



Food and Agriculture  
Organization of the  
United Nations

# THE Global Record

of Fishing Vessels, Refrigerated  
Transport Vessels and Supply Vessels

2<sup>nd</sup> meeting of the Global Record  
Informal Open-Ended Technical  
and Advisory Working Group

21-23 March 2016

Ari Gudmundsson  
Global Record Coordinator



# Progress report and state of affairs of the Global Record programme



**Introduction**

**Follow-up from COFI 31**

**International events**





# Introduction





# What is the Global Record?

- International tool to combat IUU Fishing
- Strong synergies with the PSMA and VGFSP
- “One-stop shop” of vessel-related information
- Identify vessel, owners, authorizations and history
- Certified information, from official authorities
- Fishing vessels and vessels supporting fisheries
- Target users:
  - Port States (PSMA)
  - Flag States
  - Market States (retailers)
  - Others (RFBs, NGOs, general public)





# Scope (not only fishing vessels)

The Global Record also applies to other vessels supporting fishing operations:

- refrigerated transport vessels
- supply vessels

Enhanced transparency in

- transshipment operations &
- refuelling at sea





# Phased approach

Total number of fishing vessels: **4.7 million<sup>1)</sup>**

Phase 1:

≥ 100 GT (or GRT) or ≥ 24m

Phase 2:

50 – 100 GT (or GRT);  
or 18 – 24 m

Phase 3:

10 – 50 GT (or GRT);  
or 12 – 18 m

Phase 1: ca. **64 000<sup>1)</sup>** vessels

Phases 1, 2 and 3: ca. **470 000** vessels



<sup>1)</sup> Source: SOFIA 2014





# Global Unique Vessel Identifier (UVI)

- UVI - key component to identify and track vessels
- UVI – also useful for traceability of fish products
- **Remains unchanged during the life of the vessel**
- 1st step: vessels  $\geq 100$  GT (i.e. for Phase 1)
- Resolution A.1078(28) on IMO Ship Identification Number Scheme
- **IMO Numbers** now apply also to fishing vessels
- IMO Numbers compulsory for several RFMOs
- Ca. 23 000 fishing vessels already with IMO Numbers



# Follow-up from COFI 31





# COFI 31 (in 2014)

## Strategy document

- Clarifies several aspects of the design, development and implementation of the Global Record
- Provides information, reflecting the current situation, and proposed the way forward for the development

## 5-year programme proposal

- (1) System development; (2) Capacity Development and (3) Awareness-raising

## Prototype

- A proposal of how the Global Record could be useful in fighting IUU fishing





# Recommendations from COFI 31

While recognizing the role of the Global Record in the concerted fight against IUU fishing, COFI:

- a) reiterated its support for the Global Record's continued development by FAO and commended FAO on the preparation of the strategy document and the demonstration of the prototype;
  - b) welcomed FAO's efforts in coordinating the Global Record with other existing systems to keep it cost-effective,
  - c) appreciated the collaboration with IMO in extending the IMO ship identification number scheme to fishing vessels and agreed that the IMO number should be used as the Global Record's unique vessel identifier for Phase 1;
  - d) noted that several RFMOs have made provisions for the IMO number to be compulsory in their convention areas;
  - e) agreed that States are responsible for the data and its provision to the Global Record, possibly through RFMOs
  - f) appreciated FAO's continued assistance to developing States.
- Some Members recognized the need for an advisory committee to clarify outstanding issues and to find a solution for the long-term financing





# Global Record Working Group

- 1<sup>st</sup> meeting: 23-25 February 2015

The meeting agreed upon the following:

- Global Record fulfils a crucial role to fight IUU fishing
- not restricted to that of an authorized list of vessels
- should include authorization, compliance and historical data most of which should be in the public domain
- States are responsible for providing information
- Core Specialized Working Groups to be established
- Pilot version should be developed





# Global Record Core Groups

- Three Global Record Specialized Core Working Groups set up to address technical issues in further detail
- Mainly working through virtual workspaces
- Physical meeting: 30 September - 2 October 2015





# Pilot Project

- Contacts with potential Pilot Project Partners
- Initial support missions to evaluate the work needed
- Pilot Project delayed due to lack of resources during 2015 and the need for the technical advice from the Core Groups

- Work will resume after GRWG 2
- Partners willing to be part of the Pilot Project are encouraged to come forward and contact the Secretariat

Food and Agriculture Organization of the United Nations  
For a world without hunger

Fisheries and Aquaculture Department

## Global Record of Fishing Vessels, Refrigerated Transport Vessels and Supply Vessels

Global Record | Search | IUU Lists | Statistics

UVI	Flag State	Vessel Name	IRCS	Length Overall	Gross Tonnage	Vessel Type
8657378	Croatia	ORKA	9AA6562	26	142	Fishing vessels not specified
9319791	Spain	PLAIA DE RUETA	EAAA	31	286	Fishing vessels not specified
9101039	Russian Federation	RETINSKOYE	UAAK	54.82	811	Stem trawlers
8715716	Spain	ROSARIS	EAAE	29	198	-
9481518	Croatia	SARDINA I	9AA5395	40.15	328	Fishing vessels not specified
9523524	Croatia	SARDINA II	9AA6076	40.15	328	Fishing vessels not specified
8520094	Japan	SHINSEI MARU No. 3	JAAL	53.52	495	Fishing vessels not specified
9537393	Croatia	SIN KALI I	9AA6099	40.15	328	Fishing vessels not specified
8851742	United States of America	STORMIE C	WAA2812	-	120	Fishing vessels not specified
9106003	Spain	SUKARI PRIMERO	EAAU	31	244	-
8328769	Russian Federation	TARUTINO	UAAN	35.74	356	Stem trawlers
9509592	China	ZHE YONG YU 7104	BKAA2	32.7	133	Fish carriers

Results loaded!



# Financing

## Donors so far:

- Australia, European Commission, Iceland, Republic of Korea, Spain, United Kingdom and United States

## Current situation

- Transfer of the 2015 funds delayed, resulting in reduction of activities, such as the development of the Pilot version
- Reallocation of resources underway together with a new proposal for 2016



MINISTRY OF INDUSTRIES  
AND INNOVATION





# International events





# Joint FAO/IMO Ad Hoc Working Group on IUU Fishing and Related Matters

JWG3 meeting held at IMO HQs 16-18 November 2015

The JWG recommended that:

- a) the IMO and FAO Secretariats, and, the ILO Secretariat, as appropriate, cooperate on the establishment of a system for the collection of records from States and other data providers, as appropriate, for the development of the Global Record





# Joint FAO/IMO Ad Hoc Working Group on IUU Fishing and Related Matters

The JWG recommended that:

- b) FAO and IMO progress the implementation of Phase 1 of the Global Record, including the consideration of its application to decked motorized inboard fishing vessels of any hull-type construction of 100 GT and above, and the potential need to expand the IMO Ship Identification Number Scheme, as appropriate. Any further expansion beyond Phase 1 of the Global Record should depend on the successful implementation of that phase





# Joint FAO/IMO Ad Hoc Working Group on IUU Fishing and Related Matters

The JWG recommended that:

- c) FAO and IMO explore the further possibility to expand the application of the IMO Number Scheme to fishing vessels of less than 100 GT
- d) IMO, in cooperation with FAO, discuss mechanisms, to the extent practicable, for regular fleet data exchanges on fishing vessels particulars between flag Administrations and IHSM in order to support the IMO Ship Identification Number Scheme in the context of the Global Record and other regulatory and non-regulatory frameworks.





# G7 High-level Meeting on Maritime Security, 14 December 2015

## Recommendations:

- Undertake joint G7 diplomatic outreach to IMO member states, seeking their support for the Global Record and for extending, to all fishing vessels, the mandatory requirements for IMO numbers and tracking already in place for merchant vessels
- Making the future Global Record mandatory should also be considered
- Invite their respective line ministry colleagues to consider national contributions to set up a Global Record, both in budgetary terms and by supplying, through their competent national agencies, fishing fleet data with a view to testing a pilot FAO Global Record system in practice





# Chatham House Forum on IUU Fishing, 15-16 February 2016

- Combatting IUU fishing through the implementation of the Port State Measures Agreement and other instruments - Mr Arni Mathiesen, ADG in FAO Fisheries and Aquaculture Department





# COORDINATING WORKING PARTY ON FISHERIES STATISTICS (CWP)

“Concluding on the development of metadata standards for Fishing Vessels, the Group welcomed the on-going work taking place under the Global Record Working Group. The process followed which refers to existing standards, entails broad participation of countries and RFBs, and has a global mandate was judged sound by the group and CWP should not duplicate it. The Global Record was encouraged to bring its findings to CWP for consideration, once they become mature enough to establish as global standards. The Group encouraged the Member organizations to contribute to the process, and in order to facilitate the participation, the Group requested the CWP Secretary to liaise with the Global Record team to keep the interested members informed on the progress and up-coming activities of the Global Records Working Group”



# Thank you

## Contacts are welcome

Fishing Operations and Technology  
Fisheries and Aquaculture Policy and Resources Division  
Fisheries and Aquaculture Department  
Food and Agriculture Organization of the United Nations (FAO)  
Viale delle Terme di Caracalla  
00153 Rome, Italy

FI-Global-Record@fao.org  
[www.fao.org/fishery/global-record](http://www.fao.org/fishery/global-record)



MINISTERIO DE AGRICULTURA, ALIMENTACIÓN Y MEDIO AMBIENTE



MINISTRY OF INDUSTRIES AND INNOVATION

