

May 2003



منظمة الأغذية  
والزراعة  
للأمم المتحدة

联合国  
粮食及  
农业组织

Food  
and  
Agriculture  
Organization  
of  
the  
United  
Nations

Organisation  
des  
Nations  
Unies  
pour  
l'alimentation  
et  
l'agriculture

Organización  
de las  
Naciones  
Unidas  
para la  
Agricultura  
y la  
Alimentación

E

## COMMITTEE ON FISHERIES

### SUB-COMMITTEE ON AQUACULTURE

#### Second Session

Trondheim, Norway, 7-11 August 2003

### QUESTIONNAIRE FOR MONITORING THE IMPLEMENTATION OF THE 1995 FAO CODE OF CONDUCT FOR RESPONSIBLE FISHERIES AND THE INTERNATIONAL PLANS OF ACTION ON CAPACITY, SHARKS, SEABIRDS AND ILLEGAL, UNREPORTED AND UNREGULATED FISHING

#### FOR FAO MEMBERS

Organization: .....

Name of person (optional): .....

Date: .....

#### General Questions

1. Article 2 of the Code lists ten objectives. Please rank your perception of the relevance of these objectives for the various types of fisheries including inland capture fisheries and aquaculture developments in your country.

Please rank according to the following scale:

1= not very relevant    3= relevant    5= extremely relevant

OBJECTIVES		RATING				
		1	2	3	4	5
1	Establish principles for responsible fishing and fisheries activities considering all their relevant biological, technical, economic, social, environmental and commercial aspects					
2	Establish principles and criteria to implement policies for the conservation of fishery resources and fisheries management and development					
3	Serve as an instrument of reference to improve legal and institutional framework for appropriate management measures					
4	Provide guidance to formulate and implement international agreements and other legal instruments					
5	Facilitate and promote co-operation in the conservation of fisheries resources, fisheries management and development					
6	Promote the contribution of fisheries to food security and food quality giving priority to the nutritional needs of local communities					
7	Promote protection of living aquatic resources and their environments and coastal areas					
8	Promote the trade in fish and fishery products in conformity with relevant international rules					
9	Promote research on fisheries as well as on associated ecosystems and relevant environmental factors					
10	Provide standards of conduct for all involved in the fisheries sector					

2. List in priority order the 3 major constraints to implementation of the Code in your country and propose possible solutions.

	MAIN CONSTRAINTS	SUGGESTED SOLUTIONS
1		
2		
3		

3. Do fisheries legislation and policies in your country conform with the Code of Conduct?

Yes \_\_\_\_\_ No \_\_\_\_\_ Partially \_\_\_\_\_

3.a If you answered no, does your country intend to introduce changes to its fisheries policy and/or legislation to bring them into conformity with the Code of Conduct?

Yes \_\_\_\_\_ No \_\_\_\_\_

3.b. If you answered yes, when do expect to introduce those changes? \_\_\_\_\_

4. Please describe efforts that have been made to make the Code more widely known and understood within your country.

---



---



---

5. Please indicate the level of priority your country attaches to the following substantive themes that are developed in the Code and in the relevant FAO Technical Guidelines for Responsible Fisheries<sup>1</sup>

	Top Priority	Priority	Low Priority
Fisheries Management			
Fishing Operations			
Aquaculture Development			
Integration of Fisheries into Coastal and Basin Area Management			
Post-Harvest Practices			
Trade			
Fisheries Research			
Inland Fisheries Development			

#### Article 7 of the Code of Conduct -- Fisheries Management

6. How many of the fisheries in your country have fisheries management plans in place?

Marine Capture Fisheries \_\_\_\_\_ Inland Capture Fisheries \_\_\_\_\_

- 6.a Have those fisheries management plans been implemented? Please answer by indicating the percentage of fisheries management plans that have been developed and implemented in your country

Marine \_\_\_\_\_% Inland \_\_\_\_\_%

- 6.b Please indicate the weight of landings for those fisheries that have fisheries management plans in place

Marine \_\_\_\_\_% Inland \_\_\_\_\_%

---

<sup>1</sup> FAO has elaborated as of February 2002 the following Technical Guidelines on the Code: Fishing Operations; Vessel Monitoring Systems; Precautionary Approach to Capture Fisheries and Species Introductions; Integration of Fisheries Into Coastal Area Management; Fisheries Management; Conservation and Management of Sharks; Aquaculture Development; Good aquaculture Feed Manufacturing Practice; Inland Fisheries; Responsible Fish Utilization and Indicators for Sustainable Development of Marine Capture Fisheries. Further guidelines are being developed. The guideline to support the implementation of the IPOA-IUU is in translation.

7.a Do these marine fisheries management plans:

	YES	NO
Contain measures to ensure the level of fishing is commensurate with the state of fisheries resources		
Contain measures to allow depleted stocks to recover		
Contain stock specific target reference points		
Address selectivity of fishing gear		
Prohibit destructive fishing methods and practices (e.g. dynamiting and poisoning)		
Address fishing capacity including the economic conditions under which the fishing industry operates		
Address the biodiversity of aquatic habitats and ecosystems, including identifying essential fish habitats		
Provide for stakeholder participation in determining management decisions		
Address the protection of endangered species		
Address the interests of small-scale fishers		

7.b Do these inland fisheries management plans:

	YES	NO
Contain measures to ensure the level of fishing is commensurate with the state of fisheries resources		
Contain measures to allow depleted stocks to recover		
Contain stock specific target reference points		
Address selectivity of fishing gear		
Prohibit destructive fishing methods and practices (e.g. dynamiting and poisoning)		
Address fishing capacity including the economic conditions under which the fishing industry operates		
Address the biodiversity of aquatic habitats and ecosystems, including identifying essential fish habitats		
Provide for stakeholder participation in determining management decisions		
Address the protection of endangered species		
Address the interests of small-scale fishers		

8. Please provide any additional information you would like to submit on management measures in your country that may not be part of a specific fisheries management plan.

---

9. For which stocks has your country developed stock specific target reference points?

---

None\_\_\_\_\_

9.a If you answered none, what other indicators or thresholds are used for managing stocks?

---

9.b If your country has developed stock specific target reference points, have these reference points been exceeded\_\_\_\_\_ or are being approached \_\_\_\_\_?

9.c If reached or exceeded, what action has been, or will be taken to remedy the situation?

---

10. Has the precautionary approach been applied to the management of fisheries resources in your country?

Yes\_\_\_\_\_

No\_\_\_\_\_

10.a If you answered yes, please describe the manner in which it is being implemented in your fishery management procedures.

---

### **Article 8 of the Code of Conduct -- Fishing Operations**

11. What steps has your country taken to ensure that only fishing operations authorized by the licensing authority are conducted within waters under its jurisdiction?

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

None\_\_\_\_\_

12. What steps has your country taken to ensure that fishing activities of vessels flying its flag undertaken in international waters or waters under the jurisdiction of another state are reported, monitored and carried out in a responsible manner?

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

None\_\_\_\_\_

13. What measures has your country taken to limit bycatch (e.g. juveniles, non-target species, non-fish species) and discards?

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

None\_\_\_\_\_

14. Has your country implemented a vessel monitoring system (VMS) for?

- (A) The entire fishing fleet \_\_\_\_\_  
 (B) A portion of the fishing fleet \_\_\_\_\_  
 (C) None of the fishing fleet \_\_\_\_\_

14.a If your country has not implemented VMS for any of its vessels, is it planning to do so in the future? Yes\_\_\_\_ No\_\_\_\_

**Article 9 of the Code of Conduct -- Aquaculture Development**

15. Briefly describe the legal and institutional framework your country has for the development of responsible aquaculture.

\_\_\_\_\_

No framework \_\_\_\_\_

16. Has a code or instrument of best practices for aquaculture been developed or adopted by government agencies, producer organizations, suppliers, manufacturers and/or other stakeholders in your country?

- (A) Government agencies: Yes \_\_\_\_\_ No \_\_\_\_\_  
 (B) Producer organizations: Yes \_\_\_\_\_ No \_\_\_\_\_  
 (C) Suppliers Yes \_\_\_\_\_ No \_\_\_\_\_  
 (D) Manufacturers Yes \_\_\_\_\_ No \_\_\_\_\_  
 (E) Other stakeholders Yes \_\_\_\_\_ No \_\_\_\_\_

16.a If you answered yes, please provide a brief description of that code or instrument or attach a copy of it.

17. Are there procedures in place to:

	YES	NO
Undertake environmental assessments of aquaculture operations		
Monitor aquaculture operations		
Minimize the harmful effects of the introduction of non-native species or genetically altered stocks used for aquaculture		

17.a For those procedures that are in place, please provide your assessment of the effectiveness of those measures and identify needs for improvement of such measures.

1. Environmental assessments of aquaculture operations.

2. Monitoring of aquaculture operations.

3. Minimizing the harmful effects of the introduction of non-native species or genetically altered stocks used for aquaculture into waters

18. Please list in order of importance up to three measures (including policies and practices) that are being advanced and/or supported to promote responsible aquaculture practices in support of rural communities, producer organizations and fish farmers.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

18.a For those measures which are being developed, please provide your assessment of specific assistance needs.

\_\_\_\_\_

#### **Article 10 of the Code of Conduct -- Integration of Fisheries into Coastal Area Management**

19. Please identify the laws constituting the legal framework in place in your country for integrated coastal area management.

\_\_\_\_\_

None \_\_\_\_\_

20. Please indicate the level of conflict in your country within the fisheries sector and between the fisheries sector and the activities of other sectors.

	<b>STRONG</b>	<b>MODERATE</b>	<b>LIGHT</b>	<b>NONE</b>
Conflict between coastal fisheries and industrial fisheries				
Conflict between coastal fisheries and coastal aquaculture				
Conflict between gear types operating in the coastal area				
Conflict between fisheries and recreational development				
Conflict between fisheries and port Development				
Conflict between fisheries and mineral extraction activities				

21. Does your country have a mechanism to resolve conflicts over the use of coastal resources in the following areas?

	YES	NO
Coastal fisheries versus industrial fisheries		
Coastal fisheries versus coastal aquaculture		
Conflicts between gear types operating in the coastal area		
Conflicts between fisheries and recreational development		
Conflicts between fisheries and port development		
Conflicts between fisheries and mineral extraction		

**Article 11 of the Code of Conduct -- Post-Harvest Practices and Trade**

22. Is an effective food safety and quality assurance system for fishery products in place?

Yes \_\_\_\_\_ No \_\_\_\_\_

23. What measures been taken to encourage those involved in fish processing, distribution and marketing to reduce post-harvest losses and wastes, starting with the most effective.

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

None \_\_\_\_\_

23.a What measures have been taken to encourage those involved in fish processing, distribution and marketing to improve the use of bycatch, starting with the most effective.

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

None \_\_\_\_\_

24. Can processors and/or consumers easily identify the origin of the product raw material?

(A) Processors: Yes \_\_\_\_\_ No \_\_\_\_\_

(B) Consumers: Yes \_\_\_\_\_ No \_\_\_\_\_

25. Please describe measures that have been taken to ensure that fisheries processors, brokers and dealers do not process or trade in illegally harvested fisheries resources.

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

None \_\_\_\_\_

**Article 12 of the Code of Conduct -- Fisheries Research**

26. For how many of the more important stocks in your country have you obtained reliable estimates of the status of the stocks (e.g. biomass or state of exploitation) within the last three years? \_\_\_\_\_



26.a Please represent this as a percentage of the total number of stocks important to your national fisheries? \_\_\_\_\_%

27. Are you collecting timely, complete and reliable statistics on catch and fishing effort?

Yes \_\_\_\_\_ No \_\_\_\_\_

28. Do you have the qualified personnel needed to generate the necessary data to sustainably manage fisheries resources?

Yes \_\_\_\_\_ No \_\_\_\_\_

28.a In what subject areas do you have the greatest need for additional qualified personnel?

\_\_\_\_\_

29. Are the following used to provide data for the development of fisheries management plans?

	YES	NO
Catch and data effort from commercial and artisanal fisheries (small or large scale)		
Research vessel surveys		
On-board sampling from commercial vessels		
In-port sampling surveys		

Other - Please specify \_\_\_\_\_

30. Please identify key data gaps in managing your country's fisheries resources, along with measures taken to address them and constraints faced in that task.

	MEASURES TAKEN	CONSTRAINTS
1		
2		
3		

31. Are you routinely monitoring?

(A) The state of the marine environment? Yes \_\_\_\_\_ No \_\_\_\_\_

If you answered yes, please briefly describe your efforts in this area.

\_\_\_\_\_

(B) Bycatch and/or discarded species? Yes \_\_\_\_\_ No \_\_\_\_\_

If you answered yes, please briefly describe your efforts in this area.

\_\_\_\_\_

## International Plans of Action (IPOA) on Capacity, Sharks, Seabirds and Illegal, Unreported and Unregulated (IUU) Fishing

### Management of Fishing Capacity

The objective of the IPOA-capacity is to achieve worldwide, but no later than 2005, an efficient, equitable and transparent management of fishing capacity. Toward that end, the IPOA states that a preliminary assessment of the fishing capacity at the national level should be completed by the end of 2000.

32. Have you begun that preliminary assessment?

Yes \_\_\_\_\_ No \_\_\_\_\_

32.a If yes, what is the status of that assessment?

\_\_\_\_\_

If no, are there plans underway to commence such an assessment to meet the 2005 deadline?

-----

32.b What methods have been used to measure capacity in that assessment?

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

33. If your country has not begun to supply a record of fishing vessels authorized to operate on the high seas to the FAO following the model indicated in the 1993 FAO Compliance Agreement, when do you intend to begin providing that record? \_\_\_\_\_

34. Where excess capacity exists, which three measures in order of importance, have been established to reduce capacity?

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

None \_\_\_\_\_

35. Which three steps in order of importance, have been taken to prevent excess fishing capacity?

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

None \_\_\_\_\_

### Sharks

The IPOA-sharks states that States should strive to have a national *Shark-plan* by the COFI Session in 2001. In addition, States should carry out a regular assessment of the status of shark stocks subject to fishing so as to determine if there is a need for development of a shark plan.

36. Has your country conducted this assessment?

Yes \_\_\_\_\_ No \_\_\_\_\_

36.a If your country conducts directed fisheries for sharks or if its vessels regularly catch sharks in non-directed fisheries, do you have a national *Shark-plan* in place now?

Yes \_\_\_\_\_ No \_\_\_\_\_

36.b If your country does not have a *Shark-plan* completed, when do you intend to complete it? \_\_\_\_\_

### Seabirds

The IPOA-seabirds states that States with longline fisheries should conduct an assessment of those fisheries to determine if a problems exists with respect to the incidental catch of seabirds. If a problem exists, States should have adopted an national plan of action by 2001 for reducing the incidental catches of seabirds in longline fisheries.

37. Does your country conduct longline fisheries?

Yes \_\_\_\_\_ No \_\_\_\_\_

37.a If you answered yes, have you assessed those fisheries to determine if a problem exists with respect to the incidental catch of seabirds?

Yes \_\_\_\_\_ No \_\_\_\_\_

37.b If you answered yes, has your assessment concluded that a plan of action is needed?

Yes \_\_\_\_\_ No \_\_\_\_\_

37.c If your answer is yes, does your country have a national plan of action?

Yes \_\_\_\_\_ No \_\_\_\_\_

37.d If your answer is no, is there an intention to develop a national plan of action?

Yes \_\_\_\_\_ No \_\_\_\_\_. If your answer is yes, when will that be?

.....

38. Please list any of the mitigation measures in the IPOA seabirds you have applied to your longline fisheries.

\_\_\_\_\_

\_\_\_\_\_

39. The IPOA-IUU states that States should develop and implement, as soon as possible, but not later than three years after the adoption of the IPOA (i.e. June 2004) national plans of action to further achieve the of the IPOA and give full effect to its provisions as an integral part of their fisheries management programmes and budgets.

39.a Has IUU fishing been identified as a problem in your country?

Yes \_\_\_\_\_ No \_\_\_\_\_

If your answer is yes, briefly describe the nature and severity of the IUU problems as they impact your efforts to achieve sustainable fisheries.

-----

-----

39.b Has your country taken steps to develop a national plan of action to combat IUU fishing?

Yes \_\_\_\_\_ No \_\_\_\_\_

If you answer is yes, when was the plan developed, or when will it be developed?

Year: .....

39.c If your answer is no, is there an intention to develop a national plan of action?

Yes \_\_\_\_\_ No \_\_\_\_\_. If you answer is yes, when will that be?

Year:.....

39.d Please list any measures in the IPOA-IUU that your has taken to combat IUU fishing.

---



---



---

### Concluding Questions

40. Has your country initiated the process to ratify, accede or accept, as the case may be the:

(A) 1993 FAO Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas (1993 FAO Compliance Agreement)

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, when do you expect at accept the Agreement? \_\_\_\_\_

(B) 1995 UN Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks (1995 UN Fish Stocks Agreement)

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, when do you expect to ratify or to accede to the Agreement? \_\_\_\_\_

41. Article 5 of the 1995 FAO Code of Conduct for Responsible Fisheries urges that the special requirements of developing countries be taken into account in implementing the provisions of the Code. Please provide any comments you may have regarding cooperation in implementing the Code between developing and developed countries and regions.

42. Indicate with an x or a √ the FAO Technical Guidelines for Responsible Fisheries you have received?

1. Fishing Operations \_\_\_\_\_
- 1.1 Vessel Monitoring Systems -----
2. Precautionary Approach to Capture Fisheries and Species Introductions \_\_\_\_\_
3. Integration of Fisheries Into Coastal Area Management \_\_\_\_\_
4. Fisheries Management \_\_\_\_\_
- 4.1 Conservation and Management of Sharks
5. Aquaculture Development \_\_\_\_\_
- 5.1. Good Aquaculture Feed Manufacturing Practice -----

- 
6. Inland Fisheries \_\_\_\_\_
  7. Responsible Fish Utilization \_\_\_\_\_
  8. Indicators for Sustainable Development of Marine Capture Fisheries -----

43. Please submit any other comments or information you wish to provide regarding implementation of the Code of Conduct in your country:

44. Please enclose copies, electronically or in hard copy, of National Plans of Action if they have been developed and/or national legislation relevant to implementation of the Code of Conduct.

45. DONOR COUNTRIES, please indicate technical or financial assistance provided to developing countries for implementation of the Code.

## FOR REGIONAL FISHERIES MANAGEMENT ORGANIZATIONS (RFMOs)

**Organization:** .....

**Name of person (optional):** .....

**Date:** .....

### Article 7 of the Code of Conduct -- Fisheries Management

1. In your opinion do existing fisheries management plans and/or measures, including those adopted by your organization :

	YES	NO
Contain measures to ensure the level of fishing is commensurate with the state of fisheries resources		
Contain measures to allow depleted stocks to recover		
Contain stock specific target reference points		
Address selectivity of fishing gear		
Prohibit destructive fishing methods and practices (e.g. dynamiting and poisoning)		
Address fishing capacity including the economic conditions under which the fishing industry operates		
Address the biodiversity of aquatic habitats and ecosystems, including identifying essential fish habitats		
Address the interests of small-scale fishers		
Address the protection of endangered species		
Provide for stakeholder participation in determining management decisions		

2. Has your organization taken steps to establish stock specific target reference points for those fisheries resources it manages?

Yes \_\_\_\_\_ No \_\_\_\_\_

2.a If yes, for how many stocks have you developed reference points? \_\_\_\_\_

2.b Have these reference points been exceeded?

Yes \_\_\_\_\_ No \_\_\_\_\_

2.c Have these reference points been approached?

Yes \_\_\_\_\_ No \_\_\_\_\_

2.d If you answered yes, what action has been, or will be taken to remedy the situation?

\_\_\_\_\_

3. Has the precautionary approach been applied to the management of fisheries resources found in the waters covered by the mandate of your organization?

Yes \_\_\_\_\_ No \_\_\_\_\_

3.a If you answered yes, please describe the manner in which it is being implemented.

3.b If you answered no, please describe any plans in train to implement the precautionary approach?

\_\_\_\_\_

#### **Article 8 of the Code of Conduct -- Fishing Operations**

4. Has your organization taken steps to ensure that only fishing operations which operate in accordance with the fisheries management measures adopted by your organization are conducted within the waters covered by the mandate of your organization?

Yes \_\_\_\_\_ No \_\_\_\_\_

4.a If you answered yes, please briefly describe the steps that your organization has taken.

\_\_\_\_\_

\_\_\_\_\_

5. Has a vessel monitoring system been adopted by your organization?

Yes \_\_\_\_\_ No \_\_\_\_\_

5.a If so, has that system been implemented by the members of your organization?

Yes \_\_\_\_\_ No \_\_\_\_\_

5.b Please identify the fisheries in which the system has been implemented.

\_\_\_\_\_

\_\_\_\_\_

5.c If VMS has been implemented, what are the main problems that have been encountered?

---



---

6. Has your organization taken measures in the last two years to limit or strengthen measures on bycatch (e.g. juveniles, non-target species, non-fish species) and discards?

Yes \_\_\_\_\_

No \_\_\_\_\_

6.a If you answered yes, please briefly describe the measures that your organization has taken.

---



---



---

#### Article 12 of the Code of Conduct -- Fisheries Research

7. For how many of the more important stocks found in the waters covered under the mandate of your organization have you obtained reliable estimates of the status of the stocks (e.g. biomass or state of exploitation) within the last three years? \_\_\_\_\_

8. Are the following used to provide data for the development of fisheries management plans and/or measures adopted by your organization?

	YES	NO
Catch and data effort from commercial fisheries (small or large scale)		
Research vessel surveys		
On-board sampling from commercial vessels		
In-port sampling surveys		

Other - Please specify \_\_\_\_\_



**International Plans of Action**

9. The International Plans of Actions (IPOAs) for the Management of Fishing Capacity, the Conservation and Management of Sharks, Reducing the Incidental Catch of Seabirds in Longline Fisheries and the Prevention, Deterrence and Elimination of Illegal, Unreported and Unregulated Fishing were adopted by the FAO Council. Please describe your organization's efforts to assist in the implementation of these IPOAs.

1. **IPOA-capacity**
  
  
  
  
  
  
  
  
  
  
2. **IPOA-sharks**
  
  
  
  
  
  
  
  
  
  
3. **IPOA-seabirds**
  
  
  
  
  
  
  
  
  
  
4. **IPOA-IUU (especially with respect to paras. 78 to 84 concerning the role of RFMOs)**

**Conclusion**

10. Please submit any other comments or information you wish to provide regarding implementation of the Code of Conduct.

**FOR NON-GOVERNMENTAL ORGANIZATIONS (NGOs)**

**Organization:** .....

**Name of person (optional):** .....

**Date:** .....

1. Article 2 of the Code of Conduct for Responsible Fisheries lists ten objectives. Please rank your perception of the relevance of these objectives for the achievement of sustainable fisheries and aquaculture.

Please rank according to the following scale:

1= not very relevant    3= relevant    5= extremely relevant

OBJECTIVES		RATING				
		1	2	3	4	5
1	Establish principles for responsible fishing and fisheries activities considering all their relevant biological, technical, economic, social, environmental and commercial aspects					
2	Establish principles and criteria to implement policies for the conservation of fishery resources and fisheries management and development					
3	Serve as an instrument of reference to improve legal and institutional framework for appropriate management measures					
4	Provide guidance to formulate and implement international agreements and other legal instruments					
5	Facilitate and promote co-operation in the conservation of fisheries resources, fisheries management and development					
6	Promote the contribution of fisheries to food security and food quality giving priority to the nutritional needs of local communities					
7	Promote protection of living aquatic resources and their environments and coastal areas					
8	Promote the trade in fish and fishery products in conformity with relevant international rules					
9	Promote research on fisheries as well as on associated ecosystems and relevant environmental factors					
10	Provide standards of conduct for all involved in the fisheries sector					

2. Please indicate the level of priority you attach to the following substantive themes that are developed in the Code or in the FAO Technical Guidelines for Responsible Fisheries, and in the relevant FAO Technical Guidelines for Responsible Fisheries.

	Top Priority	Priority	Low Priority
Fisheries Management			
Fishing Operations			
Aquaculture			
Integration of Fisheries into Coastal Area Management			
Post-Harvest Practices			
Trade			
Fisheries Research			
Inland Fisheries Development			

3. Please list in priority order what in your opinion are the 3 major constraints to implementation of the Code and propose possible solutions.

	MAIN CONSTRAINTS	SUGGESTED SOLUTIONS
1		
2		
3		

4. Please describe and evaluate the effectiveness of your efforts and activities over the past two years to make the Code more widely known and understood.

#### Article 7 of the Code of Conduct -- Fisheries Management

Countries and/or regional fishery bodies are expected to establish fisheries management plans to ensure the sustainable utilization of living aquatic resources. In your opinion:

5.a Do these marine fisheries management plans:

	YES	NO
Ensure that the level of fishing is commensurate with the state of fisheries resources		
Allow depleted stocks to recover		
Contain stock specific target reference points		
Address selectivity of fishing gear		
Prohibit destructive fishing methods and practices (e.g. dynamiting and poisoning)		
Address fishing capacity including the economic conditions under which the fishing industry operates		
Address the biodiversity of aquatic habitats and ecosystems, including the identification of essential fish habitats		
Address the protection of endangered species		
Address the interests of small-scale fishers		
Provide for stakeholder participation in determining management decisions		

5.b Do these inland fisheries management plans:

	YES	NO
Ensure that the level of fishing is commensurate with the state of fisheries resources		
Allow depleted stocks to recover		
Contain stock specific target reference points		
Address selectivity of fishing gear		
Prohibit destructive fishing methods and practices (e.g. dynamiting and poisoning)		
Address fishing capacity including the economic conditions under which the fishing industry operates		
Address the biodiversity of aquatic habitats and ecosystems, including the identification of essential fish habitats		
Address the protection of endangered species		
Address the interests of small-scale fishers		
Provide for stakeholder participation in determining management decisions		

5.c If you answered no to any of the items above, please provide your suggestions for improving the content and effectiveness of fisheries management plans and measures.

---

#### Article 9 of the Code of Conduct -- Aquaculture

6. In your opinion, do most countries have adequate procedures in place to:

	YES	NO
Undertake environmental assessments of aquaculture operations		
Monitor aquaculture operations		
Minimize the harmful effects of the introduction of non-native species or genetically altered stocks used for aquaculture		

6.a Please provide your assessment of the specific needs for improvement of such measures.

a) Environmental assessments of aquaculture operations.

---

b) Monitoring of aquaculture operations.

---

c) Minimizing the harmful effects of the introduction of non-native species or genetically altered stocks used for aquaculture into waters

---

**International Plans of Action**

7. The International Plans of Action (IPOAs) for the Management of Fishing Capacity, the Conservation and Management of Sharks, Reducing the Incidental Catch of Seabirds in Longline Fisheries and the Prevention, Deterrence and Elimination of Illegal, Unreported and Unregulated Fishing have been adopted by the FAO Council. Please describe your efforts to assist in the implementation of these IPOAs.

**A. IPOA-capacity**

**B. IPOA - sharks**

**C. IPOA-seabirds**

**D. IPOA - IUU**

**Conclusion**

8. Please submit any other comments or information you wish to provide regarding implementation of the Code of Conduct.