





The FAO/WHO Codex Trust Fund CCNE11

Michael Hinsch CTF Programme Administrator

Rome 21 September 2023

Agenda



Objectives of side event

Closing rer	narks	
Topic 4	Partnership opportunities	Q&A
Topic 3	Application channels	Q&A
Topic 2	Scope and types of support	Q&A
Topic 1	The FAO/WHO Codex Trust Fund: an introduction	Q&A

The objectives of this side event are to:

- 1. Familiarize participants with the rationale, goals and activities of the FAO/WHO Codex Trust Fund (CTF);
- 2. Present the scope of support provided by the Codex Trust Fund;
- 3. Explain how countries can apply for different kinds of support;
- 4. Discuss different types of partnerships that can help countries advance their national Codex systems.



Topic 1	The FAO/WHO Codex Trust Fund: an introduction	Q&A
Topic 2	Scope and types of support	Q&A
Topic 3	Application channels	Q&A
Topic 4	Partnership opportunities	Q&A

CODEX TRUST FUND INVESTING TOGETHER TO RAISE GLUBBAL FOO STANDARDS

First phase of the CTF (CTF1: 2004-2015):

- FAO/WHO Project and Fund for Enhanced Participation in Codex
- Travel support to representatives of developing and transition economy countries to participate in Codex meetings which contributed to enhanced participation in Codex

Second phase of the CTF (CTF2: 2016-2027):

- Joint FAO/WHO Programme providing support to developing and transition economy countries to build strong, solid and sustainable national capacity to engage in Codex
- Goal of the CTF2: development and transition economy countries are sustainably engaged in Codex.

The Codex Trust Fund (CTF) in 2023

Types of support provided:

- Multi-annual capacity strengthening support to successfully applying countries or groups of countries
- Tailored capacity development activities carried out by FAO and WHO at regional, sub-regional and global level

Support structure:

CODEX

ST FUND

 Support is provided through FAO and WHO regional and country offices, with the secretariat being based at WHO HQ in Geneva, Switzerland.



Ongoing and approved projects

The CTF2 has been providing support to 50 countries through 42 projects (38 individual projects and 4 group projects). 9 approved projects *(in italics)* are awaiting implementation

Codex Region	Round 1	Round 2	Round 3	Round 4	Round 5	Round 6	Round 7
CCAFRICA	Ghana, Madagascar, Senegal	Burkina Faso, Cabo Verde, Guinea, Mali, Rwanda	Benin, Côte d'Ivoire, The Gambia, Malawi, Nigeria, Uganda-Kenya- Tanzania-South Sudan-Burundi	Mauritius	Ethiopia, Eritrea, Guinea-Bissau, Liberia	Comoros, Niger, Sierra Leone, Zambia, Zimbabwe	Botswana, Lesotho
CCASIA	-	Bhutan-India- Nepal	-	Lao PDR- Cambodia	Maldives, Timor- Leste	Pakistan	
CCEURO	Kyrgyzstan	North Macedonia	Turkmenistan	Azerbaijan, Kazakhstan	-	Uzbekistan	Tajikistan
CCLAC	-	Honduras	Bolivia, Cuba	El Salvador- Guatemala, Guyana	-	-	
CCNASWP	-	-	-	Samoa, Tonga	Fiji		Cook Islands, Kiribati, Solomon Islands, Vanuatu
CCNE	-	-	-	-	-	Syrian Arab Rep.	



Enhancing participation in the Codex Alimentarius supports the 2030 Agenda for Sustainable Development





The CTF is a donor-driven program and has been able to operate and support the implementation of capacity strengthening projects thanks to the support of 16 government donors:

Canada	Ireland	New Zealand
European Commission	Japan	Norway
Finland	Korea (Rep.)	Switzerland
France	Malaysia	United Kingdom
Germany	Netherlands	United States
India		

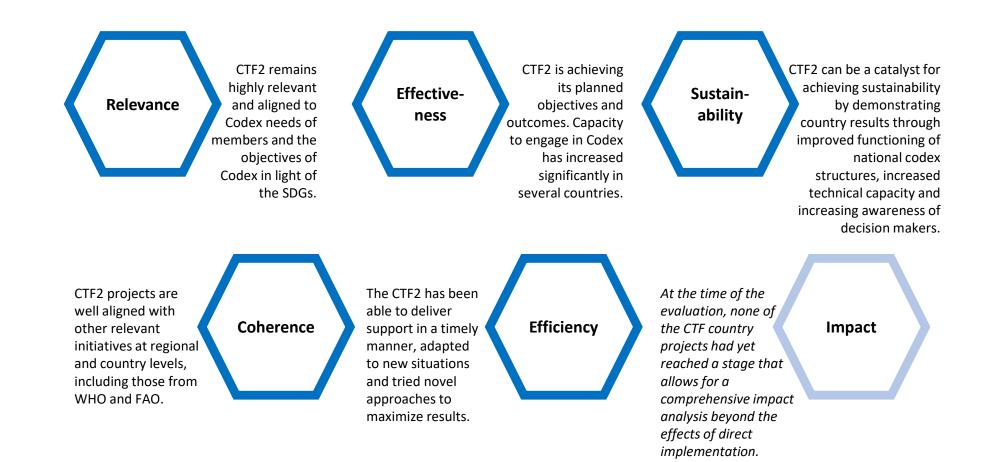
Taking stock: the CTF2 mid-term evaluation

- An evaluation was conducted half-way through the mandate of the CTF2 to assess the effectiveness and efficiency of its operations.
- The evaluation was timely as it fell into the COVID-19 pandemic, which disrupted the implementation of CTF2 projects and introduced new ways of working.
- The results of the mid-term evaluation inform the remaining years of the second phase of the Codex Trust Fund, which ends in 2027, and point out how to better serve Codex Member States eligible for support.



FA0/WH0 CODEX TRUST FUND

Taking stock: the CTF2 mid-term evaluation



FAO/WHO CODEX TRUST FUND



The FAO/WHO Codex Trust Fund

Latest updates:

- Document repository going live in 2023
- > New channels of support under development
- CTF application round 8 opened on 1 September

More information on our website:

https://www.who.int/initiatives/codex-trust-fund

Why countries apply & Introducing the Diagnostic tool	Who can apply?	What can applicants apply for?
How much funding can be applied for?	How to apply?	When to apply?
The decision- making process	Apply!	Lessons learned from previous applications



- **CTF:** supports enhanced capacity in \geq the development of standards
- > STDF: focuses on support for the implementation of standards

(STDF projects help public and private sector stakeholders in developing countries improve food safety, animal and plant health to facilitate safe trade)

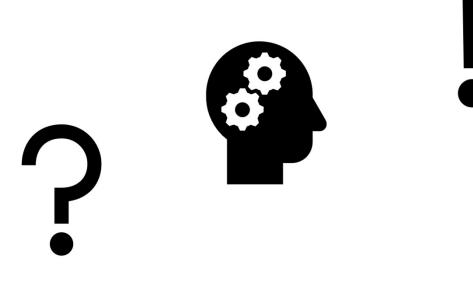
www.standardsfacility.org

CODEX

TO RAISE GLOBAL FOOD STANDARD



Do you have any questions?





Topic 1	The FAO/WHO Codex Trust Fund: an introduction	Q&A
Topic 2	Scope and types of support	Q&A
Topic 3	Application channels	Q&A
Topic 4	Partnership opportunities	Q&A



CTF2 eligibility criteria

- Eligibility for CTF2 support is determined by criteria related to income, development and geography and was set up to focus on countries that are most in need for CTF2 support.
- Countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI are eligible to apply for CTF support. Eligibility is updated annually (see CTF website: <u>https://www.who.int/initiatives/codex-trust-fund/support/who-can-apply</u>):
- For CTF Round 8, a total of 41 countries are eligible for support, three from the Codex Near East region

Africa (16)	Asia (6)	Europe (2)	Latin American & Caribbean (12)	Near East (3)	North America South West Pacific (2)
*Angola, Cameroon, **Central African Republic, **Chad, Congo, **DR Congo, *Djibouti, Equatorial Guinea, Eswatini, *Mauritania, Morocco, **Mozambique, Namibia, *Sao Tome and Principe, **Somalia, **Togo	**Afghanistan, *Bangladesh, *DPR Korea, Mongolia, *Myanmar, Philippines	Armenia, Moldova	Belize, Dominica, Dominican Republic, Grenada, * Haiti , Jamaica, Nicaragua, Paraguay, Saint Lucia, Saint Vincent & the Grenadines, Suriname, Venezuela (Bolivarian Republic of)	Iraq, *+Sudan, *+Yemen Rep.	Micronesia (FS of), Papua New Guinea,

Activities supported by CTF

Main focus is on capacity strengthening

- Training for staff working directly on Codex
- Building capacity on how to participate effectively in Codex work
- Codex "coaching". Working with a "coach" (with recognized expertise in a specific Codex area) before, during and after session of a specific Codex committee to help build understanding of and improve capacity to participate effectively in both the committee in question, as well as in any future standard setting process.
- Training on specific scientific/technical areas
- Training on priority Codex texts and guidance on how to harmonize and include these texts in national regulation/standards
- Information exchange/learning from advanced countries through "twinning", mentoring (also consider regional neighbors who are more advanced in Codex)



For more details:

https://cdn.who.int/media/docs/defaultsource/food-safety/codex-trustfund/ctf-scope-ofactivities.pdf?sfvrsn=9823fb7c_5

Activities supported by CTF (cont.)

Additional activities supported by CTF

 Diagnosis of national Codex structures and development of a national plan of action to strengthen Codex engagement



For more details:

https://cdn.who.int/media/docs/defaultsource/food-safety/codex-trustfund/ctf-scope-ofactivities.pdf?sfvrsn=9823fb7c_5

- Development of documents and working procedures for Codex work
- Advocacy for policy/decision makers on role and value of Codex
- Collection and use of national data for Codex work
- Communication activities that target key stakeholder groups e.g. national authorities and policy makers to build support for strengthening national Codex structures and capacity for Codex engagement

• (...)

Activities not supported by CTF

- Building capacities of laboratories this might be pursued through other avenues via FAO and WHO
- Training on good agricultural or good hygiene practice
- Rapid alert system / food safety emergency response

For more details:

https://cdn.who.int/media/docs/defaultsource/food-safety/codex-trustfund/ctf-scope-ofactivities.pdf?sfvrsn=9823fb7c_5

FAO/WHO

CODEX TRUST FUND

- Data generation that is <u>not directly linked</u> to Codex standard-setting work of priority interest
- Physical participation/delegations to Codex meetings that are not aligned with other planned CTF activities or that do not target committees related to national priority sectors
- Budget support that cannot be sustained after CTF, e.g. office space for CCP or hiring of staff
- General communication activities <u>that do not target key stakeholders</u> who have the ability to influence food safety at a national level, e.g. communication activities aimed at schools or the general public is not supported by CTF
- (...)



Direct country support

CTF support through annual application process:

- Individual countries or groups of countries can apply through the "<u>Call for Applications</u>" that is issued on an annual basis
- Maximum of 3 years support
- > Maximum budget for **individual country applications**: US\$ 150,000
- Maximum budget for group applications follows a logarithmic discounting table. For example: total funding for three years of support to a group application
 - \$US 272,894 (application with two countries)
 - \$US 352,309 (application with three countries)
 - \$US 411,095 (application with four countries)

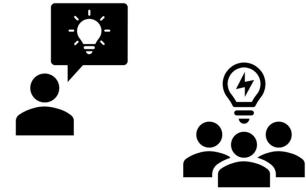
Individual or group application?

- Countries cannot have support from both
- Which is best suited to your needs?

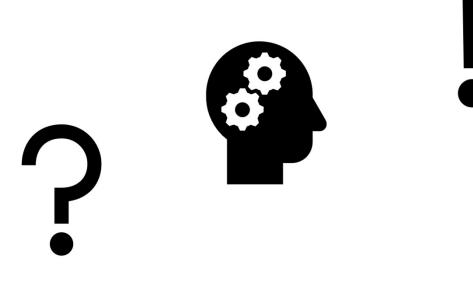
CODEX

TO RAISE GLOBAL

- Group application could be based on:
 - Common results from FAO/WHO Diagnostic Tool
 - Discussions or other ongoing work at Codex sessions that are of common interest to a group of countries
- > Only eligible countries can be part of a group application



Do you have any questions?





Topic 3 Topic 4	Application channels Partnership opportunities	Q&A Q&A
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Application for direct country support

- Since 2016, countries eligible for CTF2 support can submit their applications for project support following an annual call for applications.
- A tiered application model that is adapted to the specific challenges of some of the countries eligible for CTF2 support is under consideration.

Why countries apply & Introducing the Diagnostic tool	Who can apply?	What can applicants apply for?
How much funding can be applied for?	How to apply?	When to apply?
The decision- making process	Apply!	Lessons learned from previous applications

Tier 1 (new channel): All countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI that are considered fragile, or conflict affected.

Tier 2 (established model): All countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI, including those that are considered fragile, but not conflict affected.

Tier 3 (application for 2nd project): All countries that have completed their first CTF2 project, provided they apply jointly with one eligible Tier 1 or Tier 2 country, either as lead country of a group project or as a twinning partner.



The CTF website provides all relevant information and resources on the CTF2 application process in all relevant UN languages:

https://www.who.int/initiatives/codex -trust-fund/support

Access the Round 8 application forms by clicking the tile "Apply!"



There are a number of steps to the application process, which relies on thorough preparation and a considered and collective approach.

Successful applicants make good use of the resources available on these webpages, and they follow a fully consultative pathway before submitting their final application.

Please take the time to read through these pages for information on the application procedures.

Take advantage of the advice provided by past successful applicants and in the 'Lessons Learned' section.

If, having done this, you still have questions, please contact the CTF Secretariat at: codextrustfund@who.int

About the Codex Trust Fund	>	
Projects and impact	>	
Background information	>	

The application process





The CTF2 application form

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Information requested in application form

FAO/WHO Codex Trust Fund

Individual Country Application Form



Country name:

Project title:

Signatures of FAO and WHO country representatives

FAO representative	
Name:	
Signature:	

WHO representative	
Name:	
Signature:	

Annex 1

Project Title:

Project Goal(s):___Should be the same as what is listed in section 4 (1 is ok, maximum 2-3. This is the overall goal of the entire project and should be very broadly worded. See examples in section 4)

Action Plan template

Expected Outcomes, Outputs and Activities with examples	Estimated Budget - at Output level only (US\$)
Outcome 1 (Expected change) c.g. "Annual bodget for national Codex activities included in national budget".	
Output 11 (Delivershite to schieve Outputsed) to get "Pullitical and commonlia support secured from pullary and detailstine-senders in key Condec- related sectors".	Pittane growted a trapp environment of this means of All metricities under this Output in this here only
Autority 1.1.1 us achieve the daliverable e.g. "Organistic mational advectory workshop for policy and decision-makers from health, agriculture and trade sectors".	

- General Information
- Process used for preparation of the application
- Identifying the top priorities areas for improvement
- Project goal(s) and expected outcomes
- Expected outputs, proposed project activities, budget (outlined in action plan)
- Sustainability of project outcomes after the end of external support
- Other related support to the country
- Other third-party budget support, if any

How are applications assessed?

The CTF Technical Review Group assesses applications against standard criteria:

- <u>Comprehensive and inclusive process</u> used for preparation of the application, including intersectoral collaboration
- Project is based on the results from the <u>FAO/WHO Diagnostic Tool</u>
- Information presented is <u>coherent</u>
- Project outlines:

ID RAISE GLORAL

- Clear project goal(s)
- Clear outcomes
- Clear, measurable & relevant project outputs
- Clear, specific, achievable & relevant project activities
- Information on how <u>sustainability</u> of project outcomes will be ensured



Timeline for application round 8

01 Sep 2023:	"Call for Applications" opened
20 Dec 2023:	Deadline for submissions in the online system
21 Dec 2023 – Jan 2024:	CTF Secretariat pre-screens applications
Feb – Mar 2024:	Assessment of applications by Technical Review Group
	and decision by Steering Committee
Early May 2024:	Decisions on Round 8 communicated

CODEX TRUST FUND INVESTING TOGETHER TO RAISE GLOBAL FOOD STANDARDS

Self diagnosis of national Codex systems through the Codex Diagnostic Tool

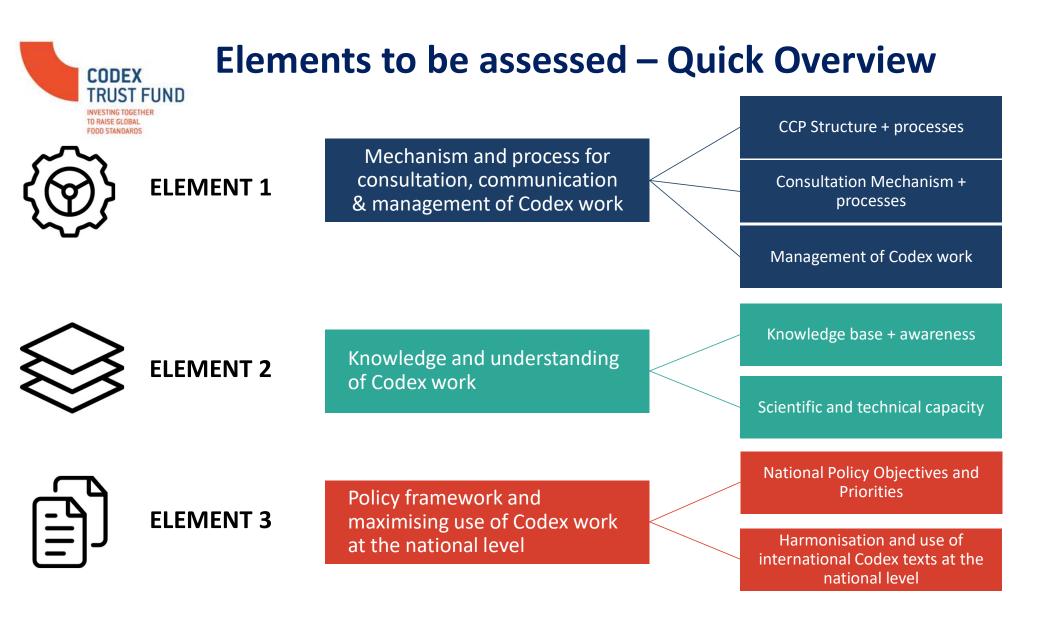
- Helps understand what constitutes *a robust national Codex programme* (elements and capacities)
- Allows countries to undertake a *self-assessment* to examine strengths and weaknesses, *benchmark* the programme and chart a way forward to address any weaknesses.
- The results assist in the identification of areas in need of **strengthening and capacity development.**

Application of the Tool is an essential pre-requisite for applications to the FAO/WHO Codex Trust Fund.



World Healt

AR, EN, ES, FR, RU





Self-scoring - current level of capacity

Level 1

Aspiring – at the initial stage and keen to develop national capacity

Level 2

Emerging – developing national capacity but need more guidance and support

Level 3

Consolidating – progressing well and consolidating capacity but need more targeted guidance

Level 4

Well established – good national capacity and in a position to guide and support others



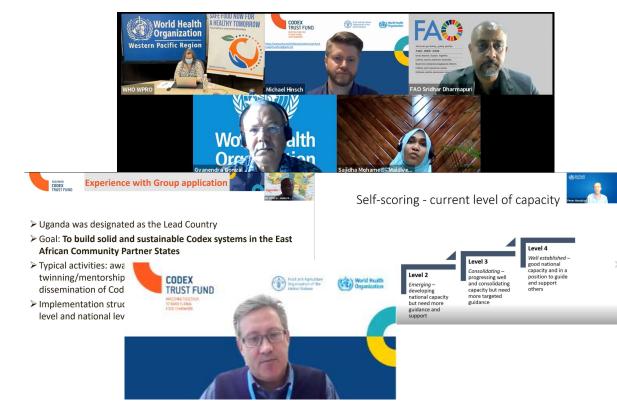
The self assessment is conducted by rating aspects of each element and sub-category

Codex Contact Point, Structure and Processes (Good Practices)	Level 1 Aspiring	Level 2 Emerging	Level 3 Consolidating	Level 4 Well- established
Designated CCP in place				\checkmark
CCP responsibilities for managing Codex and related work are clearly defined			\checkmark	
Working procedures for management of the Codex Contact Point functions		\checkmark		
CCP has sound knowledge and awareness of Codex and food standards matters			\checkmark	
CCP provided with sufficient resources (both human and financial) to carry out core functions and responsibilities		\checkmark		

Virtual trainings on application process



The CTF Secretariat can arrange for virtual trainings on the CTF2 application process and the FAO/WHO Codex Diagnostic Tool



Timelines for CTF Round 8



01 Sep 2023:	"Call for Applications" opened	
20 Dec 2023:	Deadline for submissions in the online system	
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	and decision by Steering Committee	
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Under consideration: tiered application model



A new model under consideration expands on the established CTF2 application process.

Tier 1 (new channel): All countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI that are considered fragile, or conflict affected.

Tier 2 (established model): All countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI, including those that are considered fragile, but not conflict affected.

Tier 3 (application for 2nd project): All countries that have completed their first CTF2 project, provided they apply jointly with one eligible Tier 1 or Tier 2 country, either as lead country of a group project or as a twinning partner.

Eligibility criteria for each tier are based on information provided by UN organizations and specialized agencies and updated annually. They provide the basis of eligibility to apply for funding for the relevant application round.

Countries eligible for CTF2 support under proposed tiered application model (as of 31 July 2023)

Eligibility criteria outlined below are based on information provided by UN organizations and specialized agencies and updated annually. They provide the basis of eligibility to apply for funding for the relevant application round.

Tier 1: All countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI that are considered fragile, or conflict affected.

Tier 2: All countries with low & medium HDI, other low or middle-income SIDS and LLDCs with high HDI, including those that are considered fragile, but not conflict affected.

Tier 3: All countries that have completed their first CTF2 project, provided they apply jointly with one eligible Tier 1 or Tier 2 country, either as lead country of a group project or as a twinning partner.

Countries considered conflict-affected are indicated in **bold fond**; countries considered fragile are indicated in *italics*.

Tier 1 Countries eligible for first time CTF2 support that are considered fragile or conflict- affected following World Bank criteria Countries eligible for first time CTF2 support D& Tier 2 Countries eligible for first time CTF2 support, including those that are considered fragile, but not conflict-affected Countries eligible for first time CTF2 support, including those that are considered fragile, but not conflict-affected Countries eligible for first time CCAFRICA: *Angola, *Chad, Congo, *Djibouti, Equatorial Guinea, Eswatini, *Mauritania, Morocco, Namibia, *Sao Tome and Principe, *Togo CCAFRICA: *Benin, Botswana, *- *Equatorial Guinea, Eswatini, *Mauritania, Morocco, Namibia, *Sao Tome and Principe, *Togo *Heirera, *Heiripia, *Heigera, *Hei Mauritius, *Higer, Nigera, *Hei *Sierra Leone, *+South Sudan, CCASIA: *Bangladesh, +DPR Korea, Mongolia, Philippines *Hiltera, *Liberia, *Zambia, Zimbia, *Sierra Leone, *+South Sudan, CCASIA: *Bangladesh, *Haiti, Jamaica, Nicaragua, Paraguay, Saint	Submission of concept note outlining country background, key challenges, and a maximum of two priorities to address through CTF2 support 1. Facilitated Diagnostic Tool analysis 2. To be decided on a case-by- case basis by the CTF Steering Committee, involving FAO/WHO regional
CTF2 support, including those that are considered fragile, but not conflict-affected CCAFRICA: *Angola, *Chad, Congo, *Djibouti, Equatorial Guinea, Eswatini, *Mauritania, Morocco, Namibia, *Sao Tome and Principe, *+Togo *+Burundi, Cabo Verde, *Comor *+Eritrea, *+Ethiopia, *+The Gat *Guinea, *+Guinea-Bissau, Keny CCASIA: *Bangladesh, +DPR Korea, Mongolia, Philippines *Holdova *Hilberia, *+Madagascar, *+Mal Mauritius, *+Niger, Nigeria, *+R CCLAC: Belize, Dominica, Dominican Republic, CCLAC: *Heirea, *-South Sudan, Rep. of Tanzania, *Zambia, Zimbi	advisors and the TRG
Lucia, Saint Vincent & the Grenadines, Suriname, Maldives, *Nepal, Pakistan, *Tin Venezuela (Bolivarian Rep. of) CCEURO: Azerbaijan, Kazakhstar CCNASWP: Micronesia (FS of), Papua New Guinea Macedonia, Tajikistan, Turkmeni CCNE: *+Sudan CCLAC: Bolivia, Cuba, El Salvador Guyana, Honduras CCNASWP: Cook Islands, Fiji, *Ki *Solomon Islands, Tonga, Vanua CCNE: +Syrian Arab Rep.	os, Côte d'Ivoire, mbia, Ghana, a, *Lesotho, a, *Lesotho, a, *Lesotho, a, *Lesothai, *+Uganda, *United <i>abwe</i> mbia, *Lao PDR, <i>nor-Leste</i> h, Kyrgyzstan, North istan, Uzbekistan r, Guatemala, ribati, Samoa,
Tier 3 Countries that have completed their first CTF2 project and post-implementation evaluation and that apply jointly with one eligible Tier 1 or Tier 2 country, either as lead country of a group project or as a twinning partner Countries eligible for a second national project Dua	Competitive application process: Full scope of CTF2 support. 1. Self-assessment using the Codex Diagnostic Tool Joint applications between Tier 2. Completion and submission of CTF2 application, outlining in what way Tier 1 or 2 partners will benefit from the applicant's support Full scope of CTF2 support.
Abbreviations: Sources of information:	
FCS – Fragile and Conflict-affected Situations <u>https://thedocs.worldbank.org/en/doc/69b1d088e3c48ebe2cdf451e30284f04</u> HDI – Human Development Index <u>https://hdr.undp.org/content/human-development-report-2021-22</u>	-0090082022/original/FCSList-FY23.pdf

*LDC - Least Developed Country

+LIC - Low Income Country

LLDC - Landlocked Developing Country

SIDS – Small Island Development State

https://hdr.undp.org/content/numan-developr https://www.un.org/ohrlls/content/list-ldcs

https://data.worldbank.org/income-level/low-income

https://www.un.org/ohrlls/content/list-lldcs

https://www.un.org/ohrlls/content/list-sids

Trainings at regional and global level



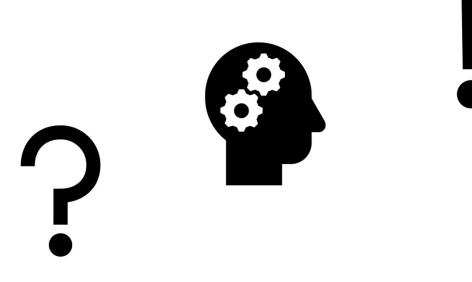
In 2022, the CTF2, in collaboration with the Ministry of Food and Drug Safety (MFDS) of the Republic of Korea, provided its first training for countries from different Codex regions.



The training focused on good Codex practices and the experience of the Republic of Korea in building their national Codex system. Similar trainings are planned for the coming years.

Additional forms of support to enhance the capacity of eligible countries to engage in Codex are under development and consideration.

Do you have any questions?





Topic 1	The FAO/WHO Codex Trust Fund: an introduction	Q&A
Topic 2	Scope and types of support	Q&A
Topic 3	Application channels	Q&A
Topic 4	Partnership opportunities	Q&A

Support by advanced Codex Member States (1)

> Twinning

Countries with well functioning national Codex structures partner with less experienced countries to share their experience and help strengthen Codex structures and build more effective engagement in Codex.

Examples:



Technical capacity strengthening on the use of data in standard development.



Study visits and training on various aspects of Türkiye's Codex system.



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Study tour to exchange expertise and learn from the different aspects of Codex experience of the advanced country.

Support by advanced Codex Member States (2)

> Mentoring

Countries well experienced in Codex guide a country through the process leading towards a Codex committee meeting, provide advice during sessions and provide support in following up the work.

Example:

Türkiye's support to Azerbaijan in the preparation for CCCF16 and CAC45, in addition to several committees held online.



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Support by advanced Codex Member States (3)

> Sharing of experience and good practices to multiple countries

A CCNE country with a well-established Codex system shares its experience in developing their system over time and provides good practice examples on the various aspects of their Codex work and national Codex system that are relevant to other countries in the region.

Example:

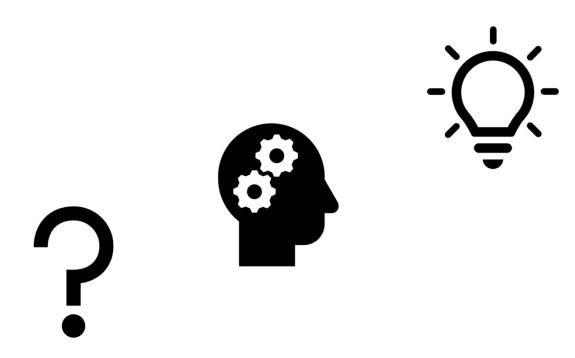
Republic of Korea (MFDS) sharing their experience with interested countries across the globe.





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CODEX TRUST FUND



Questions and discussion



Key contacts and website

Contacts: <u>codextrustfund@who.int</u>

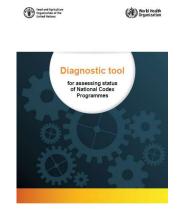
hinschm@who.int

alzeine@who.int

dirk.schulz@fao.org

cornelia.boesch@fao.org

Website: <u>https://www.who.int/initiatives/codex-trust-fund</u>



Why countries apply & Introducing the Diagnostic tool	Who can apply?	What can applicants apply for?
How much funding can be applied for?	How to apply?	When to apply?
The decision- making process	Apply!	Lessons learned from previous applications