













DEVELOPING MORE EFFICIENT AND ACCURATE METHODS FOR USING REMOTE SENSING



R. BENEDETTI, UNIVERSITÀ DI CHIETI-PESCARA





BRIEF DESCRIPTION OF THE RESEARCH TOPIC

- 1. New technologies of remote sensing
- 2. Methods for using remote sensing data at the design level
- 3. Extension of the regression or calibration estimators
- 4. Robustness of the estimators adopted for producing agricultural and rural statistics
- 5. Comparison of regression and calibration estimators with small area estimators
- 6. Statistical methods for quality assessment of land use/land cover databases

LITERATURE REVIEW (1)

Table 2.1: Main characteristics of some operational satellite sensors

	Spatial resolution	Channels	Swath at nadir (km)	Revisit days at nadir	Off- nadir pointing
NOAA -AVHRR/3	1.09 km	6	2900	1	No
Landsat 7 ETM+	30 m	6	185	16	No
(multispectral)	60 m	1			
Landsat 7 ETM+ (thermal)	15 m	1			
Landsat 7 ETM+					
(panchromatic)					
Landsat 8 OLI (multispectral)	30 m	8	185	16	Yes
Landsat 8 OLI (panchromatic)	15 m	1			
Landsat 8 TIRS (thermal)	100 m	2	185	16	Yes
SPOT 5 (multispectral)	10 -20 m	4	60	26	Yes
SPOT 5 (panchromatic)	2.5 m	1	60	(2-3 off-	
				nadir)	
SPOT 6 (multispectral)	8 m	4	60	26	Yes
SPOT 6 (panchromatic)	1.5 m	1	Mariah sa	(1-3 off-	
		1/1/		nadir)	
IKONOS (multispectral)	3.2 m	4	11.3	≃141	Yes
IKONOS (panchromatic)	0.82 m	1			
QuickBird (multispectral)	2.44 m	4	16.8	≃2.4	Yes
QuickBird (panchromatic)	61 cm	1		(40°N Lat)	Yes
WorldView-1 (panchromatic)	50 cm	1	17.7	≃1.7	Yes
				(40°N Lat)	
WorldView-2 (multispectral)	1.85 m	8	16.4	≃1.1	Yes
WorldView-2 (panchromatic)	46 cm	1		(40°N Lat	Yes
MODIS	250 m (bands 1-2) 500 m (bands 3-7) 1 km (bands 8-36)	36	2330	1	No
Proba-V	100 m	4	2250	1-2	Yes



LITERATURE REVIEW (1)

Table 2.2: Main characteristics of some near future satellite sensors

	Spatial resolution	Channels	Swath (km)	Revisit days at nadir	Off- nadir pointing
WorldView-3 (multisp.) [2014]	1.24 m	8	13.1	<1	Yes
WorldView-3 (panchromatic)	31 cm	1		(40°N Lat)	
WorldView-3 (SWIR)	3.70 m	8		,	
WorldView-3 (CAVIS)	30 m	12			
Sentinel -1 (IW) [2013]	5x20 m	1 mode	250	12 (with 1	Yes
Sentinel -1 (WV)	5x5m	1 mode	20	satellite)	
Sentinel -1 (SM)	5x5 m	1 mode	80	6 (with 2	
Sentinel -1 (EW)	20x40 m	1 mode	400	satellites)	
Sentinel -2 [2014]	10 m	4	290	<5 at equator	Yes
	20 m	6			
	60 m	3			
Sentinel -3 (SLSTR) [2014]	500 m - 1 km	9 + 2 for	1420	<1 at equator	Yes
		fire monit.			
Sentinel -3 (OLCI)	300 m	21	1270	<2 at equator	Yes
Sentinel -3 (SRAL)	300 m	2 modes	>2	27	No
VENμS -VM1 [2014]	5.3 m	12	27.5	2	Yes
HyspIRI (VSWIR)	60 m	220	145	19	Yes
HyspIRI (TIR)	60 m	8	600	5	No



- Atzberger C (2013). Advances in remote sensing of agriculture: Context description, existing operational monitoring systems and major information needs. Remote Sensing, 5: 949-981.
- Becker-Reshef I, Justice C, Sullivan M, Vermote E, Tucker C, Anyamba A, Small J, Pak E, Masuoka E, Schmaltz J, Hansen M, Pittman K, Birkett C, Williams D, Reynolds C, Doorn B (2010). Monitoring global croplands with coarse resolution earth observations: The Global Agriculture Monitoring (GLAM) Project. Remote Sensing, 2: 1589-1609
- Carfagna E, Gallego FJ (2005). Using remote sensing for agricultural statistics. International Statistical Review, 73: 389-404.
- Donlon C, Berruti B, Buongiorno A, Ferreira MH, Féménias P, Frerick J, Goryl P, Klein U, Laur H, Mavrocordatos C, Nieke J, Rebhan H, Seitz B, Stroede J, Sciarra R (2012). The Global Monitoring for Environment and Security (GMES) Sentinel-3 mission. Remote Sensing of Environment, 120: 37–57.
- Ingmann P, Veihelmann B, Langen J, Lamarre D, Stark H, Courrèges-Lacoste GB (2012). Requirements for the GMES atmosphere service and ESA's implementation concept: Sentinels-4/-5 and -5p. Remote Sensing of Environment, 120: 58–69.
- Torres R, Snoeij P, Geudtner D, Bibby D, Davidson M, Attema E, Potin P, Rommen B, Floury N, Brown M, Navas Traver I, Deghaye P, Duesmann B, Rosich B, Miranda N, Bruno C, L'Abbate M, Croci R, Pietropaolo A, Huchler M, Rostan F(2012). GMES Sentinel-1 mission. Remote Sensing of Environment, 120: 9–24.



LITERATURE REVIEW (2)

- The units to be observed are often randomly selected from a finite population whose main feature is to be georeferenced. Thus, its spatial distribution has been widely used as crucial information in designing the sample.
- The geographical position in many agricultural surveys is an intrinsic characteristic of the unit and, given the particular nature of this information, its efficient use in sample design often requires methods that cannot be adapted from those used when dealing with classical auxiliary variables.



LITERATURE REVIEW (2)

In sampling theory the large concentration of the population with respect to surveyed variables constitutes a problem that is difficult to handle without:

The use of selection probabilities proportional to a size measure



Multivariate auxiliaries in πps sampling

The use of a stratification or partition tool



Optimal stratification



- Baillargeon S, Rivest L-P (2011). The construction of stratified designs in R with the package stratification. Survey Methodology, 37: 53-65.
- Benedetti R, Espa G, Lafratta G (2008). A tree-based approach to forming strata in multipurpose business surveys. Survey Methodology, 34: 195-203.
- Breidt FJ, Chauvet G (2012). Penalized balanced sampling. Biometrika, 99: 945-958.
- Grafström A (2012). Spatially correlated Poisson sampling. Journal of Statistical Planning and Inference, 142: 139–147.
- Grafström A, Lundström NLP, Schelin L (2012). Spatially balanced sampling through the Pivotal method. Biometrics, 68: 514-520.
- Grafström A, Tillé Y (2013). Doubly balanced spatial sampling with spreading and restitution of auxiliary totals. Environmetrics. (To appear)
- Stevens Jr DL, Olsen AR (2004). Spatially balanced sampling of natural resources.
 Journal of the American Statistical Association, 99: 262–278.
- Tillé Y (2011). Ten years of balanced sampling with the cube method: An appraisal. Survey Methodology, 2: 215-226.



LITERATURE REVIEW (3)

- The class of calibration estimators is an instance of very general and practical approach to incorporating auxiliary information, represented by remote sensed data, into the estimation.
- The technique of estimation by calibration was introduced by Deville and Särndal (1992). The idea behind is to use auxiliary information to obtain new sampling weights, called calibration weights that make the estimates agree with known totals. The estimates are generally design consistent and with smaller variance than the HT estimator.
- The estimators are obtained through a minimization of an appropriate distance function.



LITERATURE REVIEW (3)

- However, statisticians are educated to think in terms of models, and they feel obligated to always have a statistical procedure that state the associated relationship of y to x.
- The idea of model calibration is proposed in Wu and Sitter (2001), Wu (2003) and Montanari and Ranalli (2005). The motivating factor is that, when the auxiliary information xk is known for all the population units, this should be used in a more effective way than what it is possible in model free calibration, where a known total is sufficient.



- Breidt FJ, Opsomer JD (2008). Endogenous post-stratification in surveys: classifying with a sample-fitted model. The Annals of Statistics, 36: 403-427.
- Cicchitelli G, Montanari GE (2012). Model-assisted estimation of a spatial population mean. International Statistical Review, 80: 111-126.
- Deville J-C, Särndal C-E (1992). Calibration estimators in survey sampling. Journal of the American Statistical Association, 87: 376-382.
- Doraiswamy PC, Sinclair TR, Hollinger S, Akhmedov B, Stern A, Prueger J (2005). Application
 of MODIS derived parameters for regional crop yield assessment. Remote Sensing of
 Environment, 97: 192-202.
- Montanari GE, Ranalli G (2005). Nonparametric model calibration estimation in survey sampling. Journal of the American Statistical Association, 100: 1429-1442.
- Särndal CE (2007). The calibration approach in survey theory and practice. Survey Methodology, 33: 99-119.
- Särndal C-E, Lundström S (2005). Estimation in surveys with nonresponse. John Wiley and Sons.
- Särndal C-E, Lundström S (2010). Design for estimation: Identifying auxiliary vectors to reduce nonresponse bias. Survey Methodology, 36: 131-144.



LITERATURE REVIEW (4)

- Many different estimators can be applied in different practical circumstances.
- A very important research question is represented by the choice of the more appropriate estimator for the particular case of study under investigation.
- These different estimators should be compared.
- This issue is not largely analyzed in the specialist literature.
- We will only provide some ideas and contributes that can be applied to this context.



LITERATURE REVIEW (5)

- It is worth noticing that different estimation methods, such as calibration, regression and SAE estimators, can lead different results both in the magnitudes of coefficients estimates and in the values of the related estimated standard error.
- The appropriate use of estimators primarily depends on the available data and on the objective of the analysis. For example, according to the availability of auxiliary information (i.e. remote sensed images), it can be used an approach rather than another. Furthermore, the researcher should pay attention to the definition of the used methods in order to compare statistical properties.
- Note that calibration and regression estimators are model-assisted methods, and the properties have to be assessed in terms of design. These estimators are design-unbiased. On the other hand, SAE are model-based techniques, and so the statistical properties should be analyzed with reference to the model. So, the analysts should interpret the comparisons with caution.



- Benedetti R, Filipponi D (2010). Estimation of land cover parameters when some covariates are missing. In: Benedetti R, Bee M, Espa G, Piersimoni F (eds.), Agricultural Survey Methods, John Wiley & Sons, Chichester, UK, pp. 213–230.
- Pfeffermann D (2013). New important developments in small area estimation. Statistical Science, 28:40–68.
- Pratesi M, Salvati N (2008). Small area estimation: the EBLUP estimator based on spatially correlated random area effects. Statistical Methods & Applications, 17: 113– 141.



LITERATURE REVIEW (6)

Accuracy assessment should be performed during any phase of the data production process, involving the quality of the classification, as well as the validation of the resulting map, that is the assessment of the degree to which the map agrees with reality (Lunetta et al 1991, Carfagna and Marzialetti 2009).

Stehman and Czaplewski (1998) identified three major components of land cover/land use map accuracy assessment:

- the sampling design that identifies the protocol by which the reference units (i.e. the units upon which is based the map accuracy assessment) are selected;
- the response design that identifies the protocol by which the reference classification for the sample units is determined (i.e. the identification of support region and the assignment of labels to the sample units)
- the estimation and analysis protocol (the estimate of accuracy measures is based on confusion matrix. Specific guidelines to implement consistent estimators for accuracy parameters are also given by Strahler et al (2006).



- Carfagna E, Marzialetti J (2009a). Sequential design in quality control and validation of land cover databases. Applied Stochastic Models in Business and Industry, 25: 195-205.
- Carfagna E, Marzialetti J (2009b). Continuous innovation of the quality control of remote sensing data for territory management. In: Erto P (ed.), Statistics for Innovation, Statistical Design of "Continuous" Product Innovation, Springer Verlag, pp. 172-188.
- Gallego FJ, Carfagna E, Baruth B (2010). Accuracy, objectivity and efficiency of remote sensing for agricultural statistics. In: Benedetti R, Bee M, Espa G, Piersimoni F (eds.), Agricultural Survey Methods, John Wiley & Sons, Chichester, UK, pp. 193-211.
- Liu C, Frazier P, Kumar L (2007). Comparative assessment of the measures of thematic classification accuracy. Remote Sensing of Environment, 107: 606-616.
- Nusser SM, Klaas EE (2003). Survey methods for assessing land cover map accuracy.
 Environmental and Ecological Statistics: 10, 309-331.
- Stehman SV (2001). Statistical rigor and practical utility in thematic map accuracy assessment. Photogrammetric Engineering & Remote Sensing, 67: 727-734.



SUB-TOPICS REQUIRING FURTHER RESEARCH (1)

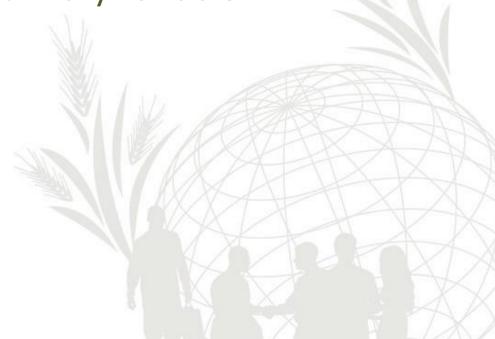
- Sample selection with probability proportional to the size when a size measure is multivariate
- Optimal stratification with multivariate continuous auxiliary variables
- Sampling design that are well spread over a study region
- Model that link the survey variable with some auxiliary to design the sample (sample size, sample allocation etc)



SUB-TOPICS REQUIRING FURTHER RESEARCH (2)

 Models for space varying coefficients. Optimal stratification with multivariate continuous auxiliary variables

Missing values in the auxiliary variable





THANK YOU (R. Benedetti, benedett@unich.it)

