# CODEX ALIMENTARIUS COMMISSION





Viale delle Terme di Caracalla, 00153 Rome, Italy - Tel: (+39) 06 57051 - E-mail: codex@fao.org - www.codexalimentarius.org

Agenda Item 6

CRD20

# JOINT FAO/WHO FOOD STANDARDS PROGRAMME CODEX COMMITTEE ON FOOD HYGIENE

Fifty-third Session

San Diego, United States of America

29 November - 2 December 2022 and 8 December 2022

# REPORT OF THE PHYSICAL WORKING GROUP ON THE PROPOSED DRAFT GUIDELINES FOR THE SAFE USE AND REUSE OF WATER IN FOOD PRODUCTION AND PROCESSING

(Prepared by Honduras, Chile and the European Union)

# **Background**

A physical working group (PWG) was held on 28 November 2022 in San Diego, California immediately prior to CCFH53, chaired by Honduras, Chile, and the European Union, to discuss the Proposed Draft Guidelines for the Safe Use and Reuse of Water in Food Production and Processing.

The chair and co-chairs presented the General Section and Annex I Fresh Produce to request feedback from the PWG on outstanding issues in order to prepare a revised document to be presented at CCFH3.

# **Summary of Discussion**

The PWG discussed the outstanding issues included in CL 2002/48-OCS-FH related to definitions and specific sections of the general section and Annex I and agreed to recommend the following to CCFH53:

#### **General Section**

- Adopt the modified definition for first-use water and the new definition for wastewater:
  - First-use water: Water from any source that is fit for purpose without the need for treatment prior to its use.
  - Wastewater: used water that has been contaminated because of human activities.
- Revise the definition of clean water included in the general section of the document and consider its similarity with the current definition of water fit-for-purpose to determine the need to keep it in the document and its applicable section.
- Not to include the definition of risk analysis in the document
- Prepare a proposed definition for a water safety plan with support from Japan and present it for consideration of CCFH53
- Revise the definition of water fit-forpurpose considering the different options drafted during the PWG to present a new proposal in square brackets to CCFH53:
  - Option 1 Water that is determined to be safe for an intended purpose through an assessment of potential hazards, treatment options and their efficacy, control measures, history of use, and the end use of the food product.
  - Option 2: water that is determined to be safe for an intended purpose through a water risk assessment.
  - Option 3: Water that is determined to be safe for an intended purpose through a <u>risk-based</u> <u>evaluation</u> of potential <u>microbiological</u> hazards, treatment options and their efficacy, control measures, history of use, and the intended use of the food product.
  - Option 4: Water that is determined to be safe for an intended purpose through a <u>risk evaluation</u> of potential <u>microbiological</u> hazards, treatment options and their efficacy, control measures, history of use, and the intended use of the food product.

 Revise the definition of water risk assessment taking into consideration the modified definition of water fitfor-purpose to determine if the water risk assessment definition should be kept in the document either as a definition or a concept within a paragraph (e.g., paragraph 14) and present a new proposal in square brackets to CCFH53.

- Review the text for consistency and alignment of terminology in the document (e.g., water safety plan and water safety program; biological or microbiological hazards, etc.)
- Not to include biological or microbiological in the title of the document
- Review the scope of the document and narrow it specifically to microbiological hazards, as per the terms
  of reference of CCFH and the approved project document, and consider including specific text with the
  suggestions of the PWG to exclude physical hazards and limit chemical hazards:
  - These guidelines provide a framework of general principles and examples for making risk-based decisions for fit-for-purpose water to be sourced, used, and reused across the primary production and processing of relevant commodities. These guidelines do not consider <a href="mailto:physical hazards and chemical hazards other than those that impact the microbiological quality of water">microbiological quality of water</a> for direct animal and human consumption, or the use of water in households. Chemical and physical hazards associated with water treatment or control measures for microbiological hazards should be considered as part of a risk-based evaluation when considering the application of water, in particular, reused water.

# Annex I: Fresh produce

The co-chair presented the outstanding issues of Annex I on fresh produce to the PWG, which agreed to the following:

- Keep the definition for fresh produce in Annex I
- Propose a modified definition for fresh produce to CCFH53:
  - Fresh produce: Any fresh fruit, nuts, [edible] mushrooms, and vegetables that are likely to be consumed in a raw form, either unprocessed or physically altered from its original form but remaining in the fresh state (e.g., washed, peeled, cut), and that are generally considered as perishable regardless of it being intact or cut from root/stem at harvest.
- Delete the text "pesticide runoff" from paragraph 15 and maintain the reference to chemical hazards in paragraphs 34 and 41 only when related to the control of chemical hazards in line with the scope of the guidelines.
- Retain the decision tools included in the document as members considered that they were appropriate
  and useful.
- Propose new wording in paragraph 30 Table 1: risk indication by untreated reused water or surface water of unknown quality
  - Review the table and compare it with the published JEMRA report for alignment of risk criteria for products intended to be cooked and or processed by consumers or a food business operator and prepare a new proposal to CCFH 53.
- Include Figure 1 of the JEMRA MRA #33 Report as an additional example that can provide orientation related to the assessment of potentially high or unknown-risk water, potentially medium-risk water, and potentially low-risk water, and link it to paragraph 10 related to the sampling frequency of water.
- Keep the current decision-support system included in paragraph 61 without the inclusion of Figure 3 of the JEMRA Report MRA #33
- Keep the examples included in paragraphs 57-63 since they are applicable worldwide and are based on the JEMRA report (MRA 33)
- Move the illustrations included in Appendix 2 to an information document that would be described in the report of CCFH53 but would not be referenced in the Guidelines.

APPENDIX I

#### GUIDELINES FOR THE SAFE USE AND REUSE OF WATER IN FOOD PRODUCTION AND PROCESSING

PROPOSED STRUCTURE FOR THE DOCUMENT:

INTRODUCTION

**OBJECTIVES** 

**PURPOSE AND SCOPE** 

USE

**GENERAL PRINCIPLES** 

**DEFINITIONS** 

**SECTION 1** 

**SECTION 2** 

**SECTION 3** 

**ANNEX 1 – FRESH PRODUCE** 

**ANNEX 2 - FISH AND FISHERY PRODUCTS** 

ANNEX 3 - DAIRY PRODUCTS (To be developed)

#### INTRODUCTION

- 1. Water has an important role in all stages of the food chain from initial sourcing, storage, treatment, distribution, use in irrigation of food crops and forage for animals, primary production, and food processing through to consumption of the final food. It is used as an ingredient, in direct and indirect contact (e.g. washing, cooling the product, or cleaning of equipment surfaces in contact) with food, food packaging, and for hygiene sanitation in food processing. The important role of water in food production has led to the need to ensure its safety and quality since it can be a carrier for the transmission of diseases, contamination, or unwanted sensory attributes.
- 2. Safe drinking wWater is a dwindling resource worldwide and not all food producers and processors have access to safe water sources, or this access may be limited. Noting that the availability and microbiological quality of water are different in each country, region, context, setting, and food establishment, water should always be fit for use for each specific purpose, and it should be managed in a way that the safety of food is ensured, while simultaneously avoiding unnecessary consumption and waste, waste, and the environmental impact.
- 3. Water used along the food production and processing chain can have different microbiological quality requirements and types of water other than potable water may be suitable for certain purposes, provided that they do not compromise the safety of the final product for the consumer.
- 4. Requirements for water safety should therefore be considered in context, considering the purpose of the water use, the potential hazards associated with the water use, and whether there is any subsequent measure to decrease the potential for contamination along the food chain.
- 5. A risk-based approach to water sourcing, treatment, handling, storage, and use can help in identifying the hazards associated with the water and its use and determine treatments, if applicable, that water needs to undergo to meet the safety parameters specific to each intended use. This approach can also provide a means to address many of the water access and safety challenges associated with reuse based on the principle of using the right type of water safety for the intended purpose/need.
- 6. Deciding whether water is fit for purpose should be based on a hazard analysis that considers risk factorss such as those associated with the source water, and the end-use of the food product (e.g. whether the food is eaten raw without steps that would mitigate potential hazards introduced by the water source), and management options such as \_\_treatment options and their efficacy and the \_application of multiple barrier

processes for risk mitigation., and the end use of the food product (e.g. whether the food is eaten raw without steps that would mitigate potential hazards introduced by the water source).

- 7. These guidelines respond to the need for a Codex document outlining a risk-based approach to safe sourcing, use, and reuse of water fit for purpose, rather than focusing on the use of potable water or water of other quality types (e.g. clean water). Using the risk-based approach outlined here will allow for a specific assessment of the fitness of the water for the intended purpose.
- 8. Associated Annexes provide product-specific guidelines for the safe sourcing, collection, storage, treatment, handling, distribution, use, and reuse of water in both direct and indirect contact with food throughout the food chain. The annexes also provide examples such as Decision Tree Tools (DTTs) that can help to determine if water is fit for purpose.

#### **OBJECTIVES**

- 9. The Guidelines for the Safe Use and Reuse of Water in Food Production and Processing aim to:
  - Provide guidance for competent authorities and food business operators (FBOs) and competent
     <u>authorities</u> on the application of a risk-based approach for the use and reuse of water that is fit-for purpose
  - Provide practical guidance and tools (e.g. DTTs) and risk-based microbiological criteria as examples to help FBOs evaluate risks and potential interventions of water as part of their food hygiene system

#### PURPOSE AND SCOPE

- 10. These guidelines provide a framework of general principles and examples for conducting a risk-based approach to determine if the water to be sourced, used, and reused across the primary production and processing of relevant commodities is fit for purpose.
- 11. These guidelines do not consider:
  - water for direct animal and human consumption,
  - water to be used in households,
  - physical hazards,
  - chemical hazards other than those that may impact the microbiological quality of water
- 10. These guidelines provide a framework of general principles and examples for making risk-based decisions for fit-for-purpose water to be sourced, used, and reused across the primary production and processing of relevant commodities. These guidelines do not consider chemical hazards, water for direct animal and human consumption, or the use of water in households.

# USE

- <u>11.12.</u> The document is intended for use by FBOs (including primary producers, packing houses, manufacturers/processors, food service operators, retailers, and traders) and competent authorities (risk managers and assessors), as appropriate.
- 12.13. These Guidelines are complementary to and should be used in conjunction with the General Principles of Food Hygiene (CXC 1-1969), the Code of Hygienic Practice for Fresh Fruits and Vegetables (CXC 53-2003), the Code of Practice for Fish and Fishery Products (CXC 52-2003), the Code of Hygienic Practice for Milk and Milk Products (CXC 57-2004), Principles And Guidelines for the Conduct of Microbiological Risk Management (MRM) (CXG 63-2007), Principles and Guidelines for the Establishment and Application of Microbiological Criteria Related to Foods (CXG 21-1997), and Principles And Guidelines for the Conduct of Microbiological Risk Assessment (CXG 30-1999).

# **GENERAL PRINCIPLES**

- i. Water, as well as ice and steam made from water, used at any stage of the food chain, should be safe and fit for its intended purpose <u>as determined by a based on a risk-based approach comprising the</u> <u>evaluation of microbiological, chemical, and physical hazards and and should not compromise the safety of finished foods for consumers.</u>
- ii.i. When using water as an ingredient in feed, it should meet the standards of petable water (such as those established by competent authorities having jurisdiction or the WHO Guidelines for Drinking Water Quality).

<u>iii.ii.</u> Reuse of water should be encouraged, but <u>such water</u> should be treated <u>or reduce or eliminate</u> and the treatment should be validated to reduce or eliminate or reduce microbiological hazards to an acceptable level according to its intended use.

- <u>iii.</u> In all situations, water sourcing, use, and reuse should be part of an FBO's food hygiene system or HACCP system.
- iv. When using water as an ingredient in food, it should meet the standards of potable water (such as those established by competent authorities having jurisdiction or the WHO Guidelines for Drinking Water Quality).

#### **DEFINITIONS**

13. For the purposes of this document the following definitions apply:

Water fit for purpose: water that is determined to be safe for an intended purpose through the identification, evaluation, and understanding of potential microbiological hazards and other risk factors (e.g., history of use, the intended use of the food, etc.,) including the application of control measures such as treatment options and their efficacy to ensure effective elimination or mitigation of such hazards in the water sources.

Option 1: [Water fit for purpose]: water that is determined to be safe for an intended purpose through an assessment of potential hazards, treatment options and their efficacy, control measures, history of use, and the end use of the food product.]

Option 2: [Water fit for purpose]: water which is determined to be safe for an intended purpose through a water-risk assessment.]

Option 1: [Water risk assessment]: Option 2: [Water risk analysis]:

A systematic evaluation of the water source can be used to identify potential microbiological hazards, available control measures, and other risk factors (e.g. end use of the food product, history of use, etc.) to establish appropriate risk mitigation practices (e.g. treatment options and their efficacy) to determine if the water can be fit for purpose.

[Active management: validation of control measures and monitoring activities during day-to-day operations to help ensure that water is being maintained fit for purpose].

[Passive management: conditions determined by the design and infrastructure of food operations that can contribute to avoiding the contact of reused water with food materials.]

[[Active management:]

[Passive management]

**Clean Water:** water that does not meet the criteria for potable water but does not compromise the safety of the food in the context of its use.]

First-use water: water from any source that is fit for purpose without the need for treatment prior to its use.

Potable water: Water fit for human consumption

**Reuse water:** Water that has been recovered from a processing step within the food operation, including from the food components and/or water that, after reconditioning treatment(s) as necessary, is intended to be reused in the same, prior or <u>a</u> subsequent <u>step of the</u> food processing operation. Types of reuse water can include reclaimed water from food, recycled water from food operations, or recirculated water in a closed loop system.

**Reclaimed water:** Water that was originally a constituent of a food material, which has been removed from the food material by a process step and is intended to be subsequently reused in a food processing operation.

**Recycled water:** Water, other than first-use or reclaimed water, which has been obtained from a step in the food production or food processing operation to be reused in the same, prior or a subsequent step of the operation, after reconditioning, when necessary.

Recirculated water: Water reused in a closed loop for the same processing operation without replenishment.

**Risk assessment:** A scientifically based process consisting of the following steps: (i) hazard identification, (ii) hazard characterization, (iii) exposure assessment, and (iv) risk characterization.

**Reconditioning:** the treatment of water intended for reuse by means designed to eliminate or reduce microbiological contaminants to an acceptable level according to its intended use.

**Water sourcing:** the act of identifying and obtaining water for food production from a particular water source (e.g. groundwater, surface water, captured water).

**Food hygiene system:** Prerequisite programmes, supplemented with control measures at CCPs, as appropriate, that when taken as a whole, ensure that food is safe and suitable for its intended use.

**HACCP System:** The development of a HACCP plan and the implementation of the procedures in accordance with that plan.

# SECTION 1: WATER FIT FOR PURPOSE ASSESSMENT RISK ASSESSMENTAND MONITORING

- 14. Assessing if water is fit for purpose is required for all sectors and steps in the food chain. Risk principles (i.e., a risk-based approach) should be applied in evaluating if the water is fit for purpose during sourcing, collection, storage, treatment, handling, use, and reuse.
- 15. Conducting such an assessment requires complete knowledge of the water system, the diversity and magnitude of the hazards that may exist, and the capacity of existing processes and infrastructure to address and control risks.
- 16. Water fit for purpose assessments also require the identification of potential microbiological hazards with the capacity to cause damage to water safety and their sources and should also address safe water sourcing, use, or reuse, when developing and implementing the plan. Additional factors to be considered could include water storage and distribution, including the hygienic design, and the need for special expertise.
- 14. Water Risk Assessment [Analysis] (WR) and monitoring are overarching approaches that apply to all sectors and at multiple steps in the food chain to determine fit-for-purpose water sourcing, collection, storage, treatment, handling, use and reuse.
- 15. WRAs can be used to set target objectives for water sources and treatments for achieving public health—outcomes, water quality values, performance targets (e.g. food safety objectives, performance objectives), acceptable levels of risk, and treatment process efficacies. Monitoring is used to generate data for the—development of a risk profile or to inform WRA and can be used to inform risk management by identifying—safety issues that need to be addressed in a food hygiene system to ensure the safety of water and,—therefore, the safety of foods.—
- 16. Like food—water safety management should be risk- and evidence-based, with reduction measures—implemented within the framework of an overall water safety plan or a structured food hygiene system or—HACCP system with verification activities in place to ensure the plans/systems are operating as expected.
- 17. Water use and reuse systems should be subjected to ongoing, risk-based monitoring of appropriate parameters and verification by testing. The frequency of monitoring and verification can be dictated by different factors such as the source of the water or its prior condition, the efficacy of any treatments, and the intended <u>use and reuse</u> of the water. Relevant routine monitoring data by environmental agencies and public health organizations could be also useful in determining the frequency of monitoring and verification activities. In any case, this should be included in an FBO's food hygiene system, water safety plan, or HACCP system.
- 18. Monitoring must be able to detect potential deviations and provide information in time for corrective actions to be taken such that unsafe foods are not placed on the market.
- 19.18. In the context of safe water sourcing, collection, treatment, handling, use, and reuse, water fit for purpose assessments WRAs can include the following risk-based approaches:
  - Descriptive assessment (least comprehensive) a written onsite as well as document-based evaluation
    from which a written descriptive assessment is generated. Examples include a sanitary inspection, used
    in evaluating and managing risks from irrigation water and rapid assessment of water safety.
  - Semi-quantitative <u>water assessment WRAs</u> the development and use of risk matrices that establish
    categories of risks from high to low, including consideration of sanitary conditions and their likelihood and
    estimated frequencies of unacceptable sanitary conditions. These are normally used for planning,
    prioritization, and a rapid assessment of the safety and quality of water sources collection, storage,
    treatment, and handling.
  - Quantitative Microbial Water Risk Assessment (QMWRA) (most comprehensive) a mathematical modeling approach that can be used for estimating risks related to water use with a health outcome target. QMWRA helps identify how much of an impact a pathogenic microorganism in water will have on the health of the population e.g. guiding potable water reuse, wastewater use in agriculture, and water supply systems.

# **SECTION 2: WATER SAFETY MANAGEMENT FOOD HYGIENE SYSTEMS**

19. Water fit for purpose assessments can be used for management decisions in setting target objectives for water sources and treatments for achieving public health outcomes, water quality values, performance targets (e.g. food safety objectives, performance objectives), acceptable levels of risk, and treatment process efficacies.

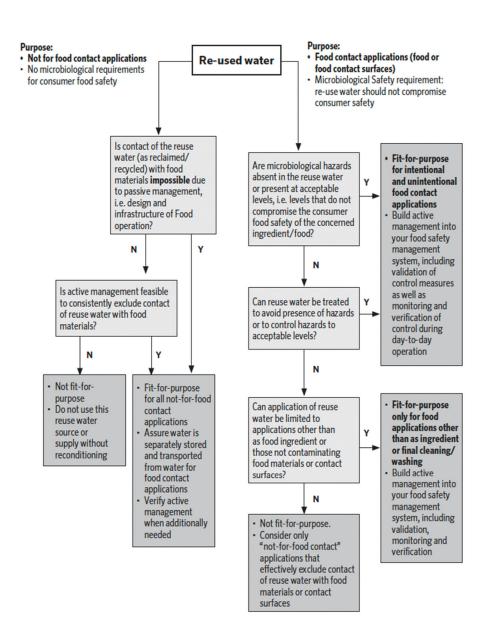
- 20. Risks associated with the use of water should be managed with measures implemented within the framework of a structured food hygiene system, or a HACCP system with monitoring and verification activities in place to ensure that the system is operating as expected.
- 20. Water safety plans can be a tool for control, monitoring, and verification of the safe use and reuse of water.

  They should be risk and evidence-based, with control or mitigation measures implemented within the framework of an overall water safety program or a structured food hygiene or HACCP system with verification and monitoring to ensure that it is operating as expected.
- 21. The development of such plans requires complete knowledge of the water system, the diversity and magnitude of the hazards that may exist, and the capacity of existing processes and infrastructure to address and control risks.
- 22.21. As part of the food hygiene or HACCP system, where appropriate, all water systems should be mapped in a process flow diagram and evaluated in the hazard analysis. Water systems also require identification of potential hazards (microbiological, physical agents) with the capacity to cause damage to water safety and their sources and should also address safe water sourcing, use or reuse, when developing and implementing the plan. Additional factors to be considered could include water storage/distribution, including hygienic design, and the need for special expertise.
- 23.22. Once potential hazards and their sources have been identified, the risks associated with each hazard or hazardous event should be compared so that priorities for risk management can be established and documented. A semi-quantitative matrix might be useful to identify hazards and prioritize control measures for risk management purposes.
- 24.23. Treatment or reconditioning of water intended for fit-for-purpose <u>use and</u> reuse should be based on hazard analysis of the sourced water and, where deemed necessary, treatments should ensure that hazards are <u>eliminated</u>, controlled <u>or reduced</u> to an acceptable level.

# **SECTION 3: DECISION SUPPORT SYSTEMS**

- <u>25.24.</u> Decision support systems (DSS) tools, such as decision trees (DTs) or matrices, are considered to be useful risk management tools to assist stakeholders in making decisions on the water's fitness for purpose and the required quality for use or reuse at a given step in the supply chain.
- 26.25. DSS should allow for the diversity in food production, resulting in different types of risks and risk management steps necessary to ensure the water's fitness for purpose in food production. Examples include the food types involved and their intended use; the food-water interactions; the specific water-borne food safety hazards; and their likelihood and magnitude of transmission to the consumer when present in different foods.
- 27.26. An example of a risk-based DSS tool with further guidance is provided in Figure I.

**Figure I**. Example of a risk-based <u>Decision Support System (DSS)DS</u> framework tool for the purpose of deciding if reused water can be used in either a food contact application or a not-for-food-contact application for microbiological hazards.



### **Annex I Fresh produce**

#### INTRODUCTION

 Water can be a source of contamination of all <u>micro</u>biological pathogens associated with the consumption of fresh produce. These pathogens include bacteria such as, but are not limited to *Salmonella* spp., *Shigella* spp, *Campylobacter* spp., *Listeria monocytogenes* and pathogenic strains of *Escherichia coli* spp., and also viruses such as hepatitis A and norovirus, and parasites such as *Cyclospora* spp., *Giardia* spp. and *Cryptosporidium* spp.

2. Water is used at all steps in the production chain of fresh produce, from irrigation and other pre-harvest practices, such as fertilization and pesticide application, during harvesting, such as washing in the field, and post-harvest practices, such as cooling, transporting, washing and rinsing, until final washing steps by the consumers. Control measures to prevent water from becoming a source of microbiological contamination of the fresh produce, should be considered at all stages, and an overall management strategy should be developed, taking into account risk factors and control measures applicable at each step.

# **PURPOSE AND SCOPE**

3. The purpose and scope of this annex are to elaborate guidelines for the safe microbiological quality sourcing, use and reuse of water in direct and indirect contact with fresh produce (for primary production and processing) by applying the principle of 'fit for purpose' using a risk-based approach. The annex recommends Good Hygiene Practices (GHP)—) and risk-based, sector-specific potential prevention and intervention strategies—and\_It provides examples and/or practical case studies for determining appropriate fit-for-purpose microbiological criteria (i.e. criteria for bacteria, viruses, parasites), as well as examples of the decision support system (DSS) tools such as Decision Trees (DT) to determine the water quality needed for the specific intended purpose in the fresh produce supply chain

#### USE

4. This Annex is complementary to and should be used in conjunction with the main document, the General Principles of Food Hygiene (CXC 1-1969), the Code of Hygienic Practice for Fresh Fruits and Vegetables (CXC 53-2003), Principles and Guidelines for the Conduct of Microbiological Risk Management (MRM) (CAC/GL 3-2007) and Principles and Guidelines for the Conduct of Microbiological Risk Assessment (CAC/GL 30-1999).

# **DEFINITIONS**

See the general part of these Guidelines for the safe use and reuse of water in food production.

Fresh produce: Any fresh fruit, [nuts], [edible] mushrooms fungil and vegetables—that are likely to be sold to consumers consumed in a raw form, either unprocessed or minimally processed or physically altered from its original form but remaining in the fresh state (e.g., washed, peeled, cut or otherwise physically altered from its original form but remaining in the fresh state), and that are generally considered as perishable regardless of it being intact or cut from root/stem at harvest.

**Biocide**: A chemical substance or micro-organism intended to destroy, deter, render harmless or exert a.controlling effect on any harmful organism by chemical or biological means

#### PRE-HARVEST USE OF WATER

- An adequate supply of water of a suitable quality (fit for purposes) should be available for use in the various
  operations in the primary production of fresh produce. The source of the water used for primary production as
  well as the method of delivery, water storage infrastructure, and application system can affect the risk of
  contamination of fresh produce.
- 6. Water has several uses in primary production, e.g., irrigation, application of pesticides and fertilizer, protection against frost/freezing and prevention of sunscald. The quality of water used in primary production is usually very variable. Several parameters may influence the risk of <a href="micro">micro</a> biological contamination of fresh produce via water: the source of water, water storage and delivery infrastructures, the type of irrigation system (e.g. drip, furrow, sprinkler/overhead) influencing whether the water has direct contact with the edible portion of the fresh produce, the timing of irrigation in relation to harvesting and exposure of plants to sunlight that can reduce contamination that occurs from water (e.g. microbial die-off). Water used for primary production, including for frost protection and protection against sunscald, which has contact with the edible portion of fresh produce, should not compromise <a href="mailto:their-produce">their-produce</a> safety.

# **Water Sources**

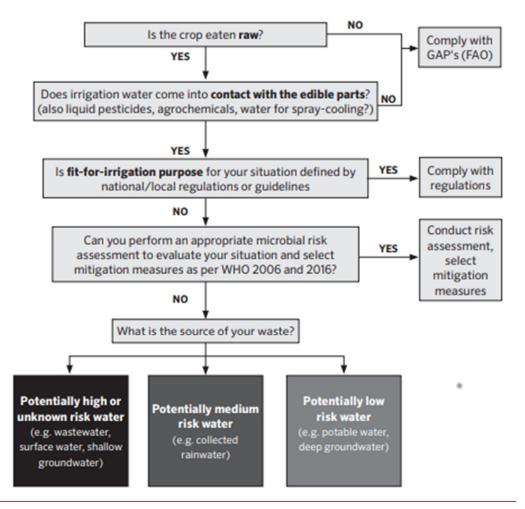
7. Growers should identify the sources of water used during primary production (e.g. municipality, groundwater including well water, surface water (e.g. open canal, reservoir, river, lake, farm pond), reused irrigation water, rainwater, reclaimed wastewater or discharge water from aquaculture). Apart from municipality (potable) water, examples of water sources that present the lowest risk of contamination (provided these sources, and storage and distribution facilities are properly constructed, maintained, monitored and capped, as appropriate) are:

- Water in deep wells or boreholes;
- Water in shallow wells, provided they are not influenced by surface waters; and
- Hygienically collected rainwater.
- 8. A number of preventative measures can be implemented to protect a water source if determined to be vulnerable:
  - If using more than one water source, ensure all sources are clearly identified to prevent inappropriate use, e.g. provide separate systems for waste water, potable water supplies etc.
  - Ensure water sources are protected (as much as possible) from contamination by wild and domestic animals, e.g. fencing or netting.
  - If storing manure, slurry, composts and other soil amendments, ensure there are no leaks or spillage and they are positioned downhill from the water source, and <u>far enough away (e.g. at least</u> ten meters away), to minimize contamination.
  - Ensure the catchments and gutters of the water harvesting, distribution and delivery system are regularly cleaned and maintained.
  - Ensure that all water storage tanks or water reservoirs are covered, i.e. protected, to prevent contamination.
  - If using a private well, ensure it is located away from contamination sources, and constructed appropriately to prevent contamination, e.g. sealed on top.
  - Regularly check irrigation systems for damage or leaks and flush lines to remove accumulated organic debris/biofilms. If there has been a period of wet weather, it is recommended to flush the system prior to use.
- 9. Water sources that pose a higher risk of contamination may need treatment, for example:
  - Reclaimed or wastewater: before using reclaimed or wastewater for crop irrigation, an expert should be consulted to assess the relative risk and determine the suitability of the water source. Measures to ensure the safe use may include wastewater treatment, application techniques that minimize contamination, die-off periods before harvesting, produce washing, disinfection and cooking.
  - Surface water (e.g. rivers, lakes, canals, lagoons, ponds, reservoirs): when contaminated, options
    such as application of —chemical treatment, sand filtration (combined with other treatment such as
    such as application of UV-C), microfiltration or storage in catchments or reservoirs to achieve partial
    microbiological treatment should be considered. The efficacy of these treatments should be
    evaluated and monitored.

#### Assessing and testing of water

10. Growers or associated operators should assess the microbiological quality of water, as prescribed by the competent authority, and its suitability for the intended use, and identify corrective actions in case of unacceptable results, to prevent or minimize contamination (e.g. from livestock, wildlife, sewage treatment, human habitation, manure and composting operations or intermittent or temporary environmental contamination, such as heavy rain or flooding). A decision tree on the need for a possibly risk assessment on the water is proposed in Figure 1

Figure 1: Decision tree on the need for a possibly risk assessment on the water



- 40.11. When water is tested for microbiological hazards, the results should be used by growers and associated operators to inform on the use of water according to the risk associated with the production. The frequency of testing will depend on the water source (i.e. lower for adequately maintained deep wells, higher for surface waters), observed quality based on preceding testing, the risks of environmental contamination, including intermittent or temporary contamination, and factors such as the implementation of a newanother water treatment process by growers.
- 41.12. If water testing is limited to indicator organisms, frequent water tests may be useful to establish the baseline water quality so that subsequent changes in the levels of contamination can be identified. Water testing should be more frequent when establishing the baseline, but the frequency can be lowered once there is a better understanding of the patterns (e.g. seasonality) for microorganisms in the water source. Then, if there are results outside of the range, testing frequency can be increased again at that point.
- <u>12.13.</u> Growers and associated operators should reassess the potential for <u>micro</u>biological contamination and the need for additional testing if events, environmental conditions (e.g. temperature fluctuations due to change in season, heavy rainfall) or other conditions indicate that water quality may have changed.
- <u>13.14.</u> When testing, growers may consult, if necessary, the competent authority or experts, or refer to regulations, in order to determine and document the following:
  - where to sample (e.g. surface of the water or deeper, close to the edge of surface water or farther back from the bank) and how much to sample;
  - Which validated test methods should be conducted (e.g. for which pathogens and/or indicator organisms);
  - which parameters should be recorded (e.g. temperature of water sample, water source location, and/or weather description, and/or time and temperature between sampling and analysis);

- how often tests should be conducted;
- how test results should be analyzed and interpreted over time, for example, to calculate the rolling geometric mean; and
- how test results will be used to define corrective actions including use of an alternative source of water.
- 14.15. If the water source is found to have unacceptable levels of indicator organisms or is contaminated with water-borne pathogens, corrective actions should be taken to ensure that the water is suitable for its intended use. Possible corrective actions to prevent contamination of water and fresh produce at primary production may include
  - the installation of fencing to prevent large animal contact;
  - improvement of good agricultural practices to prevent contamination from animal waste <u>and</u>, fertilizer <u>and pesticide runoff</u>;
  - the proper maintenance of wells;
  - the prevention of the stirring of the sediment when drawing water;
  - · the proper maintenance of distribution and storage systems
  - changing the water application method to avoid direct contact of the water with the edible portion of the crop;
  - maximizing the interval between application of irrigation water and crop harvest, as time-toharvest intervals will impact the die-off rate of microorganisms and it-which is affected by different weather conditions, produce types, and type of bacteria.

Possible corrective action to reduce contamination at primary production may include:

- water filtering by a system that allows capturing particles on which <u>micro</u>biological contaminants may be attached;
- chemical water treatment; and,
- the construction of settling or holding ponds or water treatment facilities;
- 45.16. The effectiveness of corrective actions should be verified by regular testing. Where possible, growers should have a contingency plan in place that identifies an alternative source of water.

#### Water for irrigation (including greenhouses)

- 46.17. The irrigation system or application method affects the risk of contamination. The timing, the quality of water used, and whether the water has direct contact with the edible portion of the plant should all be considered when selecting the irrigation system or application method to use. Overhead irrigation presents the highest risk of contamination where it wets the edible portion of the crop. The duration of wetting can be several hours, and the physical force of water-droplet impact and the splashing of the soil to the edible part of the product may drive contamination into protected sites on the leaf/produce. If overhead irrigation cannot be avoided, the use of low volume sprays can reduce the risk. Subsurface or drip irrigation that results in no wetting of the plant is the irrigation method with the least risk of contamination, although localized problems may still arise, e.g. when using drip-irrigation, care should be taken to avoid creating pools of water on the soil surface or in furrows that may come into contact with the edible portion of the crop.
- <u>47.18.</u> Water for irrigation should be of suitable quality for its intended use. Special attention should be given to water quality in the following situations:
  - Irrigation by water-delivery techniques that expose the edible portion of fresh produce directly to water (e.g. sprayers), especially close to harvest time;
  - Irrigation of fresh produce that have physical characteristics such as leaves and rough surfaces that can trap water; and
  - Irrigation of fresh produce that will receive little or no post-harvest wash treatments prior to packing, such as field-packed produce.
- 48.19. A number of good agriculture practices (GAP) for irrigation might be considered:

• Establish no-harvest zones if the irrigation source water is known or likely to contain human pathogens and where failure at connections results in overspray of plants or localized flooding;

- Record the crop, date and time of irrigation, water source and any pesticides or fertilizers applied using water.
- Maintain and protect the source of the water used/stored and verify its quality.
- Where possible, avoid the use of high-risk water sources such as poorly stored rainwater, untreated wastewaters and surface waters from rivers, lakes and ponds.
- Growers should focus on the adoption of GAP to minimize and control the risk of contaminated water and not use testing as the sole method <u>forof controlling waterborne hazardsensuring control of</u> microbial pathogens in water.
- The type of crop (i.e. ready-to-eat or requiring cooking), timing, irrigation system, soil type and whether the irrigation water has direct contact with the edible portion of the plant should be considered by growers. If contaminated water is in contact with the edible portion of plants, the risk of contamination increases, especially if close to harvesting.
- Avoid water spraying immediately prior to harvest. Water spraying, i.e. misting, immediately prior to harvest, presents an increased microbiological risk. If the soil is heavy and non-free draining, contaminated water can accumulate on the soil surface, increasing the risk of crop contamination. It is recommended that water spraying immediately prior to harvest be avoided.
- Minimize soil splashing from irrigation by choosing a system that delivers small water droplets. For low growing crops it may not be possible to minimize water contact in this way. The risk of contamination increases if large irrigation droplets are used or heavy rain occurs. It should also be noted that if the soil has been contaminated by irrigation water, soil splash can transfer contamination to crops.
- Inspection of the complete irrigation system under the farmer's control at the beginning of each growing season and repair the system or apply corrective measures if necessary.
- Properly storage of organic fertilizers and manure in areas away from water sources, with no
  possibility of being washed away by runoff.
- 49.20. Those responsible for the water-distribution system, where appropriate, should regularly carry out an evaluation to determine if a contamination source exists and can be eliminated. Water testing records should be maintained.

# Water for fertilizers, pest control and other agricultural chemicals

20.21. Water used for the application of water-soluble fertilizers, pesticides and other agricultural chemicals that come in direct contact with products should be of the same quality as water used for direct contact irrigation and should not contain biological contaminants at levels that may adversely affect the safety of fresh-producecompromise produce safety, especially if they are applied directly on edible portions of the fresh produce close to harvest. Human pathogens can survive and multiply in many agrichemicals, including pesticides.

#### **Hydroponic** water

- 21.22. MicrobBiological risks of water used in growing fruits and vegetables hydroponically may differ from the microbiological risks of water used to irrigate fruits and vegetables in soil because the nutrient solution used may enhance the survival or growth of pathogens. It is especially critical in hydroponic operations to maintain the water quality to reduce the risk of contamination and survival/growth of pathogens.
- 22.23. The following should be taken into consideration:
  - Water used in hydroponic culture should be changed frequently or, if recycled, treated to minimize microbiological contamination;
  - Water-delivery systems should be maintained and cleaned, as appropriate, to prevent <u>micro</u>biological contamination of water; and
  - In the case of a combination of aquaculture and hydroponics (i.e. aquaponics), effluent from fish tanks should be treated to minimize microbiological contamination.

# Water for other agricultural uses

23.24. Clean water should be used for other agricultural purposes, such as dust abatement and the maintenance of roads, yards and parking lots, in areas where fresh produce is grown. This includes water used to minimize dust on dirt roads within or near primary production sites. This provision may not be necessary when water used for this purpose cannot reach the fruits and vegetables (e.g. in the cases of tall fruit trees, live tree fences or indoor cultivation).

# Water for indoor storage and distribution facilities

- 24.25. Where appropriate, an adequate supply of clean water with appropriate facilities for its storage and distribution should be available in indoor primary production facilities. Non-potable water should have a separate storage and distribution system.
- 25.26. Non-potable water systems should be identified (for example with labels or colour codes) and should not connect with or allow reflux into potable water systems. Water for indoor storage and distribution facilities should:
  - Avoid contaminating water supplies by exposure to agricultural inputs used for growing fresh produce such as fertilisers and pesticides;
  - · Clean and disinfect water storage facilities on a regular basis; and
  - Control the quality of the water supply.

# HARVEST and POST-HARVEST USE OF WATER

#### General

- 26.27. Water used during harvesting and postharvest practices includes any water that contacts fresh produce during or after harvest including water used for rinsing, washing, transporting or fluming, cooling, waxing or icing. The microbiological quality of postharvest water is critical because microbial die-off of on the fresh produce before consumption is minimal, in particular in case of ready-to-eat produce.
- 27.28. Water-quality management varies throughout the operations. Packers should follow GHPs to prevent or minimize the potential for the introduction or spread of pathogens in processing water. The quality of water used should depend on the stage of the operation: for example, clean water could be used for initial washing stages, whereas water used for final rinses should be of potable quality.
- 28.29. Clean, or preferably potable, water should be used when water is applied under pressure or vacuum during washing, as these processes may damage the structure of and force pathogens into plant cells.
- 29.30. It is recommended that the quality of the water used in packing establishments be controlled, monitored and recorded by testing for indicator organisms and/or food-borne pathogens. Since the results of such (verification) testing is are not available right away, it is recommended to carry out simple other complimentary complementary operational monitoring such as rapid water quality testing by testing of turbidity, chlorine residuals or visual observation. This last one is of particular importance [in small-scale-systems] where the frequency of verification testing is [typically] low. When the results of such (verification) testing are not available right away, or when the frequency of verification testing is low it is recommended to carry out other complementary operational monitoring such as rapid water quality testing by testing of turbidity, chlorine residuals or visual observation.
- 30.31. If water is used in prewashing and washing tanks, additional controls (e.g. changing water whenever necessary and controlling product throughput capacity) should be adopted.
- 31.32. If large quantities of fresh produce (e.g. hundreds of kilograms) are washed in the same volume of water (e.g. 1000 L), accumulation of microorganisms occurs which favours cross-contamination between different product batches. Maintenance of residual concentration of biocides in the process water, can be used as processing aids to maintain the microbiological quality of process water to avoid accumulation of microorganisms in the water tank and reduce cross-contamination in the washing tank.
- 32.33. Post-harvest operations/systems that use water should be designed in such a manner as to minimize places where the product may lodge or dirt build up.
- 33.34. The use of biocides to maintain the microbiological quality of process water should comply with the requirements established by the competent authority and should be validated for efficacy. Biocides should never replace GHPs but be used in addition to GHPs and where necessary to minimize post-harvest cross contamination with biocide levels monitored, controlled and recorded to ensure the maintenance of effective

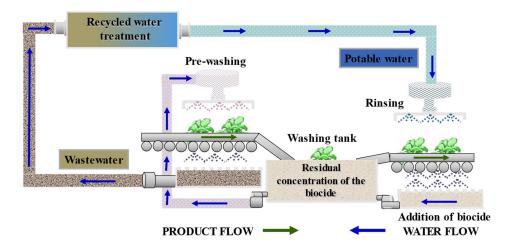
concentrations. The application of biocides should be followed by rinsing of the fresh produce as necessary to ensure that chemical residues do not exceed levels established by the competent authority using overhead spray, not by an immersion tank without cross-contamination attention.

- 34.35. Where appropriate, characteristics of post-harvest water that may impact the efficacy of the biocidal treatments (e.g. the pH, turbidity and water hardness) should be controlled, monitored and recorded.
- 35.36. Ice that may come in contact with fresh produce should be made from potable water and produced, handled, transported, and stored in such a manner as to protect it from contamination.
- 36.37. Immersion of warm, whole or fresh-cut produce in cool water may induce water into the internal parts of the fresh produce and some fresh produce with high water contents, e.g. apples, celery, melons and tomatoes, are more susceptible to internalization through openings in the peel such as stem-end vascular tissue, stomata or puncture wounds; if the temperature of the wash water is less than the temperature of the produce, the temperature differential can force water into the produce contaminating it on the inside; it is recommended that in these cases, the temperature of the initial wash water is 10°C higher than the fresh produce, if possible.

#### Reuse of water

37.38. Water reuse is also possible in the fresh produce industry. As a principle, water reuse should move backwards through the system from clean to less clean steps in the process. Figure 1 shows how water from the rinsing step can be used for the washing tank and how the water in the washing tank can be used as a pre-washing step.

Figure 1. Example of a potential option for water reuse in the fresh produce industry.



- 38.39. The water used in the final rinsing step should be potable water. After rinsing, this water should be treated with a biocide to have a residual concentration of the biocide able to minimize cross-contamination in the washing tank. By doing this, the water in the washing tank will have an "antimicrobial" activity to inactivate any potential pathogens that might be present in the washing tank coming from the produce.
- 39.40. The water from the washing tank can be also used as a pre-washing step. The pre-washing step should remove most of the organic matter and reduce the bacterial load that comes with the produce. This step will help maintain a residual concentration of biocides in the wash water tank, as some biocides are inactivated by organic matter. Reducing the soil and the dust that comes from the field in the pre-washing step will reduce the amount of organic matter and microorganisms introduced into the washing tank, increase the

- microbial quality of the water in the tank, and help maintain a residual concentration of biocides that are inactivated by organic matter.
- 40.41. The final rinsing step should also minimize the residues of the biocides (e.g. disinfection-by-products) in the fresh produce coming from the washing tank.
- 41.42. In order to have a more sustainable industry, which avoids the use of excessive amounts of water, the water used by the industry can be re-cycled using reconditioning treatments similar to those that are implemented in wastewater treatment plants to have water of a quality similar to that of potable water.
- 42.43. Recycled water should be treated and maintained in conditions that do not constitute a risk to the safety of fresh produce. The treatment process should be effectively monitored, controlled, and recorded. For example, a treatment process that includes primary screening, secondary filtration and a biocidal treatment could be used to maintain the suitability of recycled water.
- 43.44. Recycled water may be used with no further treatment, provided its use does not constitute a risk to the safety of fresh produce (e.g. use of water recovered from the final rinsing for the washing step).
- 44.45. If treating water for use in washing and rinsing, it is recommended to seek professional advice from experts on the safe (re-)use of water in fresh produce before purchasing, installing and using any water treatment system, e.g. water chlorination system.

#### **Documentation**

- 45.46. Documented procedures should be developed for the washing and rinsing of fresh produce, including:
  - on the use of vigorous washing to increase the chances of removing contamination if the fresh produce is not subject to bruising,;
  - on the frequency of water replenishment for washing and rinsing considered suitable to minimise risks of fresh produce contamination;
  - on the monitoring of the water temperature during washing and rinsing;
  - on the use of a de-watering step, where possible, to remove excess water from the fresh produce, as
    dry produce is less likely to become re-contaminated; in such case, water should be removed gently
    to prevent damage to produce.
- 46.47. Develop documented procedures for cleaning and sanitizing of surfaces coming into contact with the fresh produce and used in washing and rinsing of fresh produce which includes:
  - all washing and rinsing equipment should be hygienically designed to help ensure adequate cleaning and sanitizing:
  - all equipment should be cleaned after use. Mud, soil and fresh produce debris should be removed
    from equipment, then it should be washed with a detergent and rinsed before a final wash with a
    chemical disinfectant and, where necessary, a thorough rinse with potable water;
  - ancillary equipment such as knives and blades, and boots and protective clothing should be cleaned and disinfected at the end of each day:
  - maximum run time, between cleaning and sanitation cycles, should be determined for each process line.

### RISK-BASED [ASSESSMENT] STRATEGY APPROACH-TO DETERMINE WATER FIT FOR PURPOSE

- 47.48. The development of a risk-based strategy for water sourcing, use and reuse should be based on a risk-based strategy that should take into account:
  - identification of water-related <u>micro</u>biological hazards and source of those hazards, relevant for the area of production;
  - sources of water available;
  - the description of the water supply system (e.g. delivery and storage system)
  - uses of water considered such as irrigation, washing (fresh produce, containers and surfaces), storage on ice, etc.;
  - type of irrigation, in particular if the water is in direct contact with the produce.

- type of crop (e.g. leafy greens versus fruit trees);
- physiological characteristics of the fresh <u>product produce</u> (such as the peel and whether the produce would be subject to infiltration <u>of water in the produce</u>);
- water treatment and water disinfection techniques available such as heating, microfiltration and treatment with chlorine, chlorine dioxide, chloramine, ozone, UV-C;
- application after use of water (e.g. Irrigation cessation, washing, peeling)
- · consumers' habits such as eating raw, cooking, fermenting, etc.
- 48.49. If the fresh produce is normally consumed raw, the source of water should be identified and the related risk should be assessed in view of determining the level of control measures:
  - Potentially high or unknown risk if for example untreated wastewater, surface water or shallow ground water;
  - · Potentially medium risk if for example collected rain water;
  - Potentially low risk if treated (waste) water, potable water or deep groundwater.
- 49.50. The matrix in Table 1 is an example that can be used to simply as a simple approach to the potential level of risk posed by the use or reuse of various water sources during pre-harvest stages of fresh produce and their intended use.

Table 1<sup>1</sup>

Intended	Contact	Water source						
use of fresh produce	of the water with edible portion?	[Reused water untreated/Wastewater]	Surface and groundwater of unknown quality	Groundwater collected from protected wells	Hygienically collected rainwater	Potable water, deep groundwater or other water, including treated reused water, that complies with the microbiological criteria applicable to potable water.		
Ready-to- eat	YES	High risk	High risk	Medium risk	Medium risk	Low risk		
	NO	High risk	High risk	Low risk	Low risk	Low risk		
Cooked [or processed by consumer or a food business operator]	YES	Low risk <sup>2</sup>	Low risk <sup>2</sup>	Low risk	Low risk	Low risk		
	NO	Low risk <sup>2</sup>	Low risk <sup>2</sup>	Low risk	Low risk	Low risk		

50.51. When data (e.g. on microbial quality of the water sources, on relevant health data from exposed populations) and resources allow, the conduct of a quantitative or semi-quantitative risk assessment can be considered. This may allow risk mitigation measures to be more cost-effective and tailored to the specific needs.

# **RISK MITIGATION/RISK MANAGEMENT STRATEGIES**

Indicator organism for monitoring hazards in water used in fresh produce production

(These recommendations are based on the conclusions of the JEMRA Report on the Safety and Quality of Water-Used with Fresh Fruits and Vegetables, MRA 37)

- 51.52. Indicator organisms should be used as indicators of faecal contamination rather than presence or concentration level of any specific pathogen. The major indicator organisms are *E. coli* and enterococci.
- <u>52.53.</u> Such faecal indicators can be used as process indicators or to validate the efficacy of water treatments if they respond to treatment processes in a similar manner to pathogens of concern.
- 53.54. It should be taken into account that, in general, faecal indicators reasonably predict the probable presence of faecal pathogens in water, but they cannot precisely predict the concentrations present, with the possible exception of heavily polluted waters. The correlation becomes erratic and biologically improbable as dilution occurs.
- <u>54.55.</u> Bacteriophages are better <u>bacterial</u> indicators of enteric viruses than faecal indicators, although coliphages cannot be absolutely relied upon as indicators for enteric viruses. A combination of two or more bacteriophages can be considered. Bacteriophages can be used as good process indicators to determine the efficacy of water treatments against enteric viruses.

<sup>1</sup> From FAO/WHO MRA 33 Meeting report on Safety and Quality of Water Used in Food Production and Processing.

Instead of low risk ranking in the JEMRA report, medium risk ranking may be considered because the microbial reduction of cooking procedures can be highly variable, depending on the type of produce, the cooking applied and the level of contamination of the water. Contact of water with the edible part may also enhance the risk

55.56. Protozoa and helminths cysts / eggs are more resistant survive more easily than bacteria and viruses and there is no suitable indicator of their presence/ absence in irrigation water. Specific tests should be performed if the presence of these parasites is suspected.

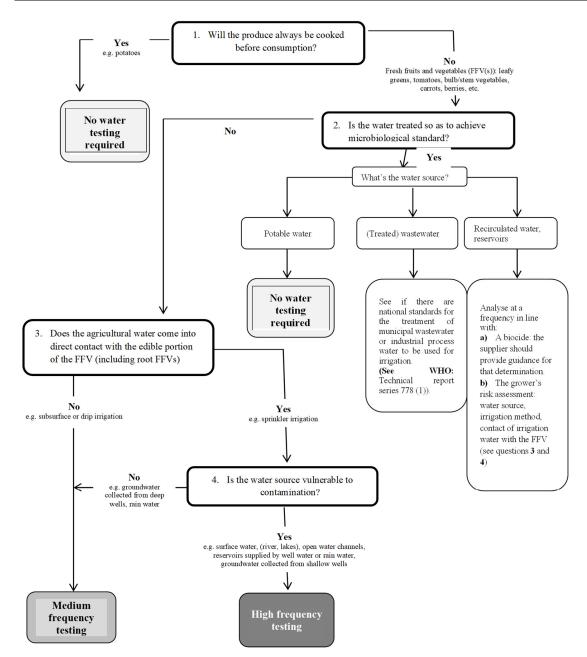
# Examples for determining water emicrobiological criteria

56.57. The determination of a fit-for-purpose sampling frequency can contain the following steps:

- Identify the activities at the farm in which water is applied
- Identify the sources of water available for the farm
- Evaluate the use of water in relation to the potential contamination to edible parts of the fresh produce
- Check the quality of the water before its use (before the start of the growth season)
- Monitor the quality of water regularly during the growing period<sup>3</sup>.
- 58. For determining the sampling frequency a "decision tree" approach <u>can be used such as in Figure 1</u> (paragraph 10 of this Annex) where the use of potentially high or unknown risk water should result in a high frequency of sampling, of potentially medium risk water in a medium frequency and potentially low risk water in a low frequency.
- 57. Another example of a decision tree to decide on the frequency is below<sup>4</sup>e

<sup>3</sup> Examples of monitoring strategies have been provided in Annex 4 of JEMRA Report on the Safety and Quality of Water Used with Fresh Fruits and Vegetables, MRA 37)

Source: European Commission Notice No. 2017/C 163/01 Guidance document on addressing microbiological risks in fresh fruit and vegetables at primary production through good hygiene. (available at https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:52017XC0523(03)&from=LV). Accessed by JEMRA as resource for Figure 3 in MRA 33, Safety and Quality of Water Used in Food Production and Processing.



58. Alternatively to decide on the frequency of sampling and applied biological criteria, a table assessing risk canbe used as in the third illustration of Appendix 2, considering the source and the intended use of agriculturalwater (e.g. irrigation system, washing the fresh produce), characteristics of the fresh produce and its intendeduse, defining the suitability for agricultural purposes, the recommended biological threshold values and the frequency of monitoring.

# Examples of decision support system tools

- 59. There is no single decision support system tool that applies/fits in all situations. The Decision trees and examples below therefore should rather be considered as an approach to evaluate a situation instead of as a tool fixed for all purposes.
- 60. Based on Table 1 and Figure 3 of the 2019 FAO/WHO meeting report on Safety and Quality of Water Used in Food Production and Processing, a decision support system can be developed, using scores to assess the risk or the effectiveness of control measures related to the risk derived from the use of water. It should be acknowledged that no decision tool fits in all situations. The scores below are examples for illustration only. There can be other considerations that would result in a different score.

- 61. Scores in the decision tool are:
  - Related to the irrigation systems/ direct or indirect contact with fresh produce:
    - No direct or indirect contact between irrigation water and produce: 3
    - Drip irrigation: 3
    - Furrow irrigation: 1
    - Overhead irrigation: 0
  - Related to the application of mitigation options on water before irrigation:
    - On-farm water treatment ponds with 18+ hrs sedimentation period; water fetching without disturbing pond sediment: 1
    - Filtering water before irrigation: 1
    - None: 0
  - Related to the application of one or more of the following mitigation options at or post-harvesting
    - Irrigation cessation (3 days): 2
    - Washing with running potable water: 1
    - Washing with running potable water + added biocide: 2
    - Peeling: 2
    - None: 0
- 62. The sum of scores should be made to evaluate <u>if sufficient guarantees can be provided to ensurewhether</u> the <u>water is</u> safe <u>to</u> use <u>of waterfor its intended purpose</u>. The higher the sum of \_the scores the lower the associated risk. If the score is too low, the above scores can be used to select additional mitigation options or have an indication to which extent the <u>microbiological</u> quality of the water should be improved.
  - When low risk water (potable water, deep groundwater, other water showing compliance with microbiological criteria of potable water) is used and no fresh manure, excreta or sludge as fertilizer, the risk at primary production can be considered as low.
  - When medium risk water (e.g. collected rainwater or other water showing low microbiological contamination e.g. *E. coli* 10 to 100 CFU/100ml) is used and no fresh manure, excreta or sludge as fertilizer, the risk at primary production can be considered as low, if a score of about 4\_ (3-5) is reached by applying the irrigation system or mitigation options in paragraph 6062.
  - When high or unknown risk water (wastewater, surface water, shallow ground water, other water showing high microbiological contamination (e.g. E. coli 1000 CFU/100ml or more) and no fresh manure, excreta or sludge as fertilizer, the risk at primary production can be considered as low, if a score of 6 and more is reached by applying the irrigation system or mitigation options in paragraph 6062.
- An examples of decision support system tool is are provided in the Appendices Appendix, Appendix 1 is based on the decision tool in paragraphs 60 to 62. Appendix 2 contains other examples applied in certain parts of the world. The examples below are purely for illustration, can be used voluntarily and may have to be adapted to national or local situations.

<sup>5</sup> Other region/country specific examples can found as « Sources for Figure 3 » in the 2019 FAO/WHO meeting report on Safety and Quality of Water Used in Food Production and Processing (MRA 33)

Appendix 1: Examples of decisions based on support systems tool described in paragraph 59 to 62. The scores below are examples for illustration only. There can be other considerations that would result in a different score.:

- Medium risk water, irrigation water not in contact with the edible portion of the fresh produce (3), no other treatment => total of 3: better to use other source or add mitigation option(s)
- Unknown risk water, irrigation water not in contact with the edible portion of the fresh produce (3), filtering before irrigation (1) and irrigation cessation (2) => total of 6: acceptable
- Medium risk water, irrigation water in contact with the edible portion of the fresh produce (0), irrigation cessation (2) + washing with potable water and biocide (2) => total of 4: acceptable.
- Unknown risk water, irrigation water in contact with the edible portion of the fresh produce (0), but filtering before irrigation (1) and irrigation cessation (2) + washing with potable water and biocide (2) + peeling (1) => total of 6: acceptable
- Medium risk water, irrigation water in contact with the edible portion of the fresh produce (0) + washing with running potable water and added biocide (2) + peeling (2) => total of 4: acceptable.

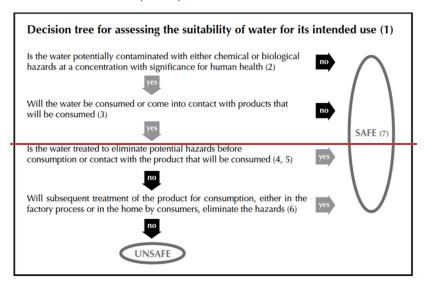
# Scoring:

- 1-3 unacceptable (use other source or add mitigation options),
- 4-6 acceptable without further mitigation options.

Appendix 2: Other examples of decision support system tools applied in certain parts of the world.

A) <u>Illustration 1: International Life Sciences Institute (*ILSI*), 2008 (</u>https://ilsi.eu/publication/consideringwater quality for use in the food industry/\_\_):

The ILSI report on water quality for use in the food industry proposes a decision tree for the food industry answering the questions sequentially to classify the water and provide guidance on whether the water is suitable for the intended use. Below is simplified presentation.



Before using the decision tree (1) consideration should be given to:

- the purpose for the use of water;
- who or what will be exposed to it;
- whether there is contact or not with the product, and, if so, at which stage, as water, ice or steam?

At the first question/step (2) quidelines and applicable regulations should be consulted.

At the second question/step (3), the source of water and potential hazards must be considered:

water treated or not

- effective disinfection
- use of recycled water

At the third question/step (4,5), the following should be considered:

- Existence of steps in the process that will act as mitigation steps to potential hazards;
- Existence of a wash stage in potable water;
- Existence of subsequent processing steps, e.g. peeling, that will act as a barrier to transmission of the hazard to the final product;
- Likelihood of exposure to the consumer.

At the fourth question/step (6), consideration should be given if additional mitigation measures can be introduced.

When the use of water is considered safe (7), steps for monitoring that the barriers and mitigation measures in place are operating properly, and for verification that the product is safe, should be determined.

B) Illustration 2 Commodity Specific Food Safety Guidelines for the Production and harvest of Lettuce and Leafy Greens, 2020 of the California Leafy Green Products Handler Marketing Agreement (LGMA) program () Figure 6

In this illustration, it is recommended to use municipal (potable) water, well water with potable water quality or reverse osmosis for any water in direct contact with edible portions of harvested crops, hand washing or use in food-contact surfaces, meeting microbiological standards set for potable water and/or containing an approved disinfectant at sufficient concentration to prevent cross-contamination.

Acceptable criteria are:

- Negative or below the detection limit/100 ml generic E.coli, or
- ≥1ppm free chlorine (pH 5.5-7.5), or
- sufficient disinfectant/physical treatment to prevent cross-contamination or other approved treatment for human pathogen reduction in water.

C) Illustration 3 Commission notice on guidance document on addressing microbiological risks in fresh-fruits and vegetables at primary production through good hygiene (Official Journal of the EU, C 163, 23.5.2017, p. 1) Annex II

	Source of water						Suggested	
Intended use of the water	Untreate d surface water <sup>6</sup> / open water channels	Untreat ed- ground- water- collecte d from- wells <sup>2</sup> -	Untreated Rain water	Treated <sup>7</sup> - sewage/- surface/- waste- water/- water- reuse	Disinfecte d-water_8	Potabl e water	thresholds for- Indicator- of fecal- contamina tion: E coli-	
PRE-HARVEST and HAR	PRE-HARVEST and HARVEST							
Irrigation of fresh- produce likely to be eaten uncooked (i.e. ready to eat fresh- produce) (irrigation- water comes into direct- contact with the edible- portion of the fresh- produce)  Dilution or application of- pesticide, fertiliser or- agrochemicals and- cleaning equipment for- ready-to-eat fresh- produce and direct- contact.	Don't- use	<del>Don't</del> use	Medium- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	No- need- for- testing	100- CFU/100ml	
Irrigation of fresh- produce likely to be- eaten uncooked (i.e. ready-to-eat FFV) (irrigation water does not- come into direct contact- with the edible portion of the fresh produce)  Dilution or application of pesticide, fertiliser or agrochemicals and cleaning equipment for ready-to-eat fresh	<del>Don't</del> use	<del>Don't</del> use	Medium- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	No- need- for- testing	1,000- CFU/100ml	

<sup>&</sup>lt;sup>6</sup>—Surface water and ground water from wells (e.g. boreholes) might be of good microbiological quality and meet the 100 CFU/100 ml thresholds without treatment. If this is repeatedly demonstrated by analysis, the recommendations in the Table might be revised.—

<sup>&</sup>lt;sup>7</sup>—For the purpose of this matrix, treated sewage water means wastewater that has been treated so that its quality is fit for the intended use and complies with the standards established by the national legislation of the member state or, in the absence of such national legislation, with WHO guidelines on the safe use of wastewater and excreta in agriculture.

<sup>8—</sup>Disinfection treatment should be well controlled and monitored.

<sup>&</sup>lt;sup>5</sup>—Since the irrigation water does not come into contact with the edible part of the FFV a value higher than 1 000 CFU/100 ml should be applied for *E.coli*. Irrigation methods such as drip or sub-surface will present a lower risk of contaminating the edible part of a lettuce FFV than overhead irrigation.7) Since the irrigation water does not come into contact with the edible part of the FFV a value higher than 1 000 CFU/100 ml should be applied for *E.coli*. Irrigation methods such as drip or sub-surface will present a lower risk of contaminating the edible part of a lettuce FFV than overhead irrigation.

			•				
produce and no direct- contact-							
Irrigation of fresh produce likely to be eaten cooked (irrigation water comes into direct contact with the edible portion of the fresh produce ).  Dilution or application of pesticide, fertiliser or agrochemicals and cleaning equipment used in this fresh produce direct contact).	Medium- samplin g-and- tosting- frequen cy	Medium samplin g and testing frequen cy	Low- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	No- need- for- testing	<del>1,000</del> CFU/100ml
Irrigation of fresh produce likely to be eaten cooked (irrigation water does not come into direct contact with the edible portion of the fresh produce ).  Dilution or application of pesticide, fertiliser or agrochemicals and cleaning equipment used in this fresh produce (no direct contact)	Low- samplin g-and- testing- frequen Gy	Low- samplin g-and- testing- frequen cy	No need- for- testing- except to- test the- treatment /disinfecti	No need- for- testing- except to- test the- treatment /disinfecti	No need- for- testing- except to- test the- treatment /disinfecti	No- need- for- testing	<del>10,000</del> - CFU/100ml
		P	OST-HARVES	ST .			
Post-harvest cooling and post-harvest transport for non-ready-to-eat fresh produce.  Cleaning equipment and surfaces where the products are handled.  Water used for first washing of products in case of ready-to-eat products.	Don't- use	<del>Don't</del> use	Medium- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	Low– sampling- and– testing– frequency	No- need- for- testing	<del>100</del> - CFU/100ml
Water used for washing of products likely to be eaten cooked (potatoes) – non ready-to-eat fresh produce.	Medium- samplin g-and- testing- frequen cy	Medium samplin g and testing frequen cy	Low- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	Low- sampling- and- testing- frequency	No- need- for- testing	<del>1,000</del> CFU/100ml
Final washing and- ice/water for cooling- applied for ready to-eat fresh produce	Don't use	Don't- use	Medium- sampling- and- testing-	Low- sampling and- testing-	Low- sampling and- testing-	No- need- for- testing	Microbiolog ical- requiremen ts of potable