



## **CFS POLICY RECOMMENDATIONS ON AGROECOLOGICAL AND OTHER INNOVATIVE APPROACHES FOR SUSTAINABLE AGRICULTURE AND FOOD SYSTEMS THAT ENHANCE FOOD SECURITY AND NUTRITION**

### **DRAFT ONE**

#### **PREAMBLE**

- 1) The 2030 Agenda for Sustainable Development calls for “bold and transformative steps which are urgently needed to shift the world on to a sustainable and resilient path” while seeking to “realize the human rights of all”.<sup>1</sup> Agriculture and food systems<sup>2</sup> are deeply inter-twined with economies, cultures, societies, health, climate and the environment and hence both impact, and are uniquely placed to contribute to, the majority of SDGs.
- 2) The often inter-related and co-joined challenges associated with agriculture and food systems require urgent attention. The number of undernourished people in the world has been on the rise since 2015, with an estimated 690 million people suffering chronic undernourishment, 135 million people facing acute food insecurity, and 2 billion people living with moderate food insecurity in 2019.<sup>3</sup> Meanwhile roughly one-third of food produced for human consumption is lost or wasted globally.<sup>4</sup> Globally, more than one-third of the world’s adult population is overweight or obese,<sup>5</sup> and more than two billion people are deficient in one or more micronutrients.<sup>6</sup> The United Nations General Assembly has expressed concern that SDG 2 (Zero Hunger) targets will not be achieved in many parts of the world.<sup>7</sup> Due to the impacts of the COVID-19 pandemic, an estimated additional 130 million people could be pushed to the brink of starvation by the end of 2020.<sup>8</sup> The most affected are the poorest and most vulnerable segments of populations, underlining the importance of access to food.
- 3) Unsustainable agriculture and food systems are dramatically increasing pressure on natural resources. Agricultural expansion leads to land use change, which is one of the key drivers of biodiversity loss worldwide.<sup>9</sup> Agriculture, forestry and other land use contribute an estimated 23% of total anthropogenic greenhouse gas (GHG) emissions while climate events increasingly threaten food production and especially the most vulnerable - small-scale food producers.<sup>10</sup> In most parts of the world, water resources are increasingly under stress, and water quality in surface and groundwater sources are deteriorating

<sup>1</sup> UN (2015) Transforming our world: the 2030 Agenda for Sustainable Development

<sup>2</sup> Throughout this document, agriculture refers to crop and livestock production, aquaculture, fisheries and forestry. Definitions of other key terms are included in Annex 1.

<sup>3</sup> FAO, IFAD, UNICEF, WFP and WHO. 2020. The State of Food Security and Nutrition in the World 2020. Rome, FAO.

<sup>4</sup> FAO. 2011. Global food losses and food waste: Extent, causes and prevention. Rome.

<sup>5</sup> Global Nutrition Report. 2020. Action on equity to end malnutrition. Bristol, UK: Development Initiatives.

<sup>6</sup> HLPE. 2017. Nutrition and food systems. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome.

<sup>7</sup> UN General Assembly Resolution on Agriculture development, food security and nutrition. 2019. A/RES/74/242.

<sup>8</sup> WFP Chief warns of hunger pandemic as COVID-19 spreads (Statement to UN Security Council), 21 April 2020. <https://www.wfp.org/news/wfp-chief-warns-hunger-pandemic-covid-19-spreads-statement-un-security-council>

<sup>9</sup> IPBES. 2019. Global Assessment Report on Biodiversity and Ecosystem Services of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services. Bonn.

<sup>10</sup> IPCC. 2019. Climate Change and Land: IPCC special report on climate change, desertification, land degradation, sustainable land management, food security, and greenhouse gas fluxes in terrestrial ecosystems.

globally, with agriculture playing a key role in these trends.<sup>11</sup> Over 1.3 billion people rely on degrading agricultural land,<sup>12</sup> and globally, 33% of soil is moderately to highly degraded due to erosion, nutrient depletion, acidification, salinization, compaction and chemical pollution.<sup>13</sup> Ruptures to the interlinkages between human and planetary health compromise the well-being of both biodiversity and people.<sup>14</sup>

- 4) Extreme poverty overwhelmingly affects rural populations.<sup>15</sup> The majority of wage workers, the totality of contributing family workers, and more than 80 percent of self-employed workers in agriculture and rural sectors are informal, which implies adverse impacts on earnings, social protection and working conditions.<sup>16</sup> Peasants and other people working in rural areas are discriminated against and their human rights are violated, in particular through expropriation of land, forced evictions and displacement.<sup>17</sup> The majority of them (numbering 2 billion people) depend on smallholder farming, ~~and produce about 80 per cent of the food consumed in Asia and sub-Saharan Africa,~~ but public policies and markets further need to emphasise generally continue to ignore their needs.<sup>18</sup> ~~These realities point to imbalances of power in agriculture and food systems, and emphasize the importance of leaving no one behind, by respecting human rights and empowering the most vulnerable.~~
- 5) The COVID-19 pandemic is an emerging challenge for human health, economic prosperity and food security and nutrition and its impacts are still unfolding. While the virus itself does not distinguish between different people, the impacts have been very unequal because of socio-economic contexts at national and global levels.<sup>19</sup> Even at this early stage, many are highlighting the importance of resilience as a key lesson. The agriculture sectors have proved themselves to be relatively resilient compared to other economic sectors, such as services and industry – nevertheless, the pandemic has exposed some of the risks, fragilities and inequalities, ~~(but also some of the strengths, e.g. national and international supply chains.)~~ characterizing agriculture and food systems. It has highlighted the urgent need to integrate sustainability in its three dimensions throughout agriculture and food systems – alongside the potentially massive costs of not doing so. It has shown that agriculture and food systems are embedded in wider environmental and human-made systems (such as economies and landscapes) and that they impact these systems, and are strongly impacted by them. Lastly, it has underlined that now more than ever, there is a urgent need for profound/radical change and innovative approaches for sustainable agriculture and food systems.
- 6) The challenges faced by food systems are highly complex, context-specific and unpredictable. Consequently, holistic and innovative approaches to addressing food system challenges have been gaining the interest of many stakeholders over the past several years. This interest led the Committee on World Food Security (CFS) to request its High-level Panel of Experts on Food Security and Nutrition (HLPE) to develop the report, *Agroecological and Other Innovative Approaches for Sustainable Agriculture and Food Systems that Enhance Food Security and Nutrition*, which provides the basis for these policy recommendations.<sup>20</sup> Agroecological approaches<sup>21</sup> were included/highlighted together with other innovative approaches in the CFS request to the HLPE, and are all increasingly prominent in debates around sustainable agriculture and food systems ~~because of their holistic approach and emphasis on equity.~~ As the impact of the COVID-19 pandemic on agriculture and food systems points to the critical importance of resilience, interest in innovative approaches that

**Commented [B1]:** HLPE 2013 references both IFAD and Peter Hazell (2011), who presented the paper at IFAD. Please check to confirm if the paper makes the statement, or not, about scale of food consumption from smallholder production. Suggest to consider findings of 'The Number, Size, and Distribution of Farms, Smallholder Farms, and Family Farms Worldwide' SARAH K. LOWDER, JAKOB SKOET and TERRI RANEY Food and Agriculture Organization of the United Nations, Rome, Italy. World Development Vol. 87, pp. 16–29, 2016

**Commented [B2]:** Please confirm if HLPE 13 references that 'public policies and markets generally continue to ignore their needs'. Recommendation 1(a) of Summary and Recommendations states "Governments should design and implement medium- and long-term strategies, with the accompanying set of policies and budgets, to increase the capacity of the smallholder sector to fulfil its multifunctional roles in national development". Draft One text has been amended accordingly.

**Commented [B3]:** Further referencing is required from the existing documents.

**Commented [B4]:** Please quote and reference the full CFS 2017 decision on the workstream that reflect the CFS request to address both the need for balance and examination of trade-offs of all approaches. "The HLPE is invited to analyze and provide evidence on the different approaches which could help CFS stakeholders develop a common understanding, and consider the trade-offs that will need to be made by policy-makers, farmers and other stakeholders when considering the adoption of different approaches". (CFS 2017/44/8 Rev.1, paragraph 57).

<sup>11</sup> HLPE, 2015. Water for food security and nutrition. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome 2015.

<sup>12</sup> United Nations Convention to Combat Desertification. 2017. The Global Land Outlook, first edition. Bonn, Germany.

<sup>13</sup> FAO. 2015. International Year of Soils Factsheet: Soil is a non-renewable resource. Rome.

<sup>14</sup> WHO/CBD. 2015. Connecting global priorities: biodiversity and human health – A state of knowledge review.

<sup>15</sup> UN. 2019. The Sustainable Development Goals Report 2019. New York.

<sup>16</sup> FAO. 2020. Impact of COVID-19 on informal workers. Rome.

<sup>17</sup> Human Rights Council. 2012. Final study of the Human Rights Council Advisory Committee on the advancement of the rights of peasants and other people working in rural areas. UN General Assembly.

<sup>18</sup> HLPE. 2013. Investing in smallholder agriculture for food security. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome.

<sup>19</sup> HLPE. 2020. Interim issues paper on the impact of COVID-19 on food security and nutrition. Rome.

<sup>20</sup> HLPE. 2019. Agroecological and other innovative approaches for sustainable agriculture and food systems that enhance food security and nutrition. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome. (Available at: <http://www.fao.org/3/ca5602en/ca5602en.pdf>)

<sup>21</sup>These include agroecology, organic agriculture, agroforestry and permaculture (HLPE 2019).

strengthen resilience is growing, particularly in agroecological approaches.

- 7) The HLPE report considers that all food systems have the potential to contribute further to sustainable agriculture and food systems that enhance food security and nutrition by following context-appropriate transition pathways towards the transformation of food systems. It underlines the importance of developing comprehensive monitoring and assessment frameworks for agriculture and food systems, which include positive and negative externalities, to establish a baseline and monitor progress. Such frameworks must consider the environmental externalities, both positive and negative, of agriculture and food systems in relation to not only how food is produced but also how much is consumed and how it is processed, transported and sold. Assessments can support the process of considering the most appropriate agroecological and other innovative approaches within a given context.
- 8) Shared principles are needed when attempting to provide guidance for moving towards a common goal (sustainable food systems) while respecting context-specificity. The HLPE report proposes a “combined set of principles shaping transitions towards sustainable food systems for food security and nutrition”: a) regenerative production; b) farmer livelihoods; bc) recycling and efficiency; ed) animal health; de) synergy; fe) diversity; gf) integration; hg) climate change adaptation and mitigation; ih) knowledge production and dissemination; j) cultural coherence; kj) human and social values; lk) connectivity; ml) governance; nm) empowerment; and on) participation.<sup>22</sup> The principles seek to reflect the social, environmental and economic dimensions of sustainability in an integrated manner, and must be applied in a coherent way. When these principles are respected, they should lead to desired outcomes – or system properties – such as resilience.
- 9) The last 50 years has seen a radical transformation of food and agricultural production systems, driven by globalization, trade liberalization, urbanization, increase in incomes and changes in lifestyles.<sup>23</sup> As highlighted by the HLPE report, it is well established that innovation has been a major engine for profound change in agriculture and food systems over the last century. Innovation – which can be technological, social and institutional – is fundamental to bringing about necessary changes of agriculture and food systems because it encapsulates how people will do things differently in the future than they have in the past. It is noteworthy that innovations in agriculture and food systems are distinct from those in many other sectors, because ecological relationships and social interactions have a central role. While some technological innovations have been characterized by marked disagreement, this is generally not related to the technologies themselves but to how they are controlled, accessed and used. [These trade-offs require further research and analysis.](#)
- 10) Innovative approaches look beyond single technological innovations to a well-articulated overall set of principles, practices and methods set within an [evolving structure of policy drivers and consideration over arching philosophy](#). An innovative approach gives rise to myriad technologies and practices. The HLPE report identified [and analyzed](#) the following agroecological and other innovative approaches for sustainable agriculture and food systems: agroecology<sup>24</sup>, organic agriculture, agroforestry, permaculture, food sovereignty, sustainable intensification, climate smart agriculture, nutrition sensitive agriculture, and sustainable value chains. [These innovative approaches coexist alongside all other approaches and include are considered to lie along a continuum](#) from those that place more emphasis on holistic solutions to those that focus on a single outcome, measured quantitatively, often productivity per unit of resource (e.g. land, water). [No approach should be precluded until it has been assessed.](#)
- 11) As further highlighted by the HLPE report, policy contexts shape the behavior of agriculture and food system actors with regard to agroecological and other innovative approaches. [Policies in most countries are guided by the drive to increase production levels and revenues, rather than by taking a holistic approach that also prioritizes sustainability and equity concerns. They favour a model of agriculture and food systems in which environmental and social externalities are not properly considered or factored into costs and decision-making. For example, policies that provide subsidies and research funding for unsustainable practices tend to lock agriculture and food systems into unsustainable pathways. Meanwhile](#)

**Commented [B5]:** PSM seeks to see the balance of the Policy Recommendations maintained. As has been pointed out by others, PSM agrees that there needs to be a balanced and equitable analysis as to how the recommendations address agroecological and other innovative approaches.

**Commented [B6]:** Family farm livelihoods is not just ‘economics’, it is the quality of life for family farms that keep them out of poverty

**Commented [B7]:** The following IUCN report contains an extensive analysis of approaches:  
Oberč, B.P. & Arroyo Schnell, A. (2020). Approaches to sustainable agriculture. Exploring the pathways towards the future of farming. Brussels, Belgium: IUCN EURO.

**Commented [B8]:** Agroecology and innovative approaches are not on a continuum. Each approach is context and situation specific and sustainability will require multiple innovative approaches over space and time to achieve balance among healthy ecosystems, economic and social well-being (the definition of sustainability)

**Commented [B9]:** The goal of all approaches used should be balance rather than prioritization of any one approach over another as the focus should be on the sustainability performance of the farm. The farmer makes the choice as to what approach or technique he/she uses as long as the farmer delivers the social, environmental and economic sustainability performance society aims for. If farmers can’t make a living, they will not produce the food necessary to provide food security for themselves or society.

**Commented [B10]:** Many governments around the world actively strive for a wide spectrum of social, environmental and economic sustainability goals in developing agricultural, livestock and fisheries policies. Furthermore, both production levels and revenue are crucial for farmers as they develop sustainable approaches.

**Commented [B11]:** The evidence for this statement is needed.

<sup>22</sup> HLPE, 2019, Table 2.

<sup>23</sup> HLPE. 2016. Sustainable agricultural development for food security and nutrition: what roles for livestock? A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security. Rome.

<sup>24</sup> See FAO. 2019. The Ten Elements of Agroecology (document CL 163/13 Rev. 1) for an internationally agreed formulation of the main elements that characterize agroecology.

agroecological approaches, which have shown promising results, tend to be under-researched worldwide and investment has been severely limited when compared to other innovative approaches.

- 12) Developing more appropriate policies requires understanding of the impacts of innovative approaches and specific innovations. Many technological innovations – despite having some positive impacts when assessed on single criteria – have generated significant negative externalities. Thus going forward innovation in agriculture and food systems must address major social and environmental challenges simultaneously by being scrutinized against the criteria of sustainability.
- 13) Today a powerful emerging issue, which is relevant to all innovative approaches, is digitalization. Digital technologies are dramatically re-shaping agriculture and food systems. Digitalization has the potential to play an increasingly important role in achieving global food security and improving livelihoods, especially in rural areas. It provides a new platform for all economic activities and impacts on multiple aspects of agriculture and food systems, including access to information, markets and knowledge. At the same time, concerns about access, capacity-building, leveling the playing field, and appropriate safeguards regarding data privacy, access, control and ownership signal the need to consider possible risks to food security and nutrition.<sup>25</sup>
- 14) The aim of the following policy recommendations is to assist Members and stakeholders in strengthening agroecological and other innovative approaches for sustainable agriculture and food systems that enhance food security and nutrition. Sustainable agriculture and food systems are resilient, equitable, diversified, support climate change adaptation and mitigation, provide rural livelihoods, provide healthy diets and respect human rights – for current and future generations. The FAO Conference considered the further integration of sustainable agricultural approaches, including agroecology, in 2019.<sup>26</sup> Since then, the HLPE report has enhanced understanding of the evidence base, showing that while there are overlaps among some innovative approaches, ~~these not all~~ innovative approaches may contribute to sustainable agriculture and food systems in the different same ways, according to the specific context of their use.
- 15) In working towards this aim, these policy recommendations will build on, and contribute to, relevant existing instruments of the CFS, including the CFS Voluntary Guidelines on Food Systems and Nutrition (VGFSyN).<sup>27</sup> Moreover, the policy recommendations build on, and contribute to other global human rights instruments, such as the UN Convention on the Elimination of all Forms of Discrimination Against Women (CEDAW), the UN Declaration on the Rights of Indigenous Peoples (UNDRIP), and the UN Declaration on the Rights of Peasants and Other People Working in Rural Areas (UNDROP). These recommendations aim to support the achievement of the goals of the UN Decade on Family Farming (UNFFF), the UN Decade of Action on Nutrition (2016-2025), the upcoming UN Decade on Ecosystem Restoration, the UN Framework Convention on Climate Change (UNFCCC) Koronivia Joint Work on Agriculture (KJWA), the Convention on Biological Diversity's upcoming post-2020 global biodiversity framework, and to contribute to the UN Food Systems Summit. The policy recommendations pay particular attention to the promotion of family farming, in particular small-scale food production, as these production systems could make highly-significant contributions to food security and nutrition, equity, poverty alleviation, income generation, employment, multigenerational family farms and sustainable management of natural resources, and require specific policies to support them.<sup>28</sup>

**Commented [B12]:** This statement needs further elaboration and referencing. If this refers to the 'Money Flows' report by Biovision, IPES-Food and the Institute of Development Studies (IDS), then reference is needed to allow for further analysis and discussion of that report, in particular the criteria used to define and assess the levels of research.

What is missing from this paragraph is a broader assessment of the need for further investment in sustainable agriculture by both public and private sector institutions.

**Commented [B13]:** While the HLPE Report was tasked by CFS Plenary to "consider the trade-offs ...when considering the adoption of different approaches" there are many references to "negative externalities" in Draft One with no additional analysis and no clear discussion of a consistent framework for identifying and quantifying these. Therefore caution should be applied in the assessment and potential over-weighting of negative externalities in any policy approaches if excluding specific practices results in reducing food security, the implementation of sustainable approaches and the livelihood of farm families.

**Commented [B14]:** There are many reasons why certain innovations are not successful even though they look promising in experimental designs or small-scale use in practice. Policy recommendations should be proven and scalable.

<sup>25</sup> HLPE, 2019, and FAO. 2020. Realizing the potential of digitalization to improve the agri-food system: Proposing a new International Digital Council for Food and Agriculture. A concept note. Rome.

<sup>26</sup> FAO Conference Resolution 7/2019, Further integration of sustainable agricultural approaches, including agroecology, in the future planning activities of FAO.

<sup>27</sup> Other relevant CFS instruments include the Voluntary Guidelines to Support the Progressive Realization of the Right to Adequate Food in the Context of National Food Security, the Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security (VGGT), the Framework for Action for Food Security and Nutrition in Protracted Crises, the Principles for Responsible Investment in Agriculture and Food Systems, and the Global Strategic Framework for Food Security and Nutrition.

<sup>28</sup> HLPE. 2013. Investing in smallholder agriculture for food security. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome.



## POLICY RECOMMENDATIONS

### ***1. Lay the policy foundations for agroecological and other innovative approaches to contribute to sustainable agriculture and food systems that enhance food security and nutrition***

*States (and regional and local authorities, as appropriate) in consultation with inter-governmental organizations, producer organizations, the private sector (including small and medium sized enterprises) and civil society, are invited to:*

*Recognizing the need for context-appropriate pathways to move towards sustainable agriculture and food systems:*

- a) Undertake comprehensive assessments of the sustainability ([social, environmental and economic](#)) of their agriculture and food systems (see Recommendation 2), paying due attention to all positive and negative environmental, [economic](#) and social externalities, as the first step to developing context-appropriate transition pathways;
- b) In cases where comprehensive assessments show that sustainability can be improved, develop context-appropriate plans to move towards sustainable agriculture and food systems through inclusive and participatory processes based on the results of such assessments; ensure the participation of all relevant stakeholders (giving particular importance to the needs of the most vulnerable) and all relevant sectors, including agriculture, health, labour, gender, education, social protection, youth, finance, trade, energy and environment;
- c) Consider the role of agroecological and other innovative approaches in contributing to such plans, and promote approaches that simultaneously respond to multiple agriculture and food system challenges in the given context, are context-appropriate, affordable and accessible, respond to local employment needs, are co-created between producers and scientists, contribute to equity and respond to the needs of agriculture and food system actors, in particular the most vulnerable (including those in situations of protracted crisis);<sup>29</sup> ~~and~~;
- d) Implement, monitor and continually improve the agriculture and food system transition plans with the meaningful participation of relevant stakeholders, giving particular attention to the needs of the most vulnerable; ~~and~~;
- e) [Recognize that transitions to new kinds of agricultural and food systems will lead to continuously changing transformations that will need assessments and mechanisms for adjusting/modifying/eliminating policies that enable the transformations but are no longer needed or appropriate.](#)

*Recognizing the need to promote an enabling environment for agroecological and other innovative approaches for sustainable agriculture and food systems that enhance food security and nutrition:*

- f) Establish public mechanisms to assess the impacts of agroecological and other innovative approaches and specific innovations on key aspects of sustainable agriculture and food systems, such as resilience, food security and nutrition, the right to food, producers' revenues, the environment and public health;
- g) Re-direct public policies, budgets and incentives from innovations and practices that lead to [evidence based](#) negative externalities ([and assess the trade-offs](#)) to those that reduce [those specific](#) externalities while [both retaining any assessed benefits and](#) contributing to multiple sustainability goals;
- h) Strengthen public policies to [develop and use harness](#) market mechanisms to enable sustainable agriculture and food systems by factoring environmental, social and public health externalities into [comparable and transparent pricing systems](#);
- i) Encourage sustainable consumption patterns that maintain or enhance – rather than deplete – natural resources, and support circular economies in collaboration with all relevant stakeholders – in particular the private sector;
- j) Promote sustainably produced, affordable and healthy diets, considering local context and culture and indigenous and regional diets, through appropriate food labelling and certification in line with applicable



national and international standards;

jj) Ensure that small-scale food producers have access to the natural resources (e.g. land) that are essential for sustainable agricultural production through adopting formal instruments at the national level that are

---

<sup>29</sup> See HLPE 2019 Table 3 and Table 4 for a characterization of different agroecological and other innovative approaches.

consistent with international legal frameworks;<sup>30</sup> [Small scale food producers should also be provided the opportunity to voluntarily access appropriate tools, practices, and technologies, including mechanical, physical, digital, biological, and chemical.](#)

k) Promote the right to adequate food and ensure that individual and collective actions that address the four dimensions of food security and nutrition at different scales adhere to the principles of equality and non-discrimination, participation and inclusion, accountability and rule of law;<sup>31</sup>

l) Strengthen policies, programmes and actions that challenge the underlying causes of gender inequality, in particular by ensuring that laws and policies ensure *inter alia* equal access to natural resources and public services, respecting and protecting women's knowledge, and ending gender violence and sexism, in line with the UN Convention on the Elimination of all Forms of Discrimination Against Women (CEDAW), and in particular its General Recommendation 34 (2016) on the rights of rural women, which was underscored by CFS 44; and,

m) Ensure policy coherence across sectors and strengthen inter-sectoral policy-making and planning, in particular between agricultural, environmental, trade, health and nutrition policies.

The RBAs are invited to:

n) Ensure coordination and coherence with respect to their strategies, policies and programmes on agroecological and other innovative approaches, including through the *Scaling up Agroecology Initiative*, taking into account the present policy recommendations.

## **2. Establish and apply comprehensive performance measurement and monitoring frameworks to encourage the adoption of agroecological and other innovative approaches**

*Recognizing the urgent need for the development of comparable, comprehensive and globally accepted metrics and indicators covering social, environmental and economic dimensions of agriculture and food systems, the CFS, in collaboration with the HLPE should:*

- a) Guide an inclusive process to 1) develop a model framework, ~~guided by the findings of the HLPE report, including the transition principles,~~ including practical, scientifically grounded and comprehensive performance metrics and indicators of agriculture and food systems, as a basis for assessment, planning, deployment of context-appropriate agroecological and other innovative approaches, policy implementation and investment decisions; and 2) in the short-term, select a combination of existing internationally agreed indicators (in particular the SDGs), to be applied in tandem with one another, to track progress towards more sustainable agriculture and food systems.

*Recognizing the importance of applying indicators and metrics that capture multiple dimensions of agriculture and food systems and collecting data, States, inter-governmental organizations (in particular RBAs), regional and local authorities, and research organizations are invited to:*

- b) Promote the use of existing comprehensive assessment frameworks, [including, but not limited to, such as the Total Factor Productivity \(TFP\), FAO's SAFA framework \(Sustainable Assessment of Food and Agriculture systems\), FAO's SHARP tool \(Self-evaluation and Holistic Assessment of climate Resilience of farmers and Pastoralists\)](#)<sup>32</sup>, [MSU's Sustainable Intensification Assessment Framework](#) and the TEEB-AgriFood framework,<sup>33</sup> and finalize those under development such as FAO's Tool for Agroecology Performance Evaluation;<sup>34</sup>
- c) Promote the use of ecological footprint in order to ensure that the ecological impacts of consumption, and the effect of current production on future capacity to produce, are adequately factored into assessments,

<sup>30</sup> For example: UN Declaration on the Rights of Indigenous Peoples; CFS Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security (VGGT); Convention on the Elimination of All Forms of Discrimination Against Women (CEDAW).

<sup>31</sup> These principles are recognized in the Voluntary Guidelines to Support the Progressive Realization of the Right to Adequate Food in the Context of National Food Security.

<sup>32</sup> <http://www.fao.org/in-action/sharp/en/>

<sup>33</sup> The Economics of Ecosystems and Biodiversity for Agriculture and Food (<http://teebweb.org/agrifood/>).

<sup>34</sup> FAO. 2019. TAPE Tool for Agroecology Performance Evaluation 2019 – Process of development and guidelines for application. Test version. Rome. Available at: FAO. <http://www.fao.org/3/ca7407en/ca7407en.pdf>

continuing to refine calculation methods as appropriate;

- d) Undertake [holistic whole system](#) assessments of positive and negative [farmer livelihoods](#), employment and labour characteristics in agriculture to underpin policies and regulations that favour transitions toward sustainable agriculture and food systems, while ensuring decent conditions for agricultural labour and strengthening the health of farm and other food system workers;
- e) Encourage data collection (differentiated by factors including gender, ~~and~~ farm size, [crop and animal systems and management practices](#)) and analysis at national level, documentation of lessons learned and information [and data sharing](#) [and feedback](#) at all levels to support the adoption [and evaluation](#) of agroecological and other innovative approaches [to make adjustments in practice and policies](#); and,

*In view of the upcoming HLPE report on data collection and analysis tools, the HLPE Steering Committee is encouraged to:*

- f) Consider data needs [and ownership](#) that arise from these policy recommendations, including in relation to comprehensive metrics and indicators covering social, environmental and economic dimensions of agriculture and food systems.

### **3. Support transitions to resilient, diversified and integrated agriculture and food systems through agroecological and other innovative approaches**

*States, regional and local authorities, relevant inter-governmental organizations, research organizations, extension agencies, civil society (including producer and consumer organizations) and the private sector (including small and medium sized enterprises) are invited to:*

*Recognizing resilience, diversification, and integration as key foundations of sustainable agriculture and food systems:*

- a) Raise public awareness about the importance of diversified production systems that integrate livestock, aquaculture, cropping and agroforestry, as appropriate, for sustainable production, healthy diets and resilient livelihoods;
- b) Strengthen public policies, investment and research in support of [holistic whole system](#) approaches that harness natural processes and create beneficial biological interactions and synergies among the different components of agroecosystems (crops, animals, trees, soil and water), ~~such as agroecology~~;
- c) Provide producers with incentives for diversification and integration of agricultural production, including support during the process of transitioning to more sustainable systems;
- d) Strengthen policy instruments and coherence for the conservation and sustainable use of biodiversity for food and agriculture and support the important past, present and future contributions of producers for the development, conservation and improvement of biodiversity, in accordance with the International Treaty on Plant Genetic Resources for Food and Agriculture and the Convention on Biological Diversity; and,
- e) Promote innovative and integrated approaches to human, animal, and environmental health, such as the One Health approach, to enhance resilience and prevent outbreaks of zoonotic diseases and pandemics.

*Recognizing the urgent necessity of the responsible use of agrochemicals for the protection and improvement of human, animal and environmental health:*

- f) Raise public awareness (in particular among producers and consumers) about the [benefits and risks](#) of [organic and inorganic](#) pesticides and other agrochemicals to human, animal and environmental health [as assessed by science-based advice for the described scope of use as informed by CODEX, WHO and other appropriate international and national standards, guidelines and peer reviews](#);
- g) Promote the use of ecological alternatives to pesticides that [reduce/eliminate harm to ecosystems as appropriate in diverse agroecological, geographical and climatic zones](#) and promote the greater integration of biodiversity to prevent pest outbreaks in order to optimize the use of pesticides in the short-term ~~and phase them out to~~

**Commented [B15]:** Organic pesticides have OMRI certification. "Pesticide" is not only used by conventional agricultural management systems.

| [the extent possible in the long term;](#)

- h) Recognize the right of peasants and other people working in rural areas to avoid using or being exposed

to hazardous agrochemicals;<sup>35</sup>

- i) Drawing on the International Code of Conduct for the Sustainable Use and Management of Fertilizers, and the Voluntary Guidelines for Sustainable Soil Management, optimize the use of synthetic fertilizers, aiming to reduce pollution from excess use, maximize the recycling of nutrients and to optimize all minimize the use of external inputs while reducing the negative impacts from use of inputs by promoting and rewarding innovative ecological alternatives;
- j) Promote science-based assessments based on whole system impacts to enable and sStrengthen and enforce stricter national and international regulations on the use of antimicrobials in agriculture and food systems, phase out their use as growth promoters, and implement the Global Action Plan on Antimicrobial Resistance, endorsed by the World Health Assembly; and,

*Recognizing that territorial planning is a key element of fostering diversity:*

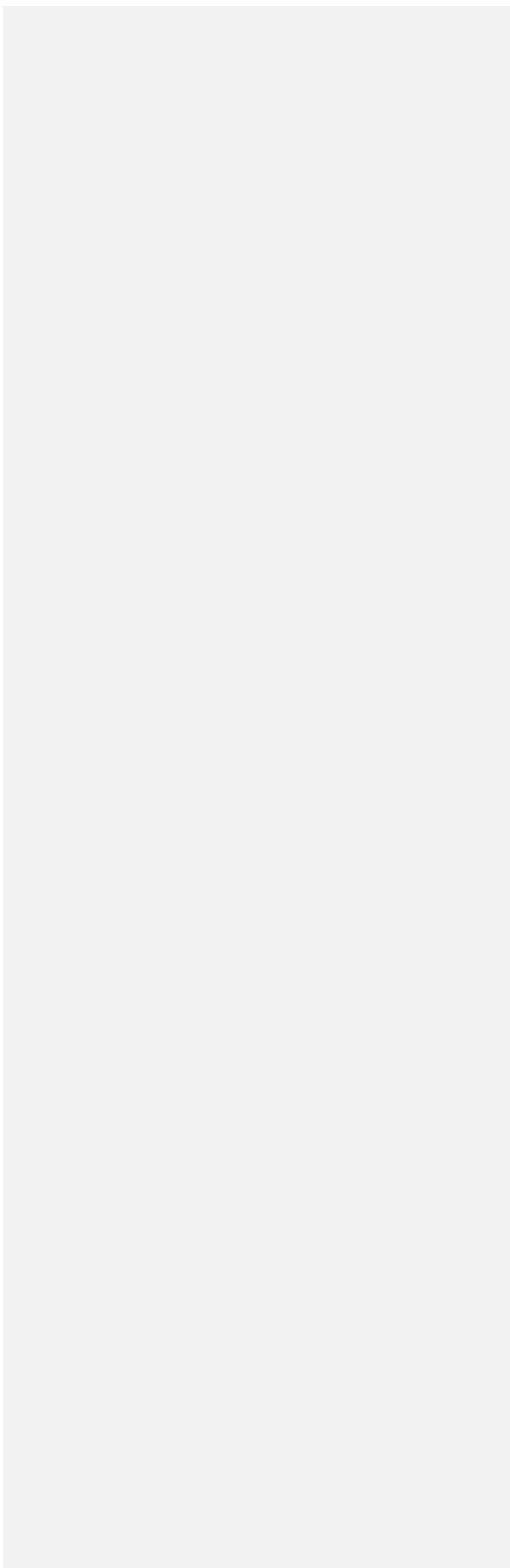
- k) Govern territories and landscapes at appropriate levels and in an inclusive way so as to respond to local needs, including enhancing the provision of ecosystem services and managing trade-offs between them, protecting biodiversity-rich habitats, and responding to the local impacts of global emergencies, in particular by supporting social innovation<sup>36</sup> and strengthening inclusive public bodies, such as local food policy councils and multi-stakeholder landscape and watershed management platforms;
- l) Strengthen responsible investment and innovation in community-led small and medium sized enterprises that support sustainable agriculture and food systems and retain value locally; and,
- m) Incentivize young people to remain in, or move to, rural areas by creating decent and dignified work dignified, valued work opportunities and adequate livelihoods, including through addressing specific challenges for young people, such as access to land, credit and information, and by investing in rural infrastructure, ~~and~~ services and educational opportunities to prepare them for careers aligned with their skills and interests to reduce gaps between rural and urban areas.

*Recognizing the multiple functions of markets and the need to promote innovative approaches to ensure that markets respond to the needs of resilient, diversified, and integrated production systems, and recalling the CFS Policy Recommendations on Connecting Smallholders to Markets (CFS 43, 2016):*

- n) Work with the private sector to promote local, regional and global markets, as appropriate, that demonstrate concrete contributions to the social, environmental and economic sustainability of agriculture and food systems, enhance food security and nutrition and do not impact negatively on human rights;
- o) Increase the resilience of food systems to global shocks, such as pandemics, by promoting diverse market arrangements that have greater flexibility in the face of disruptions; this involves addressing connectivity challenges in long food supply chains and strengthening short food supply chains to support local autonomy and resilience;
- p) Take appropriate measures to strengthen local, national and regional markets (such as processing hubs, transportation infrastructure and adapted food safety regulations) to support rural livelihoods through capturing a high proportion of the value of production locally;<sup>37</sup>
- q) Support market innovations that strengthen linkages between urban communities and food producers, such as participatory guarantee systems (in compliance with public policy and safety standards), and Community Supported Agriculture (CSA), for providing sustainably produced healthy food to all consumers while providing dignified and profitable livelihoods to producers; and,
- r) Support innovative public procurement policies (for example, school feeding programmes, other safety nets, food assistance and public regulatory and preparedness mechanisms) that give preference to locally and sustainably produced food while supporting rural development objectives.

*Aware of the importance of digitalization, and welcoming the establishment of the FAO Digital Platform:*

- s) Promote the potential of digitalization through capacity building and a transdisciplinary approach



<sup>35</sup> In line with UNDROP Article 14.

<sup>36</sup> In line with UNDFP Pillar 7.

<sup>37</sup> In line with UNDROP Article 16.

involving all actors (scientists, producers, industry, governments);

- t) Promote digital and other ICT as an entry point for the involvement of youth and women in agriculture and food systems;
- u) Strengthen innovation platforms through the appropriate use of digital technologies to facilitate wider networking; and,
- v) Harness digital technologies to strengthen links between producers and consumers, including through brokering sustainable finance initiatives and market incentives.

*Aware that the potential positive and negative impacts of digitalization on food security and nutrition require attention, the CFS should:*

- w) Request the HLPE to review evidence of benefits and challenges of digitalization for sustainable agriculture and food systems that enhance food security and nutrition; assess the potential of digitalization for contributing to the full range of agroecological and other innovative approaches; review national and regional policies, including with respect to safeguards; consider challenges and implications for governance; and provide relevant policy advice.

#### **4. Strengthen research, training and education, and reconfigure knowledge generation and sharing to foster co-learning**

*Research organizations, academic institutions, educational, training and extension organizations, the private sector, producers' organizations, civil society, inter-governmental organizations and States, regional and local authorities are encouraged to:*

*Recognizing the crucial role played by multi-disciplinary and participatory approaches to research, dissemination and education, such as transdisciplinary science, for understanding and shaping the complex social-ecological systems in agriculture and food systems:*

- a) Reform agricultural knowledge, information and innovation systems to support agroecological and other innovative approaches by ensuring that research, extension/dissemination and education/capacity building are integrated in an inclusive, participatory, [science-based](#) and problem-oriented approach;
- b) Develop and support problem-oriented transdisciplinary research, and encourage its integration with local and indigenous knowledge in participatory innovation processes across the range of contexts experienced by producers and other stakeholders [which also supports diversity and innovation](#) in agriculture and food systems;
- c) Re-design agricultural knowledge, information and innovation institutions to: enable transdisciplinary science, valuing the knowledge of all relevant stakeholders and involving them, including in the setting of research priorities; engage in research at the local, national, regional and international levels, ensuring communication and sharing of knowledge between them; consider and address power imbalances and conflicts of interest between stakeholders and researchers; and, reward researchers who engage in such research;
- d) Prioritize problem-oriented research that addresses the needs of vulnerable groups, and focuses on the local dimensions of global challenges, such as climate change adaptation and mitigation, ecological footprint of different [types and scales of](#) production systems and value chains, biodiversity conservation and sustainable use, ecosystem service provision, positive and negative externalities of agriculture and food systems, global emergencies such as pandemics, and [as assessed by competent authorities and organizations](#), market concentration across supply chains;
- e) Invest in advisory services and strengthen training programmes for promoting [both the safe and correct use of and](#), ecological alternatives to, agrochemical use through agricultural extension, veterinary services, wildlife and forestry services using methods such as farmer field schools (FFS) and producer-to-producer networks; promote women as extension agents and providers of advisory services for women;

**Commented [B16]:** When promoting the role of local knowledge it is recommended to use the agreed language of Principle 7 of the CFS-RAI which respects cultural heritage and traditional knowledge but also supports diversity and innovation.



f) Take appropriate measures to promote the right of peasants and other people working in rural areas to

maintain, express, control, protect and develop their knowledge,<sup>38</sup> taking into account the specificity of women's knowledge, and support agricultural heritage systems, including those recognized as Globally Important Agricultural Heritage Systems (GIAHS), as an important space for innovation through local and indigenous knowledge;

g) Support innovation platforms for transdisciplinary research that foster co-learning between practitioners (e.g. producer organizations) and researchers; these may include producer-to-producer networks, communities of practice, "transdisciplinary labs", and decentralized centers of excellence (e.g. agroecological lighthouses);<sup>39</sup> [National Agricultural research Centers –NARCs](#);

h) Support the horizontal sharing of knowledge and experiences, building on existing farmers' organizations and networks, including schemes designed specifically for women;

h*ii*) [Encourage and support the importance of the need for feedback loops among science, training, learning and co-generation of knowledge for the purpose of adjusting, modifying, eliminating practices that do not meet the whole system test of balancing sustainability goals;](#)

h*ij*) Encourage explicit coverage of achieving sustainable agriculture and food systems in curricula of educational institutions at all levels, and integrate hands-on, experiential learning;

h*jk*) Support capacity development for producers, in particular small-scale producers, on agroecological and other innovative approaches to support innovation processes suited to their contexts and needs, and link these with social protection programmes where appropriate; and,

h*jl*) Promote sharing of experiences and co-learning amongst countries on moving towards sustainable agriculture and food systems through agroecological and other innovative approaches.

*Recognizing the need to re-direct investments in research, dissemination/extension and education/capacity building towards the priorities and approaches mentioned above for agroecological and other innovative approaches:*

h*m*) \_\_\_\_\_ Increase responsible investments in public and private research and development at national, regional and international levels and [address the relative under-investment in development, scientific testing and field trials to increase the agroecological and other innovative approaches portfolio of ~~h~~ agroecological](#) approaches; and,

h*n*) \_\_\_\_\_ Prioritize and strengthen public research to address the needs of family farmers, in particular small-scale food producers, women and youth.

**Commented [B17]:** Reference to 'under-investment' needed

## ***5. Strengthen institutions for stakeholder engagement, create an enabling environment for empowering vulnerable and marginalized groups and address power inequalities in agriculture and food systems***

*States, regional and local authorities, producer organizations, indigenous peoples, women's organizations, community leaders, the private sector and civil society are invited to:*

*Considering that agroecological and other innovative approaches are more likely to contribute to sustainable agriculture and food systems that enhance food security and nutrition when all people have the possibility to participate actively and meaningfully in defining their desired approaches:*

a) Support inclusive and democratic decision-making mechanisms at all levels in agriculture and food systems (for example, national inter-ministerial food security and nutrition committees and municipal food policy councils);

b) Create and strengthen associations, organizations and cooperatives in all parts of food systems, including food producers and consumers, build capacities, create and exchange knowledge, and promote inclusive decision-making processes;

c) Facilitate the use of social media and digital networking to promote producers' engagement in relevant

processes; and to increase availability and access to affordable, reliable and timely digital information in support of farmers and agricultural value chain participants;

d) Give a central role to the marginalized and vulnerable groups most at risk of food insecurity and

---

<sup>38</sup> See UNDROP Articles 20 and 26

<sup>39</sup> Lighthouses are societies or training centres that foster farmer-to-farmer knowledge sharing and create communities of practice

malnutrition, including women, youth and indigenous peoples in all decision-making that affects them; and,

- e) Reinforce the autonomy of women, particularly family farmers, their organizations, collective action, negotiation and leadership skills, to increase access to and control over *inter alia* education, appropriate extension services, gender-friendly technology, and full participation in related policy processes.<sup>40</sup>

*Promote the role of innovation in family farming by inviting the Steering Committee of the United Nations Decade on Family Farming, in collaboration with FAO and IFAD, to:*

- f) Integrate the findings of the HLPE report, and the present policy recommendations, into the implementation of the Global Action Plan of the United Nations Decade on Family Farming, which includes numerous actions for strengthening innovation in family farming.

\*\*\*

*In view of the relevance of agroecological and other innovative approaches for the UN Food Systems Summit, the CFS Chair should:*

- a) Transmit the HLPE report and the present policy recommendations for information to the UN Secretary General, the Special Envoy for the Food Systems Summit, the Advisory Committee and the Scientific Group.

---

<sup>40</sup> See Pillar 3 of the Global Plan of the UN Decade on Family Farming

## ANNEX: DEFINITIONS

**Digitalization** in food and agriculture, often referred to as digital food and agriculture, is a process involving digital technologies (internet of things, artificial intelligence, blockchain, etc.) that covers access, content and capabilities.<sup>41</sup>

**Ecological footprint of food systems** expresses the impact of food consumed by a defined group of people (an individual, a village, a city, a country or the whole global population), measured in terms of the area of biologically productive land and water required to produce the food consumed and to assimilate the wastes generated.<sup>42</sup>

**Family Farming** (including all family-based agricultural activities) is a means of organizing agricultural, forestry, fisheries, pastoral and aquaculture production that is managed and operated by a family, and is predominantly reliant on the family labour of both women and men. The family and the farm are linked, co-evolve and combine economic, environmental, social and cultural functions.<sup>43</sup>

A **Food system** gathers all elements (environment, people, inputs, processes, infrastructures, institutions, etc.) and activities that relate to the production, processing, distribution, preparation and consumption of food, and the outputs of these activities, including socio-economic and environmental outcomes.<sup>44</sup> A diversity of food systems exist on a continuum, at different scales, and often co-exist within the same country.<sup>45</sup>

**Innovation** is used as a verb (to innovate) referring to the process by which individuals, communities or organizations generate changes in the design, production or recycling of goods and services, as well as changes in the surrounding institutional environment, that are new to their context and foster transitions towards SFSs for FSN. Innovation is also used as a noun to refer to the changes generated by this process. Innovation includes changes in practices, norms, markets and institutional arrangements, which may foster new networks of food production, processing, distribution and consumption that may challenge the status quo. Innovation in agriculture and food systems may be principally institutional, or may relate more to knowledge or to practice.<sup>46</sup>

[Holistic is used as an adjective and means dealing with or assessing the whole system and their interconnecting parts, and not just a part.](#)

An **innovative approach** to sustainable food systems for food security and nutrition is a well-articulated set of principles, practices and methods, that is widely understood, promoted and practiced, and that is intended to foster transitions towards more sustainable food systems that enhance food security and nutrition and is set [through shared interests and findings that build integrated and comprehensive policy drivers within an overarching philosophy](#) and a [converging](#) strategic vision for the future. Different innovative approaches fostering transitions to sustainable food systems for food security and nutrition have tended to place emphasis on different modes of innovation.<sup>47</sup> [Successful transitions to sustainable food systems may require multiple approaches rather than a single innovation or single approach.](#)

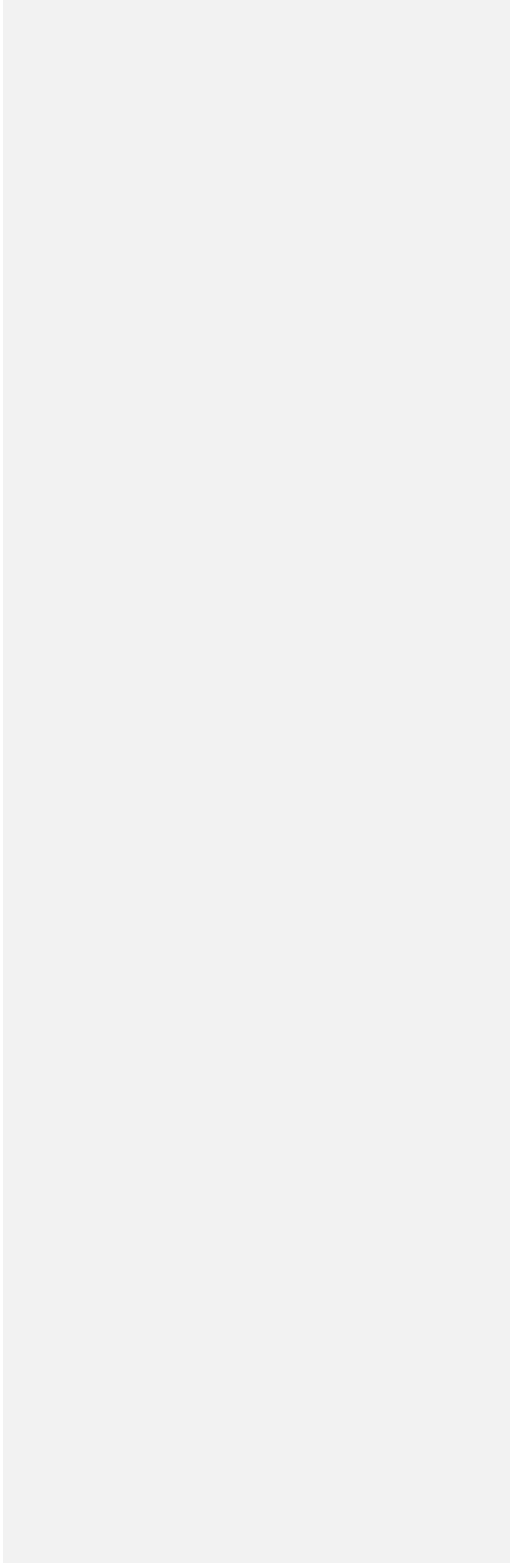
**Innovation platforms** are initiatives or efforts bringing together diverse stakeholders to create space for co-learning and collective action that support transitions towards SFSs for FSN.<sup>48</sup>

**The right to adequate food:** “is realized when every man, woman and child, alone or in community with others, have physical and economic access at all times to adequate food or means for its procurement. The core content

<sup>41</sup> FAO working definition (July 2020).

<sup>42</sup> HLPE. 2019. Agroecological and other innovative approaches for sustainable agriculture and food systems that enhance food security and nutrition. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome.

<sup>43</sup> FAO and IFAD. 2019. United Nations Decade of Family Farming 2019-2028. Global Action Plan. Rome.



<sup>44</sup> HLPE, 2014. Food losses and waste in the context of sustainable food systems. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome 2014.

<sup>45</sup> HLPE, 2017. Nutrition and food systems. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome.

<sup>46</sup> HLPE, 2019.

<sup>47</sup> HLPE, 2019.

<sup>48</sup> HLPE, 2019.

of the right to adequate food implies (...) the availability of food in a quantity and quality sufficient to satisfy the dietary needs of individuals, free from adverse substances, and acceptable within a given culture (and) the accessibility of such food in ways that are sustainable and that do not interfere with the enjoyment of other human rights (...) Accessibility encompasses both economic and physical accessibility".<sup>49</sup>

A **Sustainable food system** is a food system that ensures food security and nutrition for all in such a way that the economic, social and environmental bases to generate food security and nutrition of future generations are not compromised.<sup>50</sup>

**Small-scale food producers** are producers who:

- operate an amount of land falling in the first two quintiles (the bottom 40 percent) of the cumulative distribution of land size at national level (measured in hectares); and
- operate a number of livestock falling in the first two quintiles (the bottom 40 percent) of the cumulative distribution of the number of livestock per production unit at national level (measured in Tropical Livestock Units – TLUs); and
- obtain an annual economic revenue from agricultural activities falling in the first two quintiles (the bottom 40 percent) of the cumulative distribution of economic revenues from agricultural activities per production unit at national level (measured in Purchasing Power Parity Dollars) not exceeding 34,387 Purchasing Power Parity Dollars.<sup>51</sup>

**Transdisciplinary science** transcends disciplinary boundaries and seeks to generate transformative outcomes by having:

- i. a problem focus (research originates from and is contextualized in "real-world" problems);
- ii. an evolving methodology (the research involves iterative, reflective processes that are responsive to the particular questions, settings and research groupings involved); and
- iii. collaboration (including among transdisciplinary researchers, disciplinary researchers and external actors with interests in the research).<sup>52</sup>

---

<sup>49</sup> The United Nations Committee on Economic, Social, and Cultural Rights (CESCR) (E/C.12/1999/5 – General Comment 12, pp 6, 8 and 13) (as referenced in the Global Strategic Framework for Food Security and Nutrition of the Committee on World Food Security)

<sup>50</sup> HLPE, 2014. Food losses and waste in the context of sustainable food systems. A report by the High Level Panel of Experts on Food Security and Nutrition of the Committee on World Food Security, Rome 2014.

<sup>51</sup> Metadata for SDG 2.3.2 (<https://unstats.un.org/sdgs/metadata/files/Metadata-02-03-02.pdf>)

<sup>52</sup> HLPE, 2019.