala Guinea Bissau Guyana Honduras Jordan Lebanon Lesotho Malawi Mongolia Morocco Sierra Leone Swaziland

	Tonga Tunisia Uganda Vanuatu Viet Nam Zambia Zimbabwe
Codex Committee on Food Hygiene <i>1-5 December 2008, Guatemala City, Guatemala</i>	Belize Benin Cape Verde Croatia Ethiopia Honduras Indonesia Jordan Lao People's Democratic Republic Lesotho Malawi Mauritania Morocco Mozambique Nicaragua Nigeria Papua New Guinea Peru Samoa Senegal Serbia Tonga Turkey Uganda Zimbabwe

Annex C - Grouping of Eligible Countries

GROUPING OF COUNTRIES ELIGIBLE TO SUBMIT APPLICATIONS FOR 2008 SUPPORT

Update of Annex C of CX/EXEC 05/57/6 - 133 countries in total

(as at 30 August 2007)¹⁰

Changes: Afghanistan moved from Group 1B to Group 1A (LDC status). Cameroon, Republic of Congo, Republic of Moldova and Nicaragua moved from Group 1B to Group 2. Romania moved from Group 2 to Group 3B. Mauritius, Malaysia, and Oman moved from Group 3A to Group 3B. Antigua and Barbuda no longer eligible in 2008 due to high income status.

GROUP 1 (56 countries)

Group 1A – Least Developed Countries (LDC) <i>As listed by the Least Developed Countries Report 2007 (UNCTAD)</i>	Group 1B – Other Low Income Countries (LIC) <i>Listed as LIC by the World Development Report 2007 (World Bank) and Low Human Development or Medium Human Development by the Human Development Report 2006 (UNDP)</i> <i>*except Democratic People's Republic of Korea for which Human Development Index cannot be computed</i>
Africa Angola Benin Burkina Faso Burundi Cape Verde Central African Republic Chad Democratic Republic of the Congo Equatorial Guinea Eritrea Ethiopia Gambia Guinea Guinea-Bissau Lesotho Liberia Madagascar Malawi Mali Mauritania Mozambique Niger Rwanda Senegal Sierra Leone Togo Uganda United Republic of Tanzania Zambia	Africa Côte d'Ivoire Ghana Kenya Nigeria Zimbabwe
Asia Afghanistan Bangladesh Bhutan Cambodia Lao Peoples Democratic Republic Myanmar Nepal	Asia *Democratic People's Republic of Korea India Mongolia Pakistan Viet Nam
Europe -	Europe Uzbekistan Kyrgyzstan

¹⁰ This listing was established in August 2007. As such it includes countries who, during the application review process in November 2007, were classified as having exhausted their eligibility for support from the Codex Trust Fund in accordance with the matched funding status policy (Argentina, Mexico, Uruguay). These countries will appear as graduates in the updated table that will appear in the 2008 Annual Report.

Latin America and the Caribbean Haiti	Latin America and the Caribbean
Near East Sudan Yemen	Near East
South-West Pacific Kiribati Samoa Solomon Islands Vanuatu	South-West Pacific Papua New Guinea
43 countries	13 countries

GROUP 2 (46 countries)

<p><i>Countries listed as Lower Middle income Countries (LMC) by the World Development Report 2007 (World Bank) and Medium Human Development (MHD) or High Human Development (HHD) by the Human Development Report 2006 (UNDP).</i></p> <p><i>*except Cook Islands which is unlisted in both reports and Serbia which appears as Serbia Montenegro in WDR 2007 and Serbia, Iraq and Federated States of Micronesia for which Human Development Index cannot be computed.</i></p>
<p>Africa Cameroon Congo, Republic of Morocco Namibia Swaziland</p>
<p>Asia China Indonesia Philippines Sri Lanka Thailand</p>
<p>Europe Albania Armenia Belarus Bulgaria Georgia Kazakhstan Moldova, Republic of *Republic of Serbia The Former Yugoslav Republic of Macedonia Ukraine</p>
<p>Latin America and the Caribbean Bolivia Brazil Colombia Cuba Dominican Republic Ecuador El Salvador Guatemala Guyana Honduras Jamaica Nicaragua Paraguay Peru Suriname</p>
<p>Near East Algeria Egypt *Iraq Iran, Islamic Republic of Jordan Syrian Arab Republic Tunisia</p>

South-West Pacific *Cook Islands Fiji *Micronesia, Federated States of Tonga
46 countries

GROUP 3 (31 countries)

Group 3A <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2007 (World Bank) and Medium Human Development (MHD) in the Human Development Report 2006 (UNDP).</i>	Group 3B <i>Countries listed as Upper Middle income Countries (UMC) in the World Development Report 2007 (World Bank) and High Human Development (HHD) in the Human Development Report 2006 (UNDP).</i>
Africa Botswana Gabon South Africa	Africa Mauritius
Asia	Asia Malaysia
Europe Russian Federation Turkey	Europe Croatia Czech Republic Estonia Hungary Latvia Lithuania Poland Romania Slovak Republic
Latin America and the Caribbean Belize Dominica Grenada Saint Lucia Saint Vincent and the Grenadines Venezuela	Latin America and the Caribbean Argentina Barbados Mexico Saint Kitts and Nevis Trinidad and Tobago Uruguay
Near East Lebanon Libyan Arab Jamahiriya	Near East Oman
South-West Pacific -	South-West Pacific -
13 countries	18 countries

"Graduates" of the Codex Trust Fund¹¹

2007	Chile Costa Rica Panama Seychelles
Total number of graduating countries	4 countries

¹¹ According to the matched funding requirements established at the inception of the Codex Trust Fund (see http://www.who.int/foodsafety/codex/en/proj_doc_e.pdf) a gradual increase in the financial participation of countries will take place as the countries move through the cycle of Codex Trust Fund support. The first countries from Group 3B who have received support for 3 years (full support in the first year, 50% support in the second and third years) are expected to be fully self-funding by the fourth year.