# Intersessional Event on Nutrition on Food Systems Framework & Typologies



CFS Open Meeting on Food Systems & Nutrition 30 January 2019

Jessica Fanzo PhD, Team Leader HLPE Food Systems & Nutrition Report

#### For our discussion

- Part 1: Why are food systems critical for healthy diets and nutrition?
- Part 2: What information do typologies provide that help us assess how well food systems are delivering healthy diets?
- Part 3: Moving beyond typologies: What information do policymakers need to make decisions about food systems?
- Part 4: Why are the voluntary guidelines so central following the HLPE report?



## 1. Malnutrition in all its forms is a large scale and universal problem



#### 2 billion

people lack key micronutrients like iron and vitamin A



#### 2.1 billion

adults are overweight or obese



#### 151 million

children are stunted



#### 38 million

children are overweight



#### 51 million

children are wasted



#### 88%

of countries face a serious burden of either two or three forms of malnutrition



Source: Development Initiatives: 2018 Global Nutrition Report

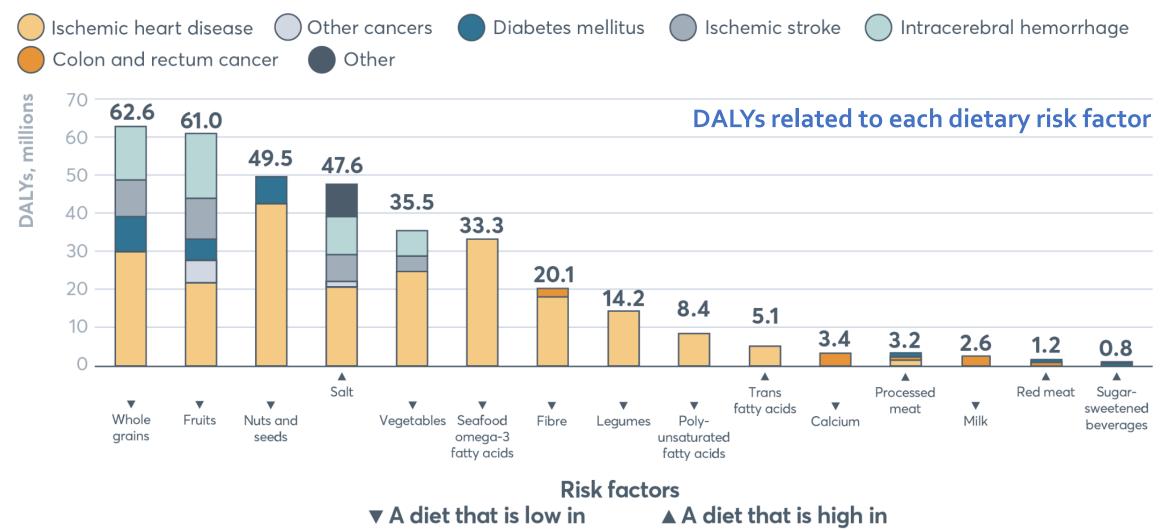
## 2. Sub-optimal diets are contributing to the malnutrition burden

Consumption of food groups and components across income groups, 2016

- Low income
- Lower-middle income
- Upper-middle income
- High income



## 3. Diets low in nutritious foods are a leading cause of healthy life years lost



Source: Development Initiatives: 2018 Global Nutrition Report

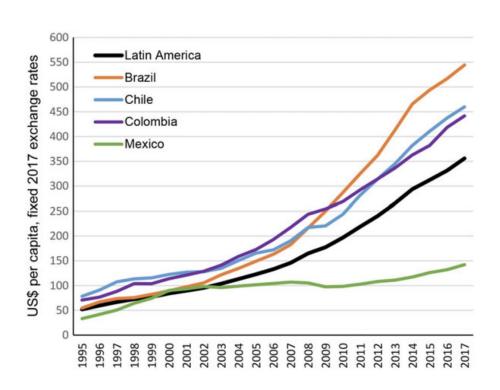
### 4. Diets, lifestyles and food systems are changing

Urbanization, globalization, economic growth, technological changes for work, leisure, and food processing, mass media growth Pattern 4 Pattern 5 Pattern 3 Modernized, rural, and more Educated, mainly urban Receding famine, peri-urban, urban societies smallholder, rural • Increased processed, packaged Reduced highly processed foods • Low variety and diversity of foods foods — high fat, sodium, sugar • Increased fruit and vegetables Low consumption of processed foods Caloric beverages and alcohol • Still less labor-intensive work but increased • Labor-intensive • Shift in technology, less laborphysical activity for exercise intensive work and leisure Stunting, Maternal and child Reduced obesity, Obesity emerges, Reduced diet-related NCDs Health deficiencies Diet-related NCDs and MNDs Increased life Extended lifespan, Slow stunting decline, expectancy but increased Reduced mortality due to NCDs Slow mortality decline disability and NCDs

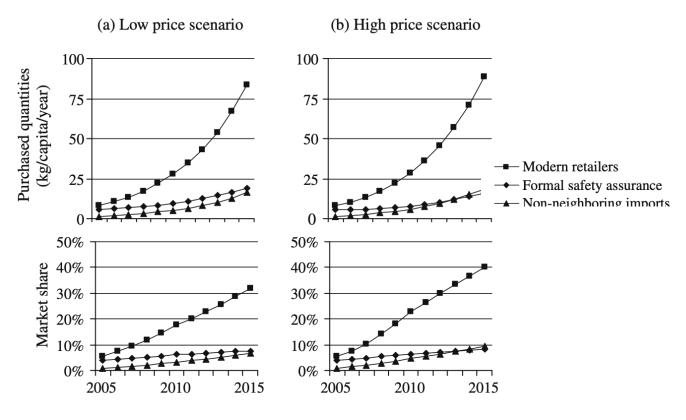
Source: Popkin and Drewnowski 1993; Crino et al 2016; Revised Fanzo et al 2017

### 5. Food systems are transforming at the same time

Per capita away from home food yearly expenditures in select Latin American countries



Projected purchase quantities and market share of modern supply chains for fruits and vegetables in Vietnam

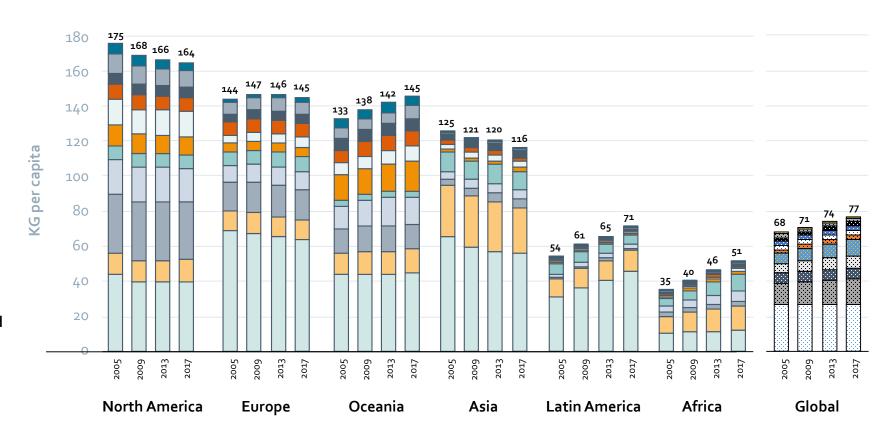


Sources: Popkin, B.M. and Reardon, T., 2018. Obesity and the food system transformation in Latin America. *Obesity Reviews*; Mergenthaler, M., Weinberger, K. and Qaim, M., 2009. The food system transformation in developing countries: A disaggregate demand analysis for fruits and vegetables in Vietnam. *Food Policy*, 34(5), pp.426-436.

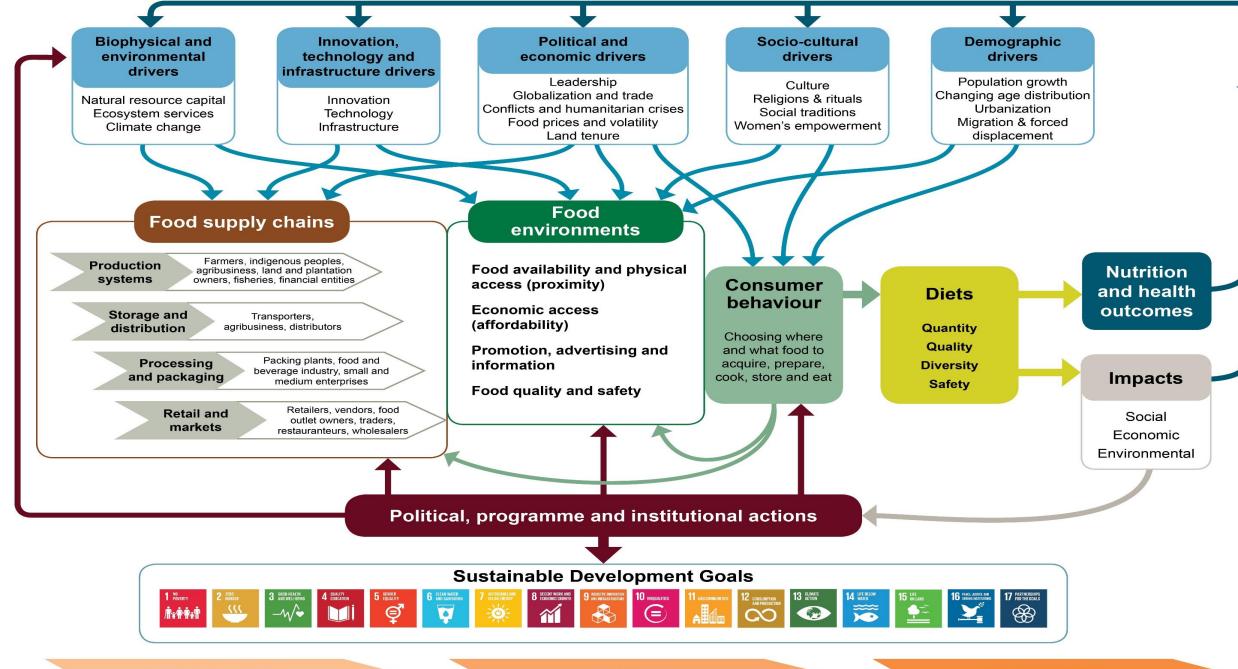
## 69% packaged foods are not aligned with healthy diets

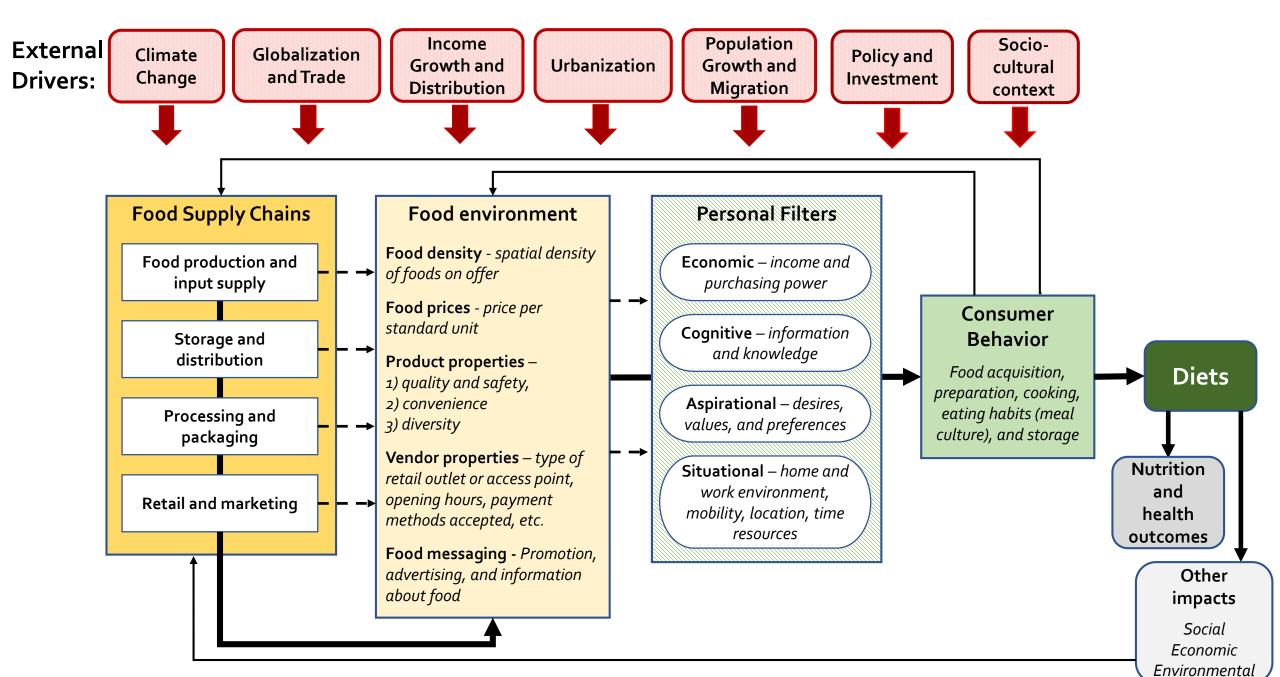
Trends and patterns in per capita packaged food category sales by region, 2005–2017

- Breakfast cereals
- Ready meals
- Sweet biscuits, snack bars and fruit snacks
- Confectionary
- Savoury snacks
- Ice cream and frozen desserts
- Edible oils
- Sauces, dressings and condiments
- Processed meat and seafood
- Dried processed foods
- Baked goods



Source: Development Initiatives: 2018 Global Nutrition Report



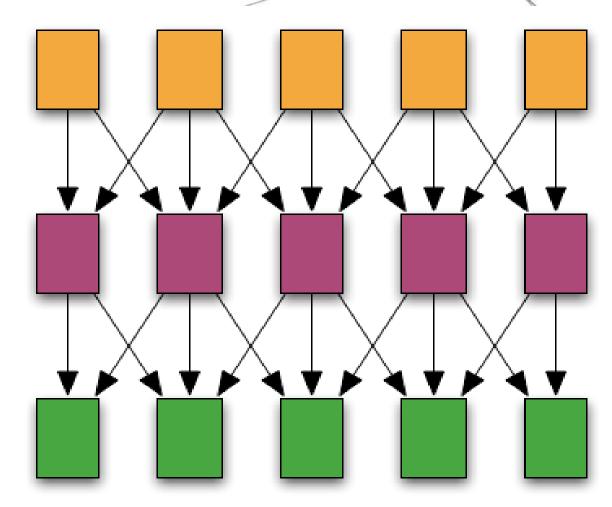


Adapted from: HLPE (2017). Nutrition and food systems. A report by the HLPE on Food Security and Nutrition of the Committee on World Food Security, Rome, Italy.

#### Food systems are not static

- Food systems are being shifted, shaped, transformed, dismantled.
- Much of that is due to external drivers that +/impact food system flows and feedbacks, but
  also supply and demand input/output dynamics.
- Resiliency of systems should also be considered.
- Frameworks don't really show the dynamism, temporalism, or spatial nature of food systems.
- Need to better understand food systems their feedback loops and the system in its totality.

Pay attention to the arrows, not just the boxes



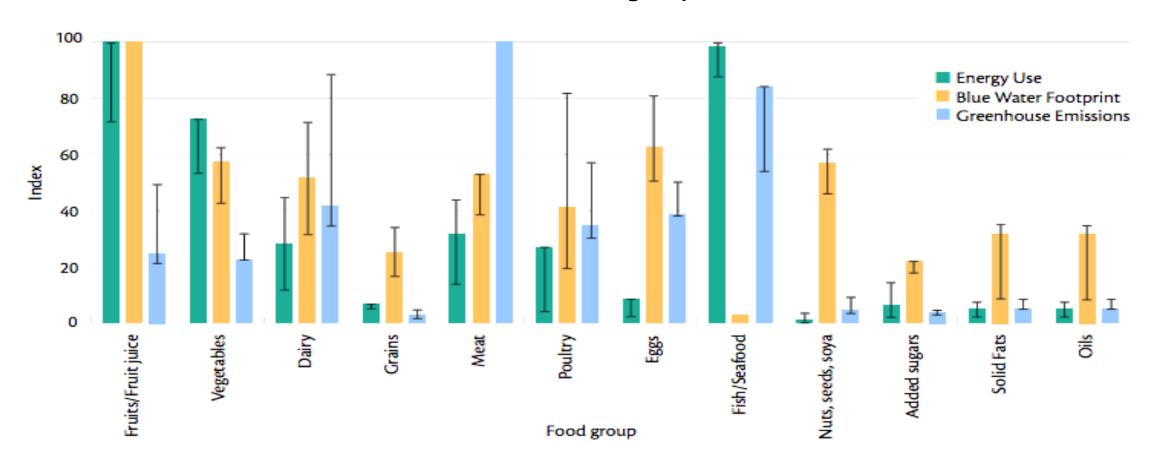
#### Are food systems failing us?

Different narratives about the failure of food systems.

The state of play	What is the failure about?	What is threatened and needs to be fixed?	Where do the priorities for action stand?
"our food system is failing us"	to feed the future world population		Closing the yield gap
	Inability of the system to deliver a healthy diet	Nutrition security and health	Closing the nutrient gap and ensuring the quality of diet
	Inability of the system to produce equal and equitable benefits	Social justice, democratic process, small-scale actors	Decentralization, grass- roots autonomy
	Unsustainability of the —— system and its impact on the environment	<ul> <li>Natural resources, agrobiodiversity, energy-water-carbon efficiency</li> </ul>	Reducing the food-print of the system on the environment

# More and more, environmental sustainability issues are coming into the spotlight

Energy use, blue water footprint and greenhouse gas emissions from different food groups in the US



### The Nutrition Transition + Planetary Health

Urbanization, globalization, economic growth, technological changes for work, leisure, and food processing, mass media growth

Pattern 3
Receding famine,
smallholder, rural



Pattern 4
Modernized, rural, and more peri-urban, urban societies



Pattern 5
Educated, mainly urban

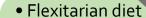


- Low variety and diversity of foods
- Low consumption of processed foods
- Labor-intensive

- Increased processed, packaged foods — high fat, sodium, sugar
  - Caloric beverages and alcohol
  - Shift in technology, less laborintensive work and leisure

- Reduced highly processed foods
- Increased fruit and vegetables
- Still less labor-intensive work but increased physical activity for exercise

Pattern 6
Educated, mainly urban with some to back to land, concerns for human 8 planetary health



- Minimal packaged foods and reduced waste
- Purposeful activity with public transport



Stunting, Maternal and child Health deficiencies and MNDs



Slow stunting decline, Slow mortality decline





Increased life expectancy but increased disability and NCDs





Extended lifespan,
Reduced mortality due to NCDs

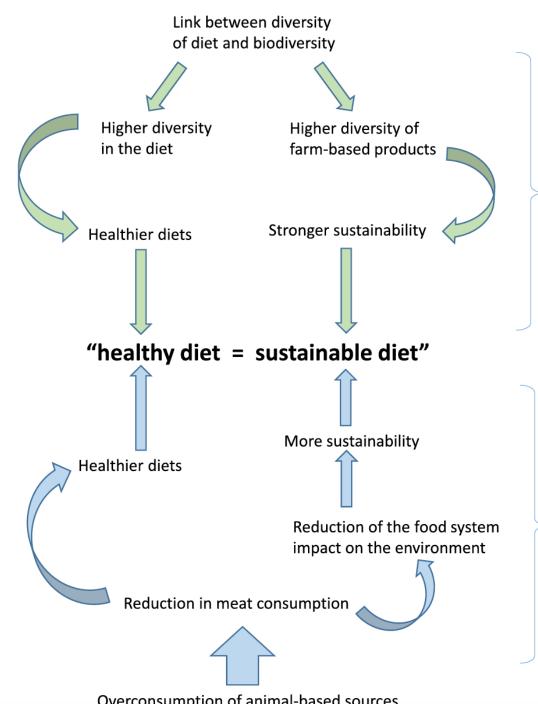
Reduced obesity,
Reduced diet-related NCDs
Reduced use & degradation of
natural resources

Extended lifespan Reduced mortality due to NCDs

Reduced carbon footprint

Source: Popkin and Drewnowski 1993; Crino et al 2016; Revised Fanzo et al 2017

Two causal pathways 'explaining' the equation "sustainability = health" based on the cases of agrobiodiversity use and meat overconsumption



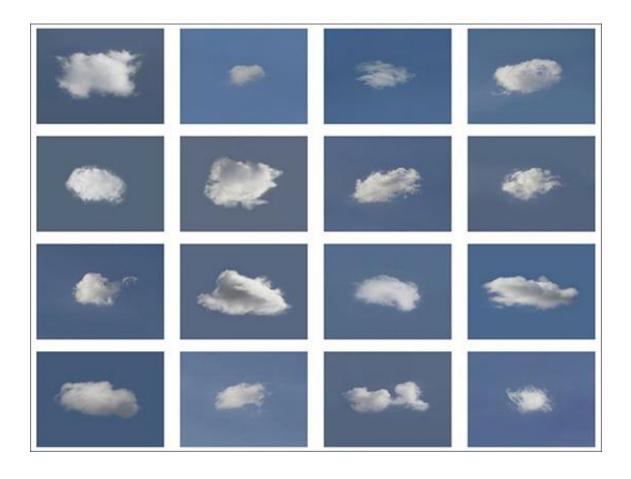
"A diverse diet is a healthy diet"

"A healthier diet wo be more sustainable



### Let's talk about typologies...

"We can use typologies to better understand a food system, but these typologies do not necessarily help us change it." - Stefano Prato



#### What are typologies?

- The study of different types
- Typology most often classifies things by certain commonalities or classifies them by certain differences.
- Using typology helps to better understand certain conditions or factors of those things or how things relate to each other.

### What are the advantages of typologies?

- Categorize the complexity of "systems" into specific societal
   attributes and group together a number of countries that share the
   attributes without having to do an in-depth and separate analysis for
   each country.
- Seeks generalizable systemic regularities that permit the grouping of certain societies (or food systems) and contrasts them with others.
- Offered as a didactic apparatus to guide discussion and provides a comparative approach.

### What are the limitations of typologies?

- Not all countries fall clearly into one category and there is within-category country variation or may have features of other types.
- Provides crude measures that may conceal conflicting influences on food systems that cannot easily be discerned or may have different affects on outcomes.
- Misses the variability of food systems in countries and more so, food environments.
- Often, national level data, is limited when thinking about the operationalization of food systems at sub-national and micro-national levels.

#### Broad trends food system typology example

	Traditional	Structured	Modern / Integrated
Share of agriculture in GDP	High	Medium	Low
Urbanization	Rural	Urbanizing to varying degrees	Urbanized
State of the agricultural economy	Traditional	Modernizing	Industrialized
Rural income sources	Few opportunities outside agriculture (farming or ag. wage labour), high migration	More diversified opportunities, dualistic	Agriculture and manufacturing, dwindling rural population
Agriculture's role in poverty reduction	Agriculture growth stimulates mass poverty reduction via market linkages and labour for traditional export commodities	Ag. growth reduces rural poverty and manages the urban transition.  Opportunities in processing and high-value crops in domestic markets	Ag. growth promotes rural income parity, agribusiness provides employment, provision of ecosystem services
Institutions	State boards	Transitioning	Regulatory
Examples	Bhutan, Kenya	India, China, Honduras, Mexico	US, EU

- Characteristics used to create the typology are a mix of variables that can be quantified and stylized descriptions of food system elements.
- Makes it easier to include characteristics the authors feel are important, but where data might not be available.
- Could be viewed as subjective.
- There are not clear thresholds that distinguish the types.

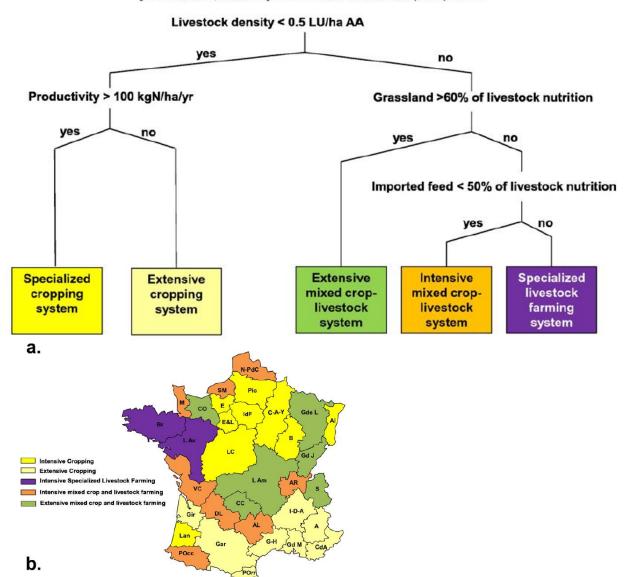
Source: McCulllough, Pingali, Stamoulis (2008)

### Food value chain typologies example

Туре	Description	
Traditional	Traditional traders buy primarily from smallholder farmers and sell to consumers and traditional retailers in wet (mostly local) markets	
Modern	Domestic and multi-national food manufacturers procure primarily from commercial farms and sell through modern supermarket outlets	
Modern-to-traditional	Domestic and multi-national food manufacturers sell through the network of traditional traders and retailers (e.g. "mom and pop" shops)	
Traditional-to- modern	Supermarkets and food manufacturers source food from smallholder farmers and traders	

### Farming system typologies and decision trees

J. Le Noë et al. / Science of the Total Environment 586 (2017) 42-55



- A small set of indicators with thresholds are used to group farming systems into types, and decisions regarding the grouping takes place in a hierarchical manner.
- A different set of indicators may be examined based on answers at the previous steps.

Source: Noe et al Science of the total environment 586 (2017)

## Typologies to better understand how and where to intervene for nutrition: HLPE approach

Indicators included in the typology:

Dietary energy in food supply (FAO Food Balance Sheet)

Urbanization (percent, UNDESA)

Food affordability (index value, Global Food Security Index)

Presence of food-based dietary guidelines (yes/no, FAO)

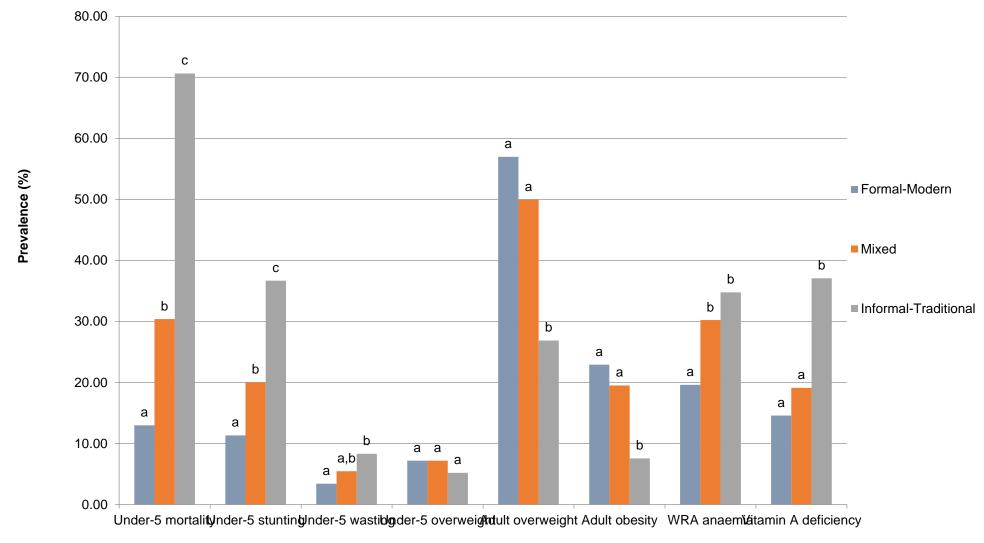
#### Methodology:

Countries with all four indicators above the median were classified as **modern** food systems. Countries with all four indicators below the median as **traditional** food systems. Countries with indicators both below and above the median were classified as **mixed** food systems.

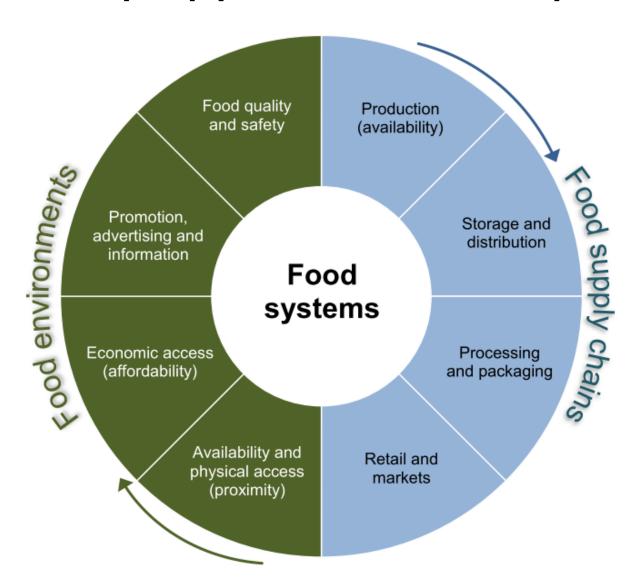
- Allows classification based on quantitative indicators for all countries where all the indicators in the typology are available.
- Indicators can be chosen to represent different food system elements (i.e. supply chain, food environment, important drivers).
- May decide that indicators should not be equally weighted.
- Food system types may not always associate with the nutrition outcomes that ring true for users, depending on selection of indicators.

Source: UN High Level Panel of Experts Report on Food Systems and Nutrition 2017

#### Analysis of nutrition outcomes using HLPE typologies



### Many types of food systems & environments

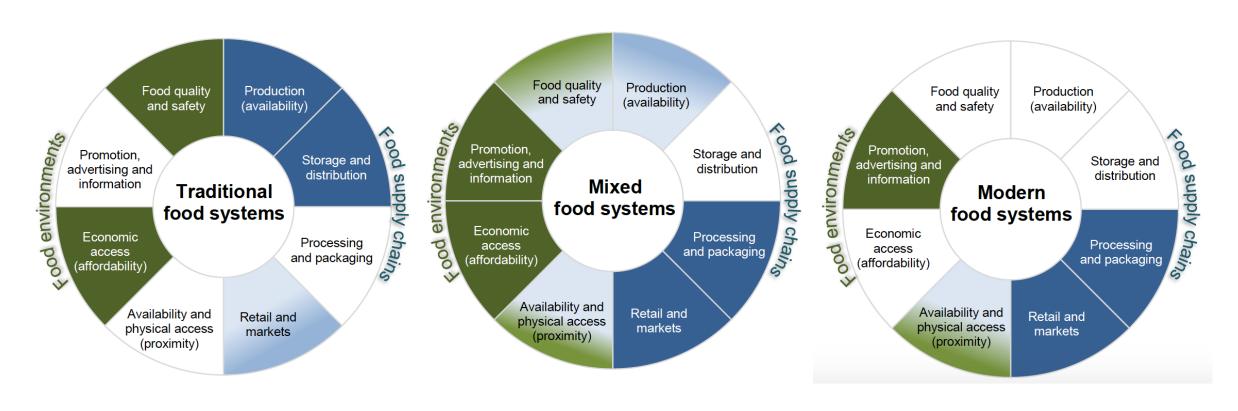


Traditional food systems

Mixed food systems

Modern food systems

## Investment and intervention priorities across food system types



The right side of the wheel (blue) illustrates the elements of the food supply chain while the left side of the wheel (green) the elements of the food environment.

- (1) Darker slices indicate elements of the food supply or environment that need significant investment and interventions to improve or change.
- (2) Shaded slices indicate elements of the food supply or environment that need *some* investment to improve or change but not critical.
- (3) Slices left white indicate elements of the food supply or environment which are important investments but if choices need to be made, they are less of a priority.

#### Refugee camp, Breidjing Camp, Chad



### Smallholder family farm, Ecaudor



#### Wet Market, Delhi India



#### Street Food Stall, Hanoi Vietnam



#### Local Fast Food Restaurant, Mr. Biggs Nigeria



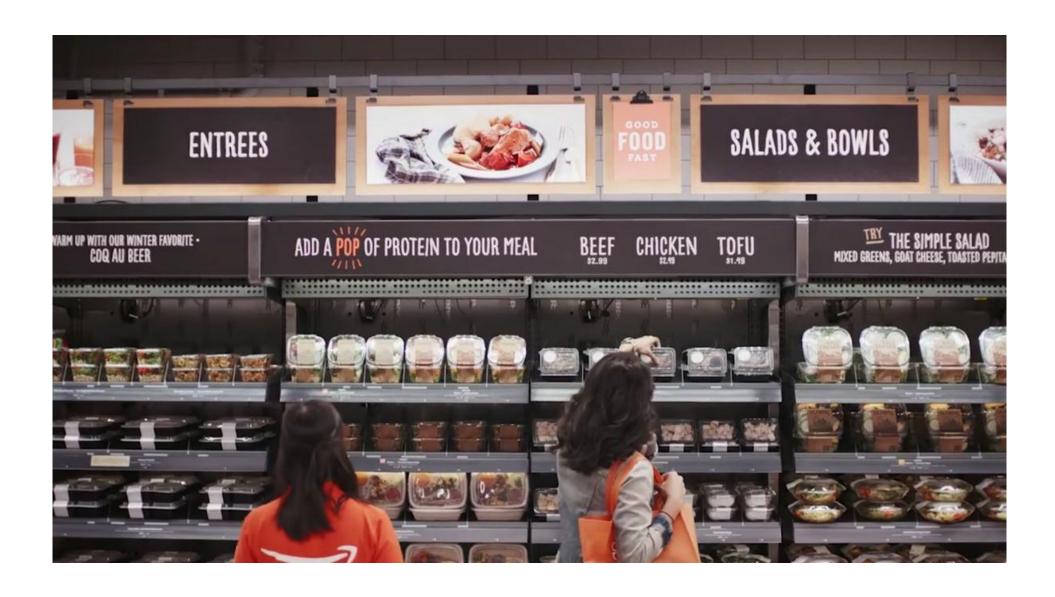
#### Corner Store, East Baltimore



## Supermarket



#### Walk-in Walk-out



## YOUR food environment today!

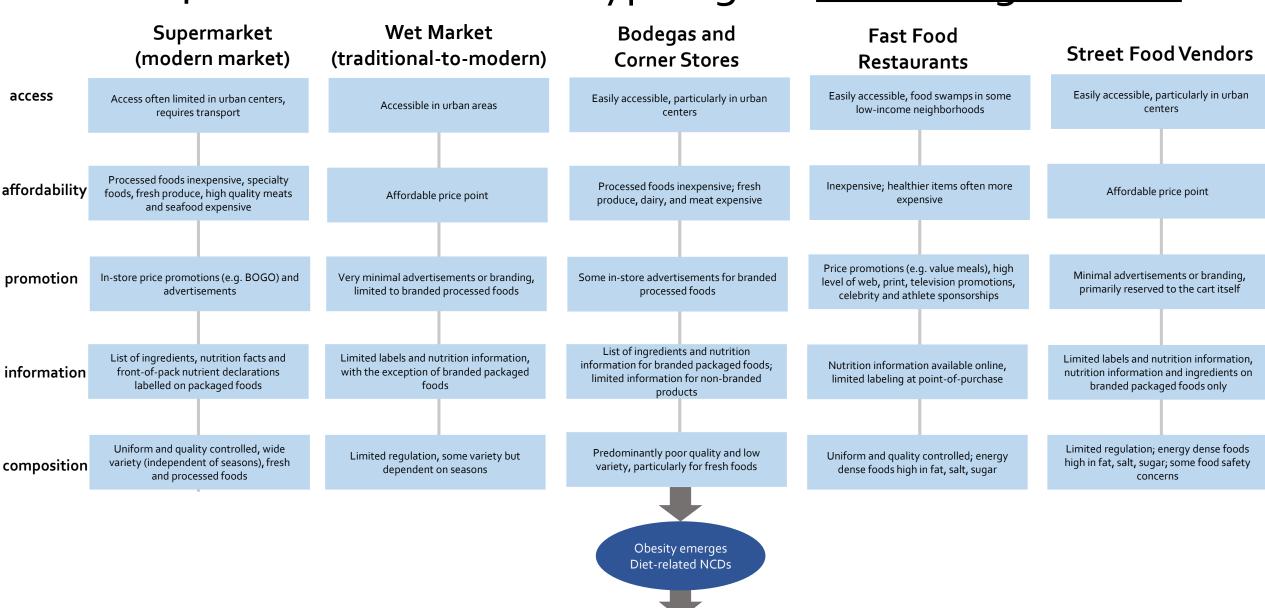


### Pattern 3 Food Environment Typologies: Fewer Choices



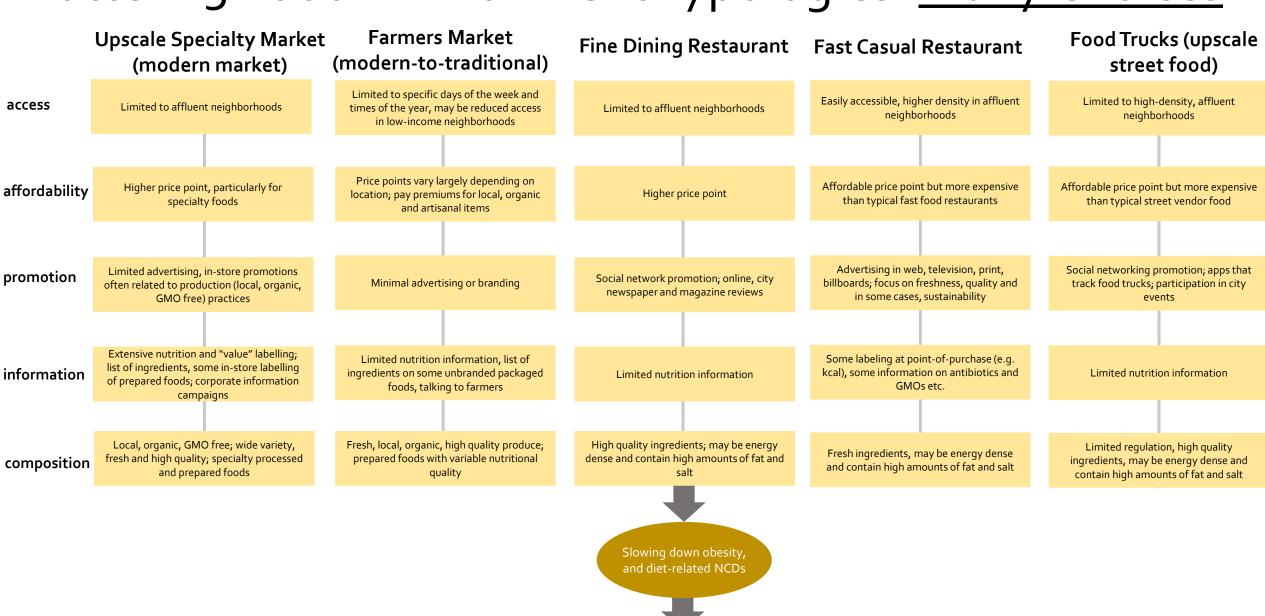
Slow mortality decline Slow stunting decline

#### Pattern 4 Food Environment Typologies: Increasing Choices



Increased life expectancy but increased disability
Increased NCDs

## Pattern 5 Food Environment Typologies: Many Choices



Extended lifespan
Reduced mortality due to NCDs

## Typologies of neighborhood food environments

A. Timperio et al.

Preventive Medicine 111 (2018) 248-253

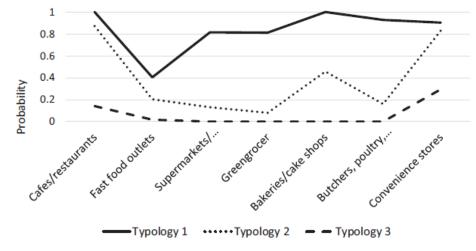


Fig. 1. Probability of typology (class membership) by food outlet type. aStudy location: Melbourne and Geelong, Australia; 2003.

Type 1 – neighborhoods with a variety of food outlets

Type 2 – neighborhoods with cafes/restaurants and convenience stores

- Eight types of retail outlets were counted within buffers of 800m buffers around survey participants homes.
- Latent class analysis was used to identify "types" of neighborhood food environments.
- This can be more objective than other methods, but requires more data.

Type 3 – neighborhoods with few types of food outlets available

Source: Timperio (2018)



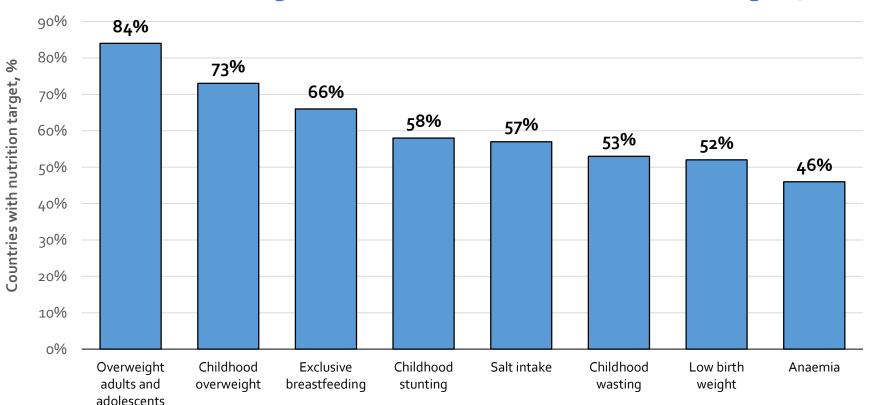
# "You can't manage what you don't measure"...but we have enough to act!

- Existing tools used to measure nutrition links to agriculture and other food policy interventions capture only parts of the food system.
- Evidence-based policy making requires more rigorous and specific metrics relating to these elements of the food system and their dynamic interactions over time.
- Evidence-based policymaking requires sound evidence. It is difficult for governments to make improvements in areas that are not well understood and hence not well measured.
- That said, we have significant information to act, and we are urgently required to do so (not having data does not mean one has a "get out of jail free" card)

Source: Global Panel. 2015. Improved metrics and data are needed for effective food system policies. in the post-2015 era. Technical Brief. London, UK: Global Panel on Agriculture and Food Systems for Nutrition.

# 81% of countries have three or more nutrition targets

#### Percentage of countries with selected nutrition targets, 2018



189 countries have at least 1 nutrition target

164 countries have nutrition action plans

Source: Development Initiatives Global Nutrition Report 2018

## Progress is needed in six key areas

- 1. Improving the quality and quantity of data on food intake among different sectors of the population.
- 2. Reaching agreement on how to measure diet quality.
- 3. Developing metrics that measure women's roles in dietary choices.
- 4. Designing metrics to measure the 'food environment', including how different food system domains are linked to, and interact with, the food environment in which dietary choices are made.
- 5. Devising metrics that measure the healthiness of food systems, all the way from agriculture through markets to people's actual food consumption.
- 6. Developing metrics that measure people's ability to access food of sufficient quantity and quality as well as their drivers of choice.

Source: Global Panel. 2015. Improved metrics and data are needed for effective food system policies. in the post-2015 era. Technical Brief. London, UK: Global Panel on Agriculture and Food Systems for Nutrition.

#### What do we want to understand about diets?

- What are people eating?
- What is the quality and diversity of what people are eating?
- How much do diets cost?
- Where do people get their food from?
- How do people make decisions about what to eat?

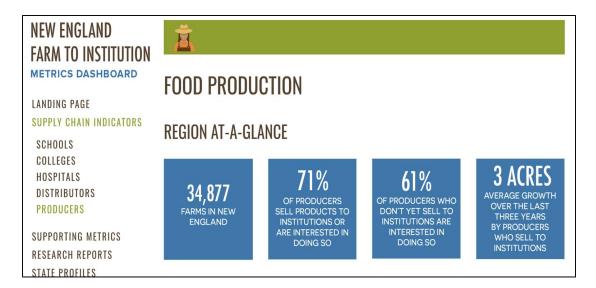


## Data visualization tools can be powerful

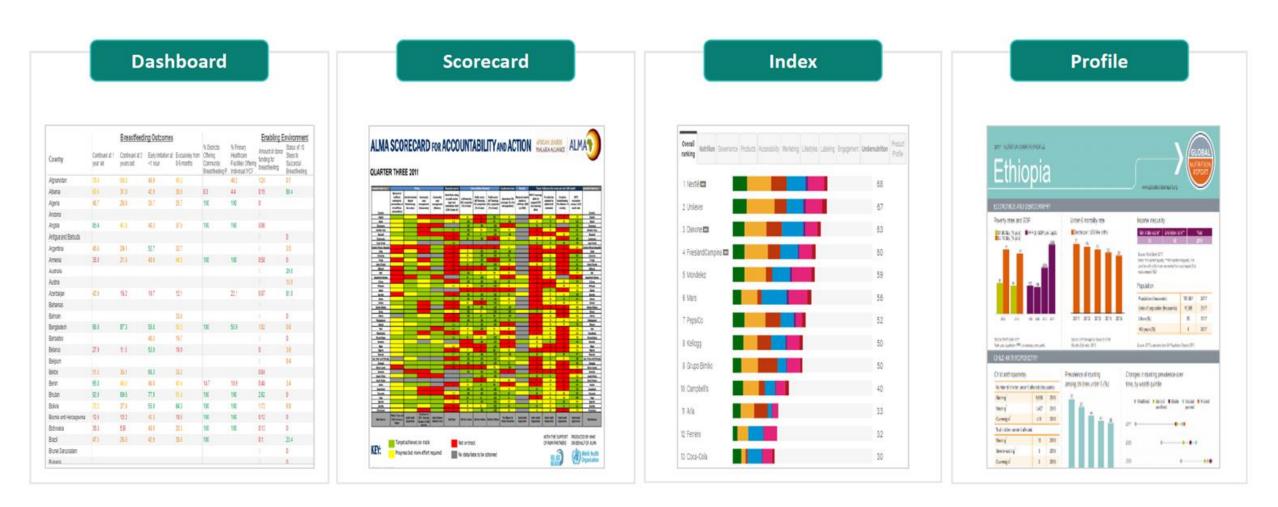
To enable country stakeholders to:

- better visualize their food systems through a data rich "hub" that is visually appealing, easy to use and understand.
- assess the "temperature" of their food system through a scoring system.
- "macro" compare indicators to their neighbors or to countries which are grouped in the same typology.





## Examples of data visualization tools



Source: Research for Development (2018)

Evidence of Marketing Guidelines/Policy

**Evidence of Nutritional Guidelines/Policy** 

**Evidence of Community Interventions** 

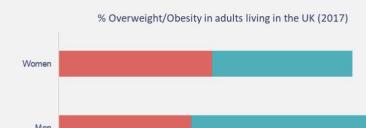
Evidence of taxation on food or beverages

Evidence of Physical Activity Guidelines/Policy

Evidence of National Obesity Strategy/Policy or Action

Evidence of Labelling Regulation/Guidelines

Evidence of any government body published any obesity-related treatment recommendations or guidelines for adults or children?



Obesity

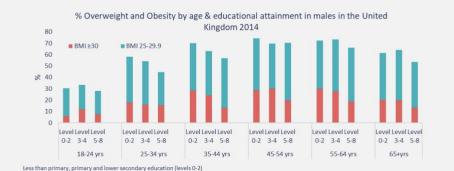
Overweight

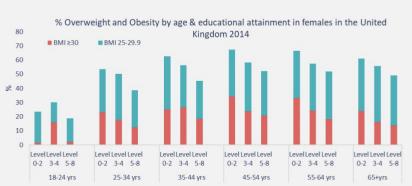


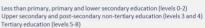
Country report card Adults



2018

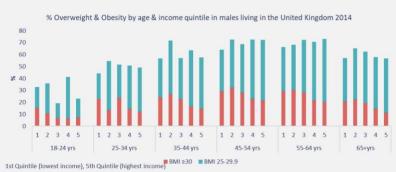


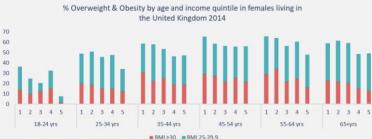




Upper secondary and post-secondary non-tertiary education (levels 3 and 4)

Tertiary education (levels 5-8)



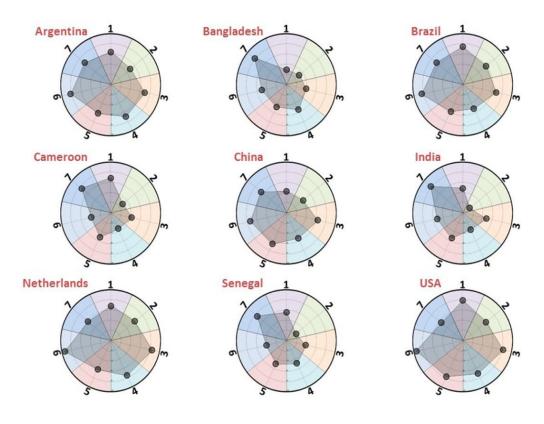


1st Quintile (lowest income), 5th Quintile (highest income)



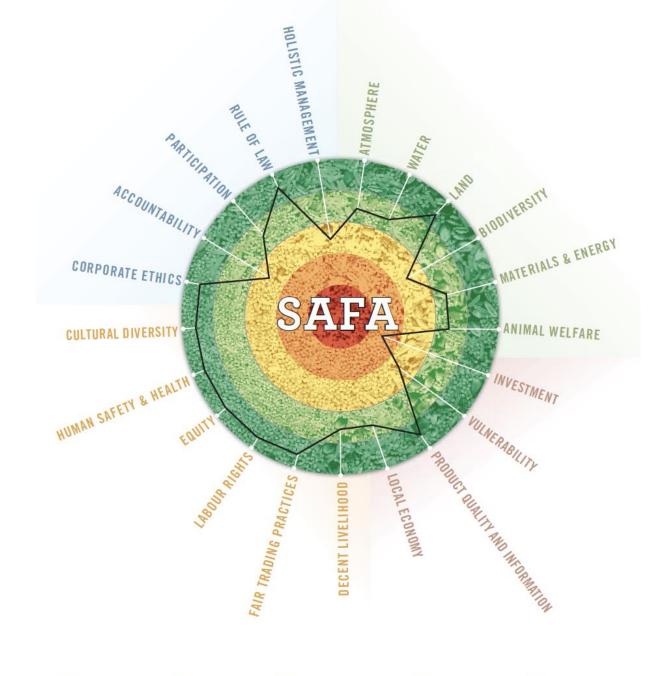
## Evaluating national food systems for sustainability metrics

Metric	Indicator	Section Number	Weighting Factor
Food Nutrient Adequacy	Non-Staple Food Energy	2.1.1	0.20
	Shannon Diversity	2.1.2	0.20
	Modified Functional Attribute Diversity	2.1.3	0.20
	Nutrient Density Score	2.1.4	0.20
	Population Share with Adequate Nutrients	2.1.5	0.20
Ecosystem Stability	Ecosystem Status	2.2.1	0.20
	Per-Capita Greenhouse Gas (GHG) Emissions	2.2.2	0.20
	Per-Capita Net Freshwater Withdrawals	2.2.3	0.20
	Per-Capita Non-Renewable Energy Use	2.2.4	0.20
	Per-Capita Land Use	2.2.5	0.20
Food Affordability & Availability	Food Affordability	2.3.1	0.25
	GFSI Food Availability Score	2.3.2	0.25
	Poverty Index	2.3.3	0.25
	Income Equality	2.3.4	0.25
Sociocultural Wellbeing	Gender Equity	2.4.1	0.25
	Extent of Child Labor	2.4.2	0.25
	Respect for Community Rights	2.4.3	0.25
	Animal Health & Welfare	2.4.4	0.25
Resilience	ND-GAIN Country Index	2.5.1	0.50
	Food Production Diversity	2.5.2	0.50
Food Safety	Foodborne Disease Burden	2.6.1	0.50
	GFSI Food Safety Score	2.6.2	0.50
Waste & Loss Reduction	Pre- & Post-Consumer Food Waste & Loss	2.7	1.00



#### SAFA tool

SAFA is a holistic global framework for the assessment of sustainability along food and agriculture value chains. SAFA establishes an international reference for assessing trade-offs and synergies between all dimensions of sustainability.











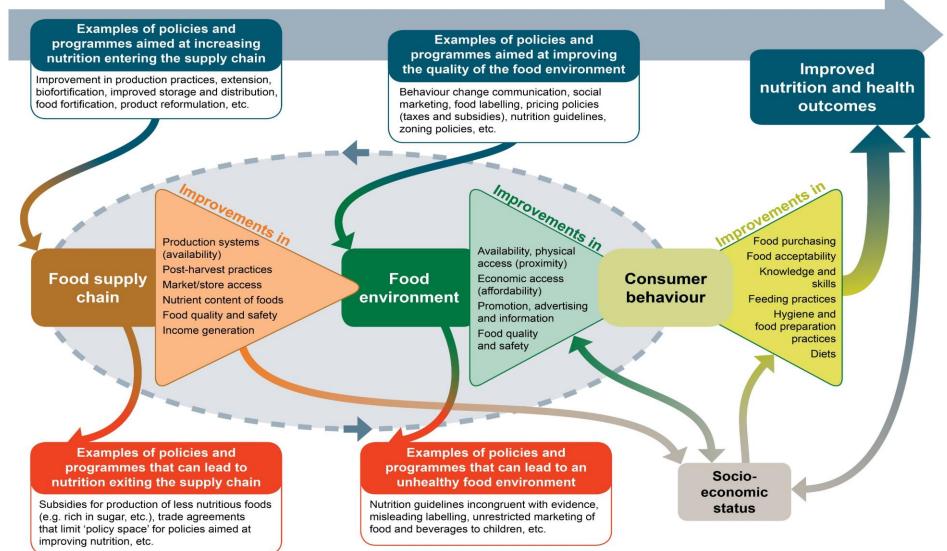




# Data is very political.



We have enough evidence to begin



Source: HLPE (2017). Nutrition and food systems. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome, Italy.

## Example: Nutrition along supply chains

Net increase of nutrition along the value chain

Maximize nutrition "entering" the food value chain Home fortification with MNP (fish powders). School feeding training in nutritious Improved varieties, food preparation, time programs, voucher bio-fortification Aflatoxin control, management, food schemes, targeting of refrigeration preservation strategies vulnerable groups Fermentation, drving, Messaging on the Focus on women fortification, product importance of nutrition, farmers, diversification, reformulation (reduce salt, benefits of certain foods extension, insects sugar, unhealthy fats) **Consumption Post** Marketing Input **Processing** Distribution **Production** Harvest Food Supply and Retail Utilization Storage Lack of knowledge of Nutrient losses during Advertising campaigns milling, combination with for unhealthy foods improved varieties, nutritious crops unhealthy ingredients Lack of access to Contamination. "Food deserts", export/ Lack of knowledge of inputs (seeds, fertilizer, nutrition, nutrient losses spoilage import impacts on during food preparation. extension) prices and availability addition of salt, sugar, unhealthy fat Maximize nutrition "exiting" the value chain

Source: Fanzo, J. C., Downs, S., Marshall, Q. E., de Pee, S., & Bloem, M. W. (2017). Value Chain Focus on Food and Nutrition Security. In *Nutrition and Health in a Developing World* (pp. 753-770). Springer International Publishing.

# There is progress in effective policies and programmes



#### 1. More countries have mandatory fortification

86 countries now require at least one type of cereal grain to be fortified with iron and/or folic acid. Only 19 countries are still classified with insufficient iodine intake, a dramatic shift from 110 countries in 1993



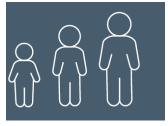
#### 3. Multi-sector action in cities is growing

Decline of obesity in Amsterdam by 12.5%, declines in municipalities in the US



#### 2. Governments are acting to improve diets

59 countries impose taxes on sugarsweetened beverages, many in the context of excess intake: Mexico saw 9.7% decline in spending on sugary drinks within 2 years

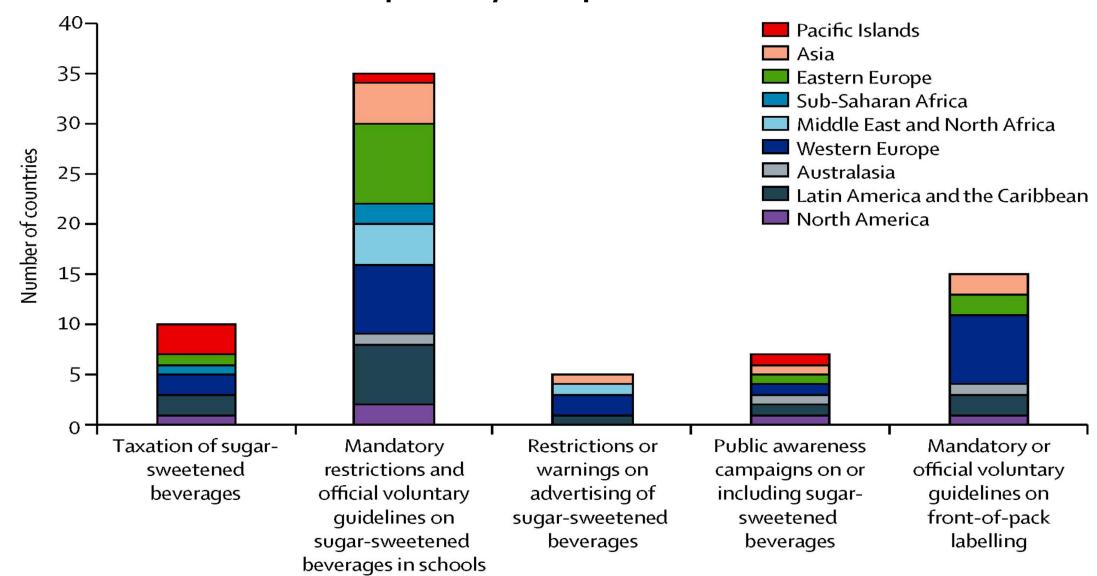


#### 4. Multi-level, community based interventions show rapid impact

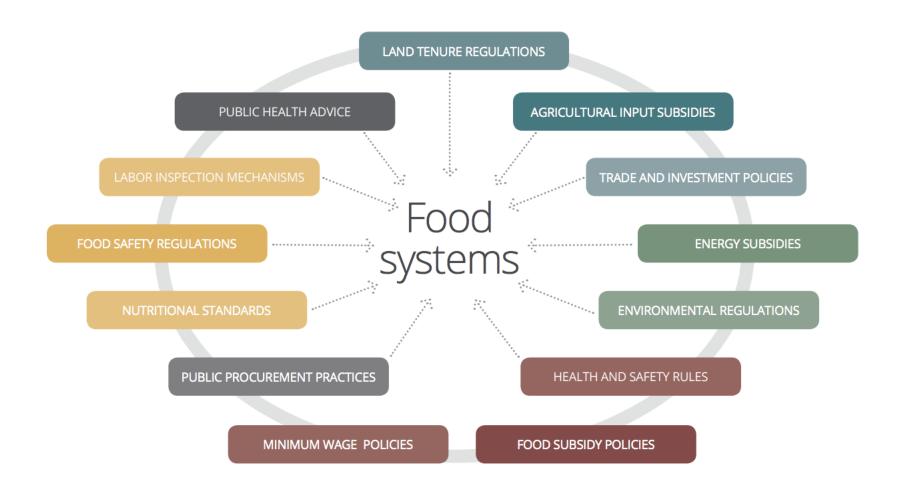
Minimum dietary diversity prevalence increased 5.2%–24.9% in communities with intense activity by "Alive and Thrive" in Ethiopia 2015–2017

Source: Development Initiatives Global Nutrition Report 2018

#### But we need more policy implementation and scale



## Need for more coherence across policies



Adapted from: HLPE (2017). Nutrition and food systems. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome, Italy; IPES 2015 Report

# Voluntary guidelines on food systems & nutrition

Build on the evidence base outlined in the HLPE report and take it one step further.

- The "what"
- The "how" and the "context"
- The "who"
- The "impact"





Thank you!